



Lecture 4

Disk substructures and their connection to planets

AstroTwin Colombia School 2022
Planet formation and ALMA
dr. Nienke van der Marel
Leiden Observatory

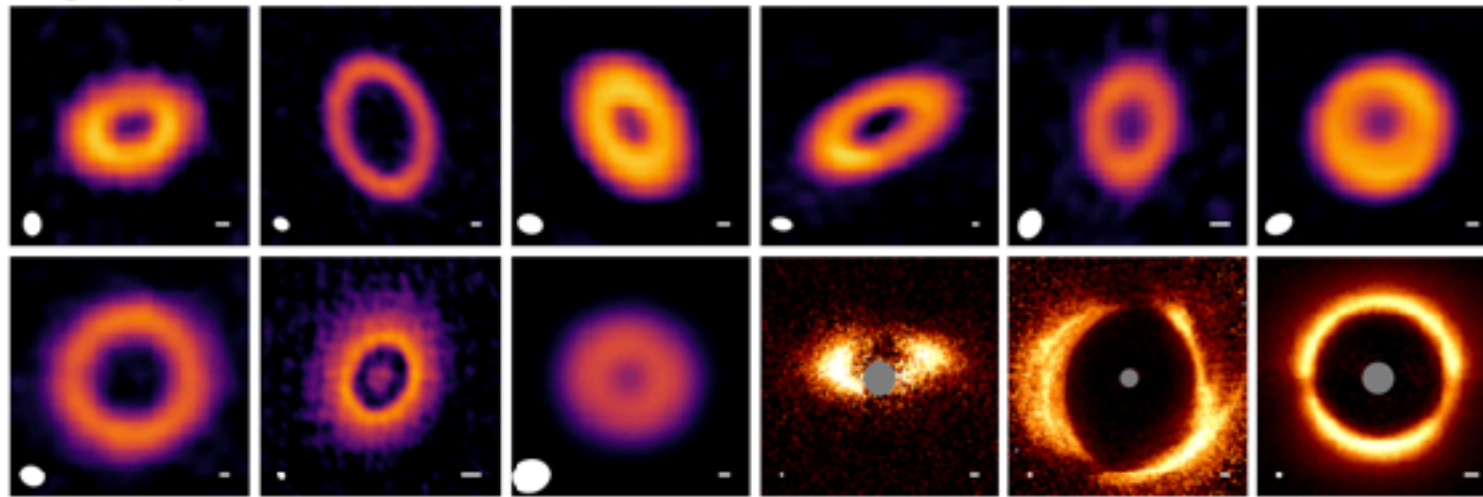
Contents

- Overview substructures (recap dust trapping)
- Gaps
 - Large cavities ('transition disks')
 - Asymmetries ('arcs')
 - Rings and gaps
 - Gap clearing mechanisms
 - Debris disks
- Other substructures
 - Misalignments
 - Shadows
 - Spiral arms
 - Streamers
- Demographics of substructures
 - Detectability
 - Occurrences

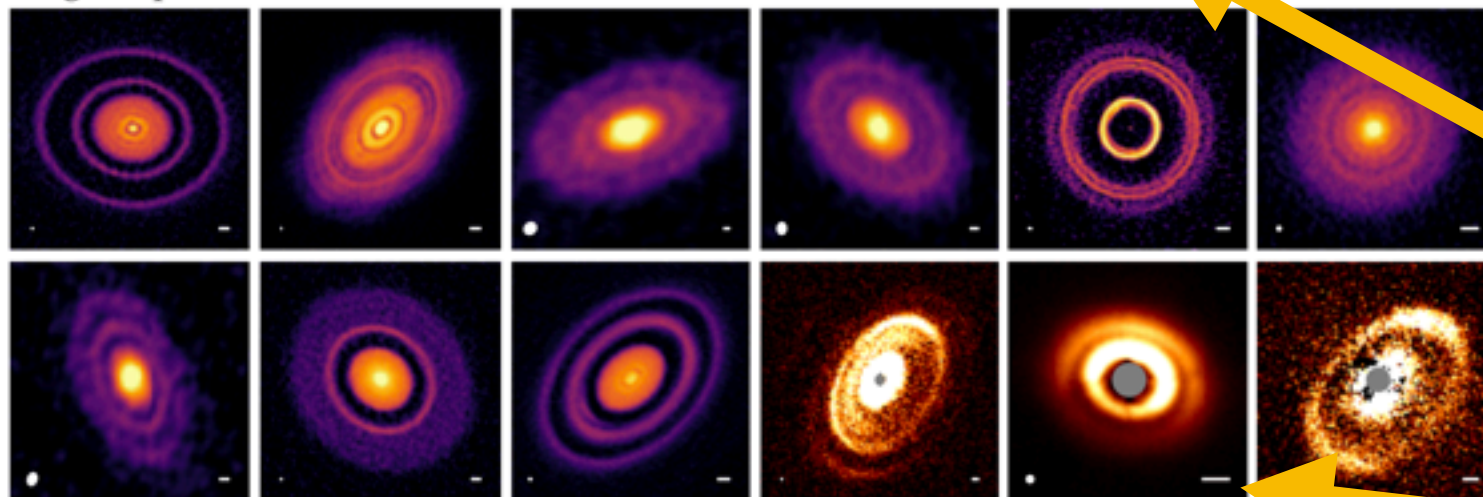
Overview substructures

Mostly
mm-observations

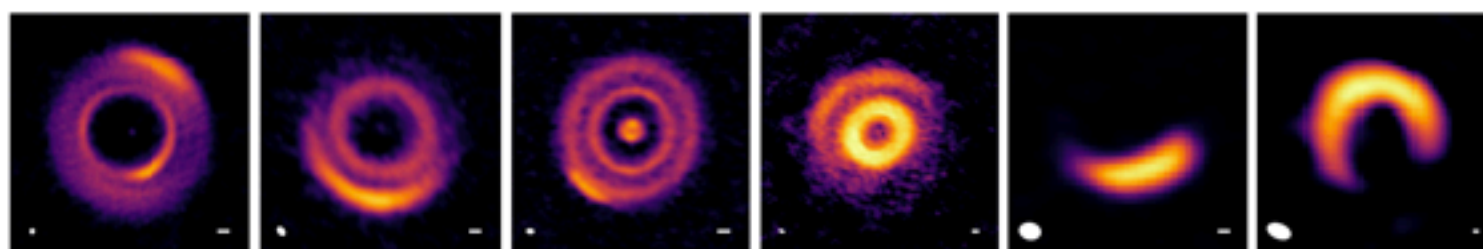
Ring/Cavity



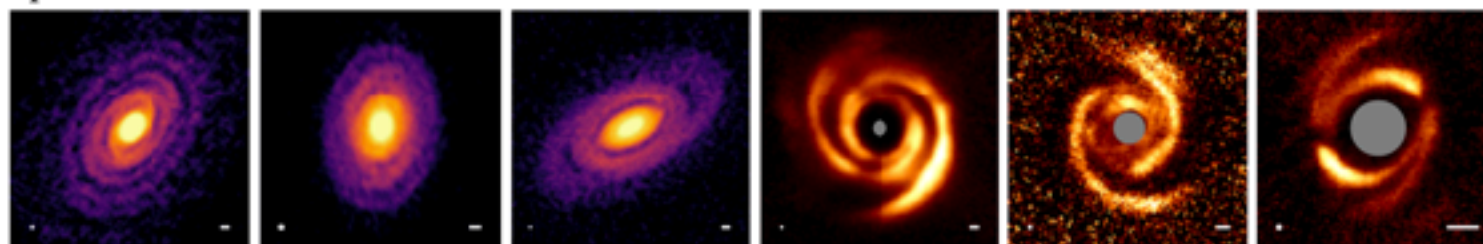
Rings/Gaps



Arcs

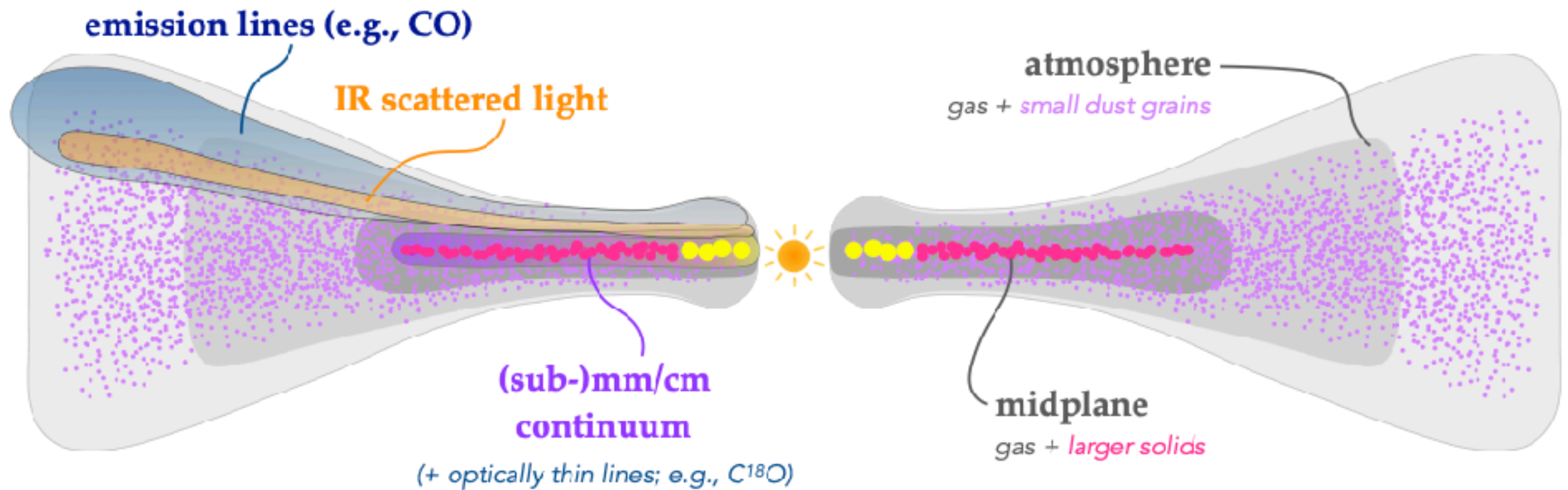


Spirals

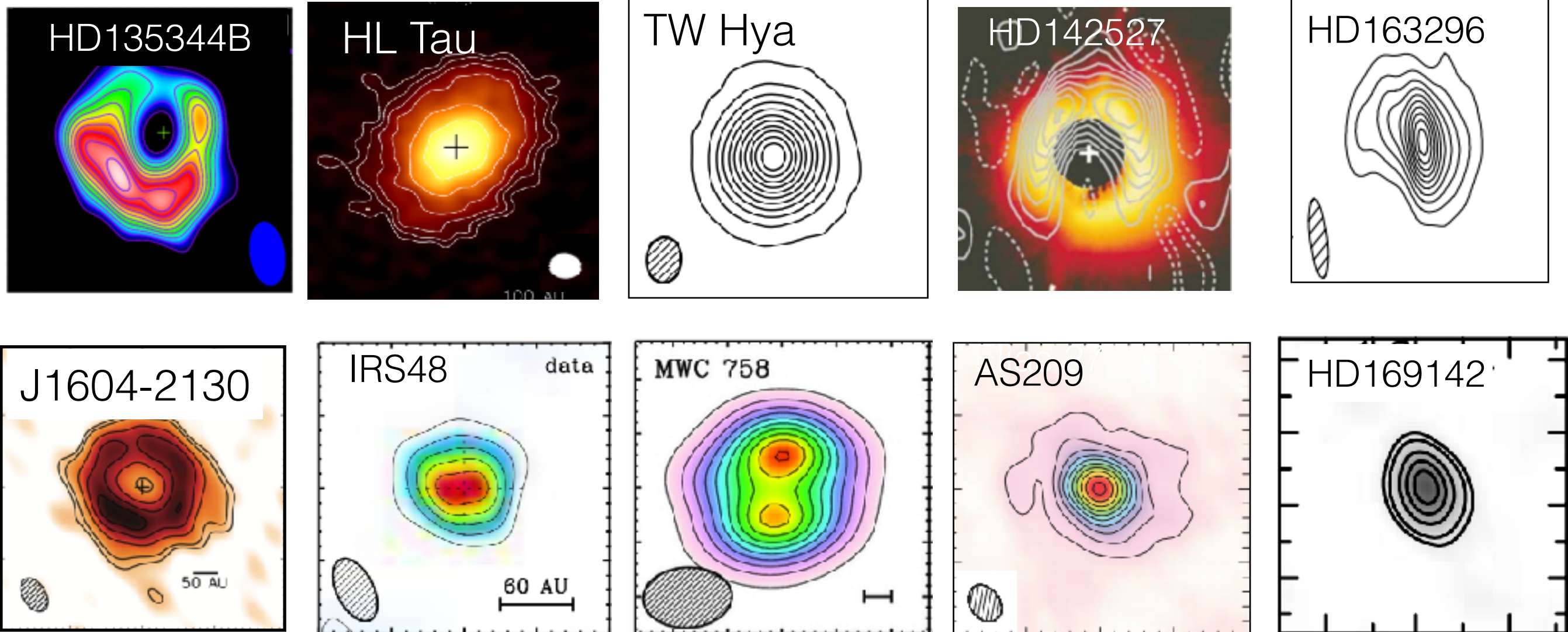


NIR observations
(scattered light)

Disk structure

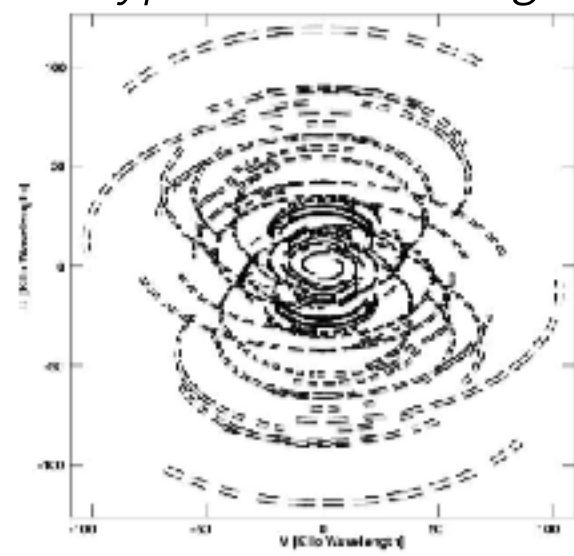


Pre-ALMA disk observations (mm)



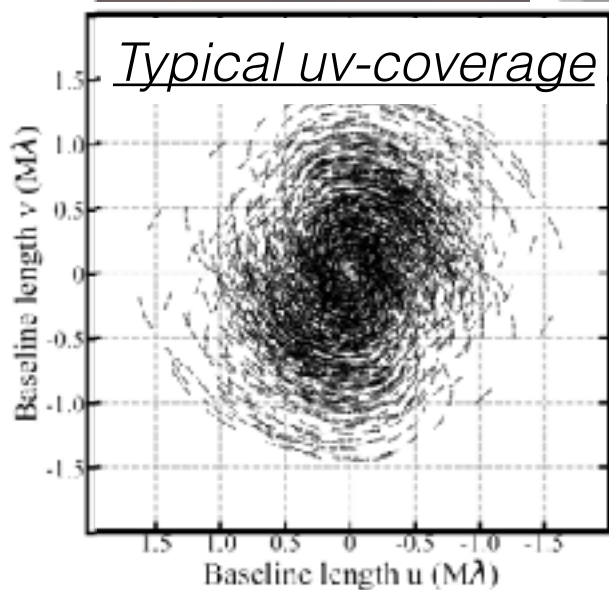
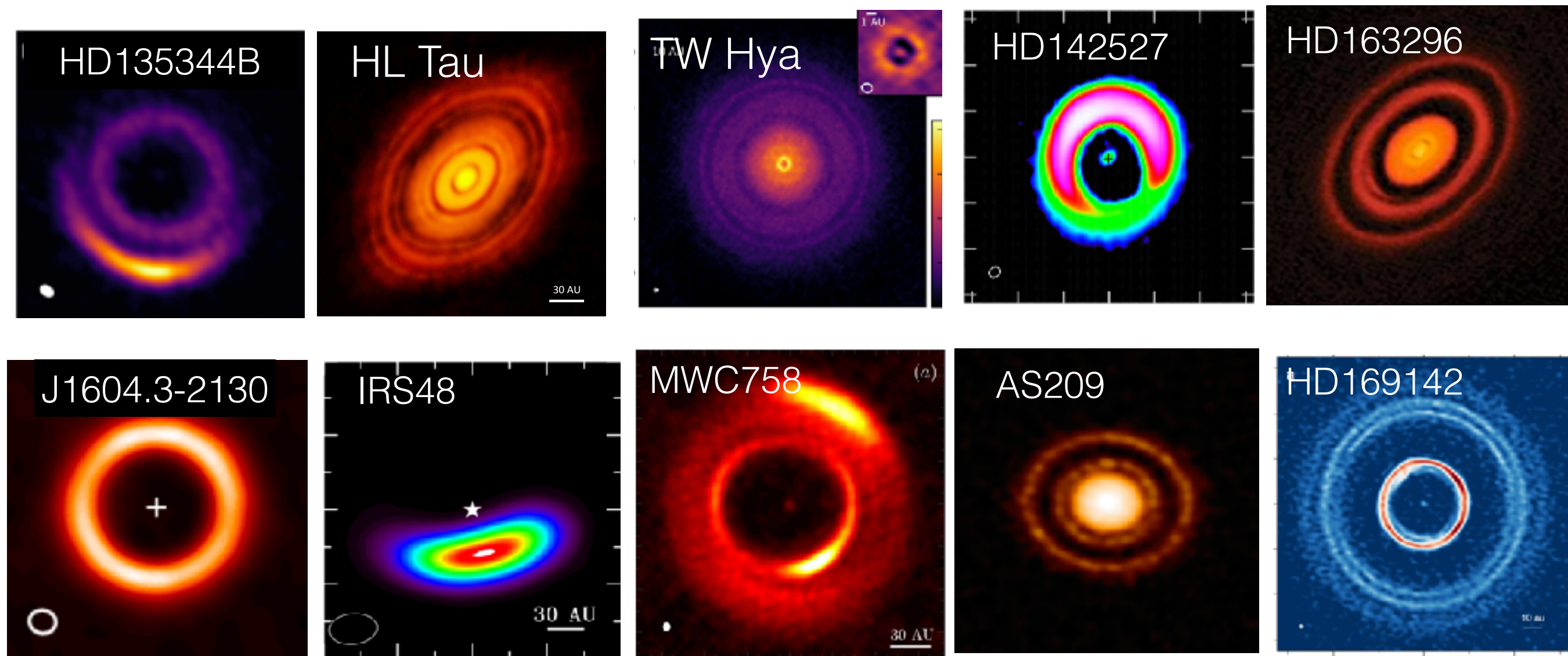
Typical uv-coverage

Typical resolution $\sim 0.5-0.8''$



Andrews et al. 2011 & 2012, Brown et al. 2009 & 2012, Isella et al. 2007, Kwon et al. 2011, Matthews et al. 2012, Ohashi et al. 2008, Perez et al. 2012, Raman et al. 2006

ALMA disk observations (mm)

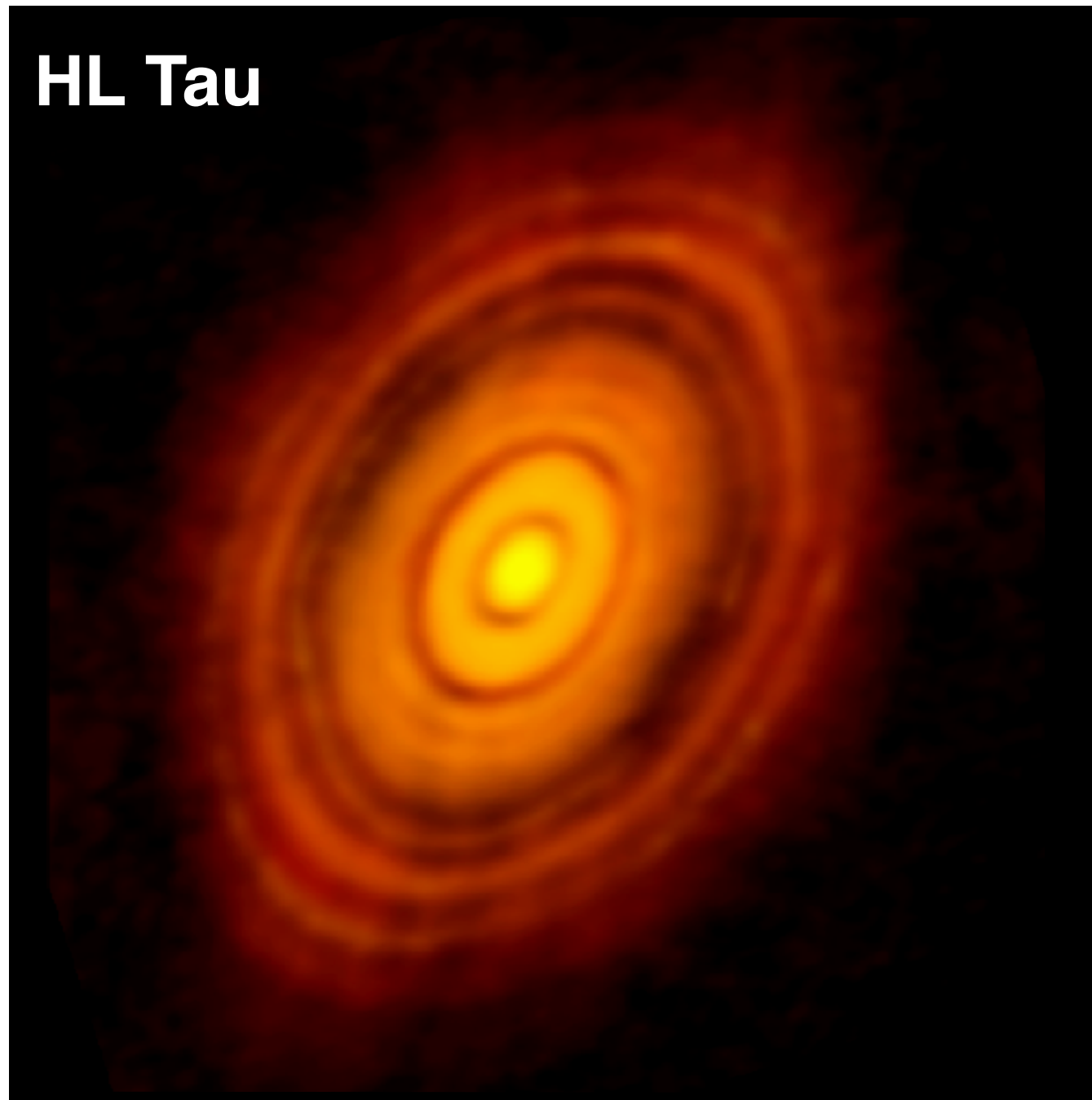


**Enormous diversity of
large-scale (10-100 au)
dust structures!**

Typical resolution ~ 0.05 - $0.1''$

ALMA et al. 2015; Andrews et al. 2016, 2018; Boehler et al. 2017, Cazzoletti et al. 2018; Dong et al. 2018; Fedele et al. 2017; Isella et al. 2016; Perez et al. 2019; Van der Marel et al. 2013, 2016a & 2020

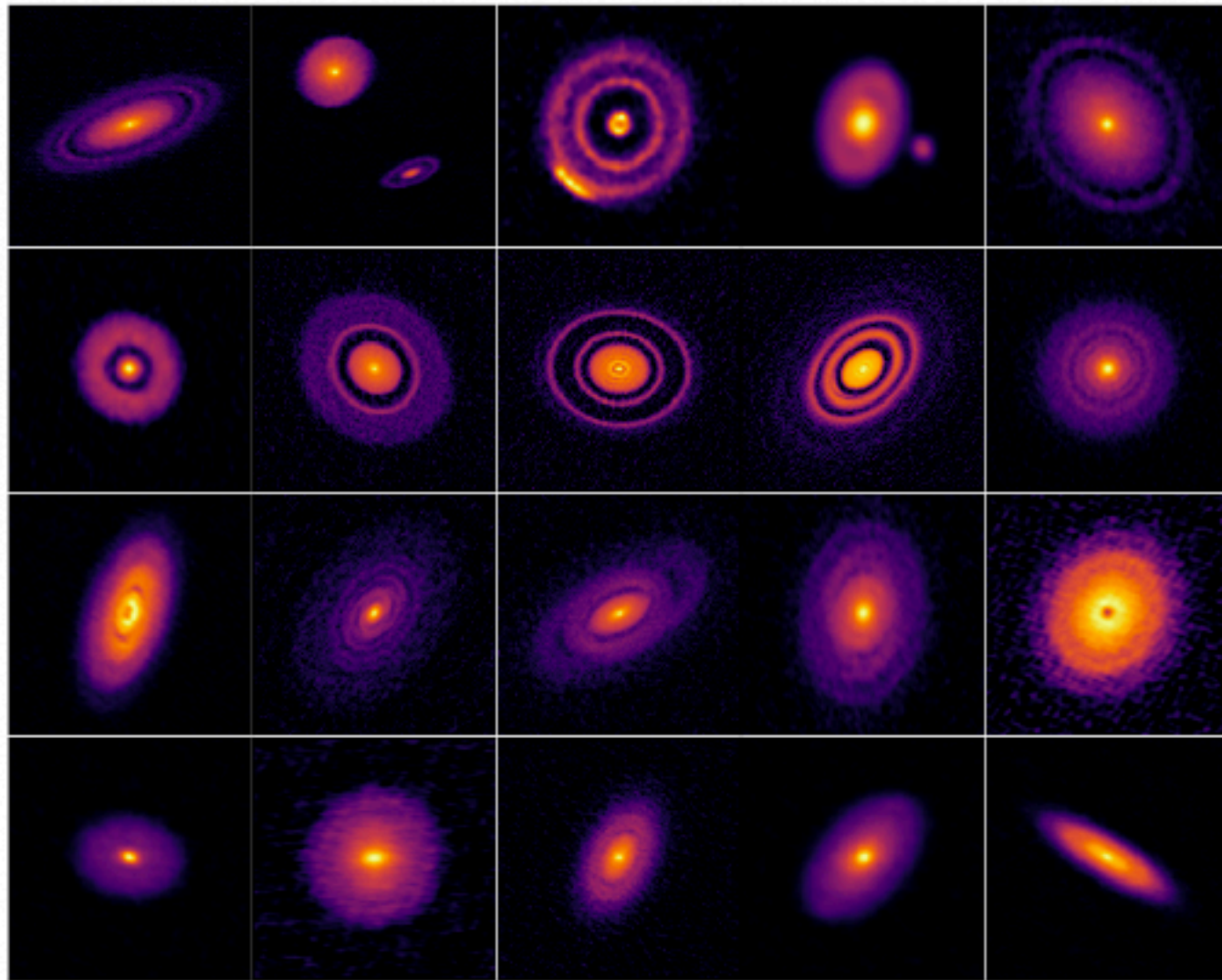
How it started:



Resolution $\sim 0.05''$

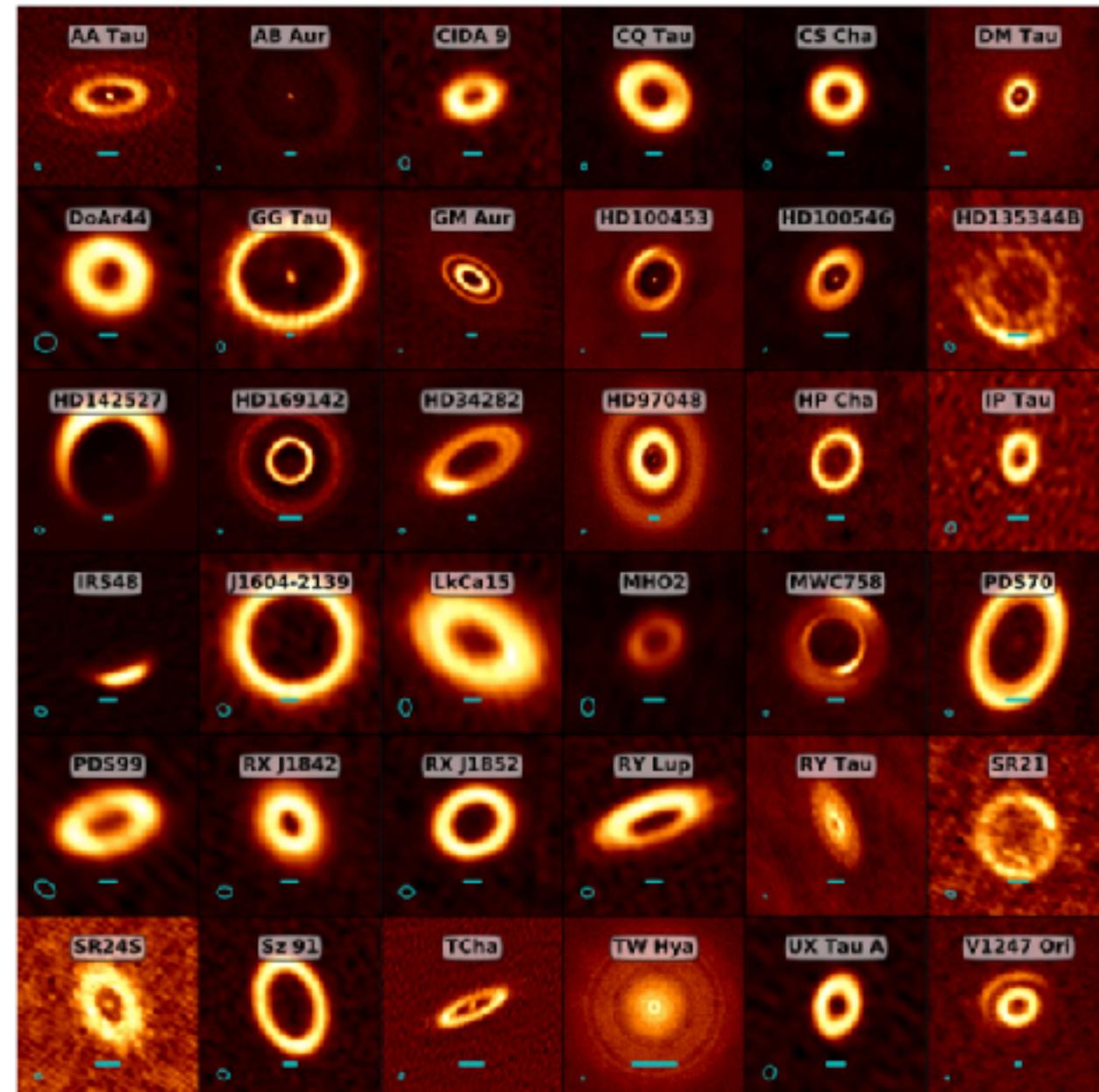
ALMA consortium et al. 2015

...and how it's going



DSHARP: gaps and rings

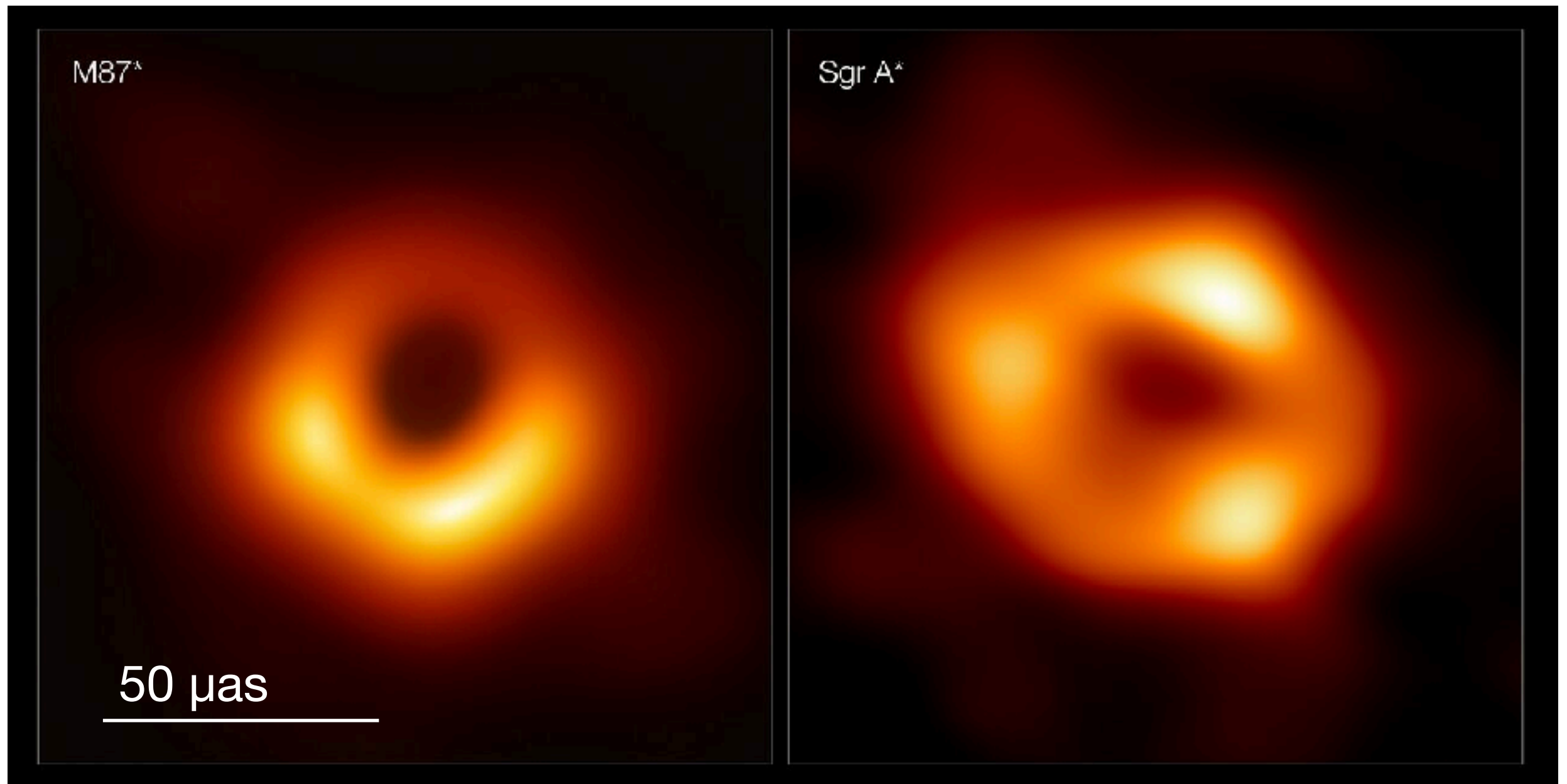
Typical resolution $\sim 0.04'' - 0.1''$



Inner cavities

Andrews et al. 2018
Francis & van der Marel 2020

These are not protoplanetary disks!



(angular size 10,000 smaller than protoplanetary disk)

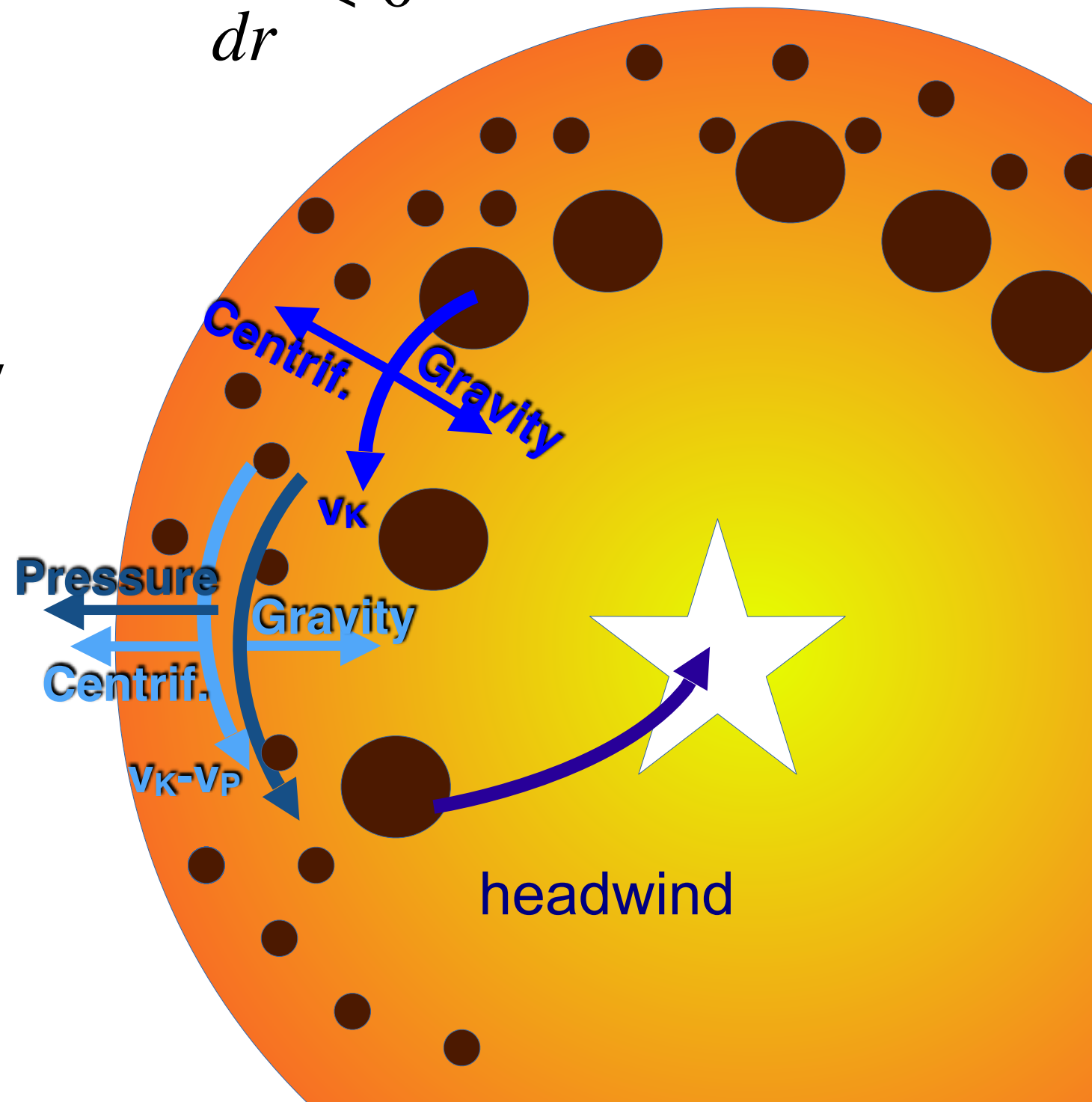
So what's the origin of dust rings?

Recall:

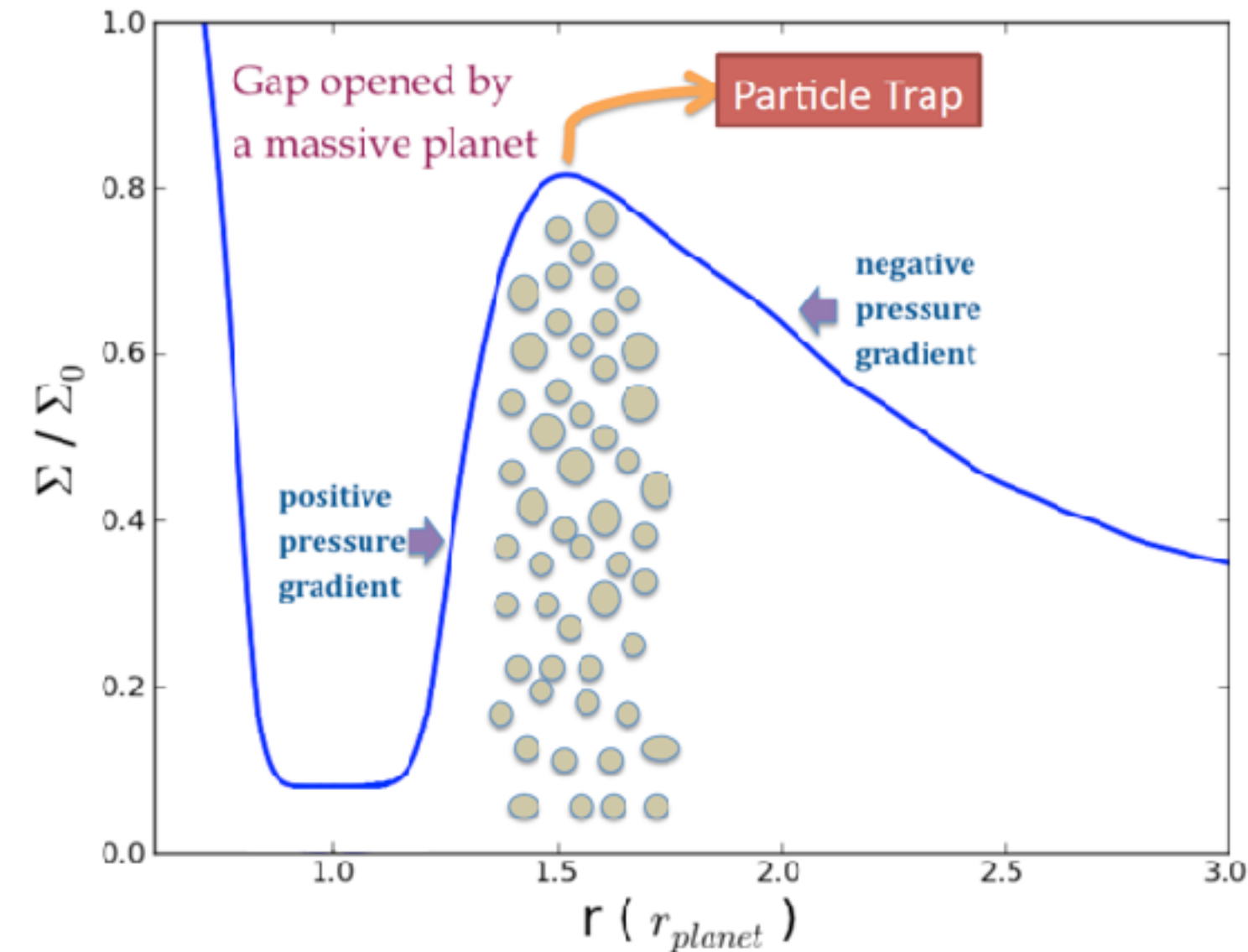
- Gas disk has a pressure gradient $\frac{dp}{dr} < 0$
 - Radial inward drift dust

- Large particles move towards high pressure
- Dust disk evolves differently than the gas disk

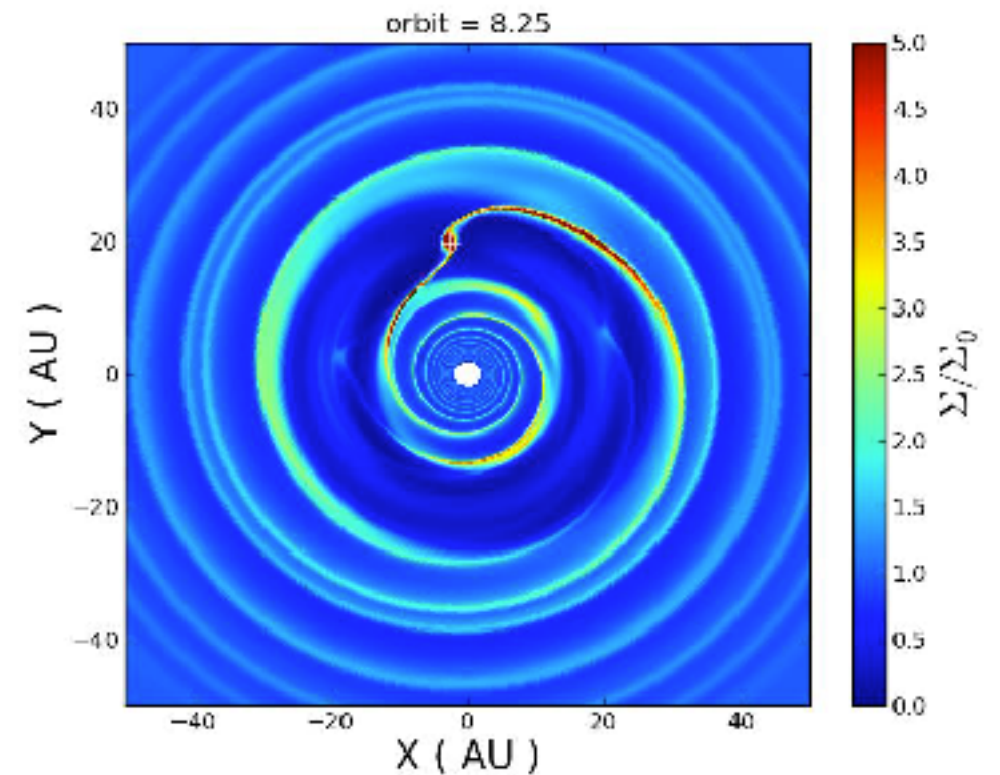
=> Need pressure bump to prevent radial drift



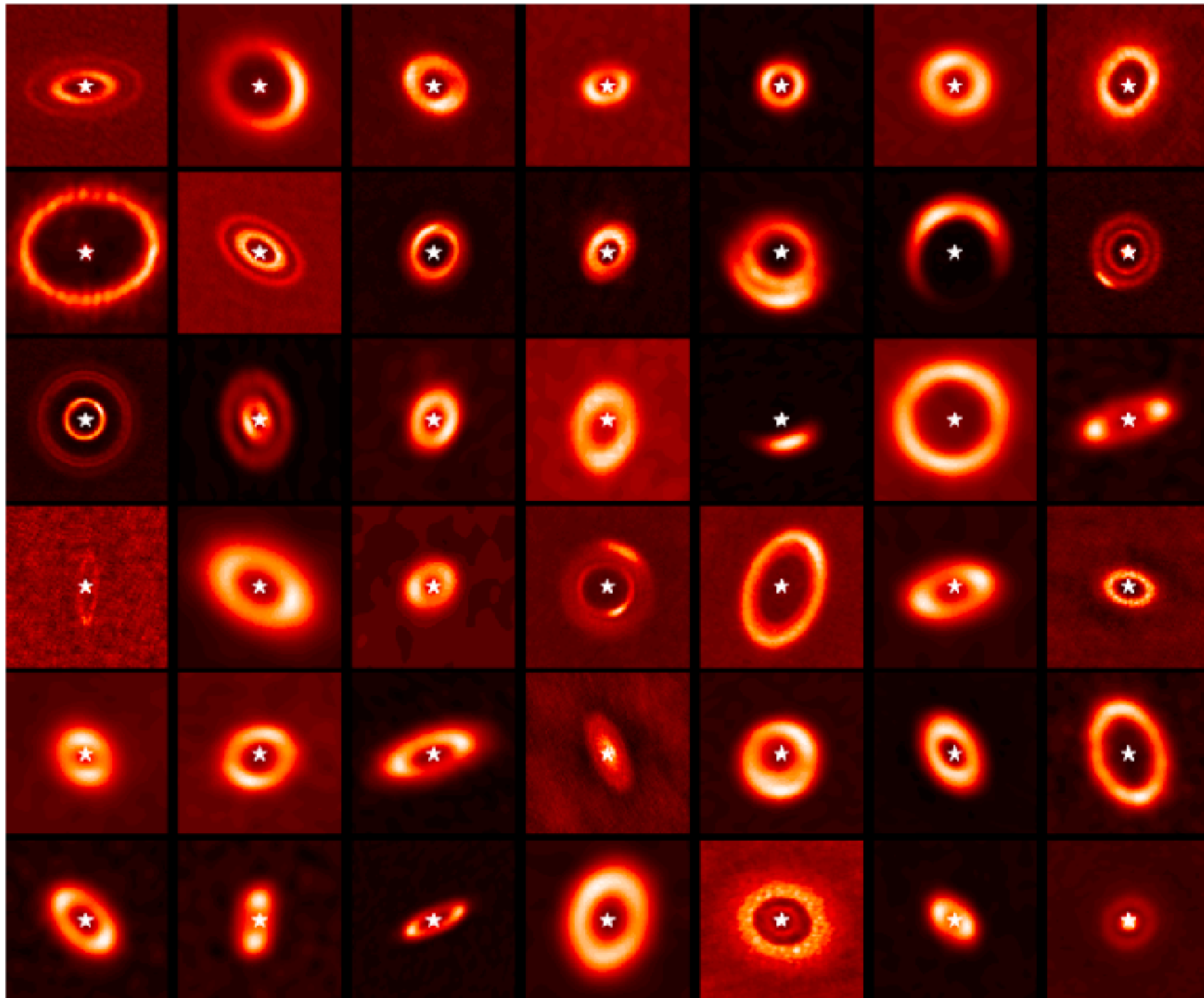
Recall: dust trapping



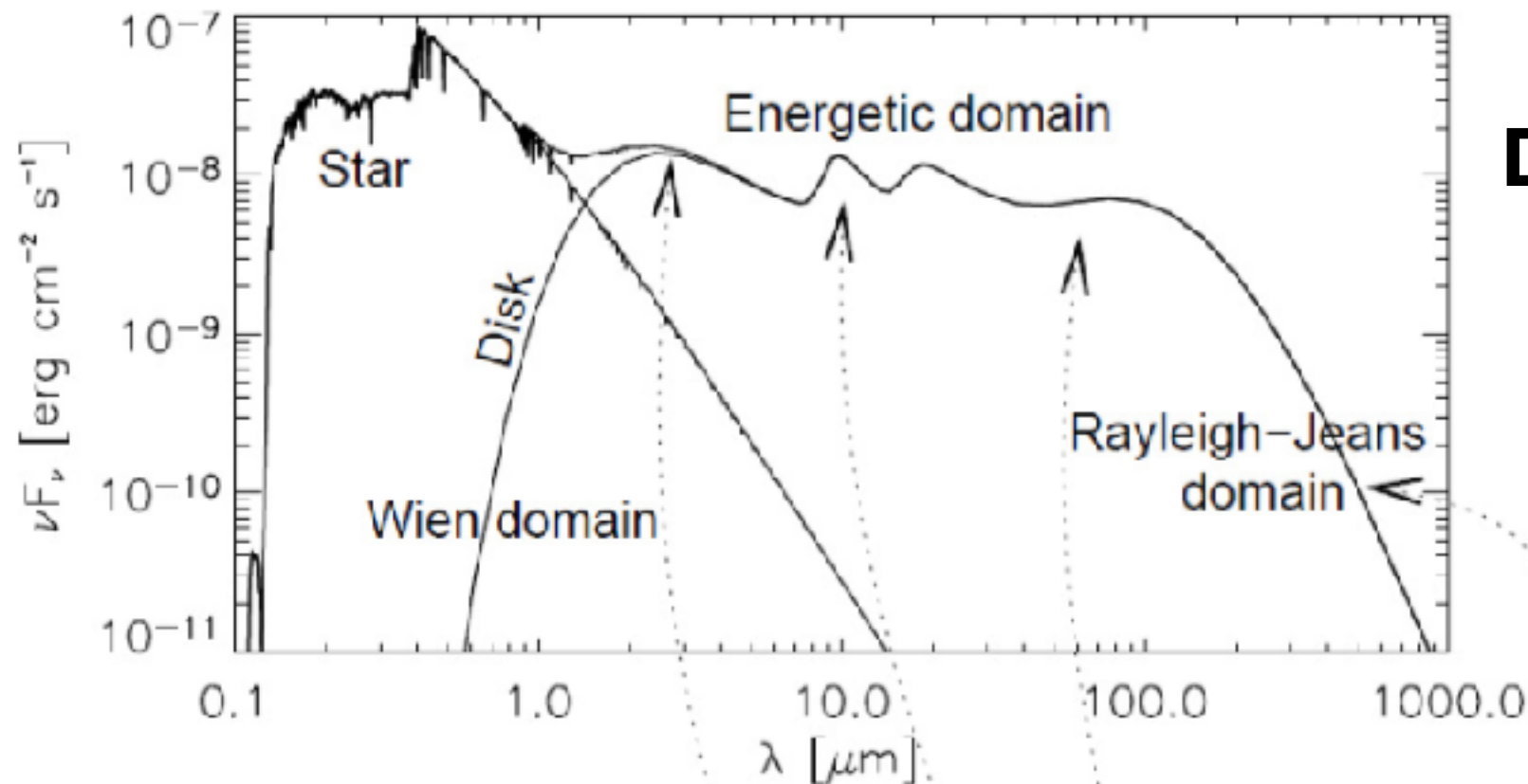
**Rossby Wave Instability
results in vortex:
azimuthal dust trap**



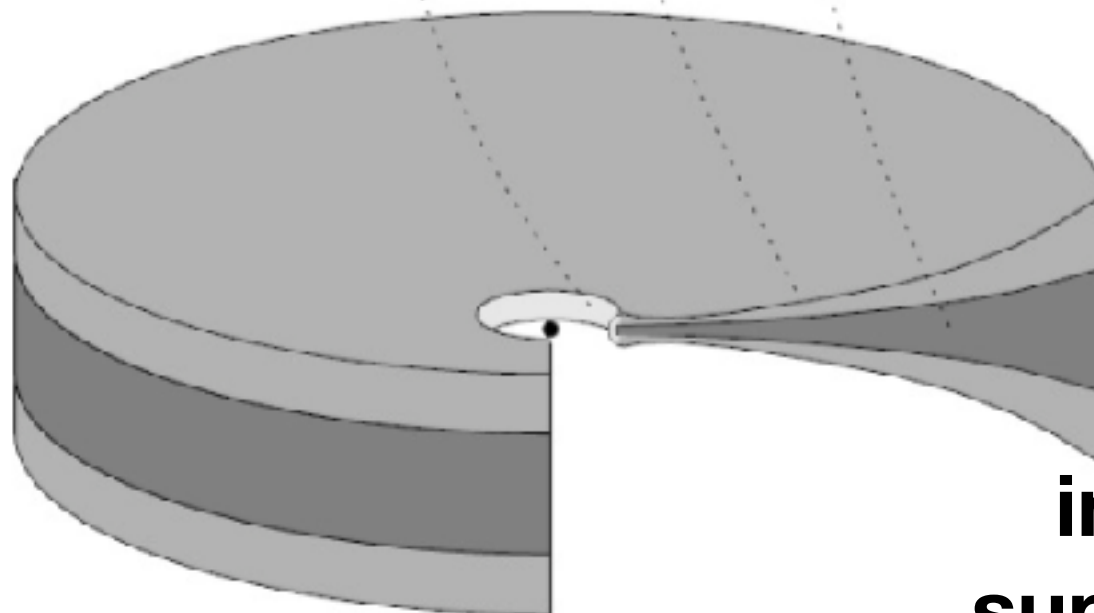
Large cavities / 'transition disks'



Recall: SED structure



**Dust in disk gets heated up by the star:
radial temperature gradient**



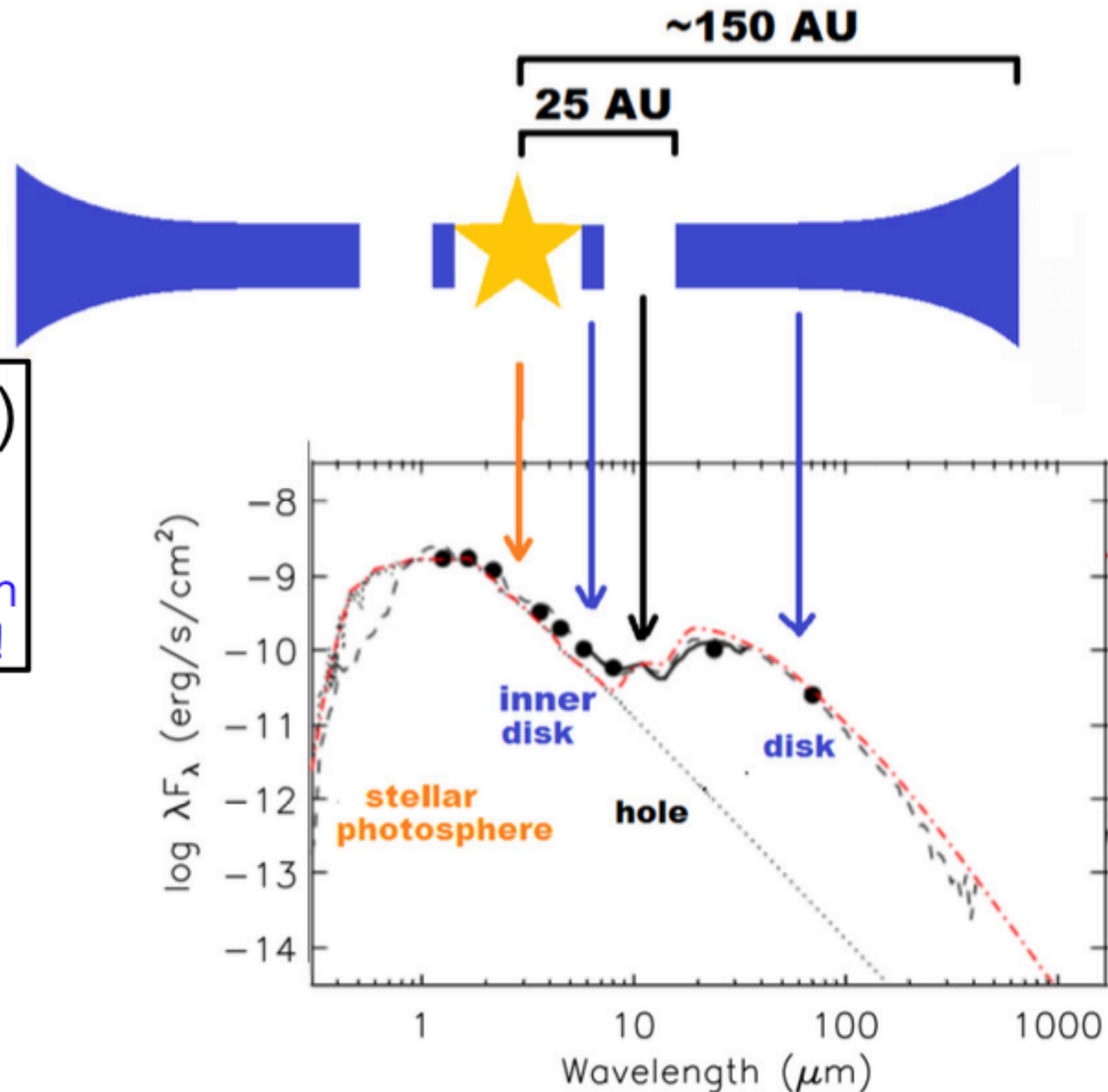
**infrared to mm emission:
superposition of blackbodies**

Transition disks

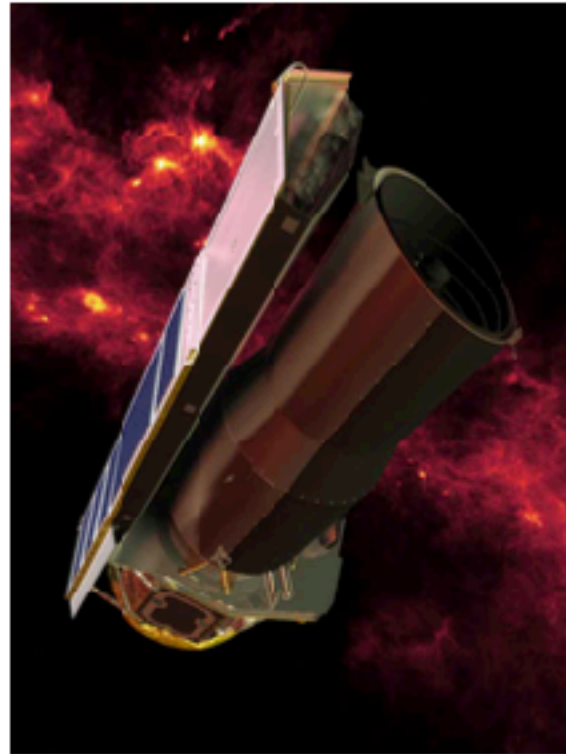
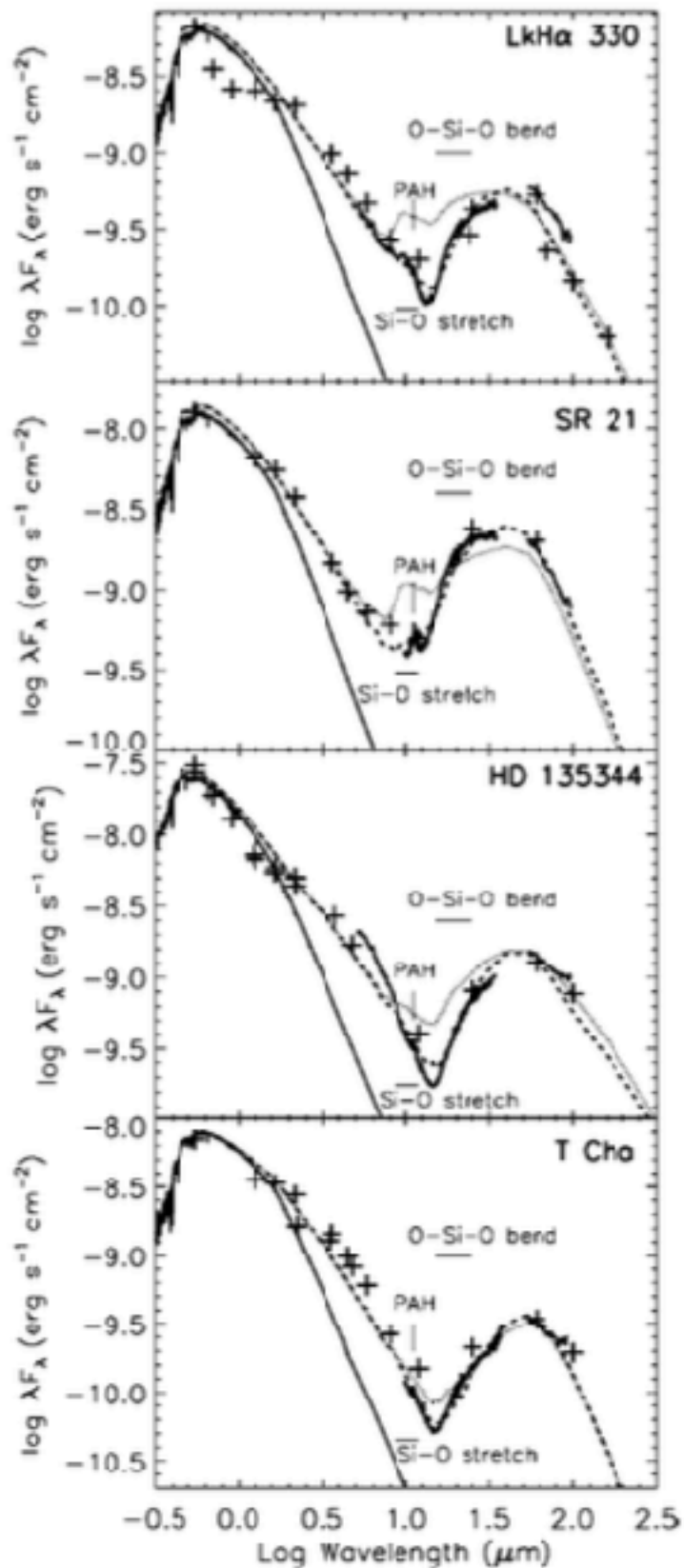
Transition(al)
disks

Not necessarily an
evolutionary term!

First discovery:
Strom et al. 1989



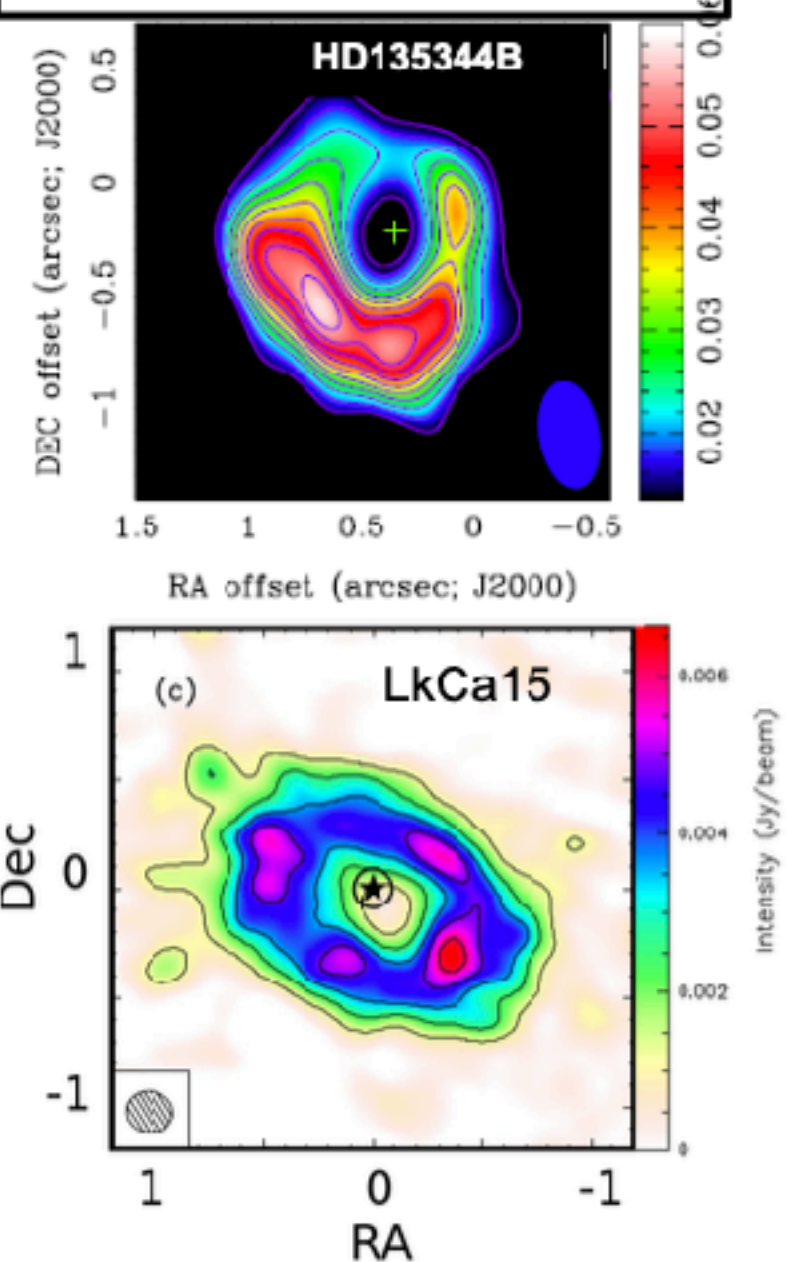
Transition disks



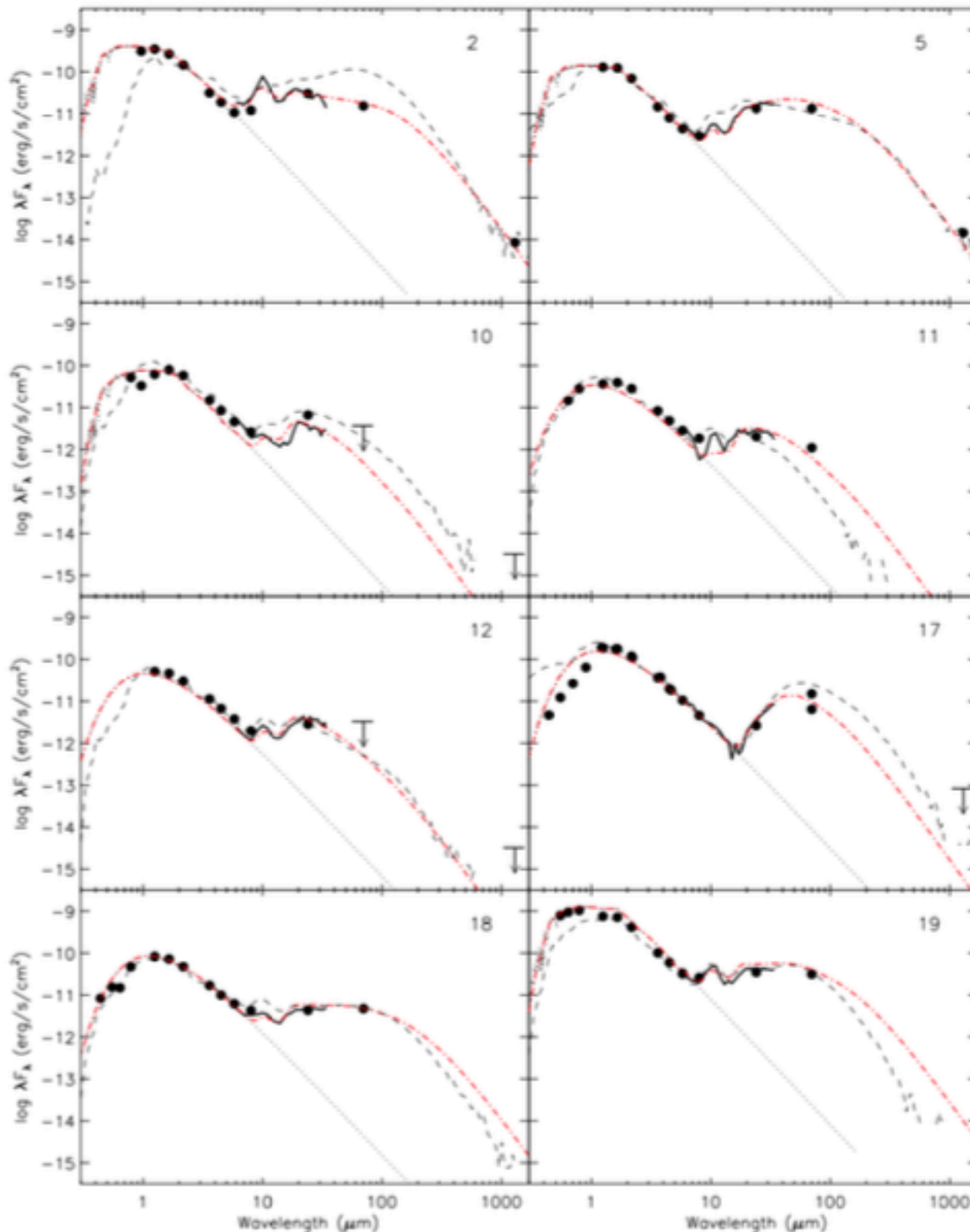
Spitzer infrared observations

Link with planet formation?

Pioneering millimeter interferometry (SMA, CARMA, PdBI)



Transition disks



- Cavity sizes down to ~ 2 au from SEDs, but only largest cavities (>30 au) could be confirmed by mm interferometry
- Large range of color criteria used in the literature to identify transition disks and transition disk candidates (Spitzer): inconsistency
- SED analysis complex: extinction correction (spectral type), edge-on disks, radiative transfer effects
- Most TDs still accreting!

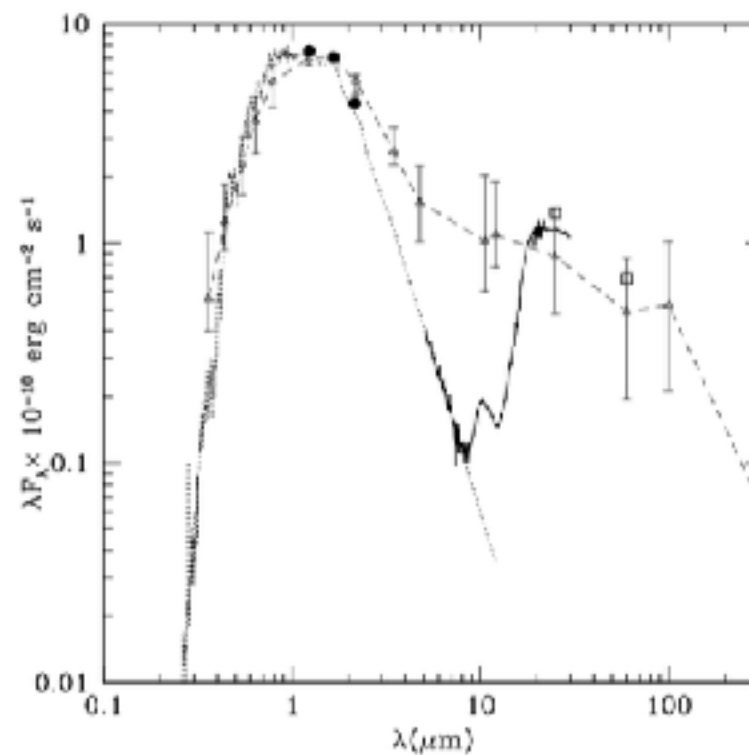
History:

origin transition disk cavities?

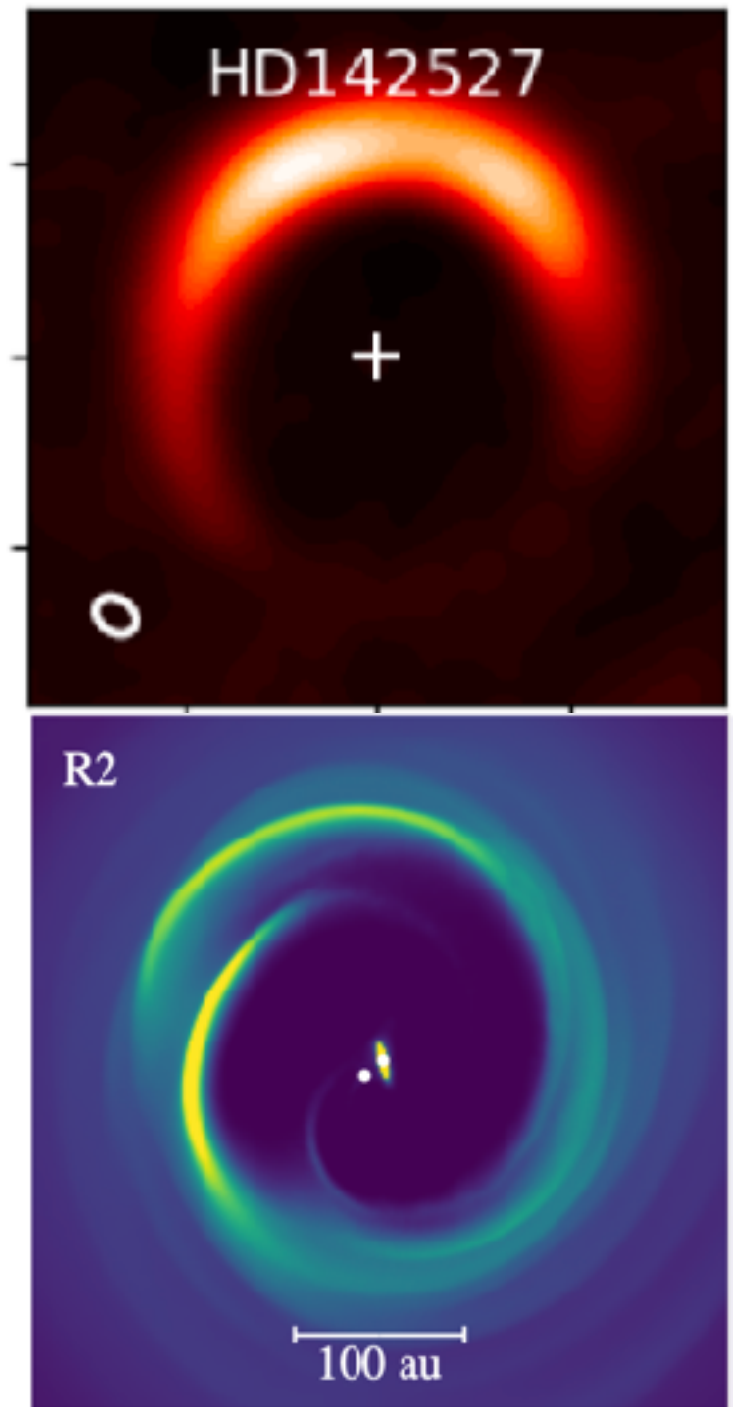
1. Binaries



CoKu Tau/4



10 au cavity, 8 au binary
(SED only: no ALMA image yet!)

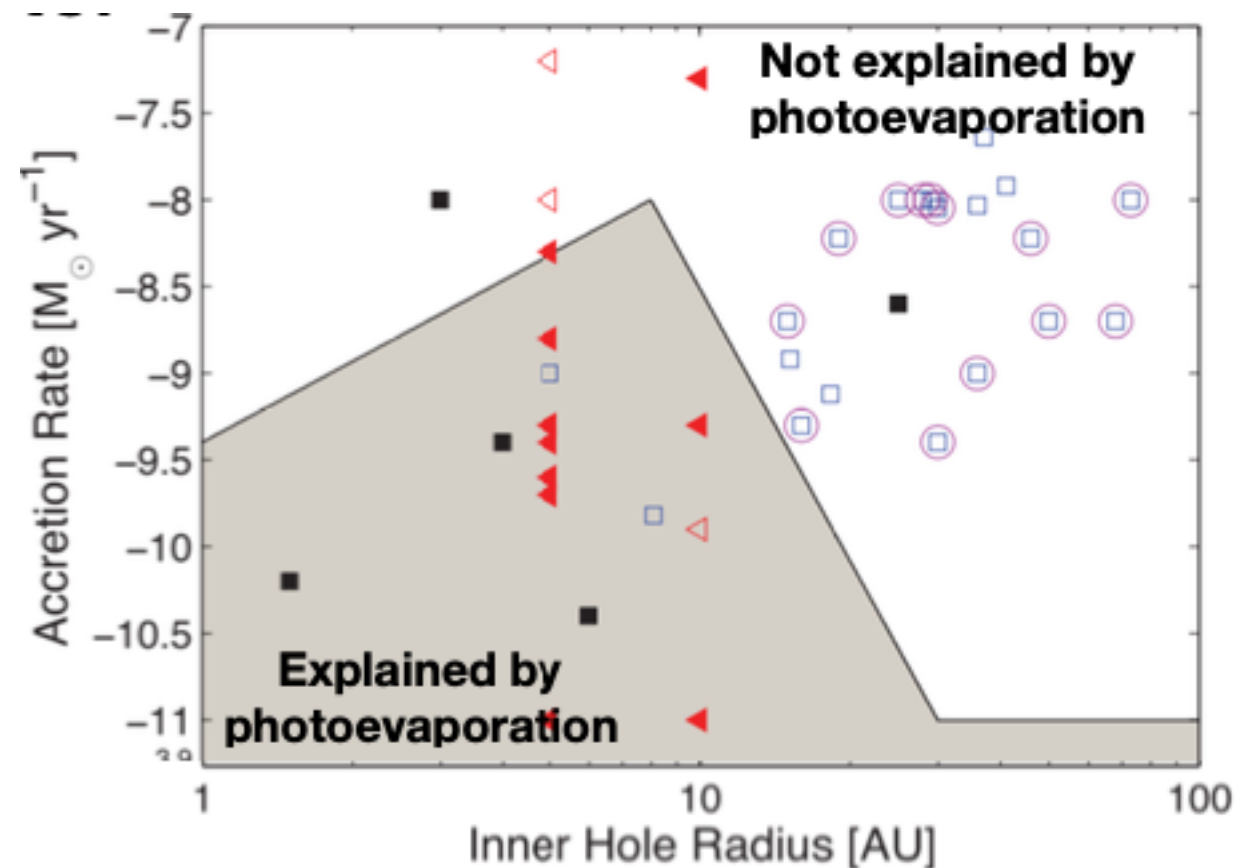
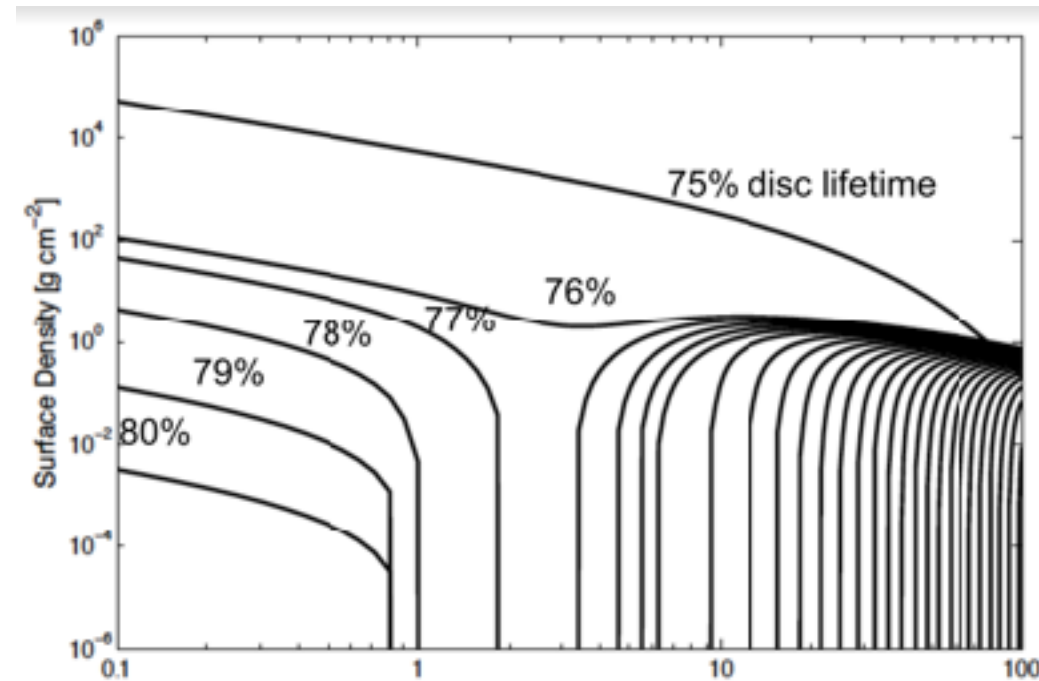
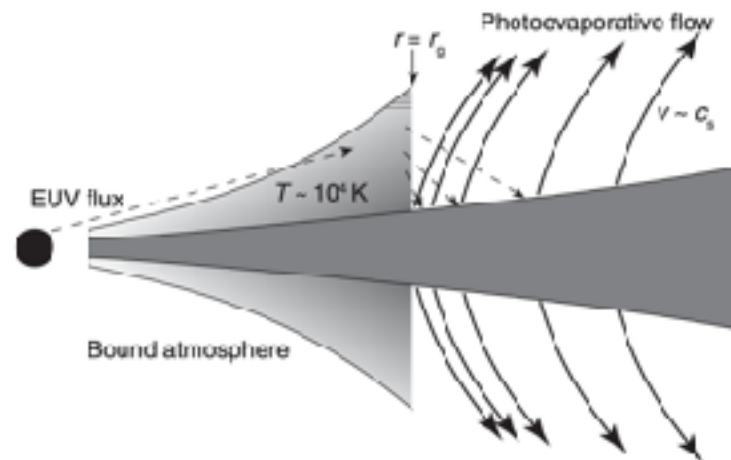


**But binarity excluded
for many TDs**

History:

origin transition disk cavities?

2. Photoevaporation

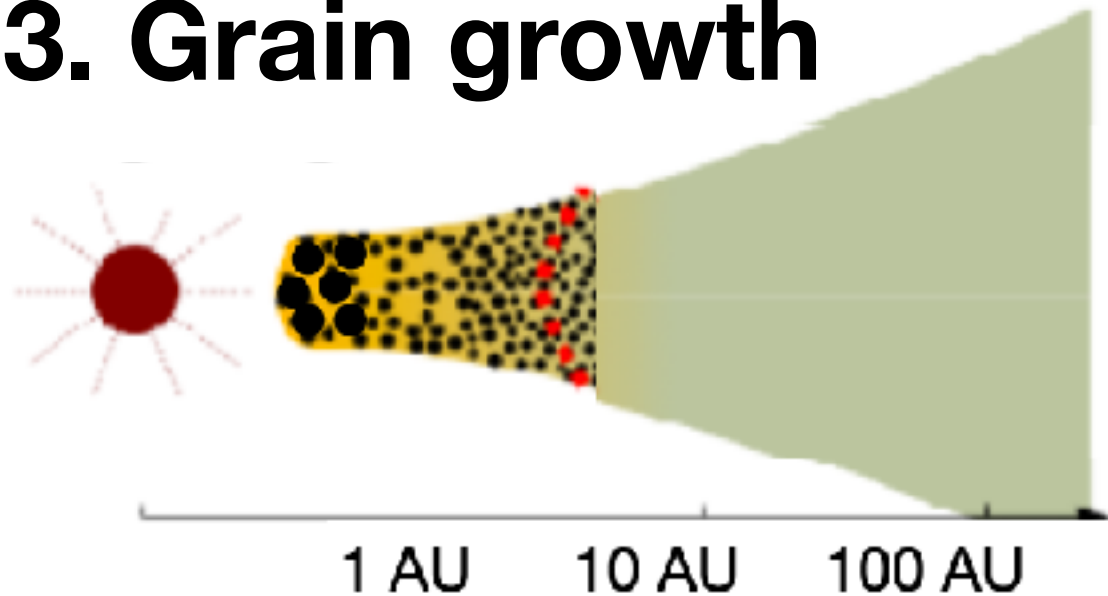


Problem: accretion rates in transition disks with large cavities too high and disk dust masses too large to be consistent with PE models

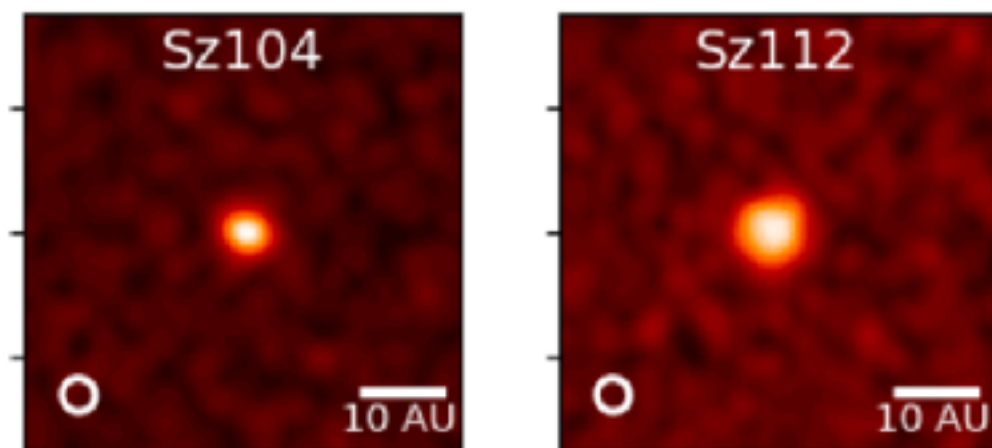
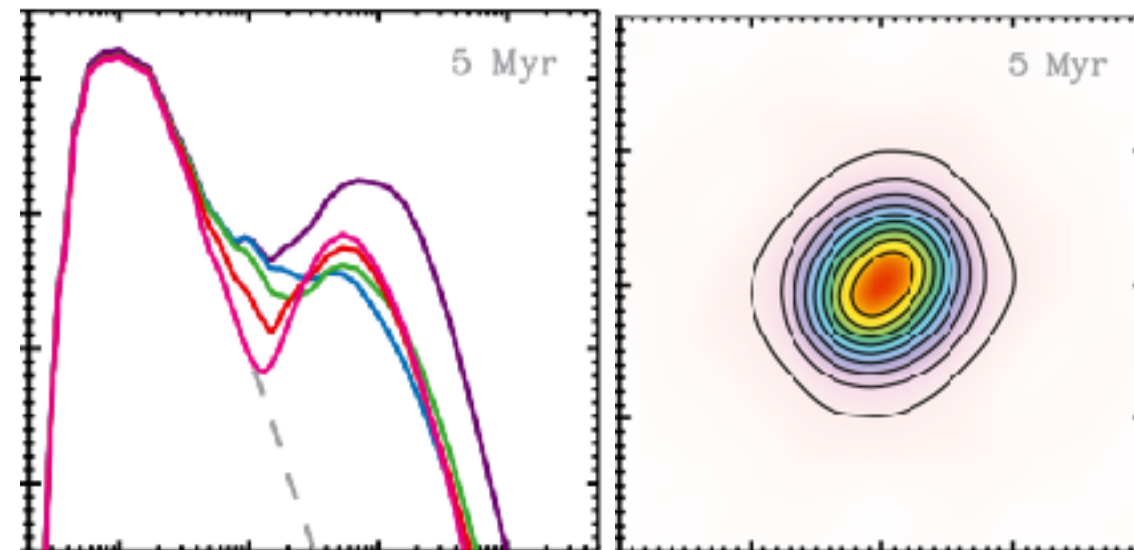
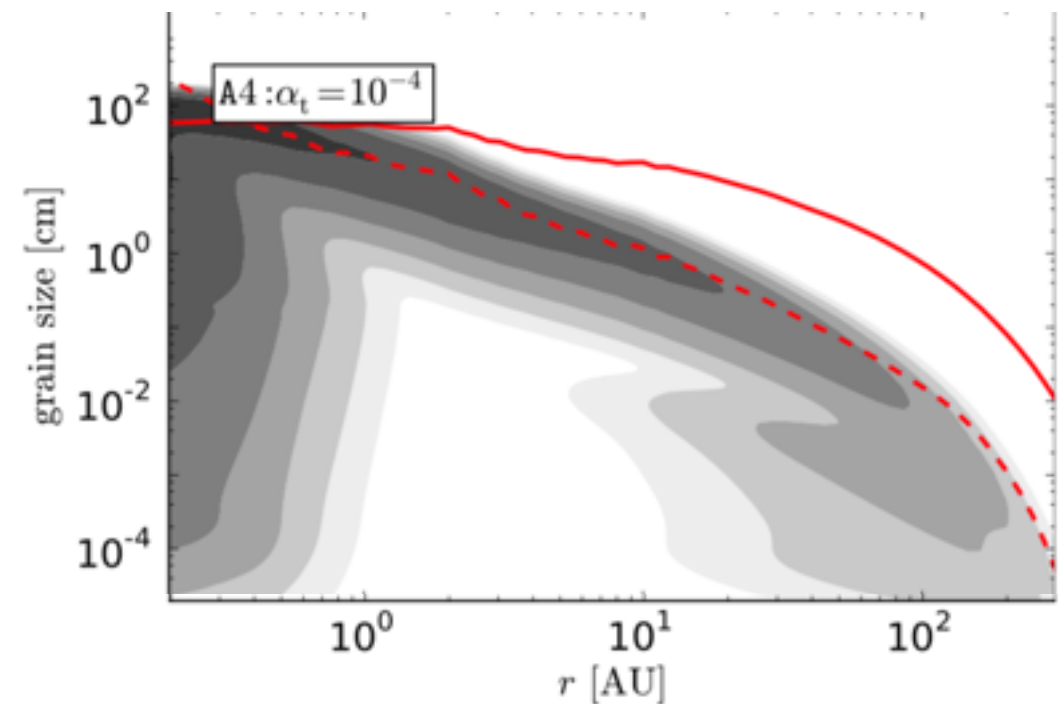
History:

origin transition disk cavities?

3. Grain growth

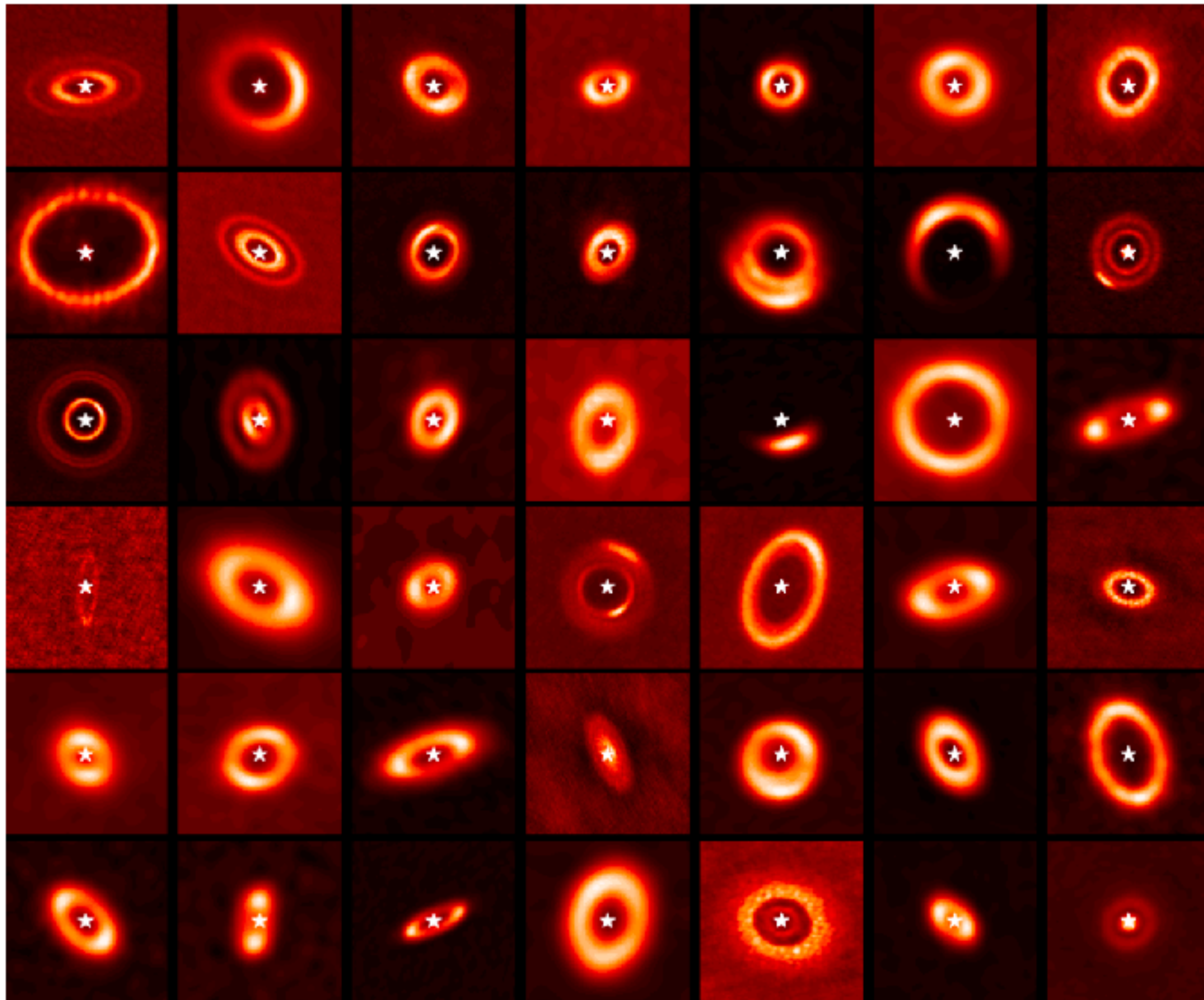


Grain growth in inner disk due to radial drift results in removal small grains, but not of mm-grains due to fragmentation: no inner cavity in mm!



Observed drift disks?

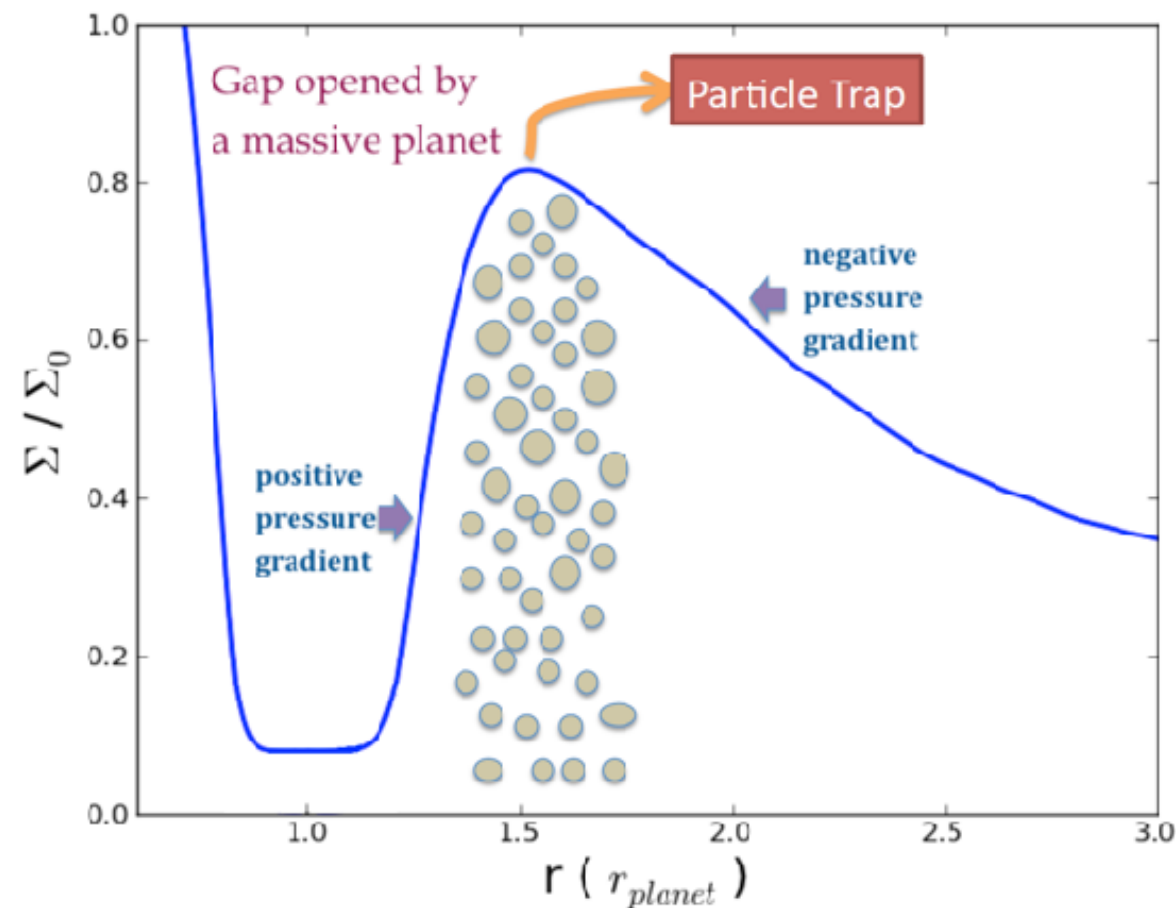
Transition disks with ALMA



Disks with dust cavities > 20 au

Van der Marel 2022 (review)

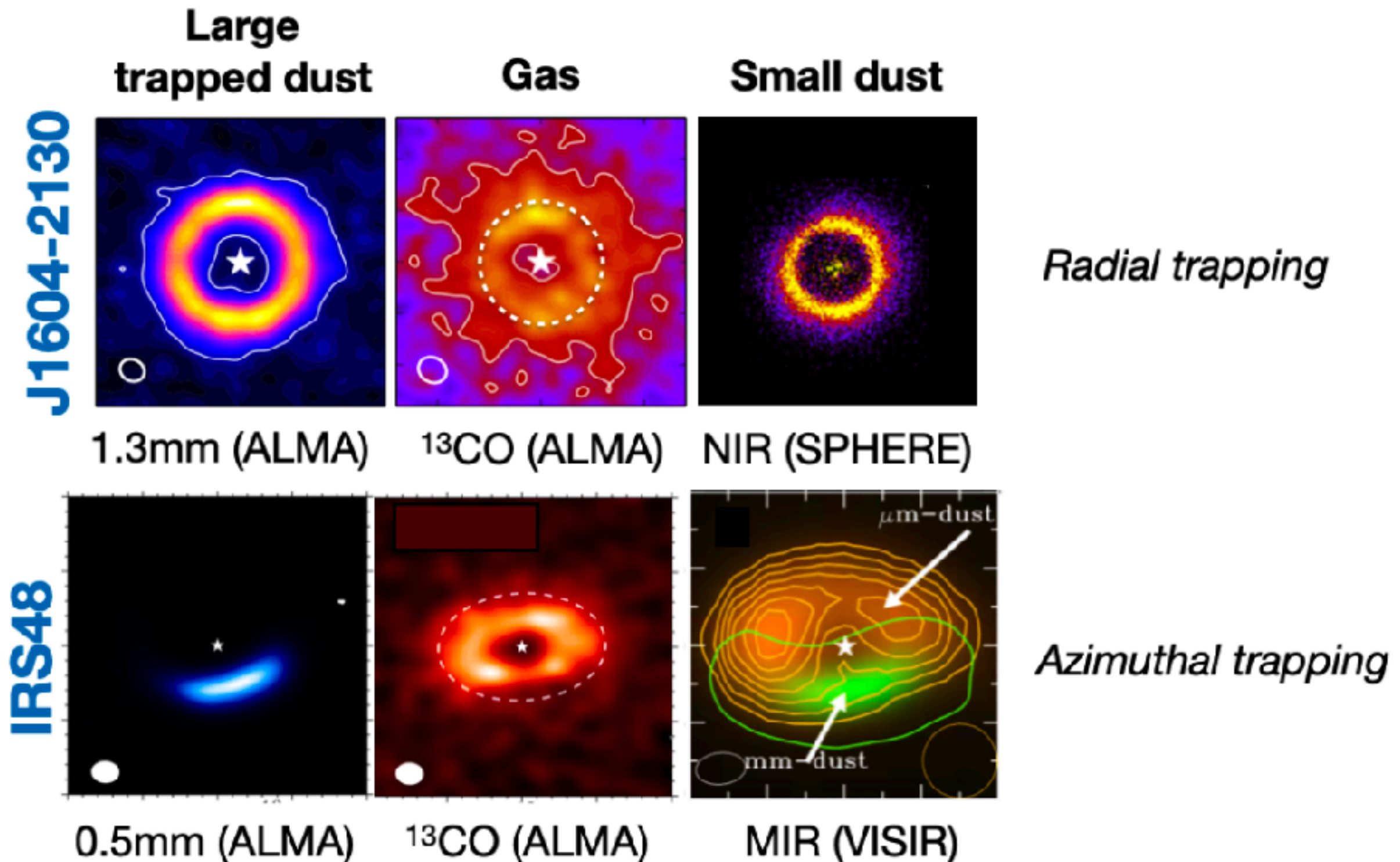
Transition disks with ALMA



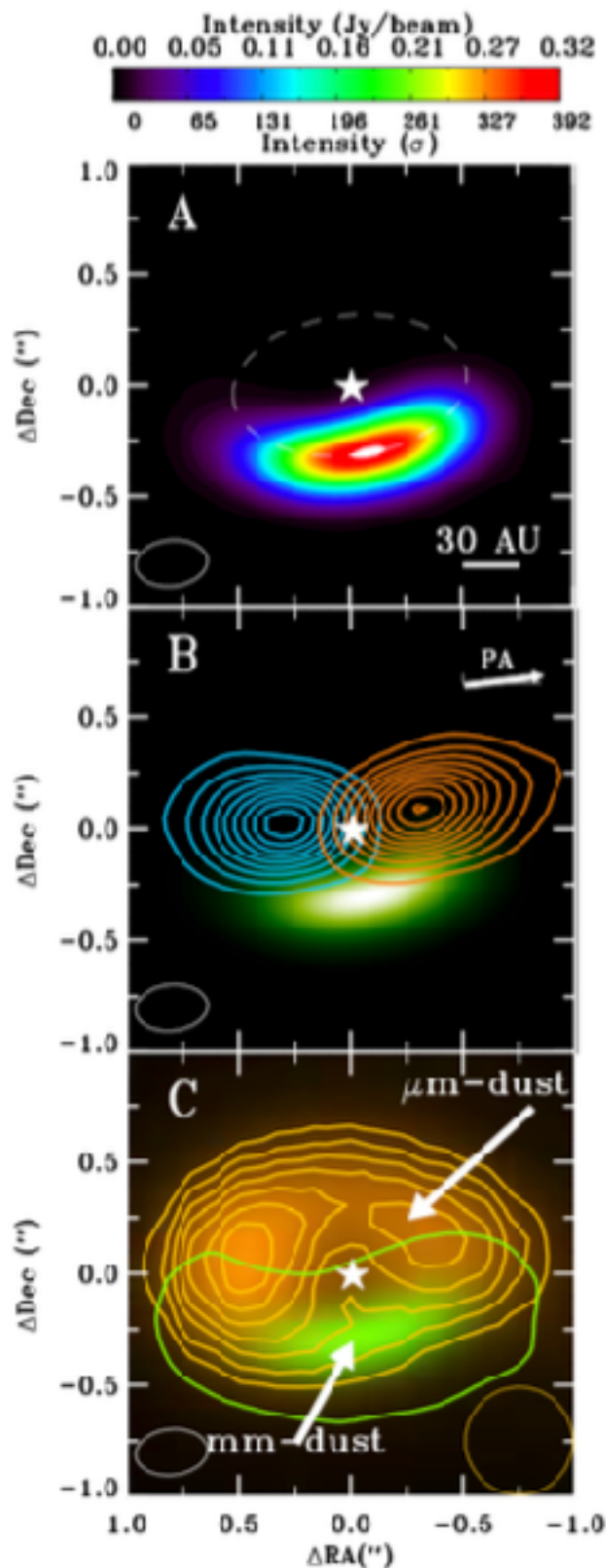
All examples of dust traps
in pressure bumps:|

How do we know this?

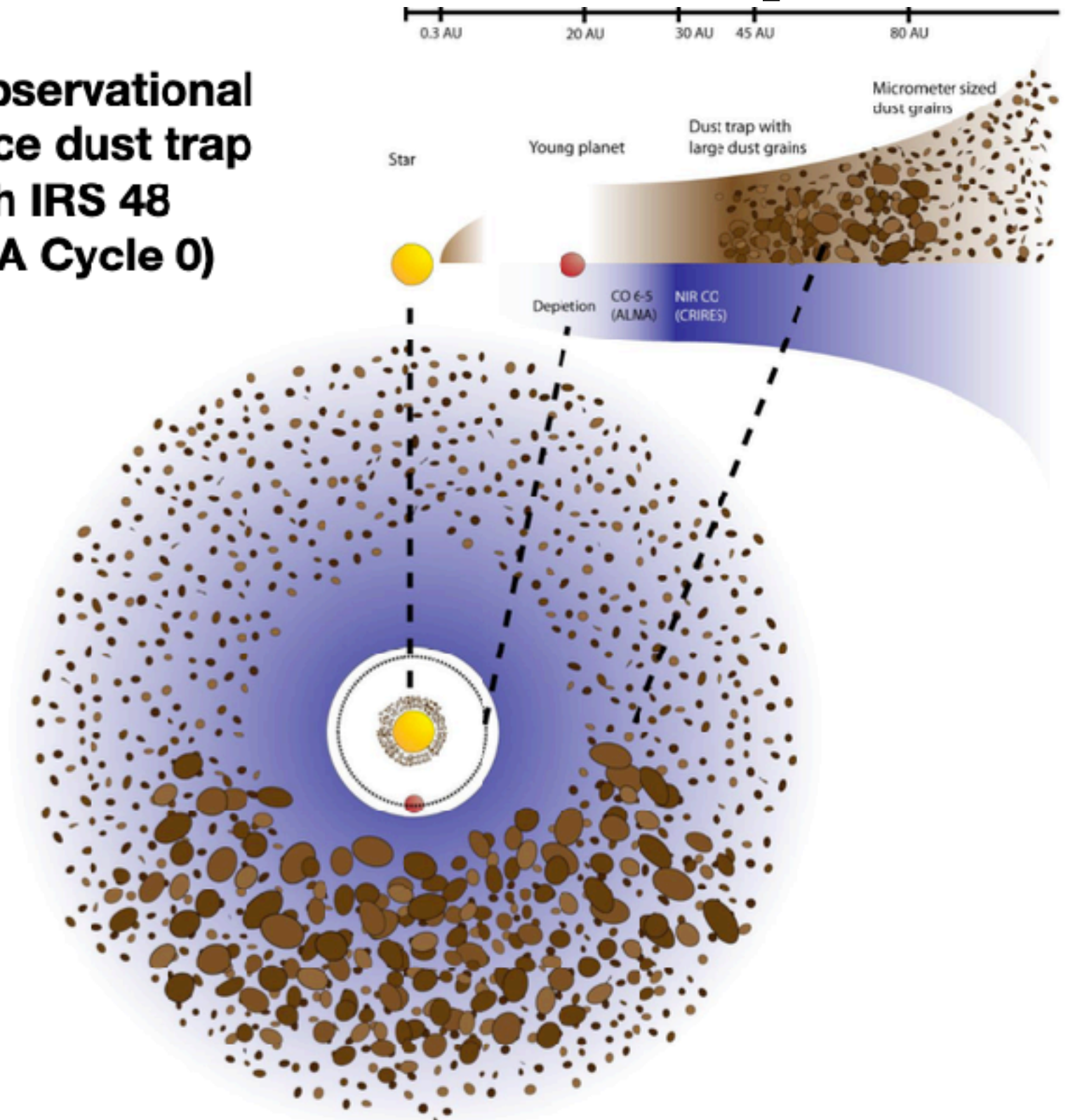
How do we know that these are dust traps?



The first dust trap



**First observational
evidence dust trap
Oph IRS 48
(ALMA Cycle 0)**



Predicted long time ago!

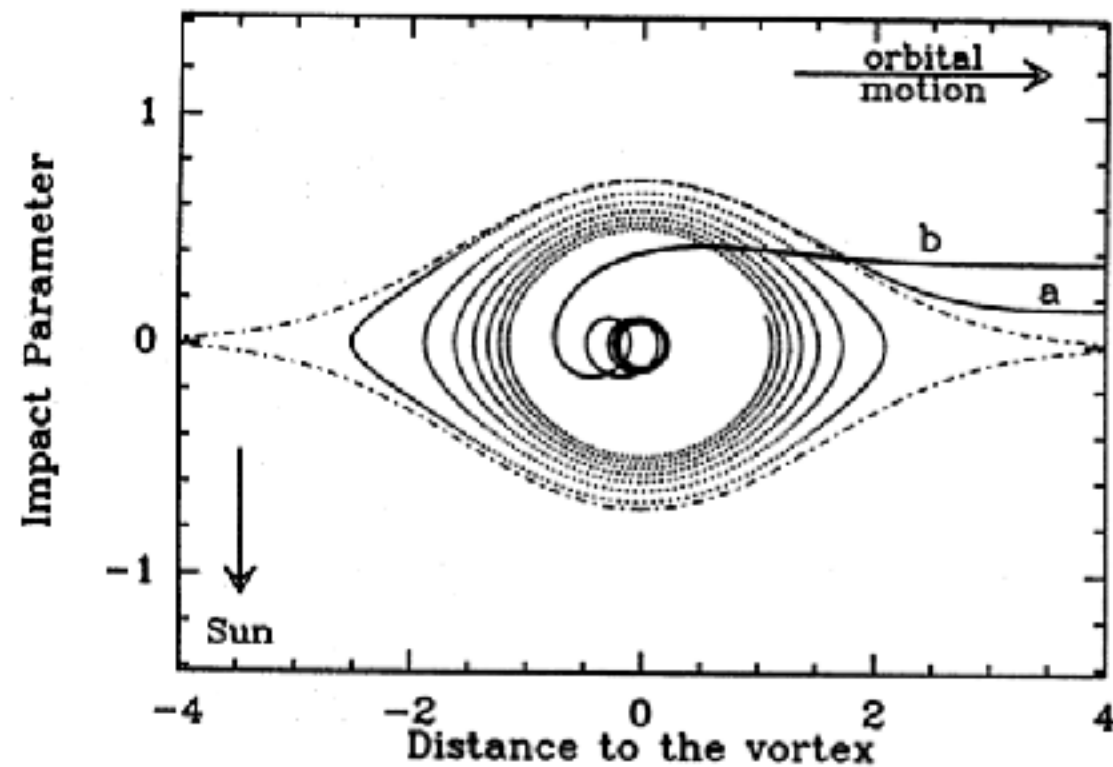
Letter to the Editor

Did planet formation begin inside persistent gaseous vortices?

P. Barge¹ and J. Sommeria²

¹ Laboratoire d'Astronomie Spatiale, B.P. 8, F-13376 Marseille cédex 12, France

² Ecole Normale Supérieure de Lyon, Laboratoire de Physique, CNRS, 46 Allée d'Italie, F-69364 Lyon cédex 07, France

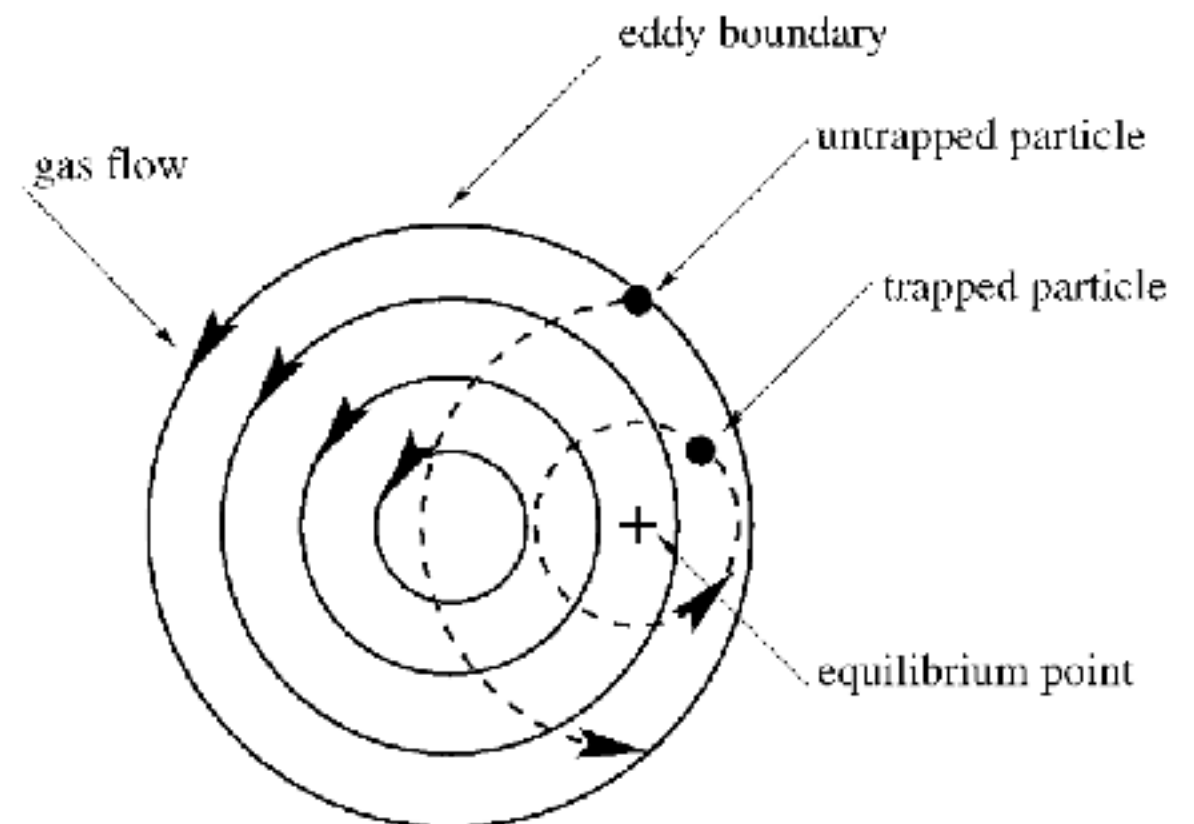


Particle-Trapping Eddies in Protoplanetary Accretion Disks

H. Hubertus Klahr and Thomas Henning

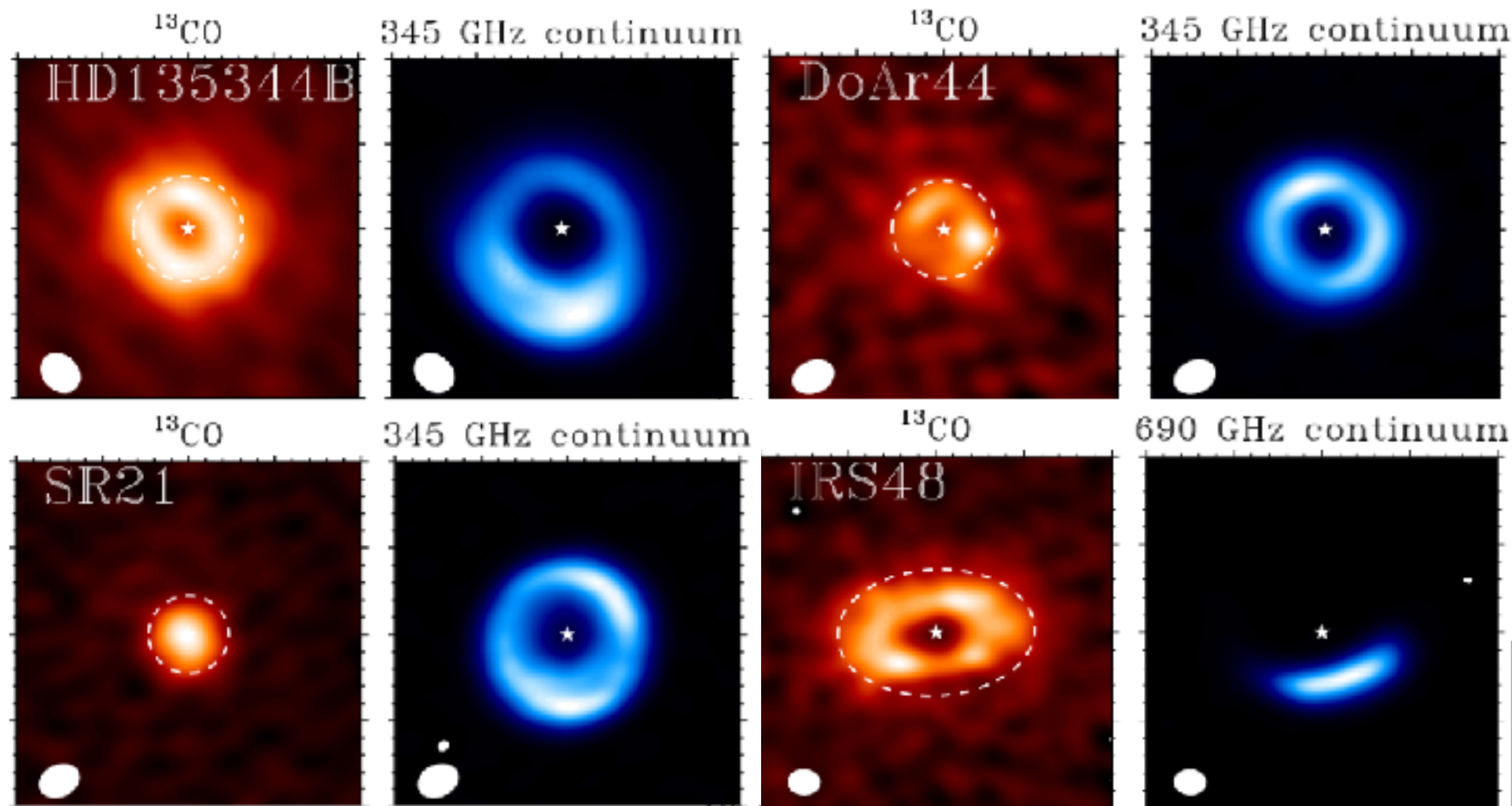
Research Unit "Dust in Star-Forming Regions," Max Planck Society, Schillergäßchen 3, D-07745 Jena, Germany
E-mail: klahr@astro.uni-jena.de

Received August 16, 1996; revised January 9, 1997

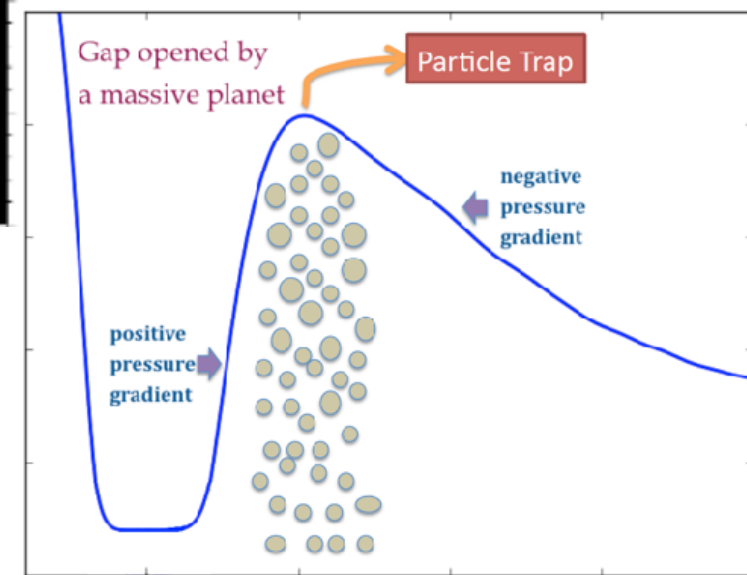


How do we know it's pressure bumps?

Spatially resolved CO isotopologue images of transition disks

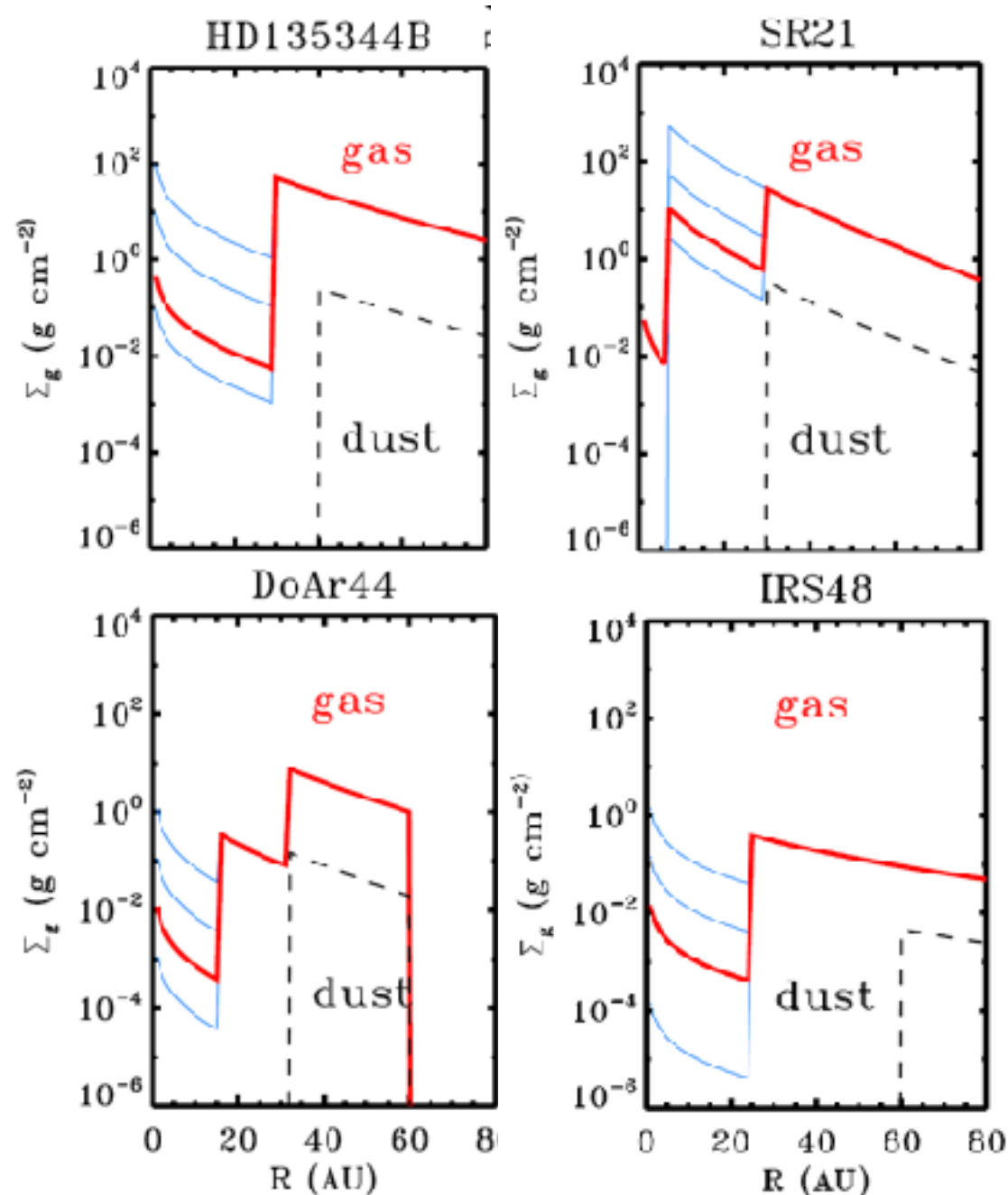


Gas cavities: signatures for planets!

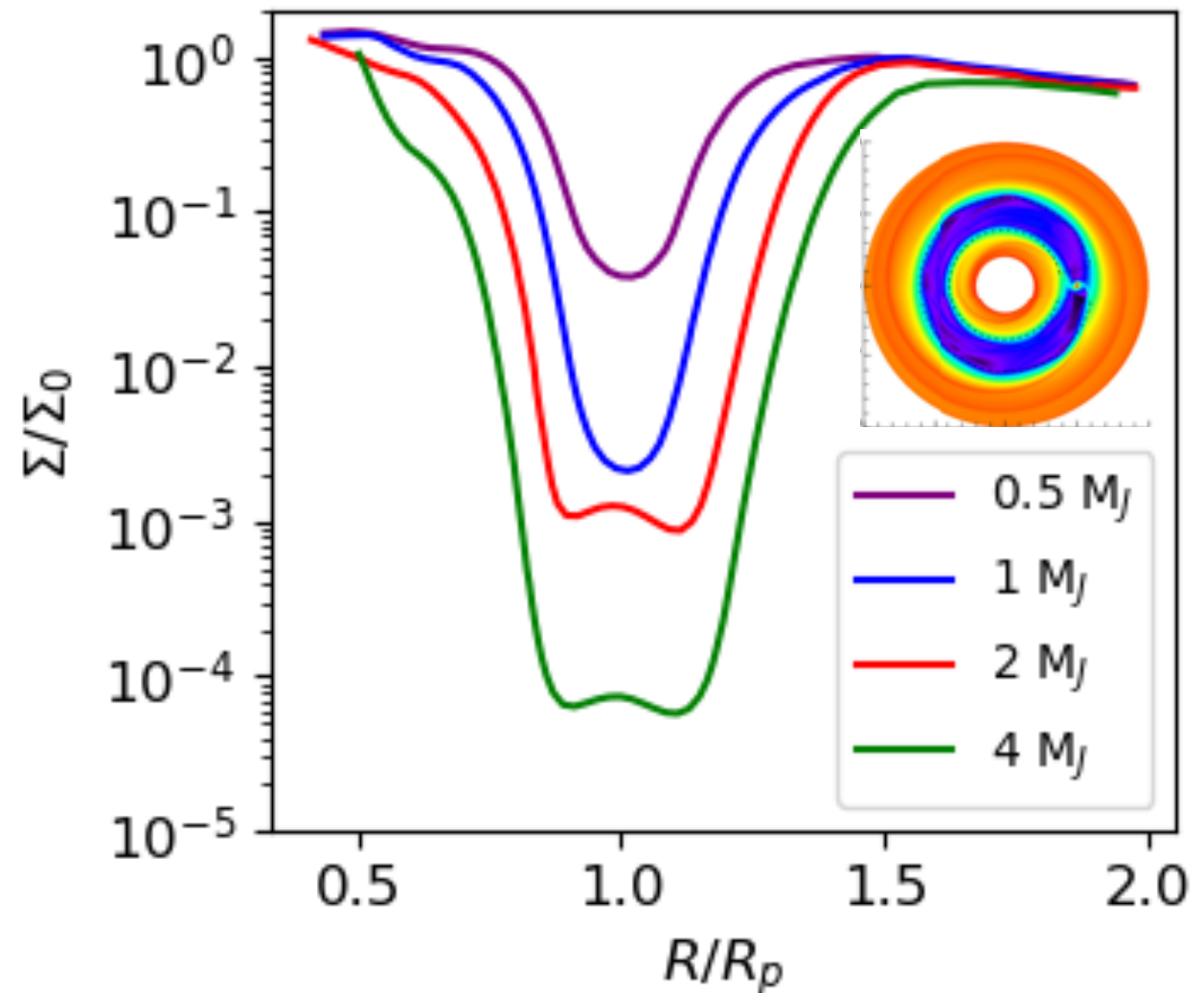


Gas cavities

More on CO analysis
in Lecture 5



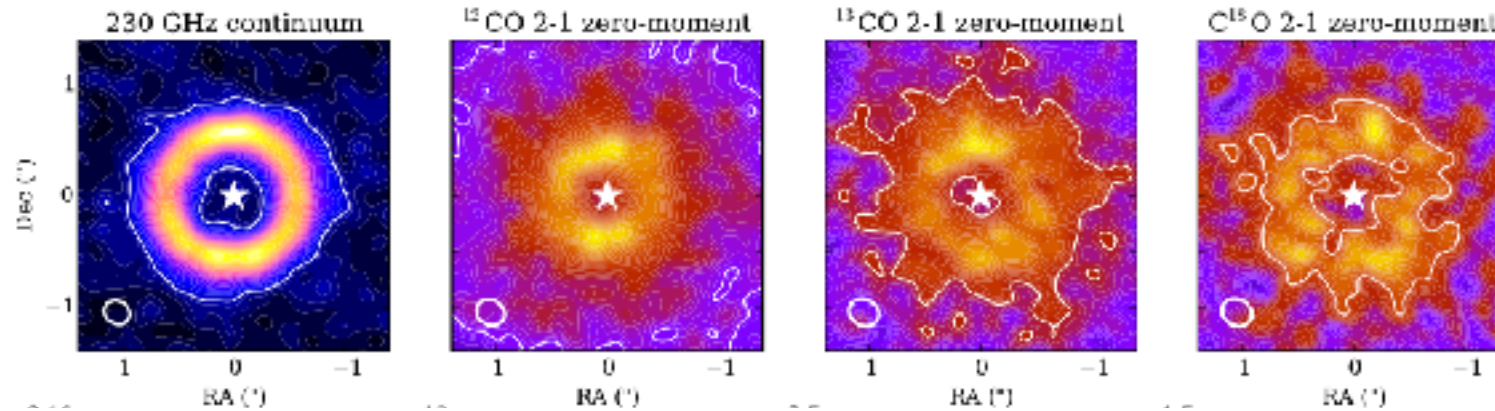
Compare with planet-disk
interaction simulations



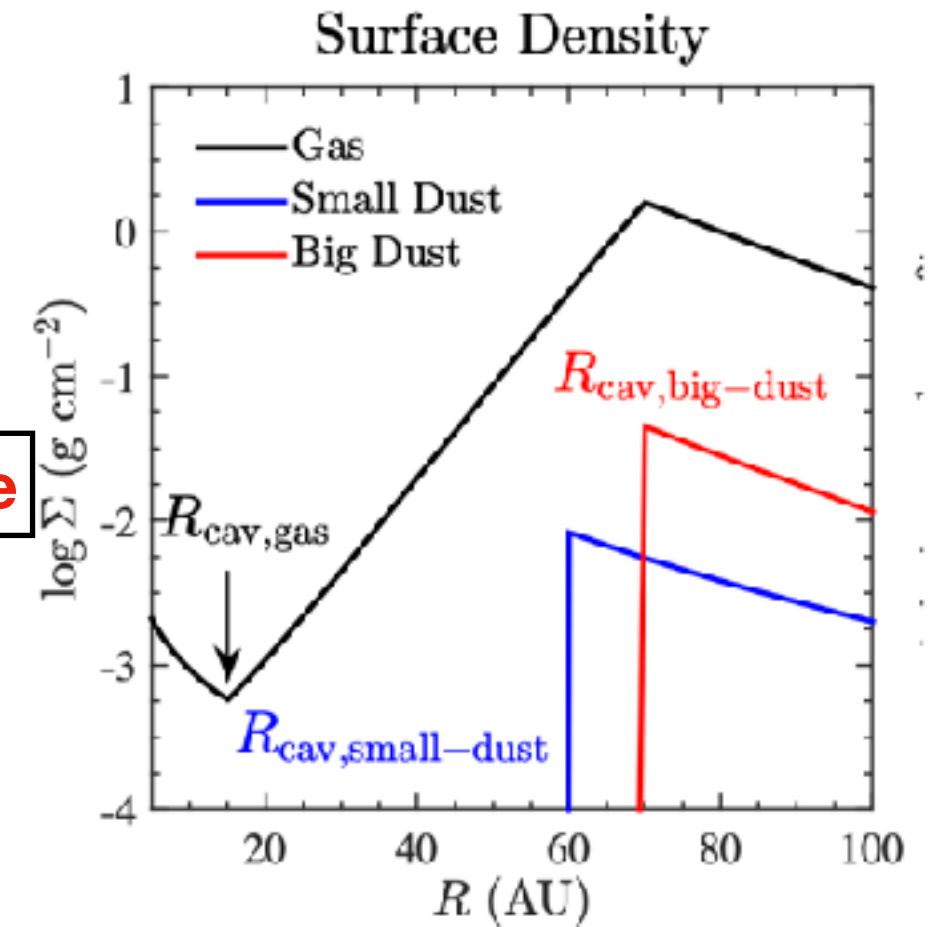
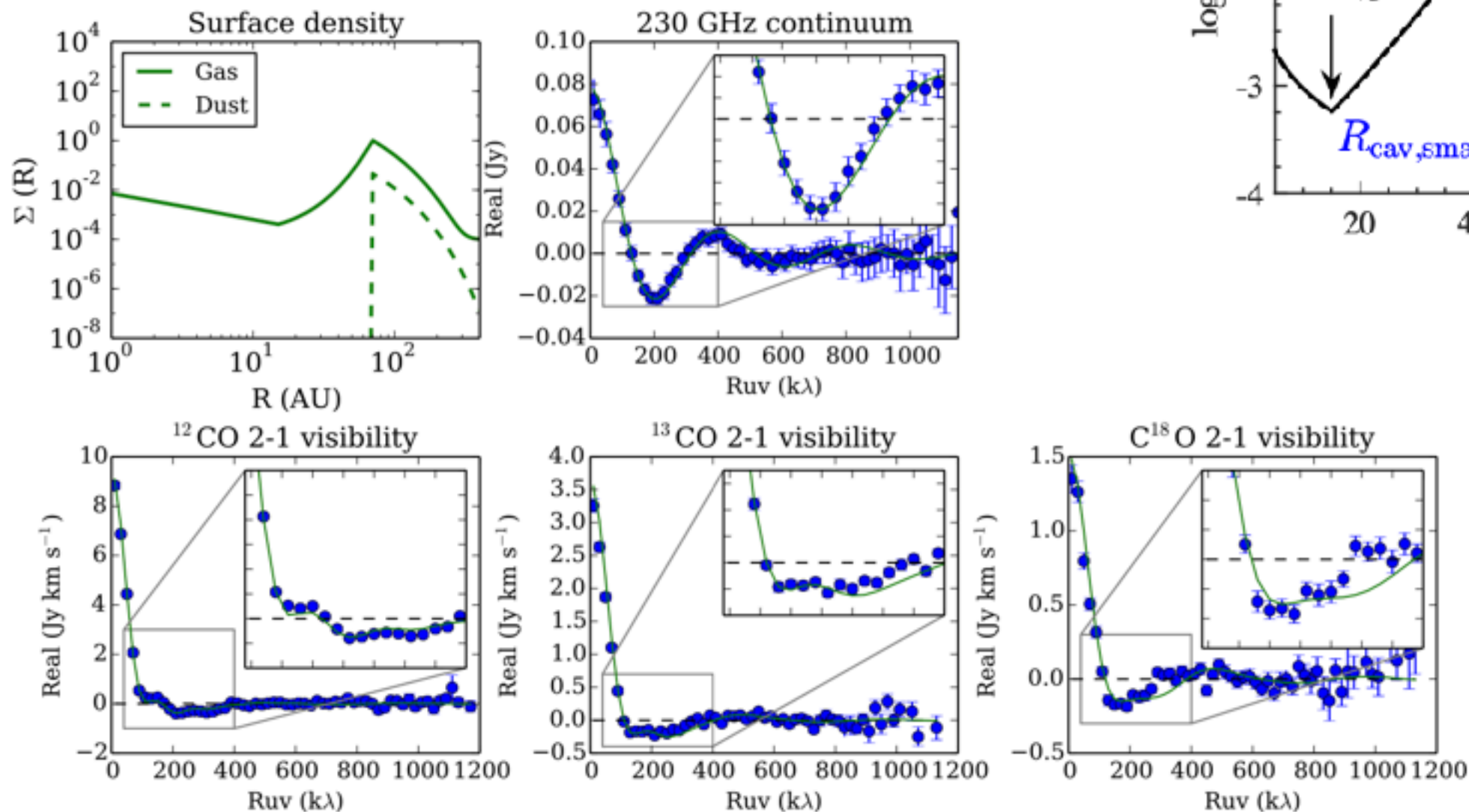
**Deep wide gas gap
=> massive planets ($\sim$ few M_{Jup}) at tens of AU
in disks with cavities**

Gas cavities: gradual gap

J1604-2130

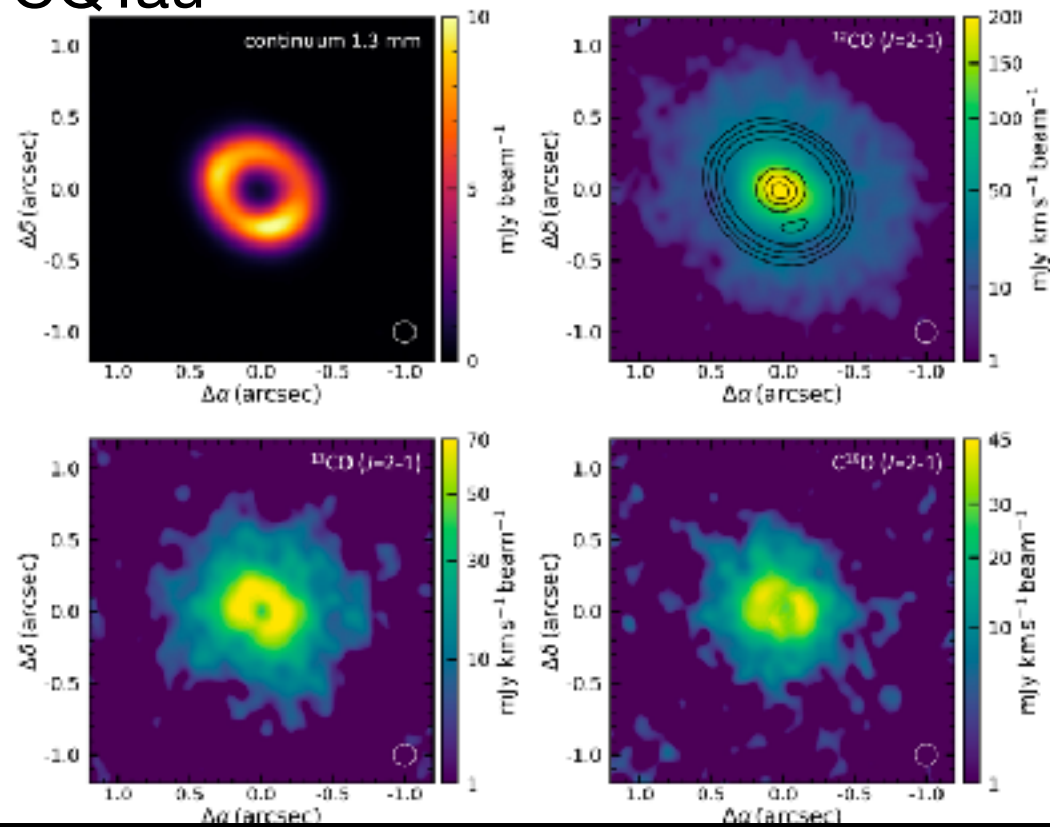


Resolved CO isotopologues: evidence for gradual slope

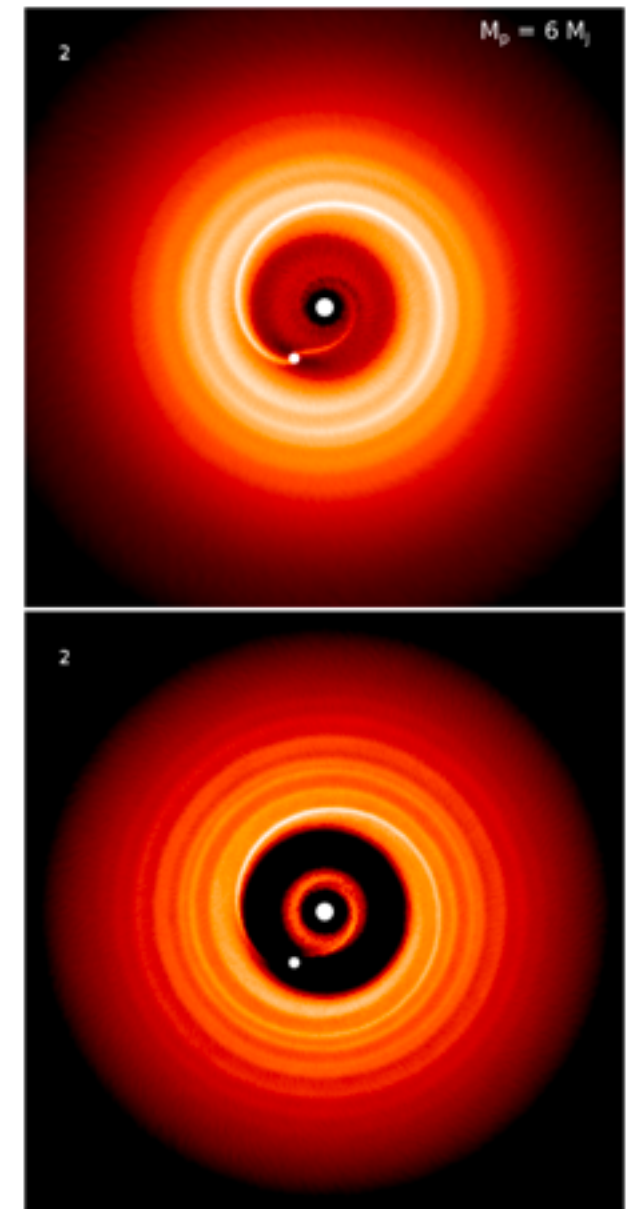
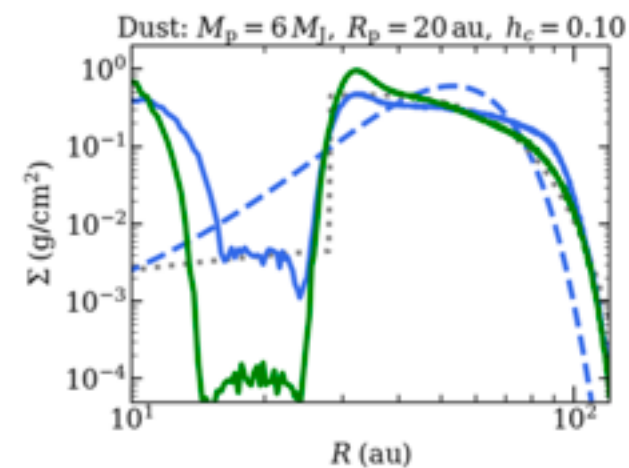
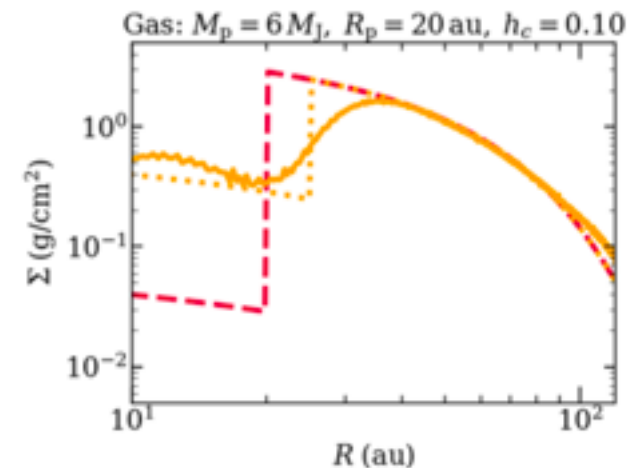
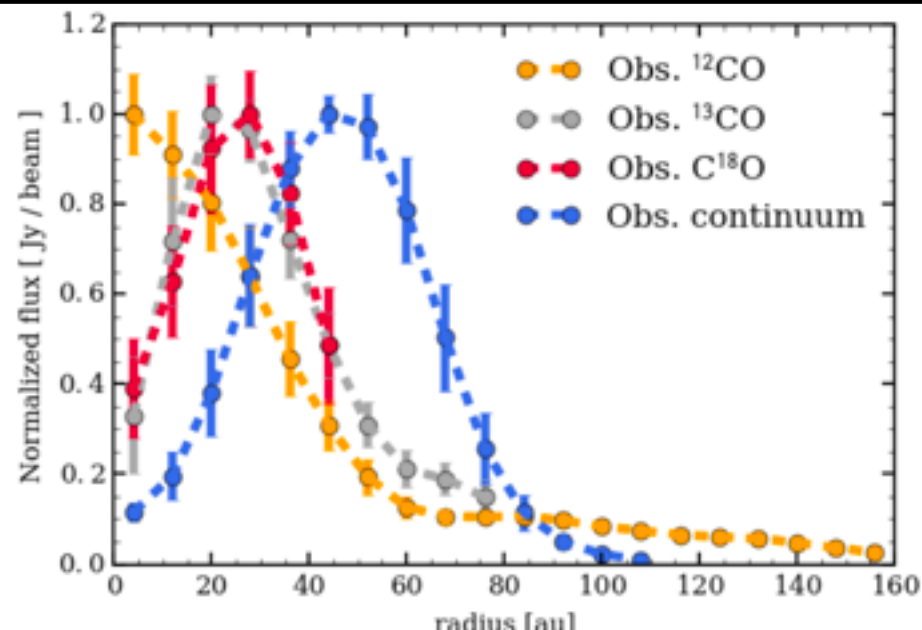


Gas cavities: gradual gap

CQTau



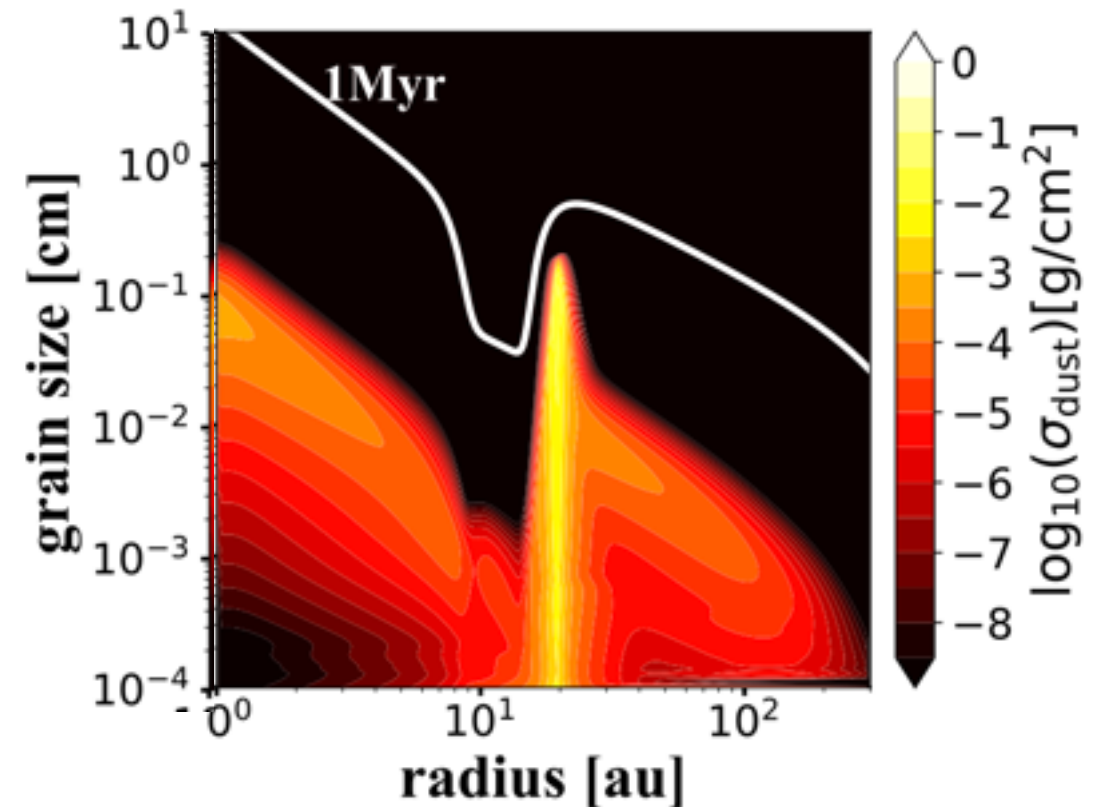
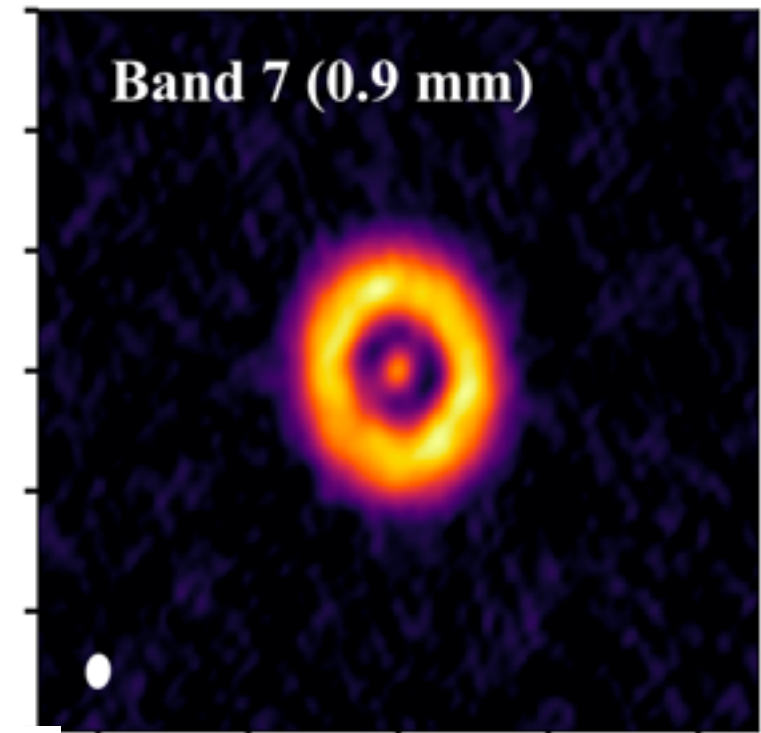
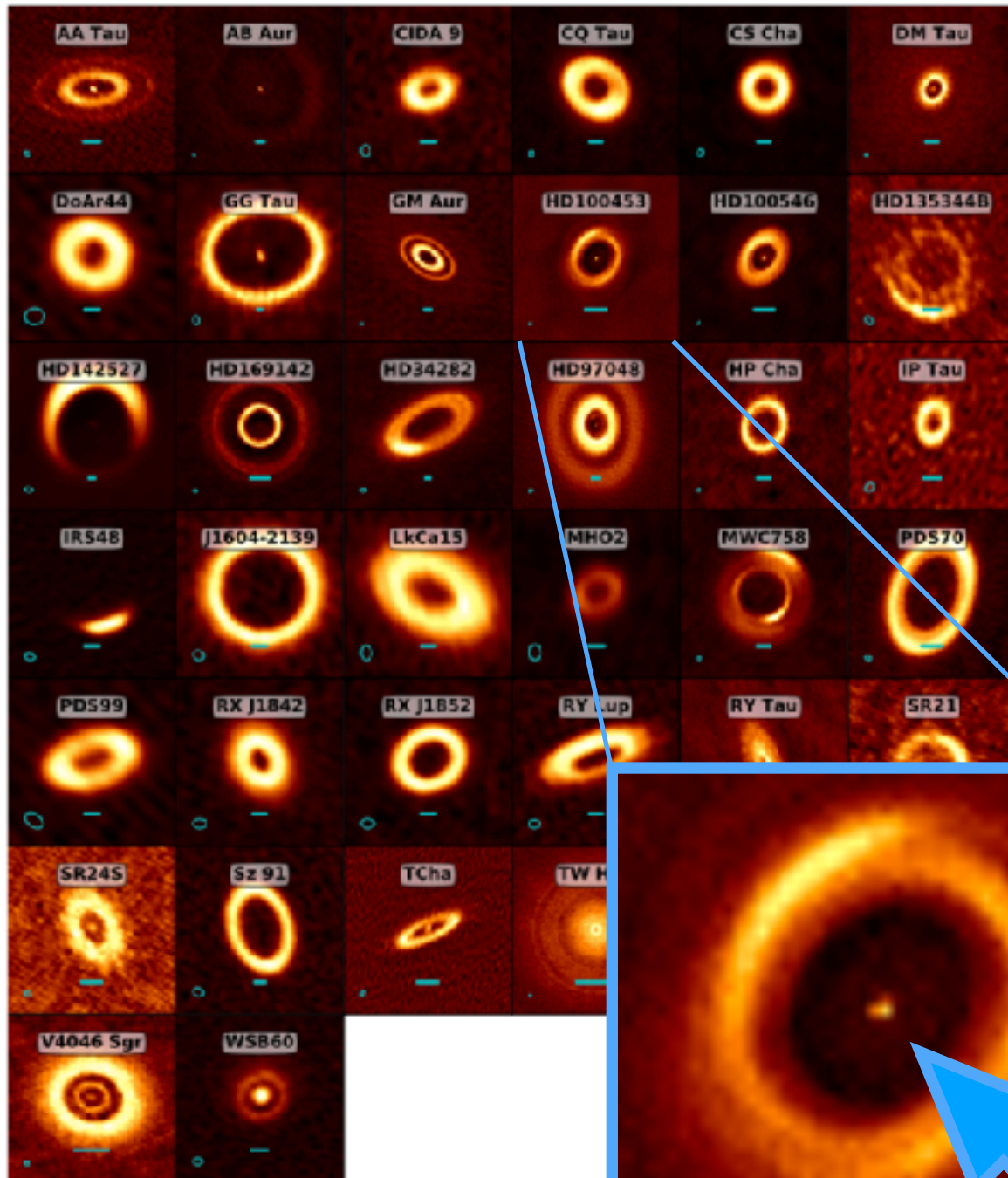
**Resolved CO isotopologues:
comparison with planet-disk gap**



So why do we think it's planets?

Inner disks: gaps!

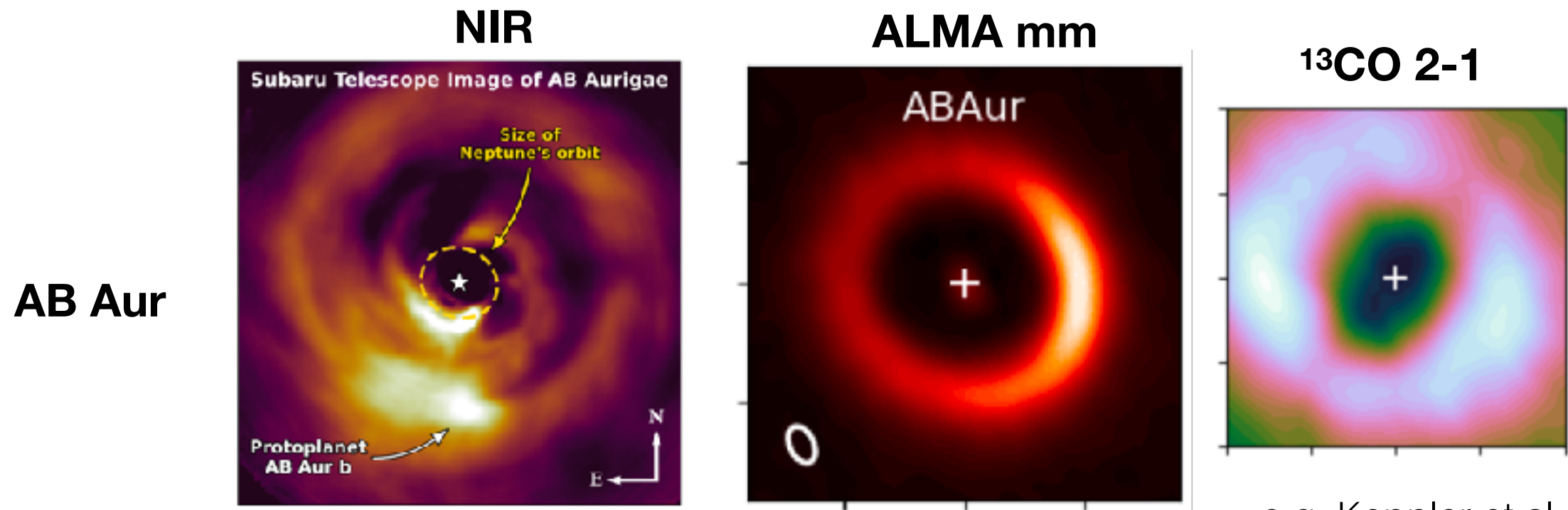
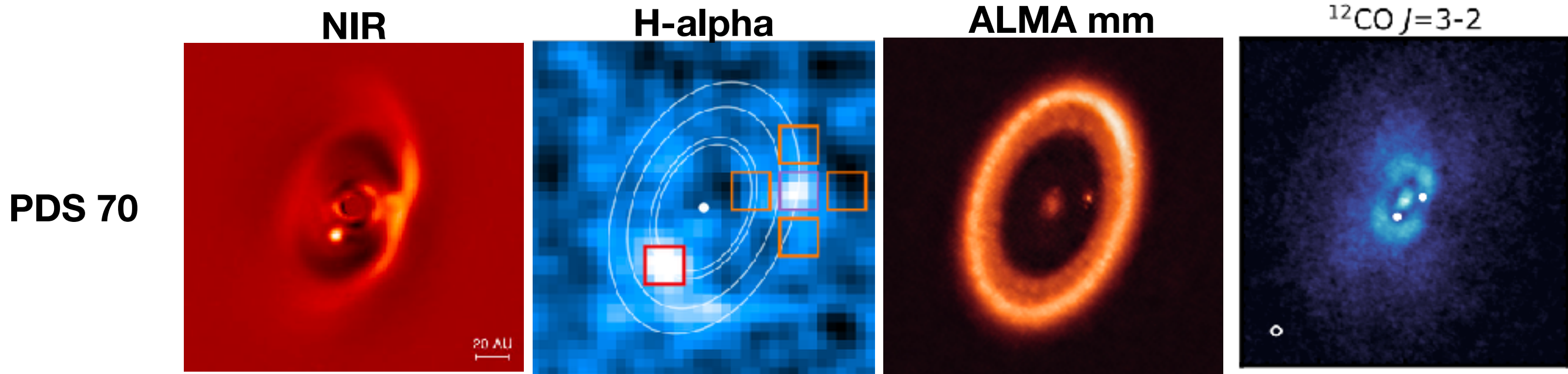
Study inner disks with ALMA



Francis & van der Marel 2020
Pinilla et al. 2021

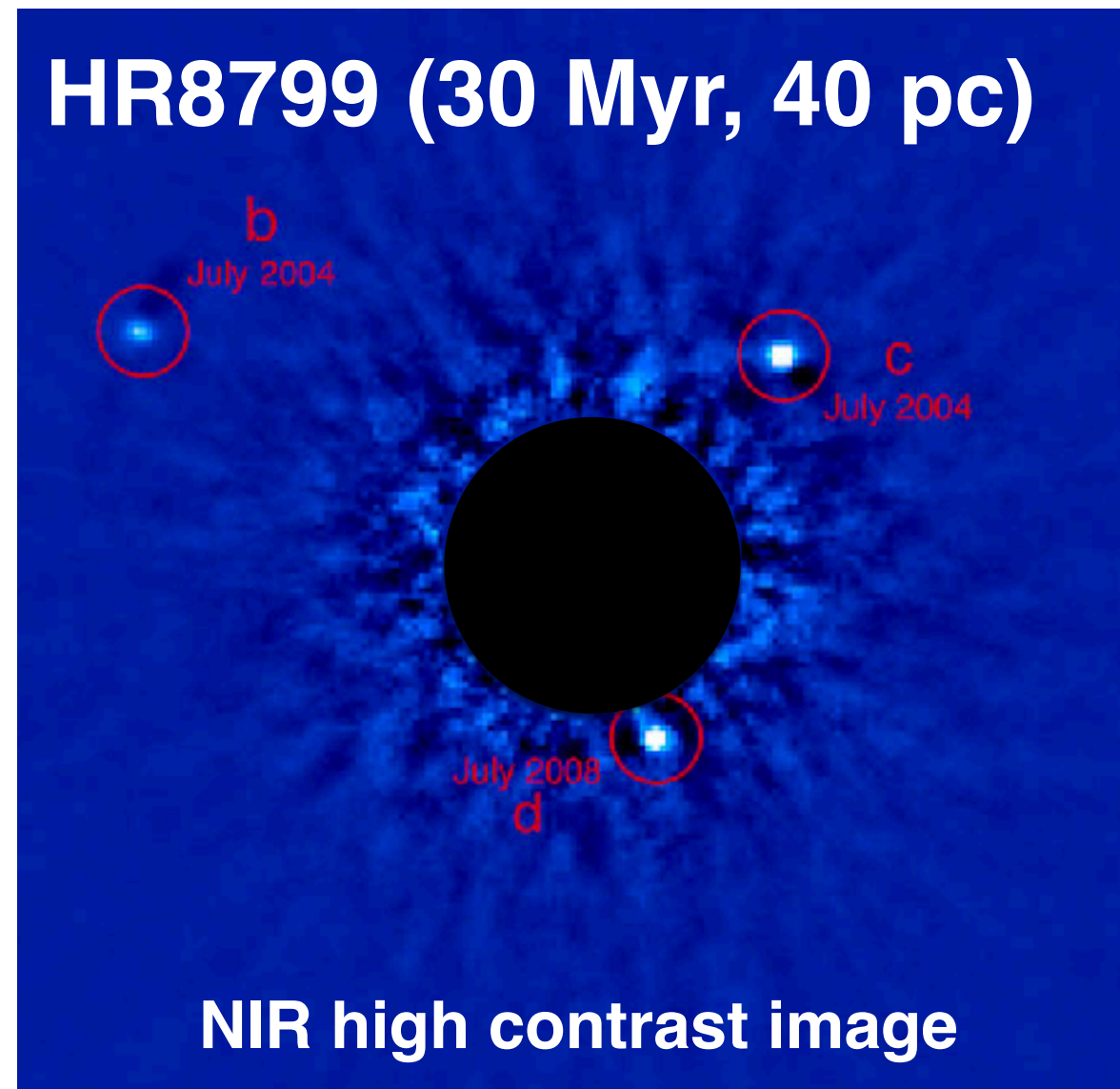
So why do we think it's planets?

First detections of protoplanets in cavities!



e.g. Keppler et al. 2018, 2021,
Haffert et al. 2019, Benisty et al. 2021,
Facchini et al. 2021, Currie et al. 2022,
van der Marel et al. 2021a

Finding planets with high contrast imaging

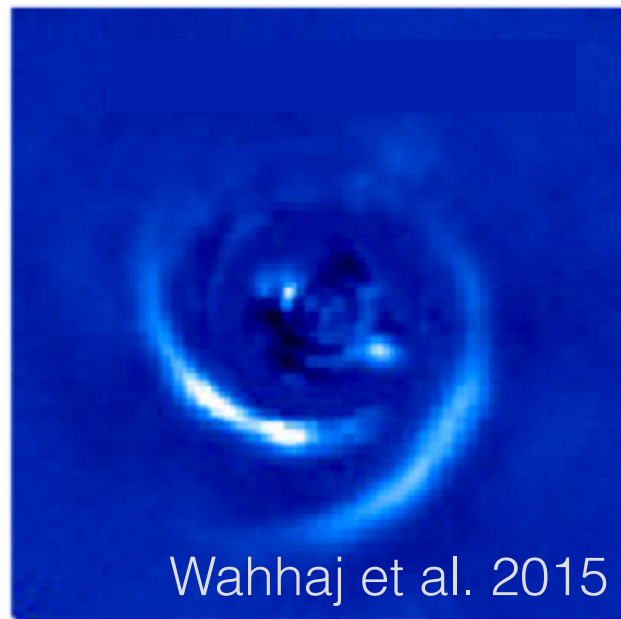


Difficulty: young protoplanetary disks are further away and much dustier...

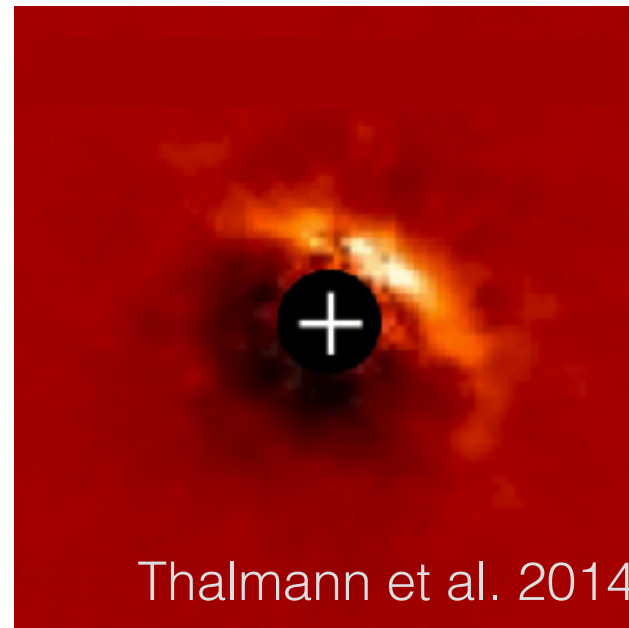
Many `failed' detections...

No other Jupiter-mass planets detected in disks!

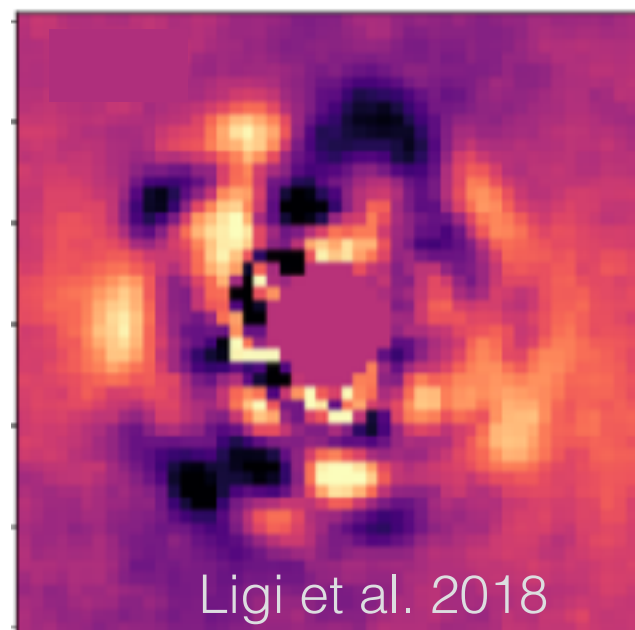
HD135344B



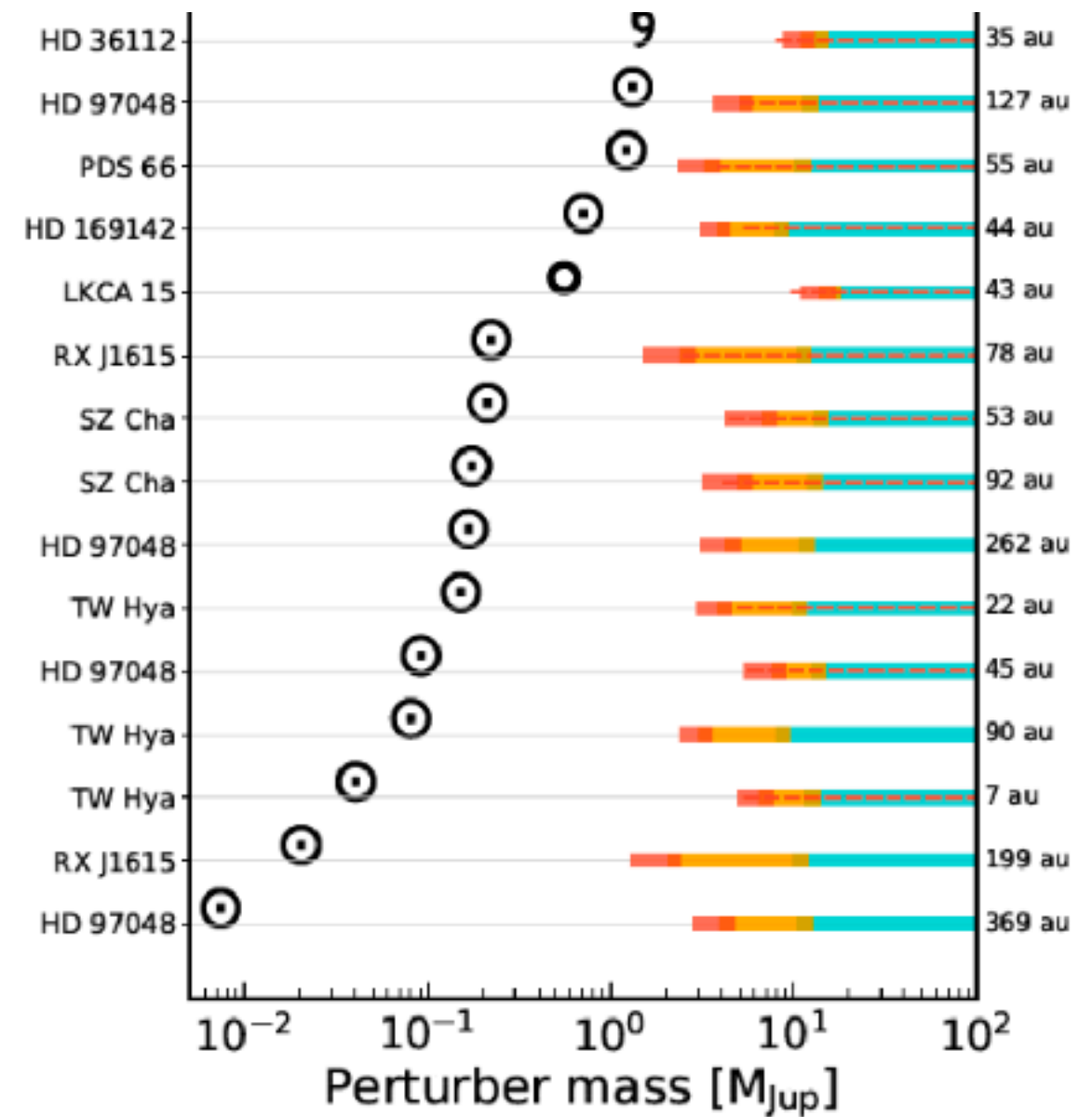
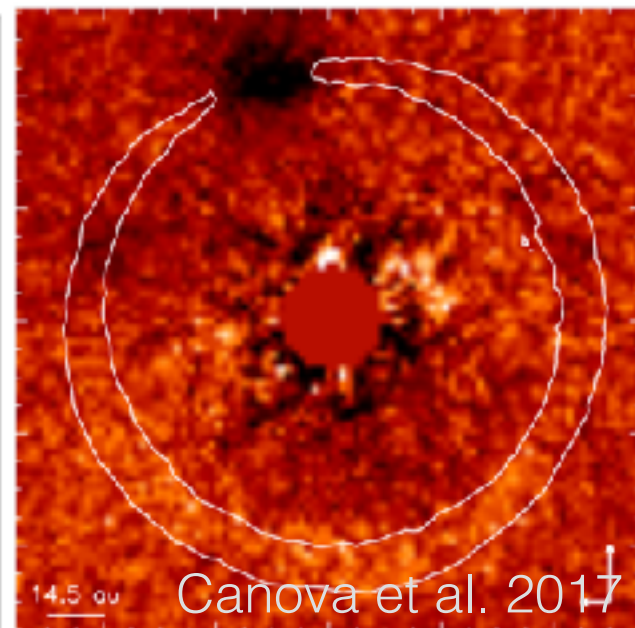
LkCa15



HD169142

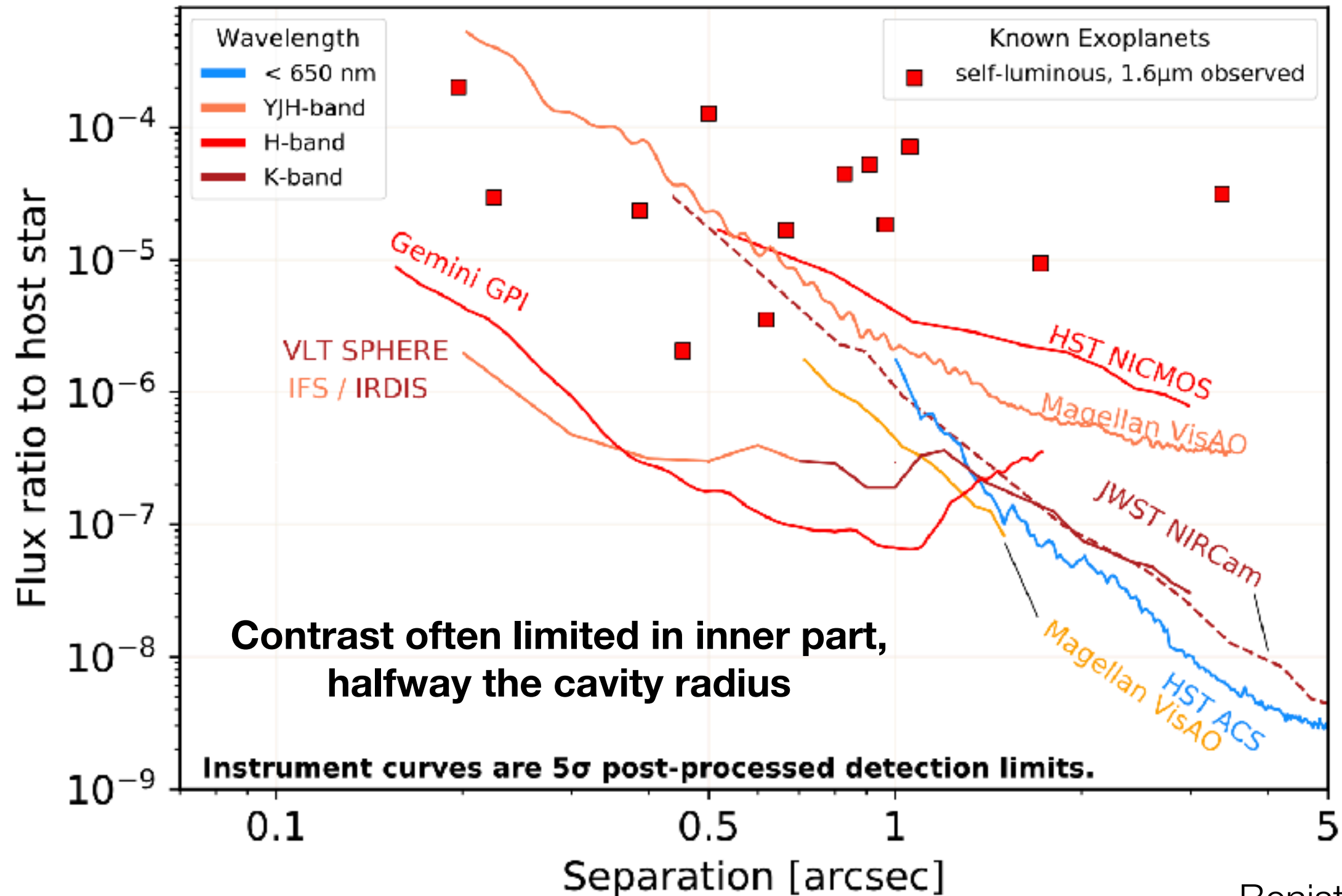


J1604

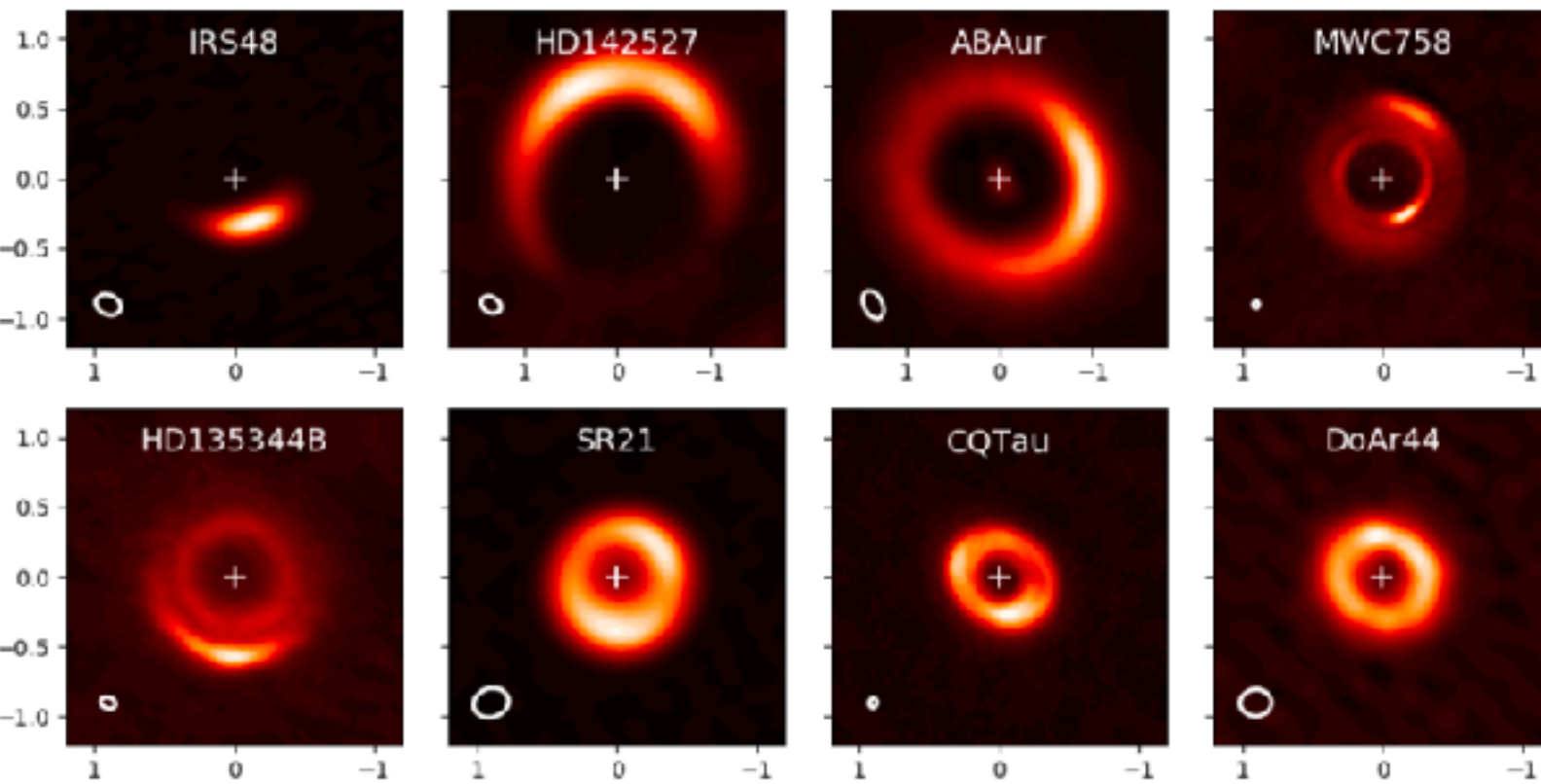


Van der Marel et al. 2021a
Asensio-Torres et al. 2021

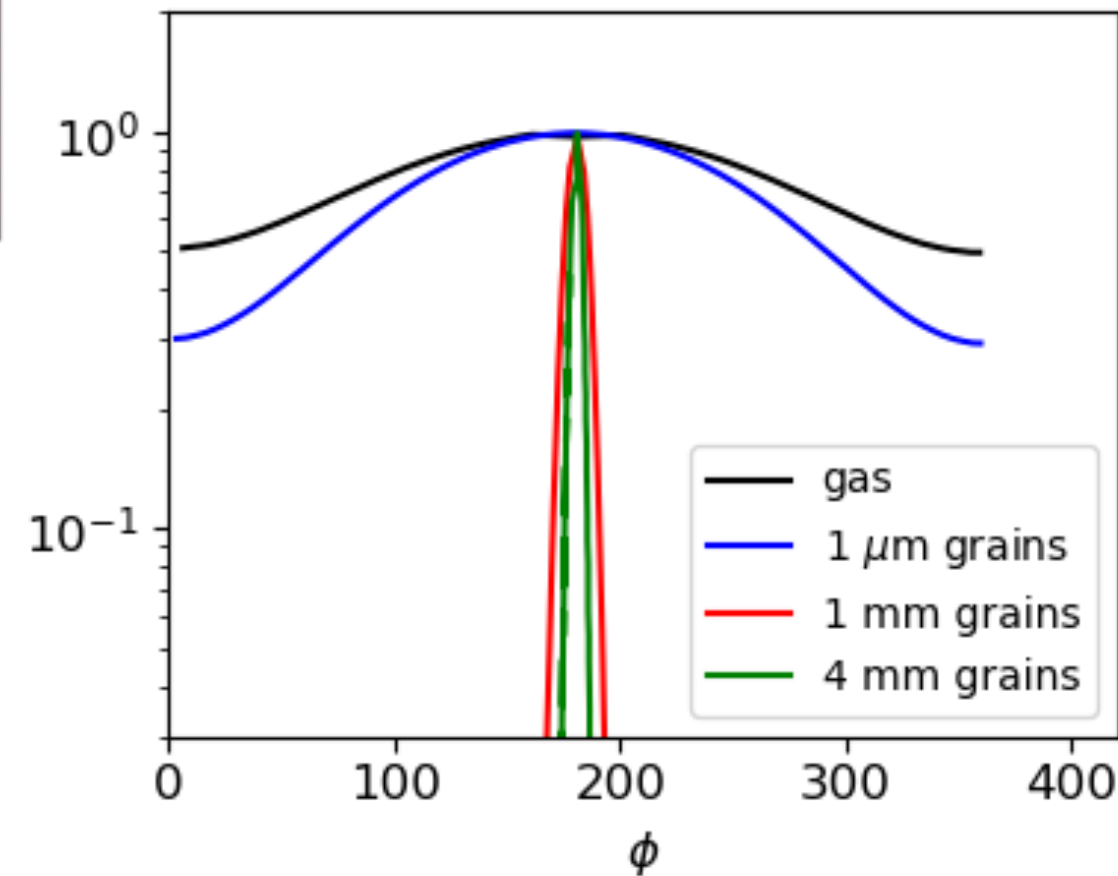
Measurable contrast



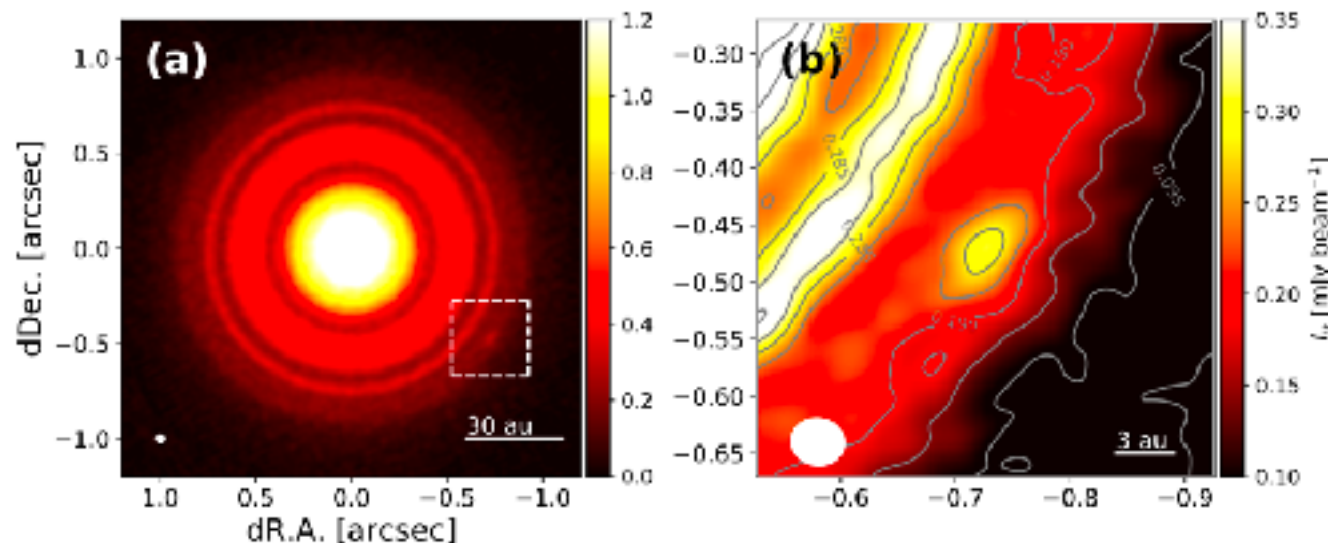
Asymmetries



Dust evolution:
small azimuthal concentration
of gas leads to strong
concentration of mm grains

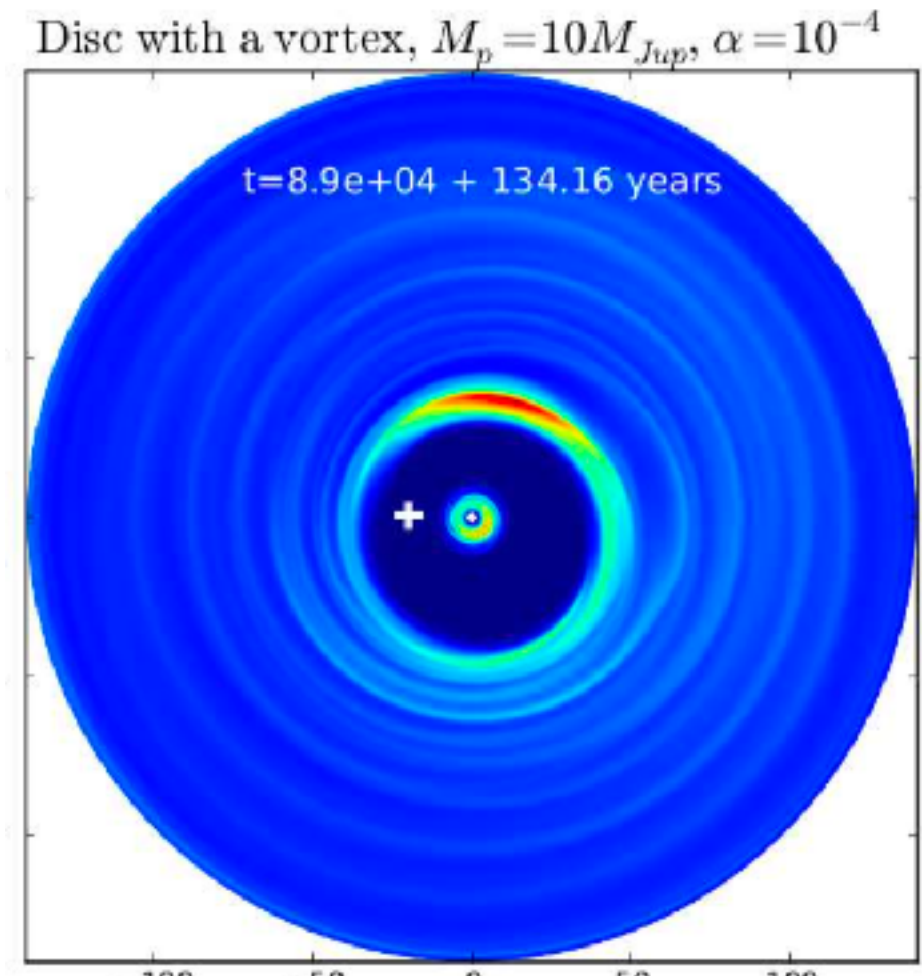
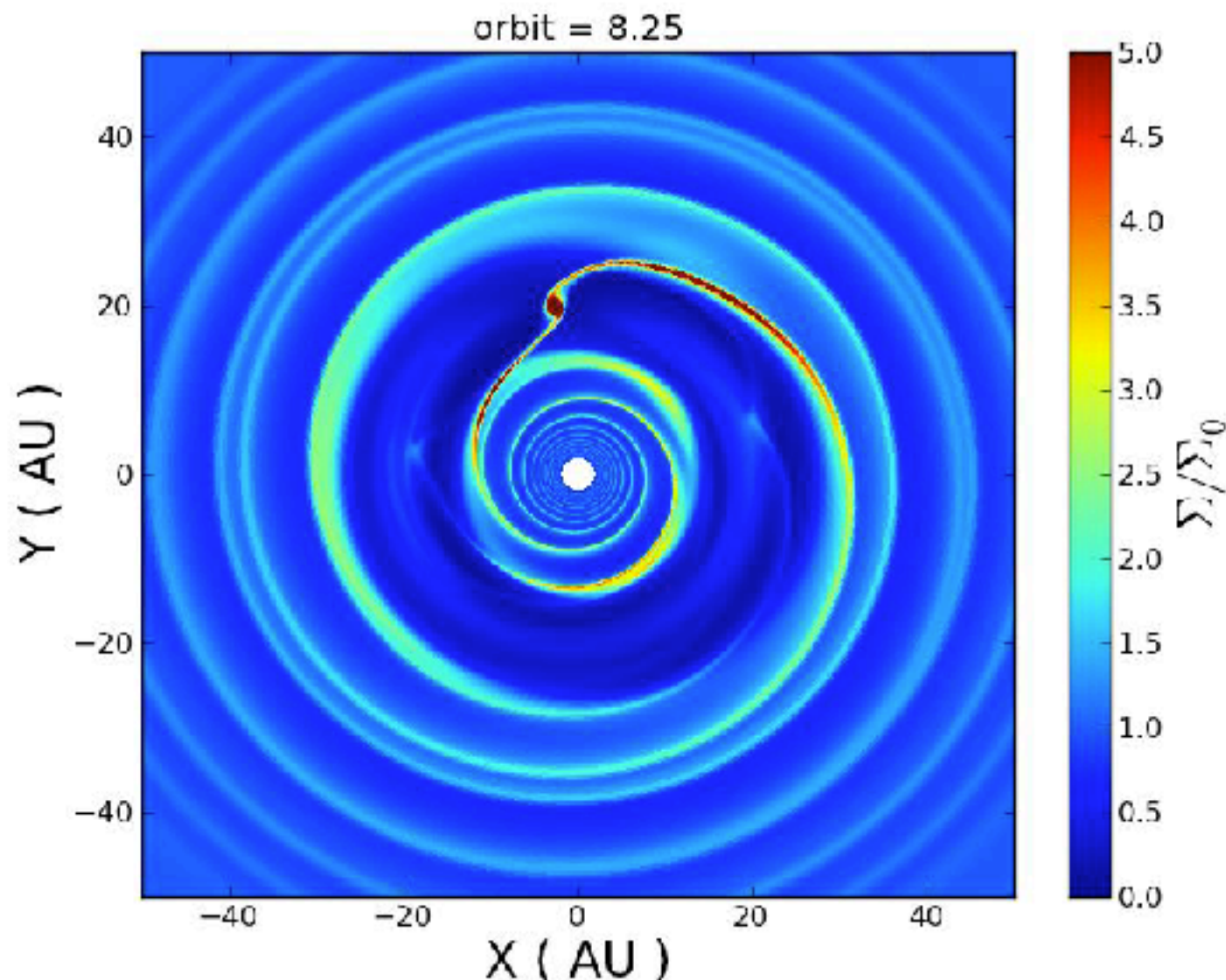


Many dust asymmetries, large and small scale...



Asymmetries: vortex

Rossby Wave Instability of pressure bump results in long-lived vortex: azimuthal dust trap

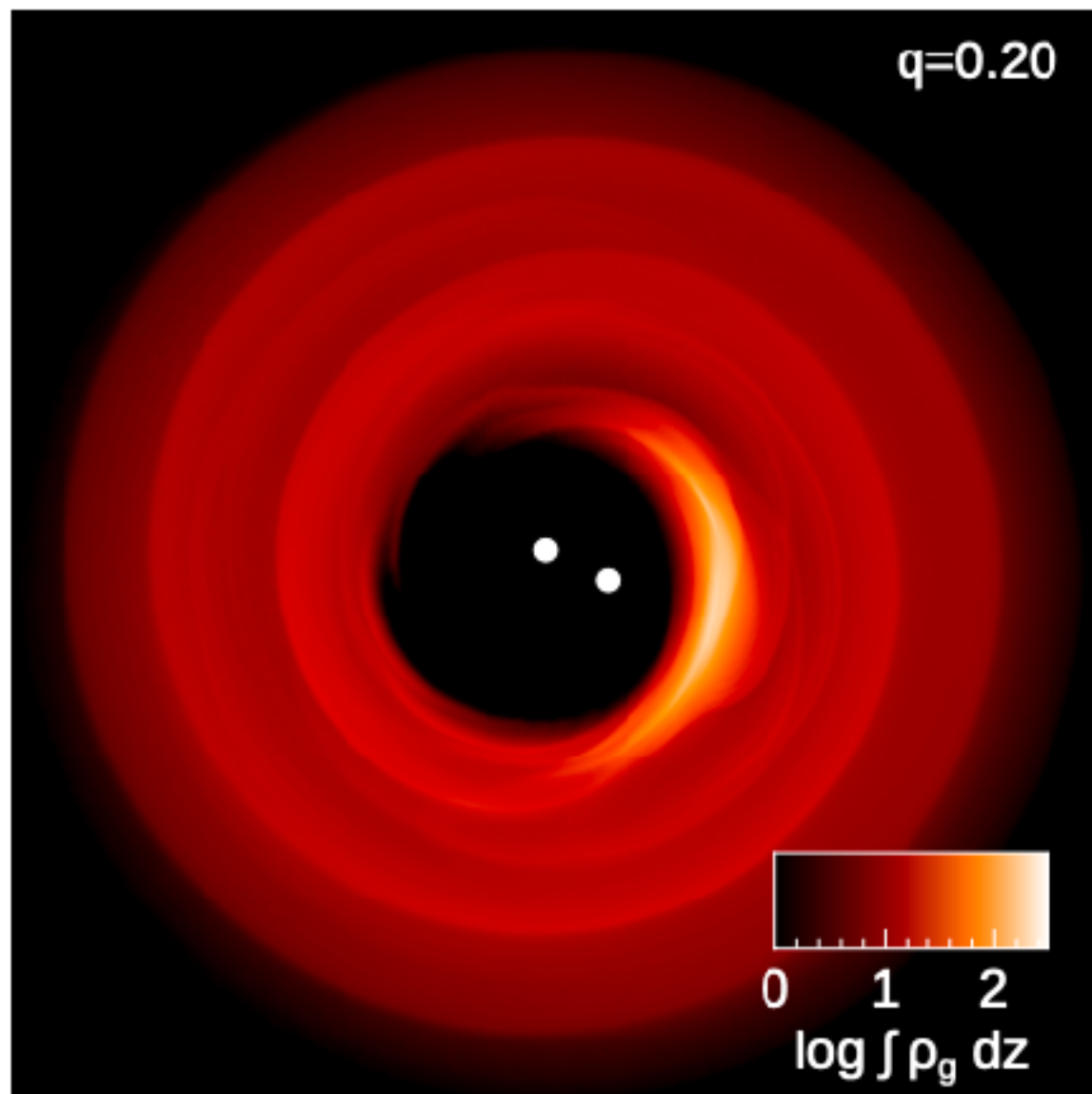


Problem:
requires $\alpha \sim 10^{-4}$
(inconsistent with
viscous disk model)

Barge & Sommeria 1995
Klahr & Henning 1997
Ataiee et al. 2013

Asymmetries: horseshoe

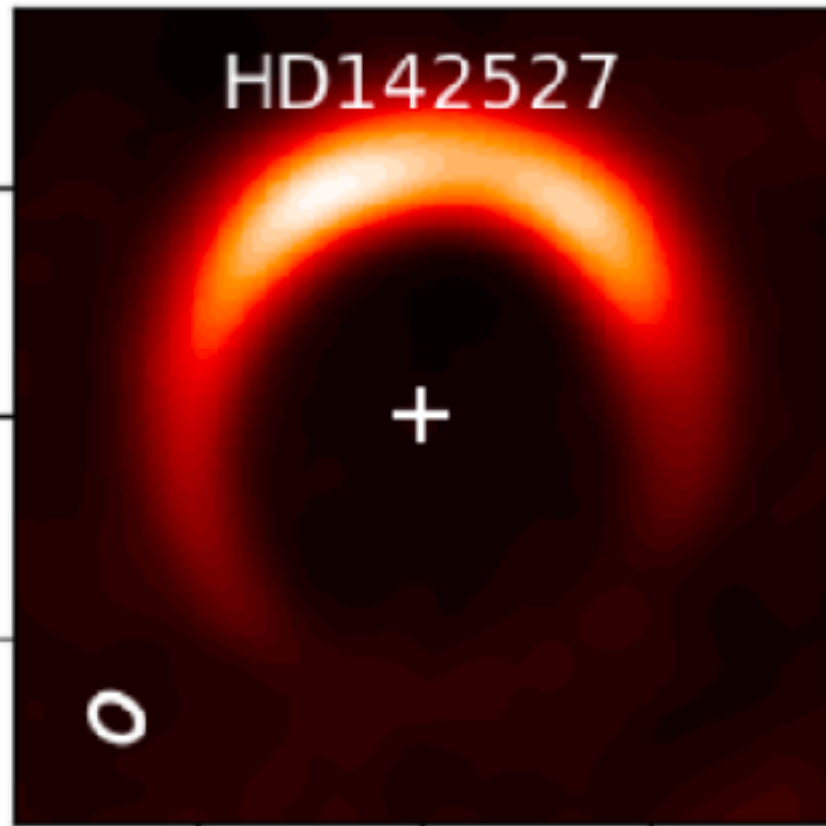
If α is high, an alternative mechanism can explain asymmetries: development of a 'horseshoe' in eccentric circumbinary disk



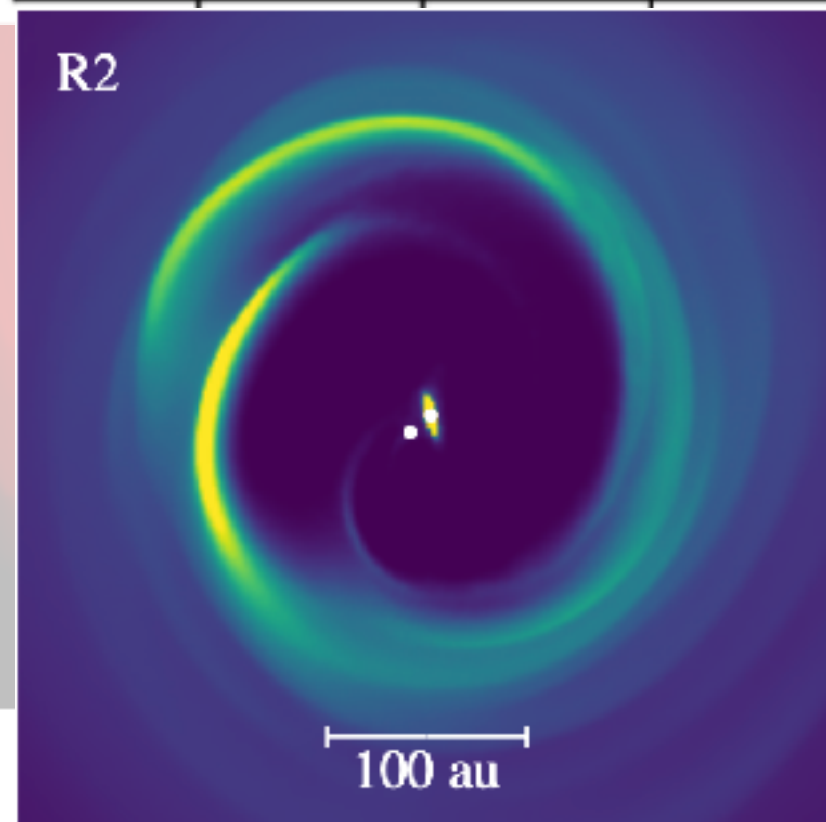
Do you remember where
this may be the case?

Asymmetries: horseshoe

If α can explain
'horseshoe'

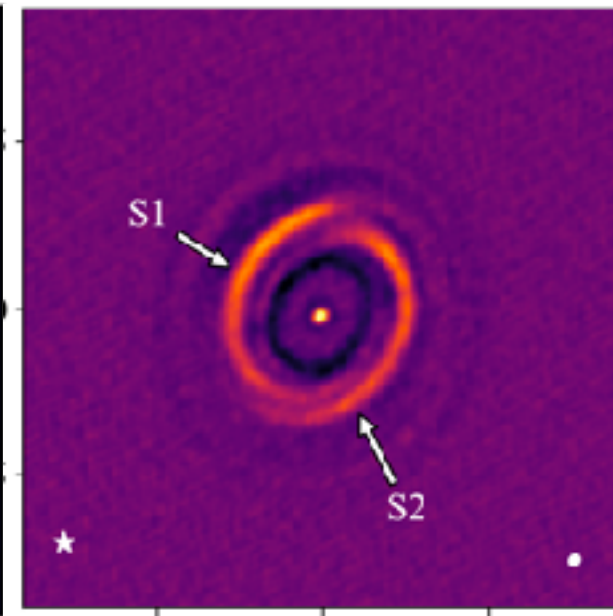
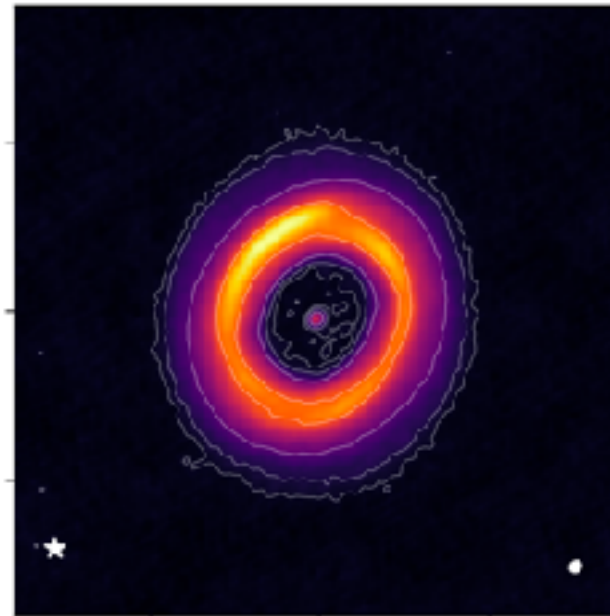
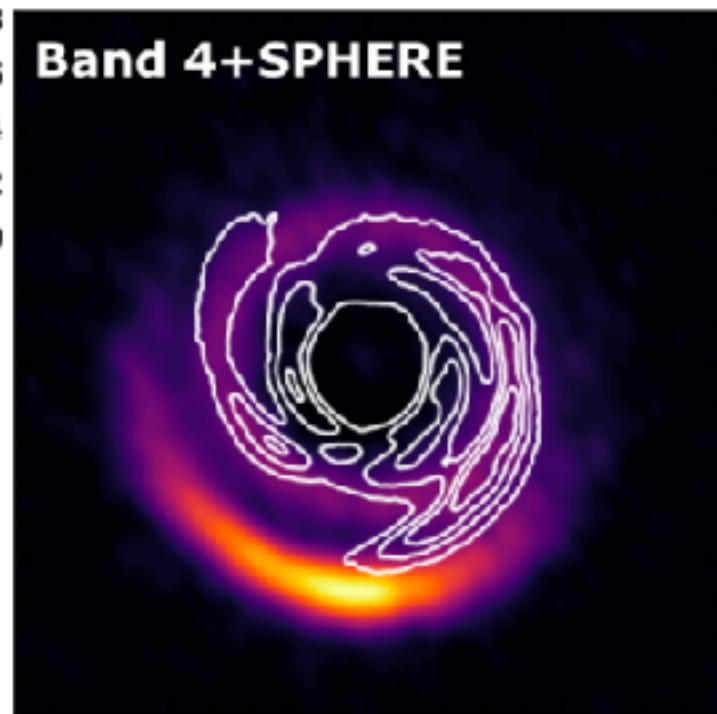


mechanism
development of a
binary disk

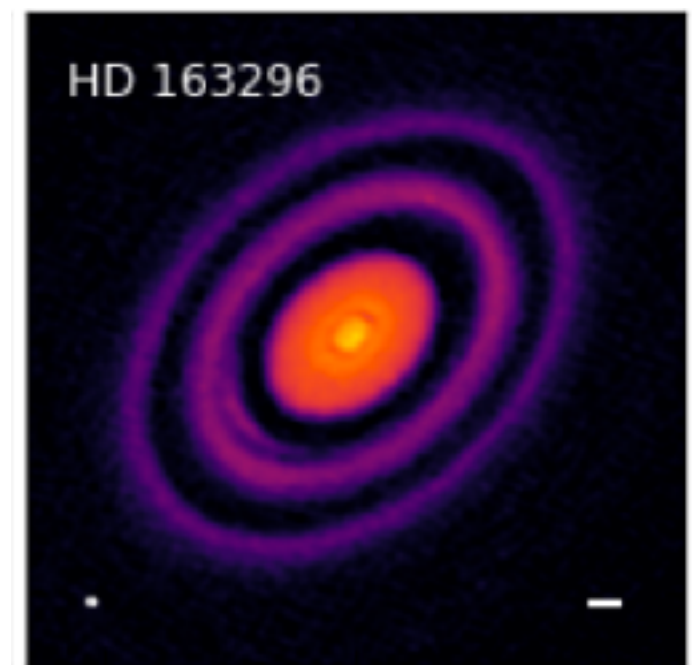
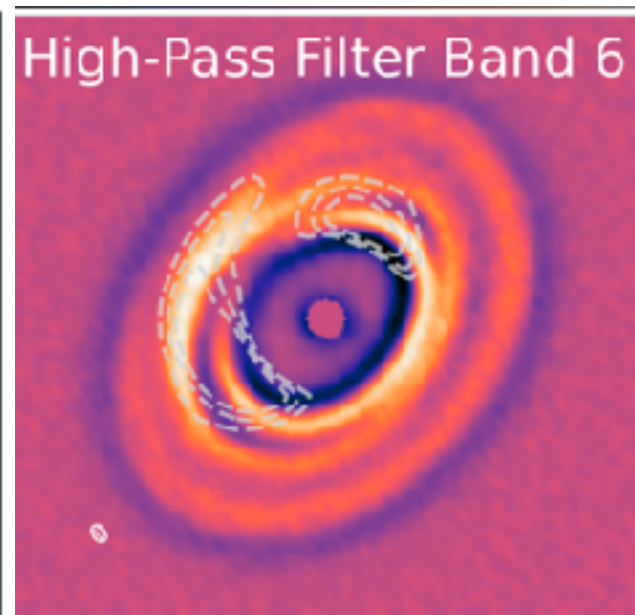
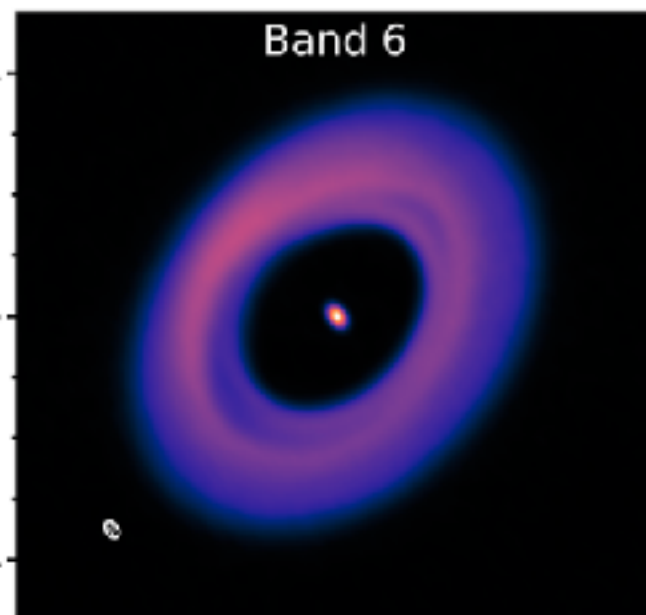


**Essentially the distinction
depends on the
companion mass and the
turbulence (α) value**

Asymmetries: spirals?



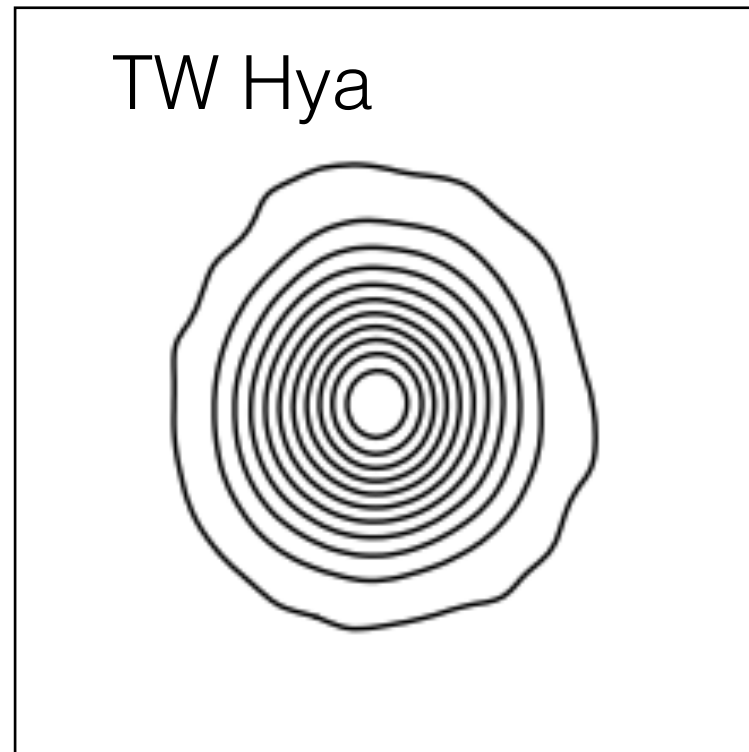
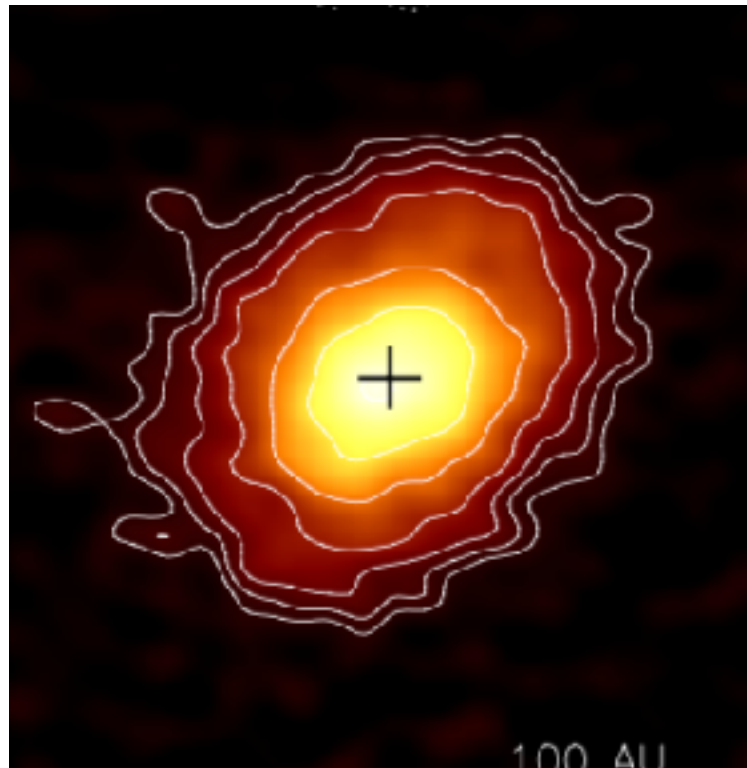
Several hints that spirals have
a mm component: trapping
seems unlikely (**why?**)



Cazzoletti et al. 2018
Andrews et al. 2018
Rosotti et al. 2019
Norfolk et al. 2022

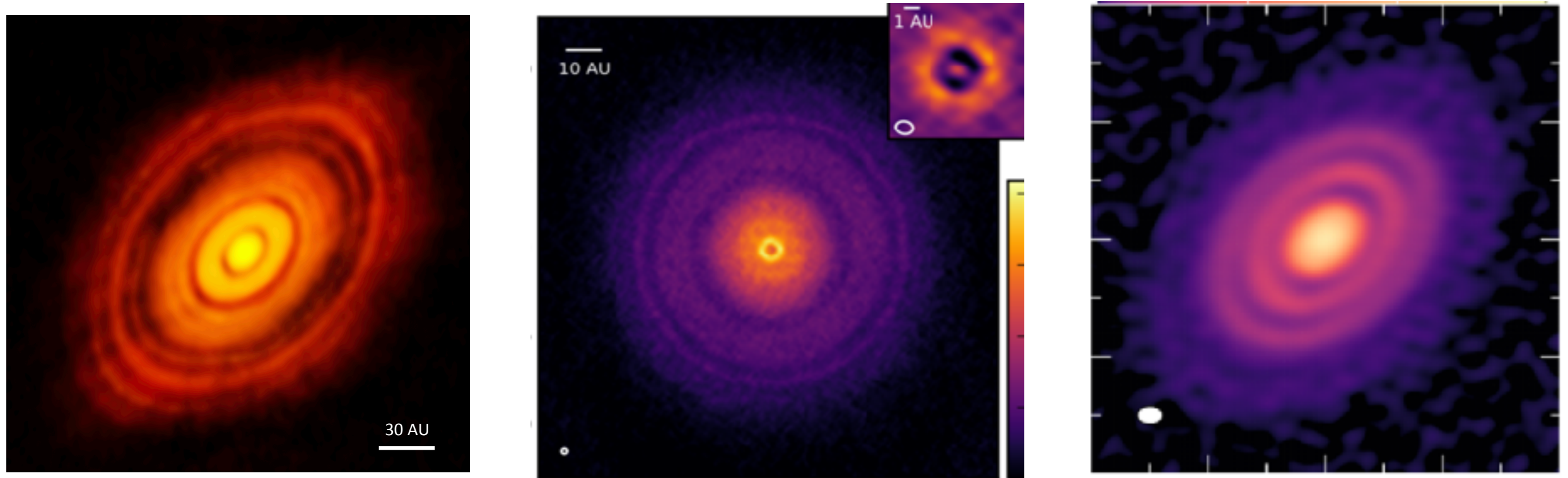
Rings and gaps

“Smooth” disks at 0.2-0.3”....



Rings and gaps

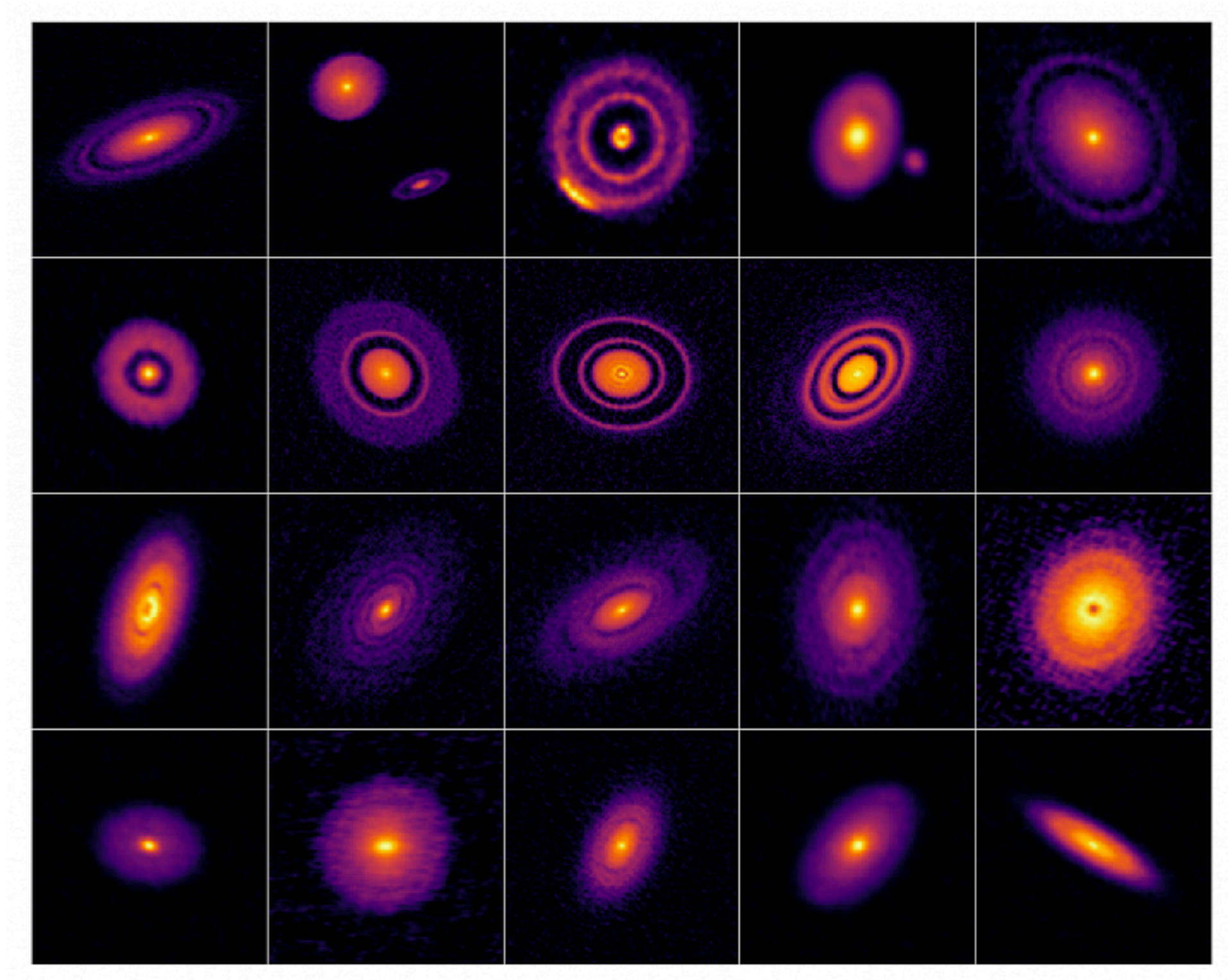
ALMA long baseline campaign: observations at 0.04" possible since 2015/2016!



Suddenly smooth disks were shown to have many gaps and rings in their dust distribution, without a signature in their SED!

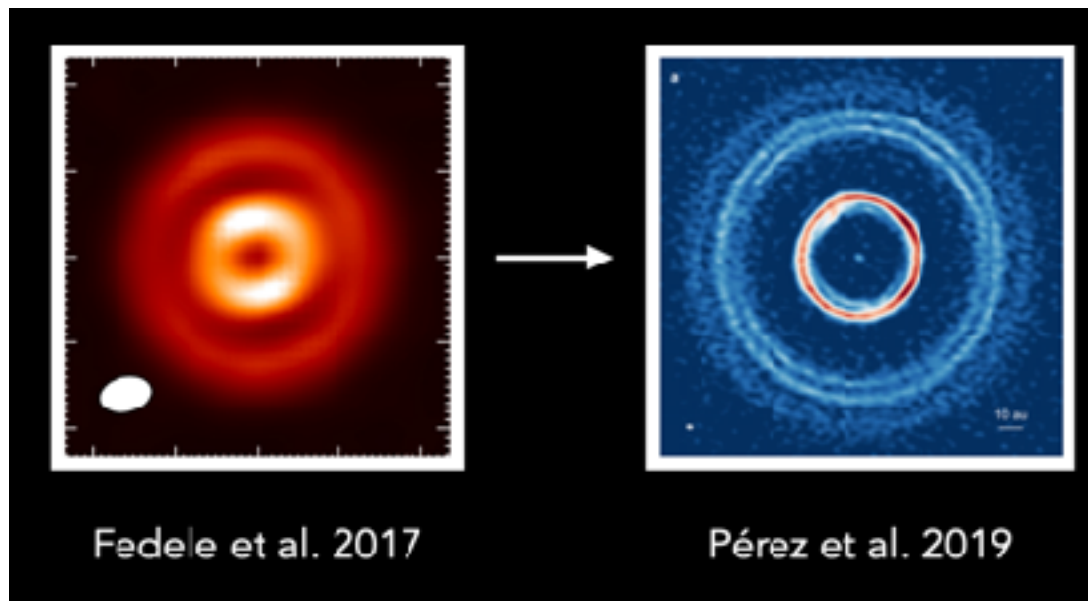
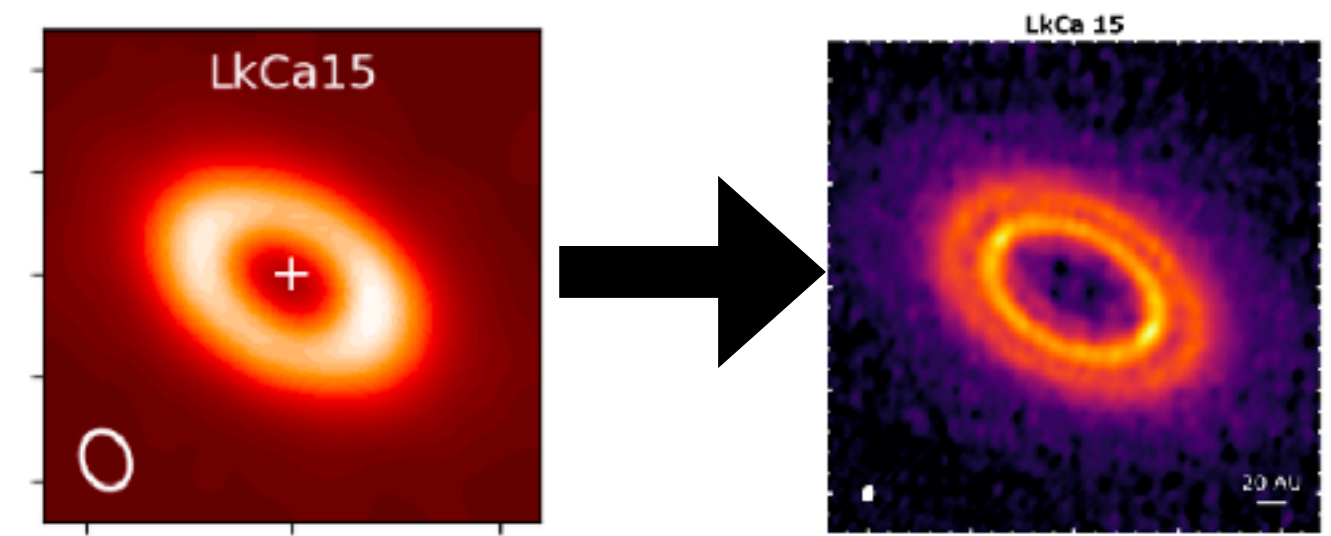
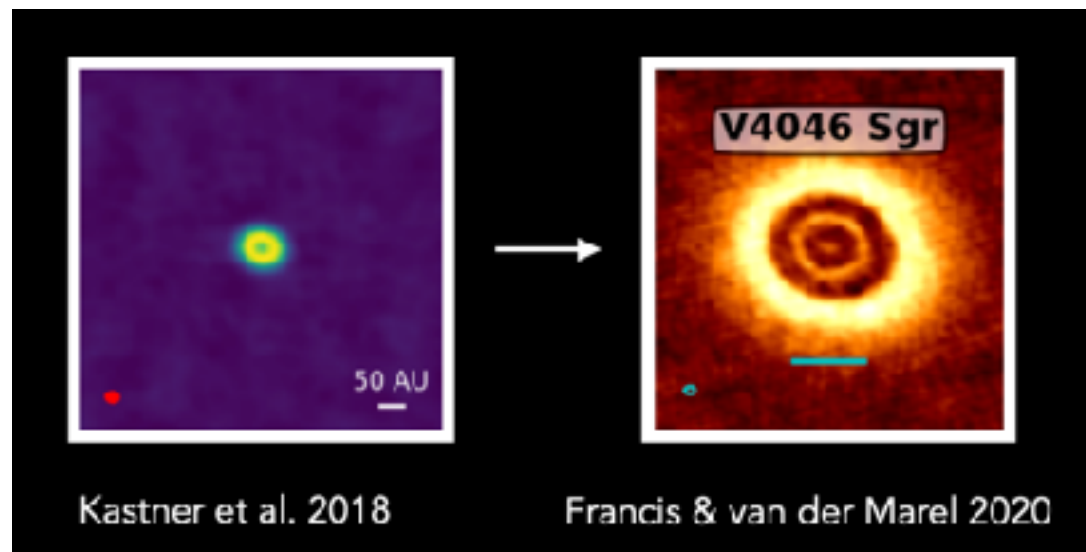
Why not?

Rings and gaps



DSHARP: ALMA Large Program at 0.04'':
Large diversity in ring/gap widths

New gaps within rings



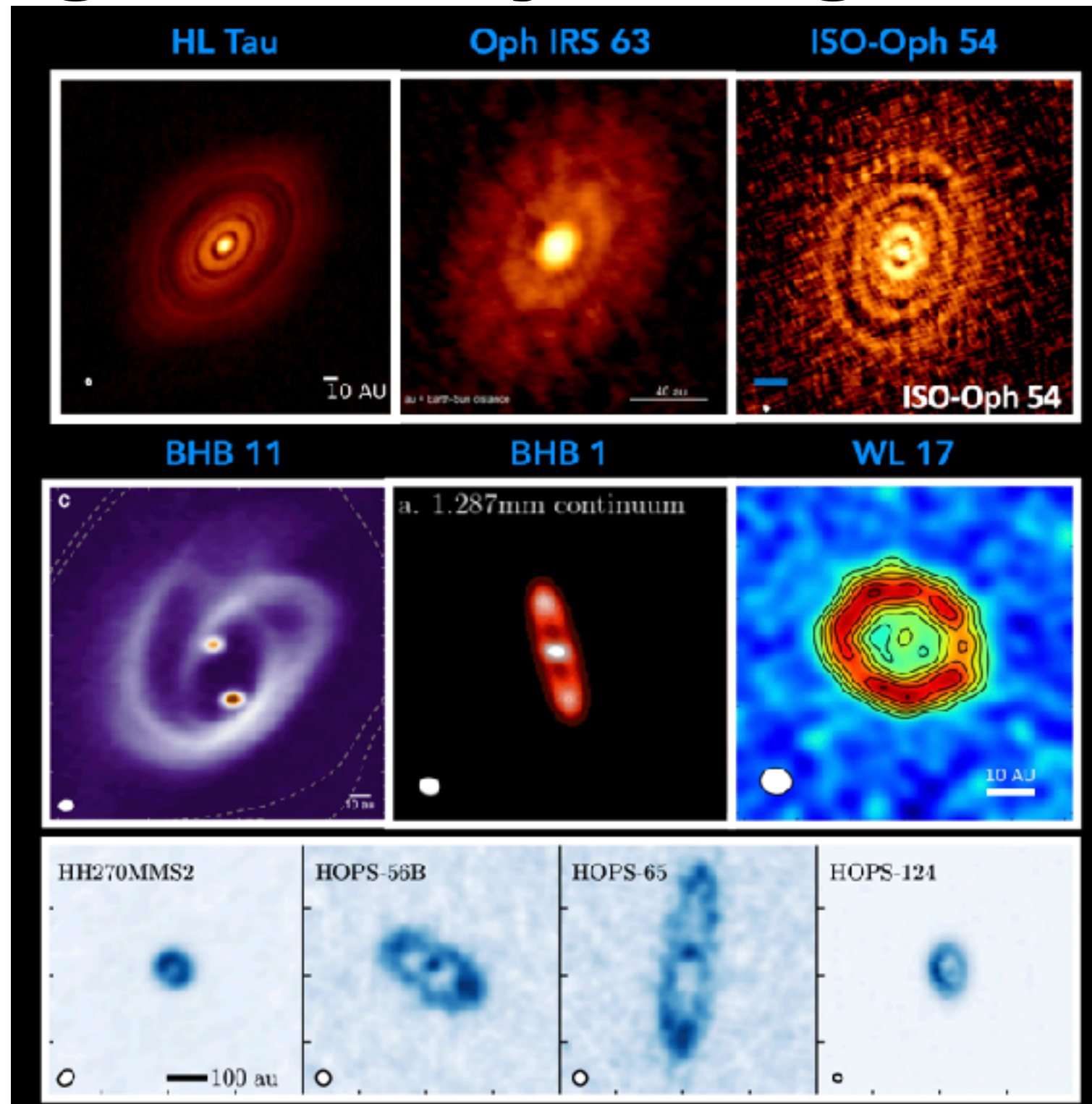
Higher resolution observations reveal rings in smooth disks, and sometimes even subrings in existing rings!

Rings and gaps in young disks

Rings and gaps are already seen at 0.5 Myr in embedded stage:

whatever causes them, it must happen rapidly.

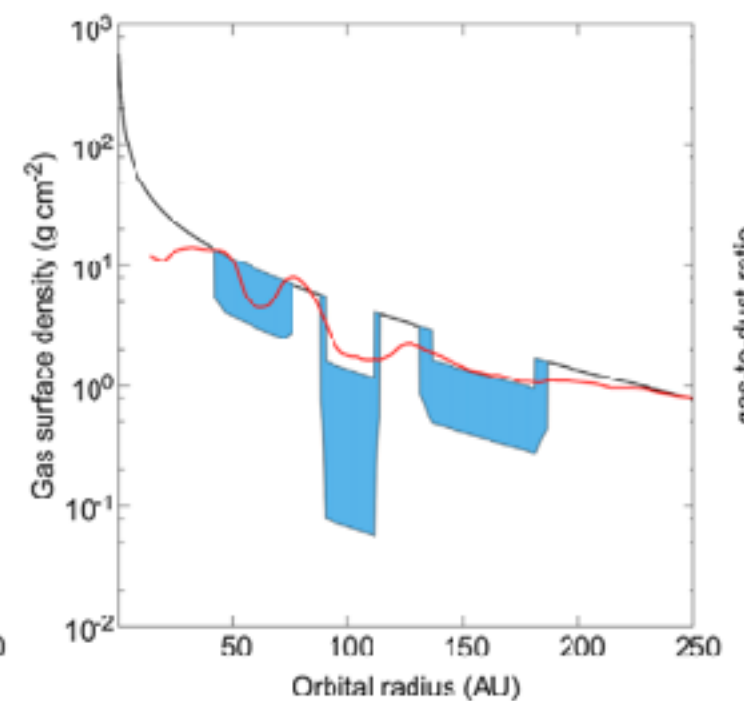
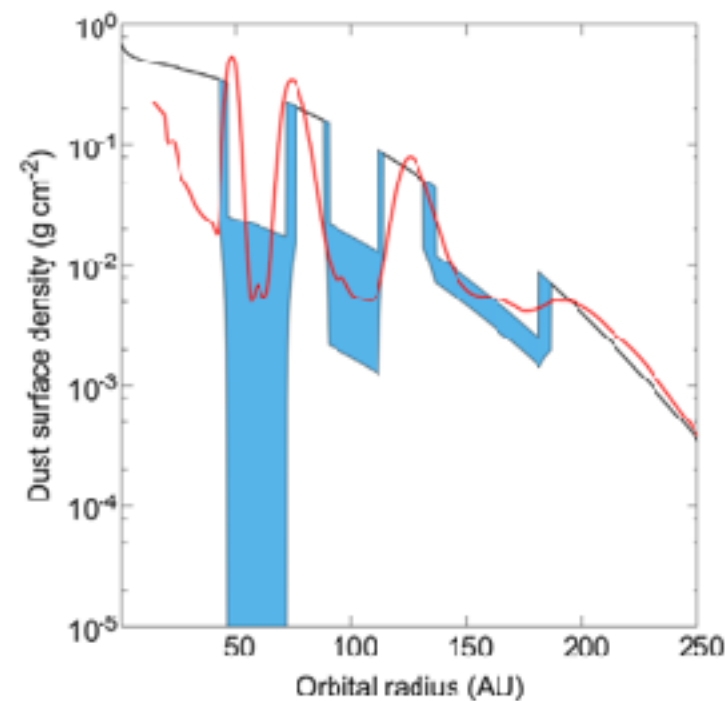
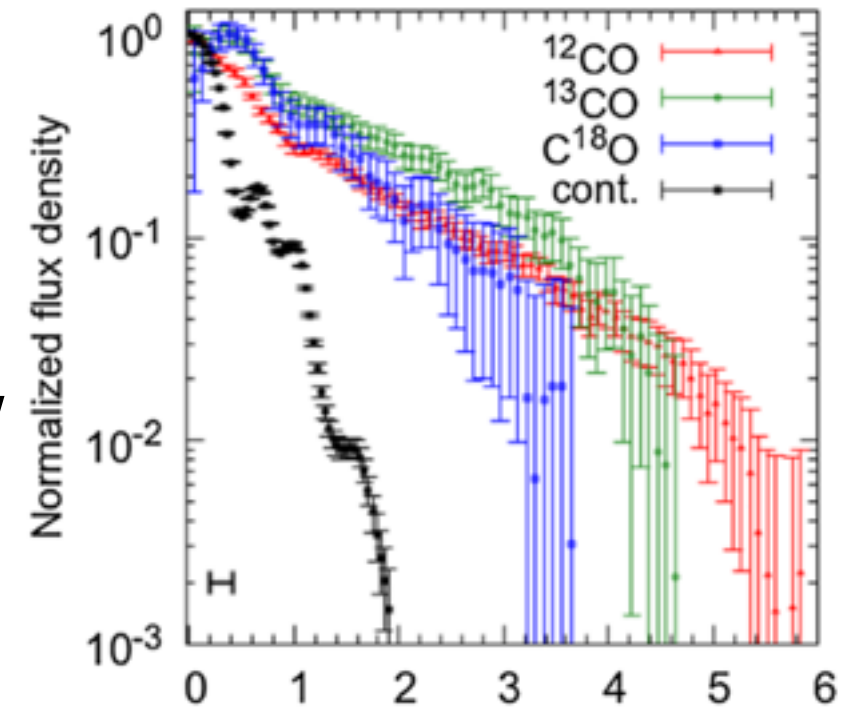
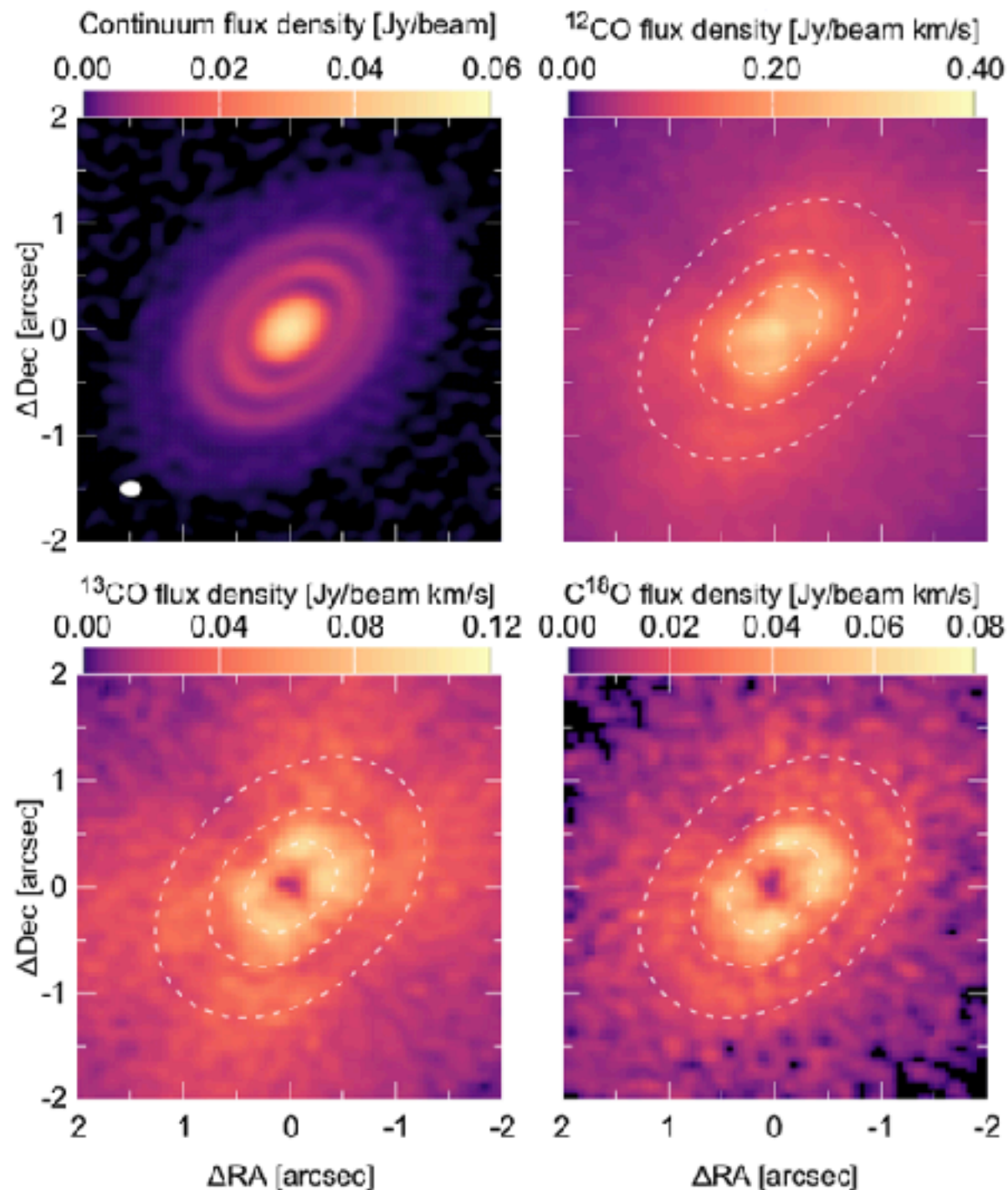
How can we find out?



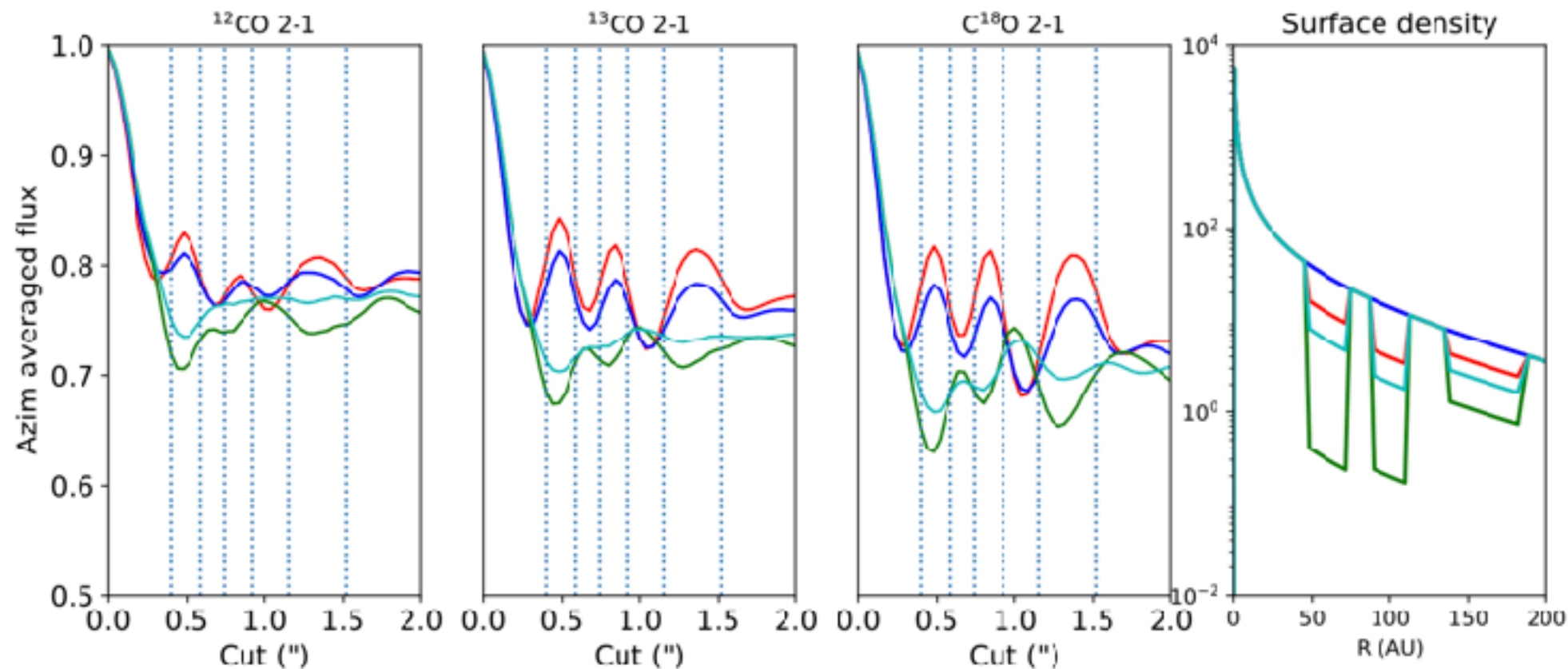
See, e.g., ALMA Partnership 2015, Tobin et al. 2016, Cieza et al. 2016, Sheehan & Eisner 2017, Alves et al. 2019, Lee et al. 2020, Sheehan et al. 2020, Alves et al. 2020, de Valon et al. 2020, Segura-Cox et al. 2021, Cieza et al. 2021

Gaps in gas?

**CO isotopologues
in HD163296 show
dips: gas gaps?**

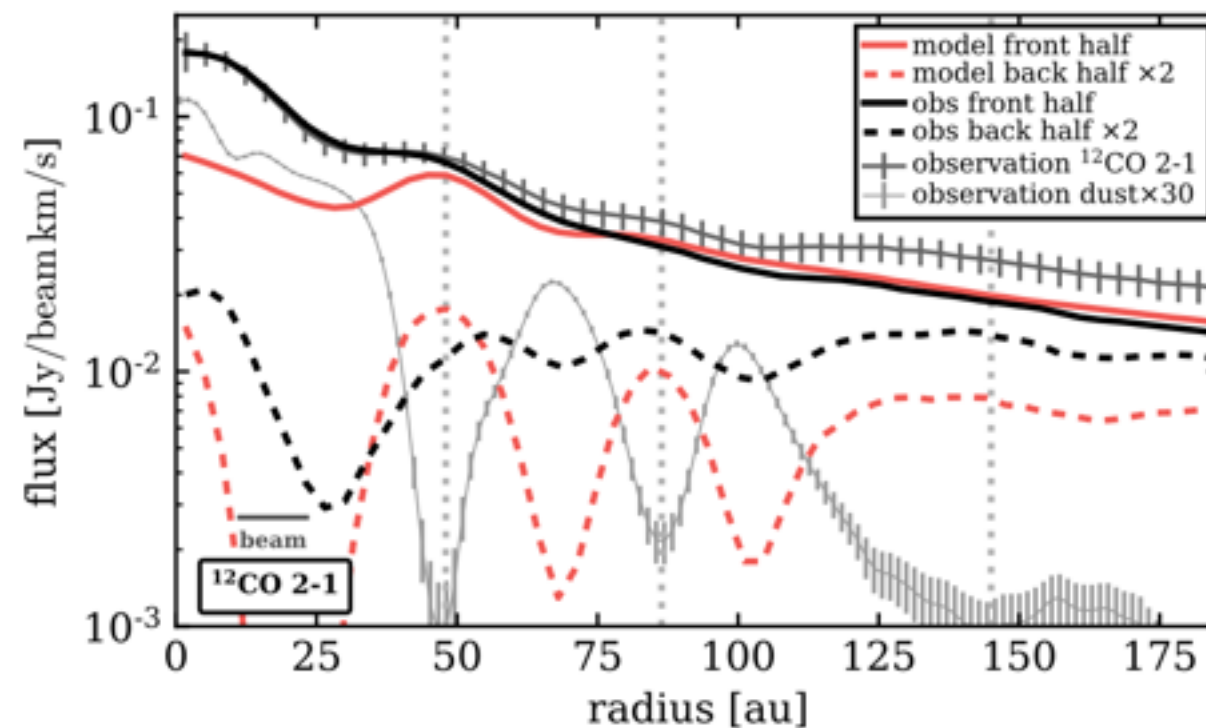
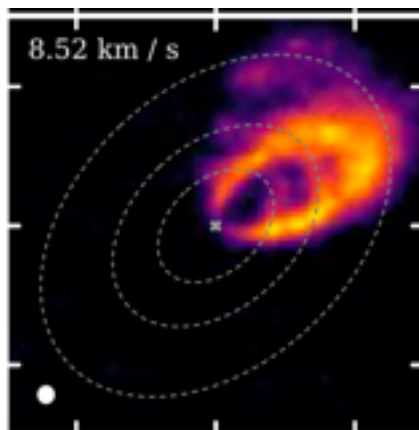


Not as easy as for TDs...

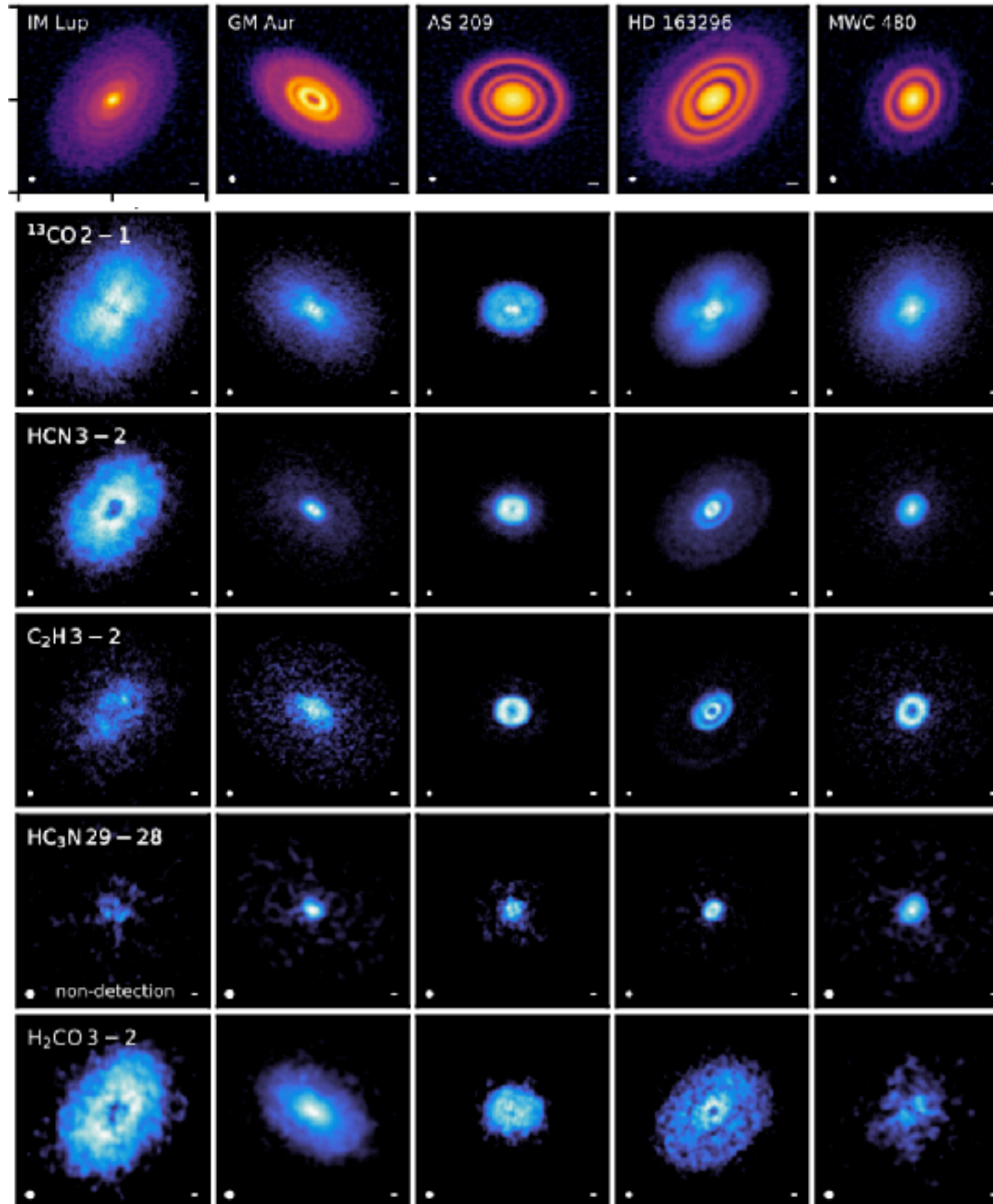


**Gas temperature
inside gaps
changes due to
gas-to-dust ratio**

**CO emission from the
back side of the disk
contributes in the gaps**

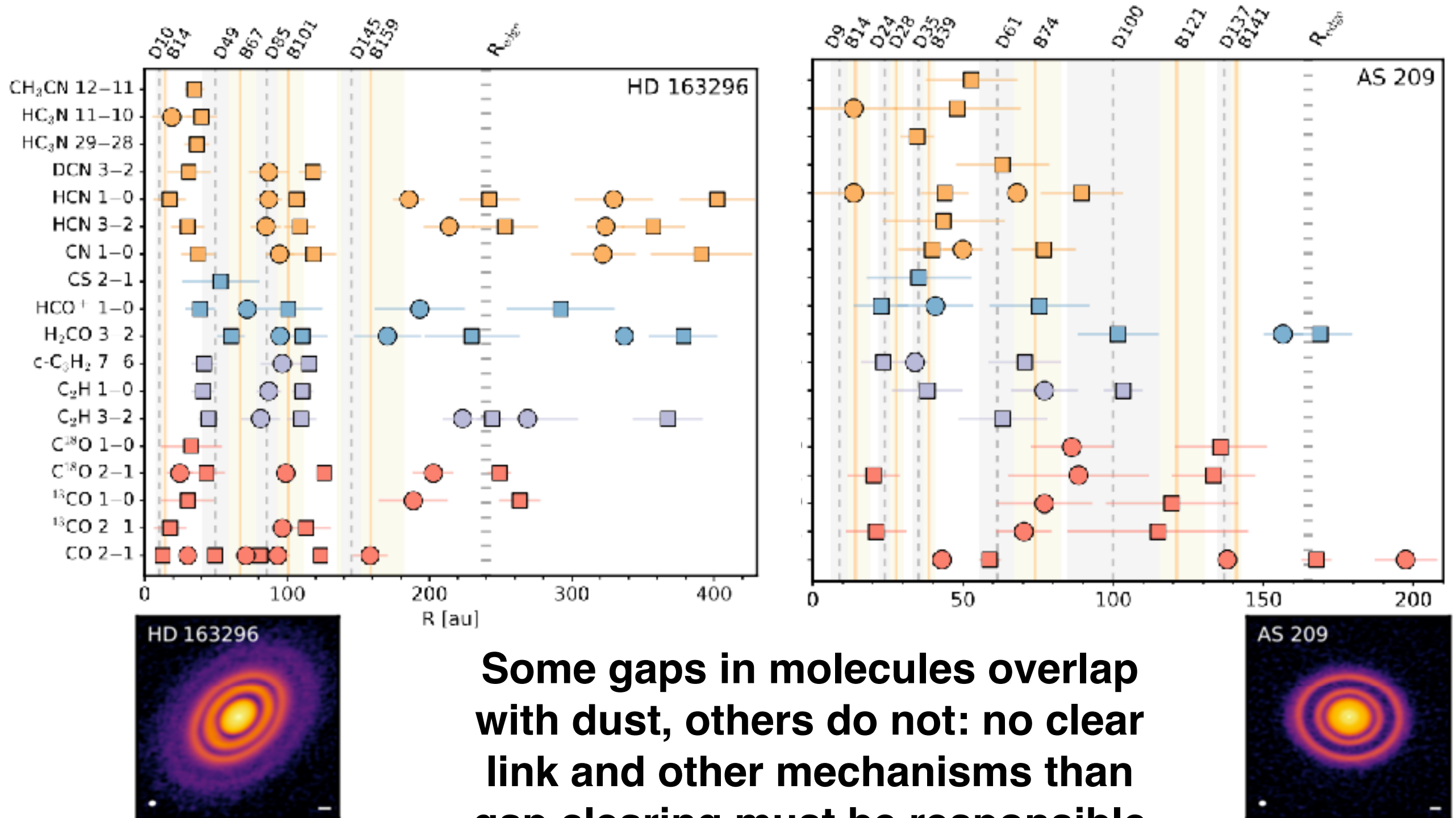


Rings and gaps in MAPS LP



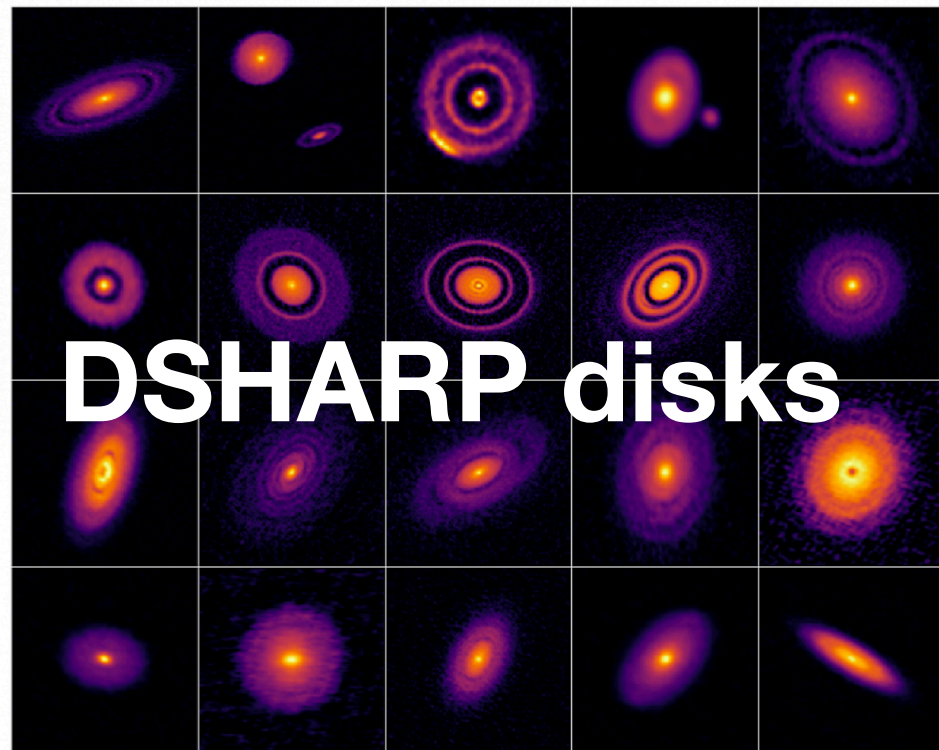
**Large diversity in
gaps in various
molecules**

MAPS: molecular gaps?

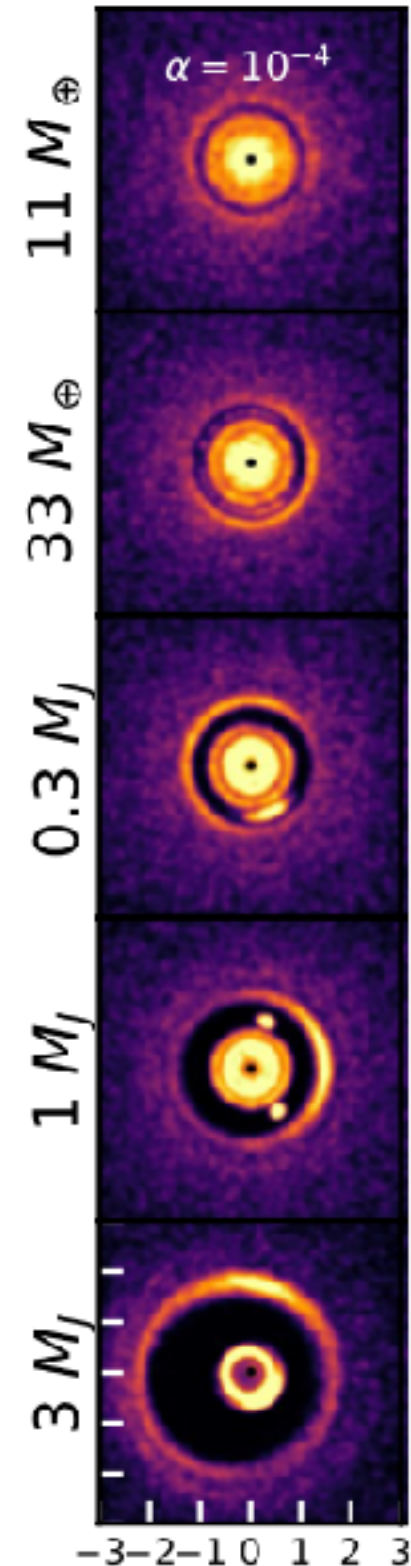
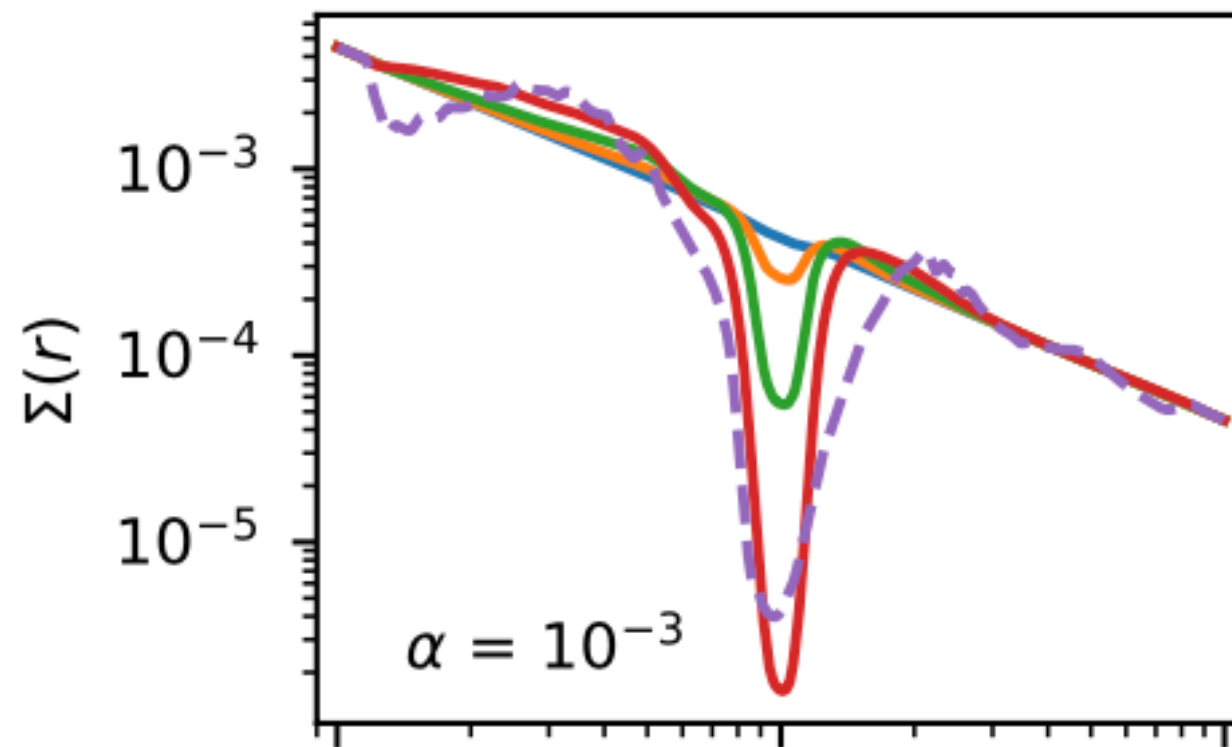


Origin rings and gaps

1. Planets



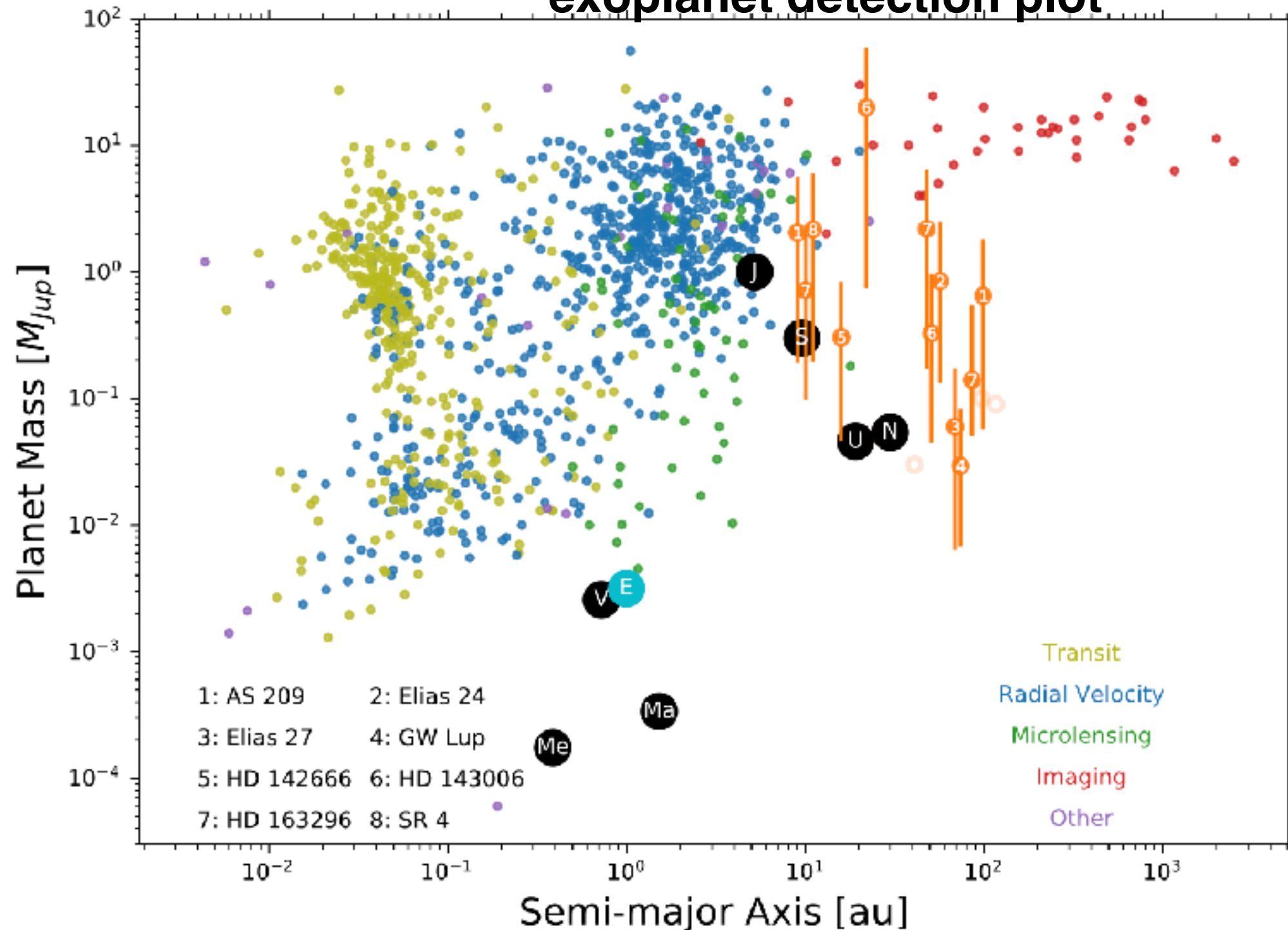
Hydrodynamic simulations of planet-gap clearing: reproducing the gaps widths in the DSHARP disks for a range of planet masses



Origin rings and gaps

1. Planets

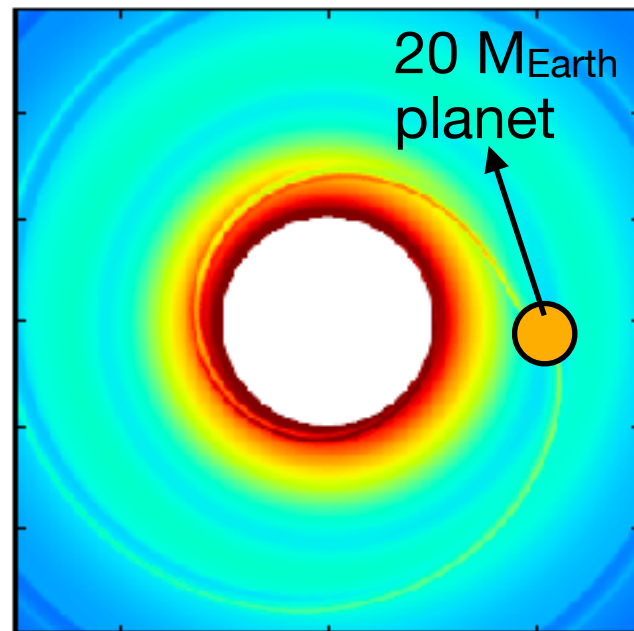
Inferred planet masses and locations from DSHARP in exoplanet detection plot



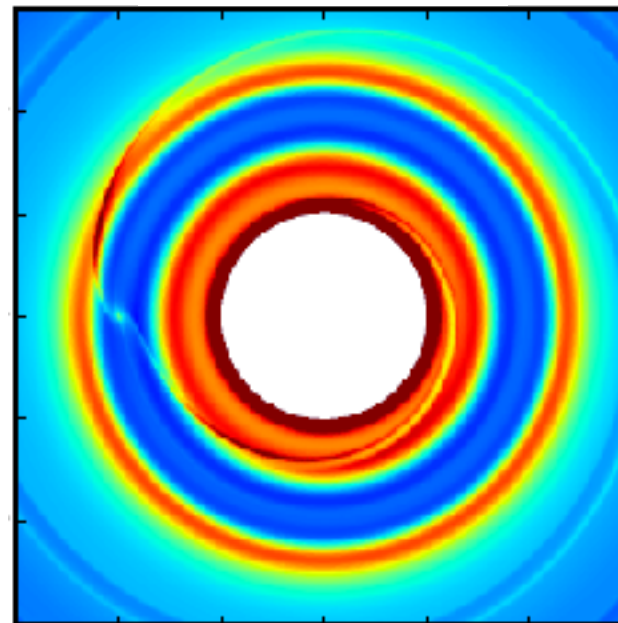
Origin rings and gaps

1. Planets

Gas



mm-dust

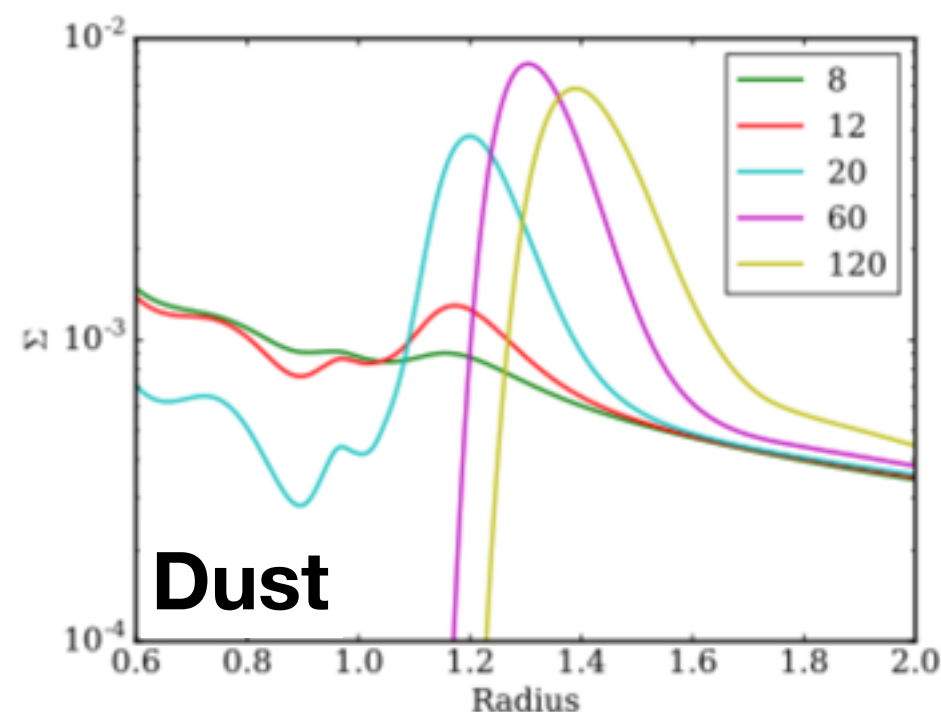
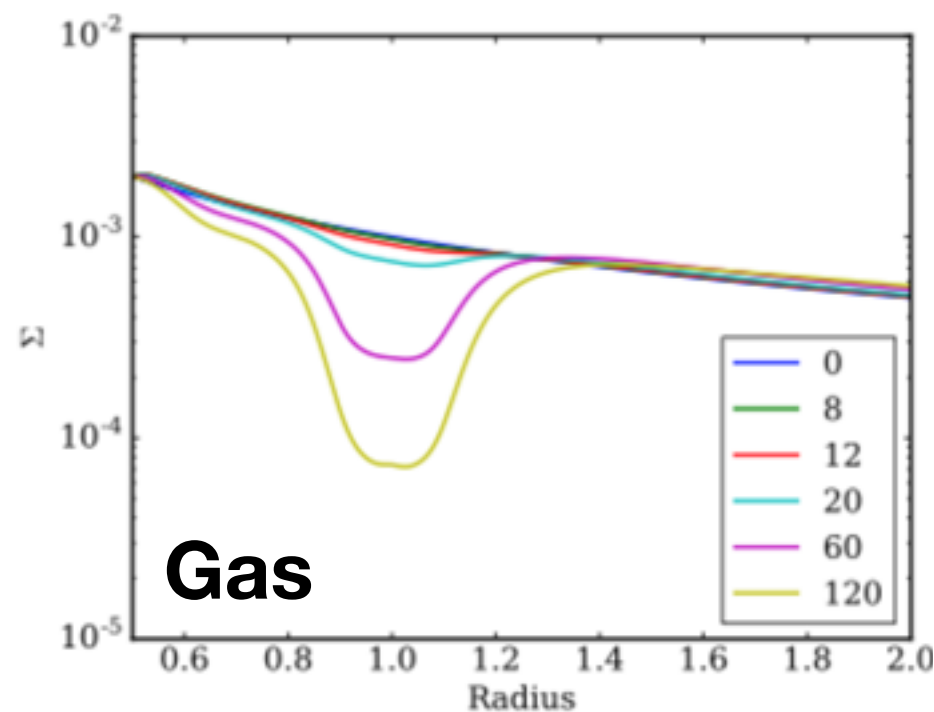


From simulations:

$$M_{\text{iso}} \approx 20 \left(\frac{a}{5 \text{ AU}} \right)^{3/4} M_{\text{E}},$$

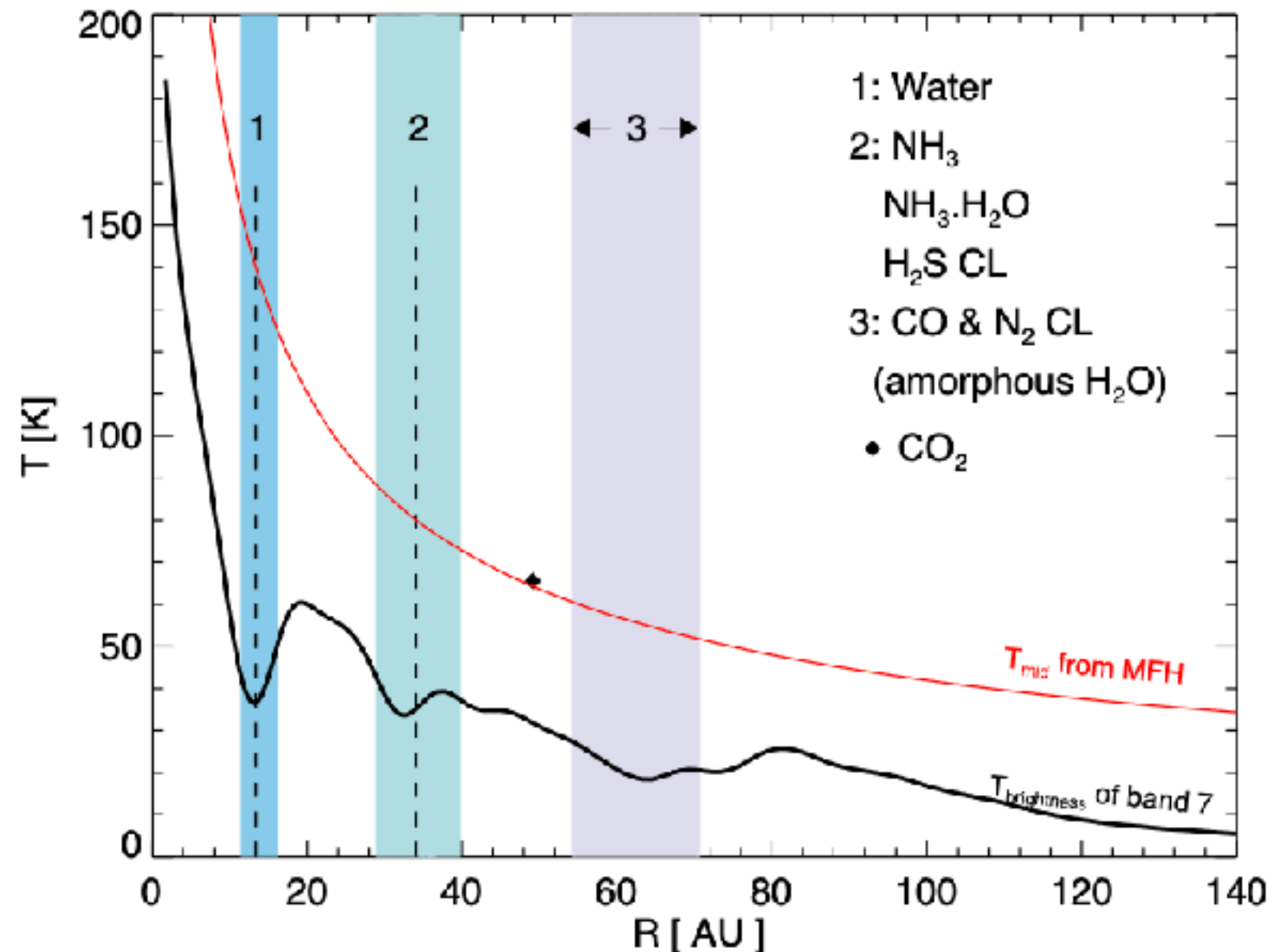
**Minimum M_{p} for
a dust trap at large R
is $\sim 10\text{-}20 M_{\text{E}}$
("pebble isolation mass")**

Gap depth different planet masses (in M_{Earth})



Origin rings and gaps

2. Snowlines



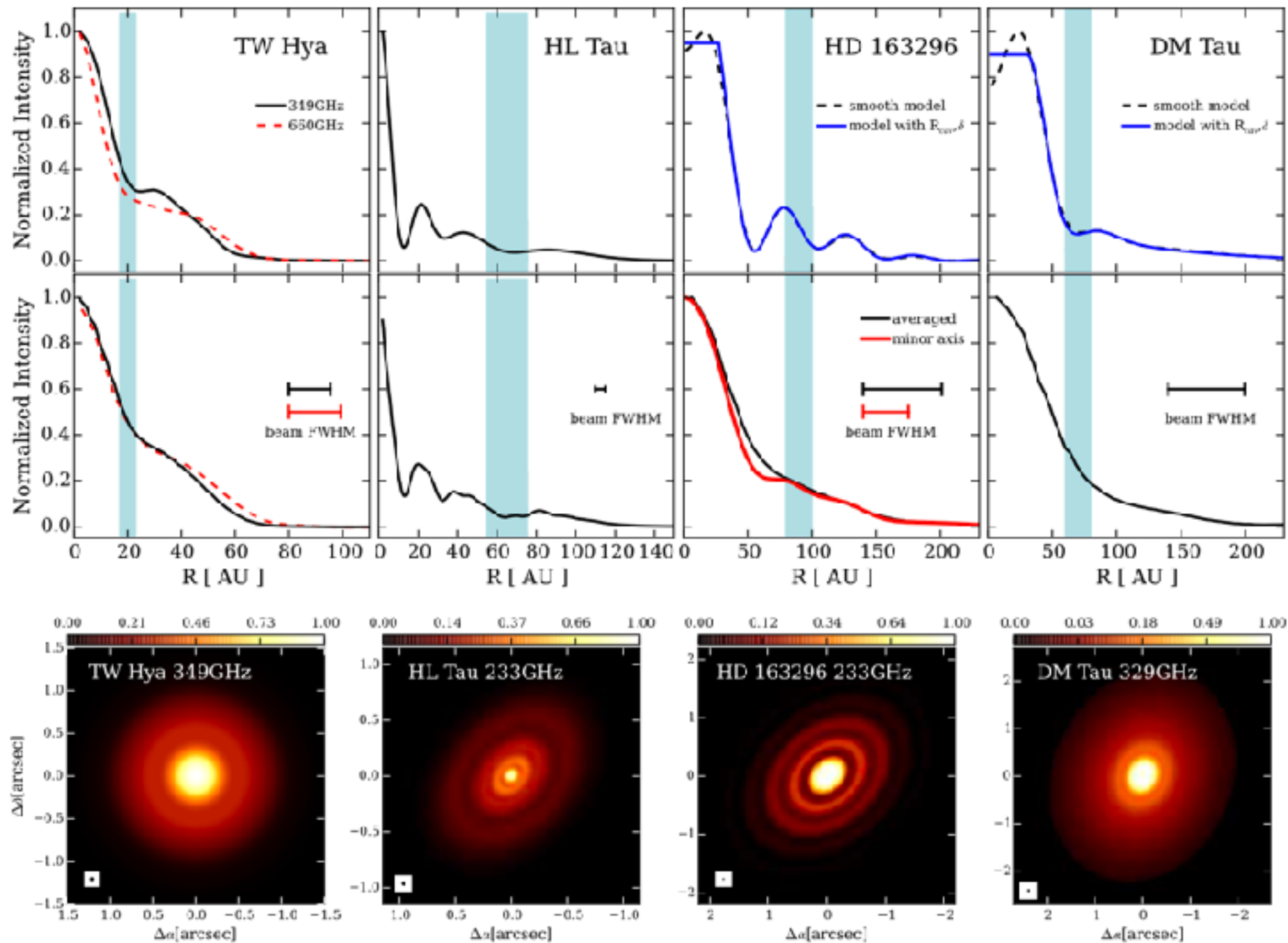
Dips in continuum overlap with major snowlines: origin of dust rings due to increased sticking efficiency?

How do you determine a snowline location?

Origin rings and gaps

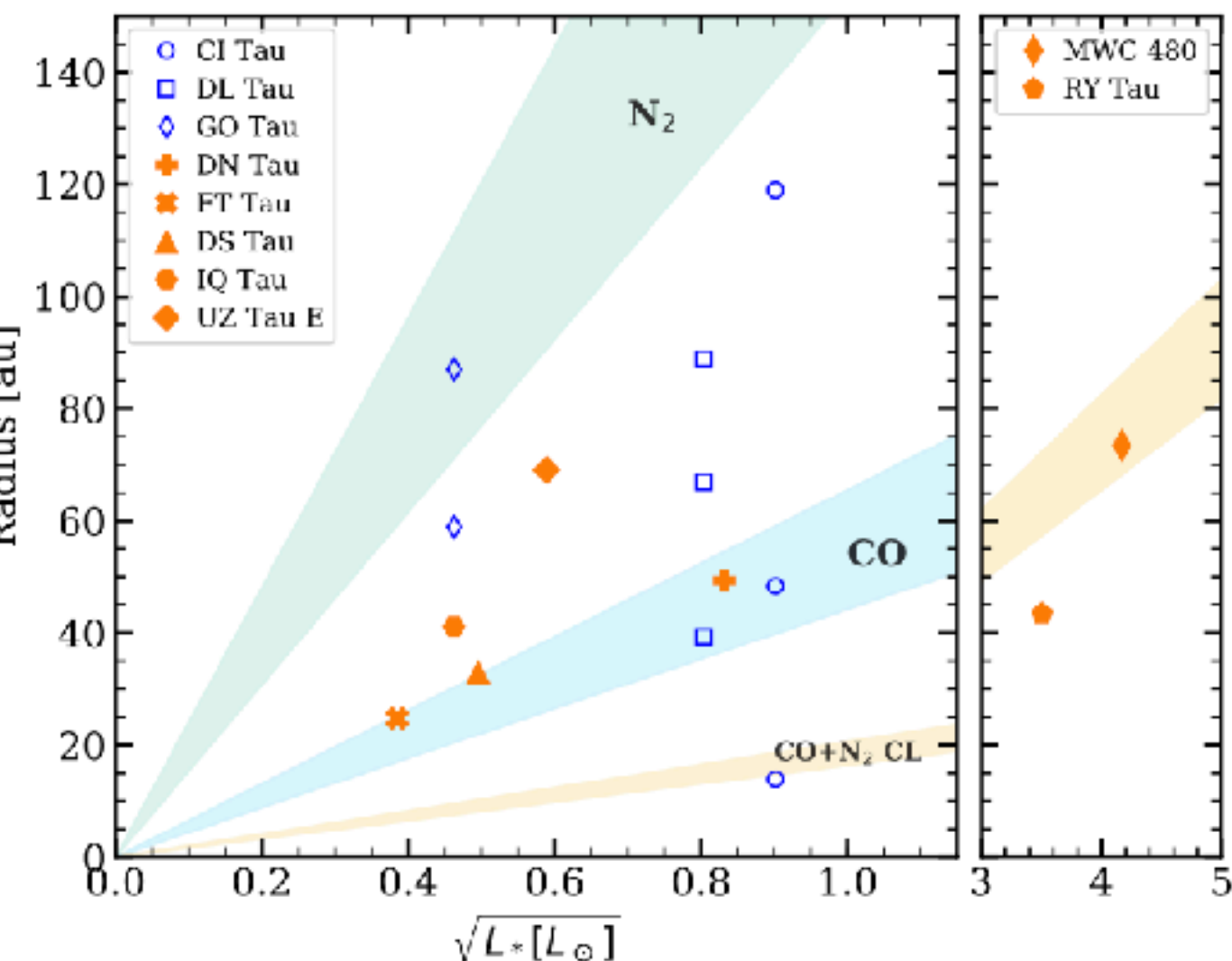
2. Snowlines

Enhanced continuum emission
(‘ring’) just beyond CO snowline

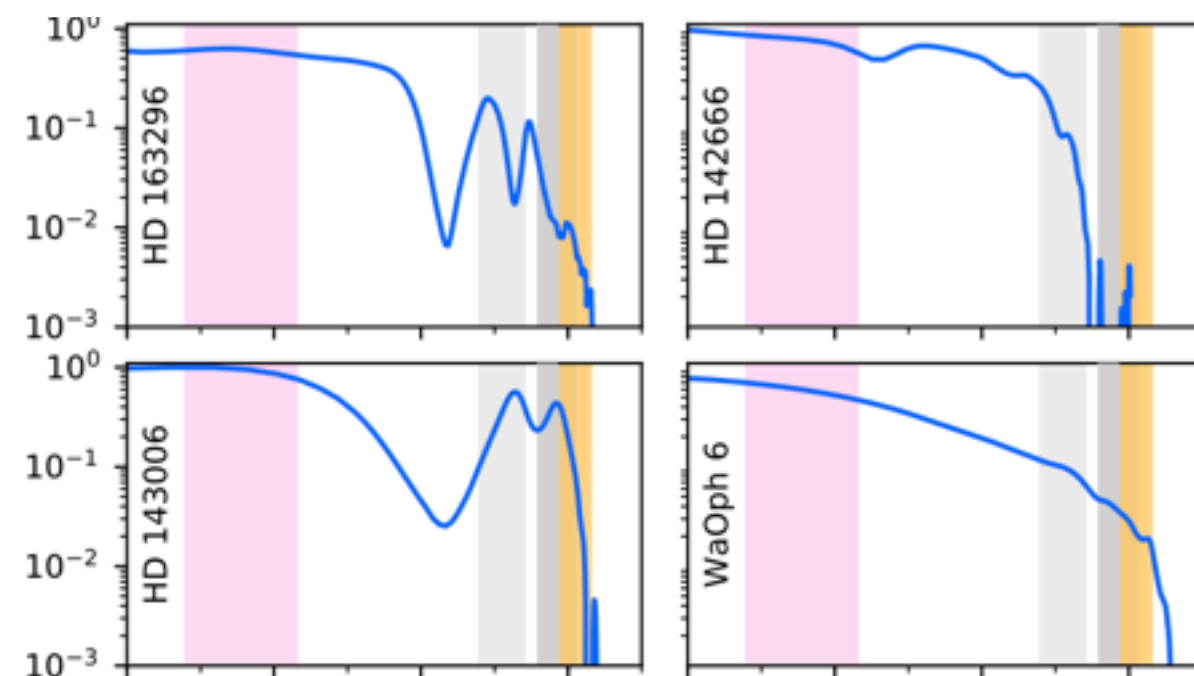
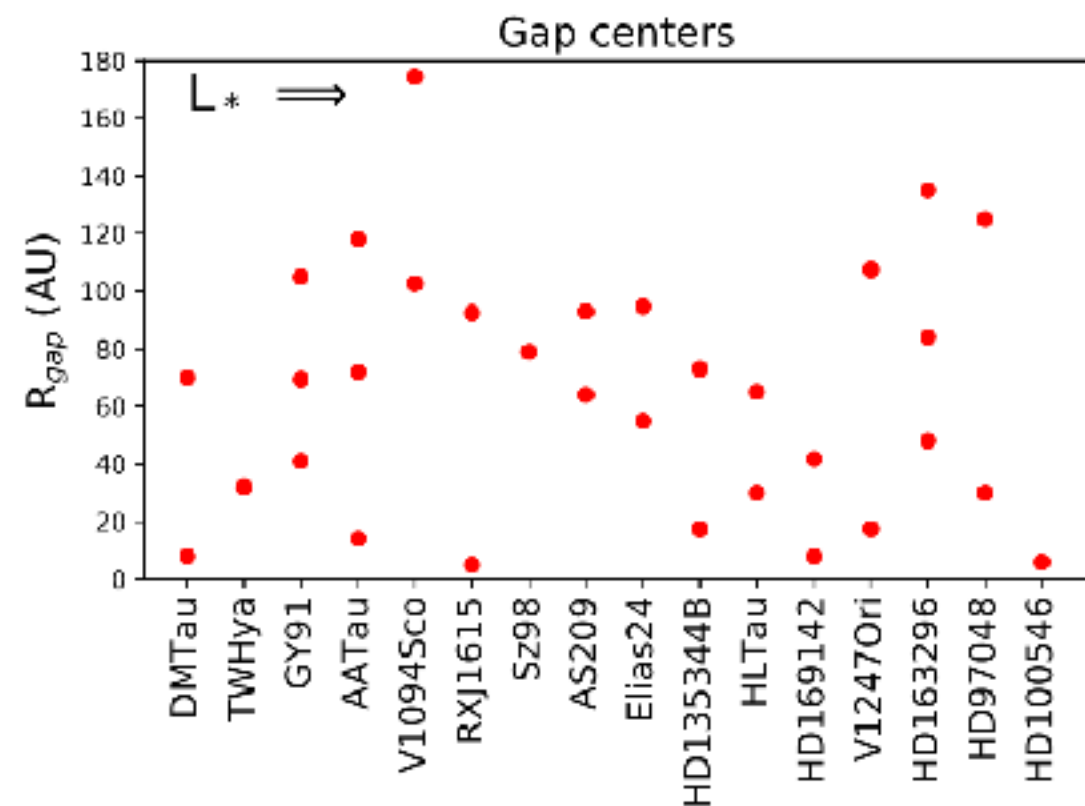


Origin rings and gaps

2. Snowlines

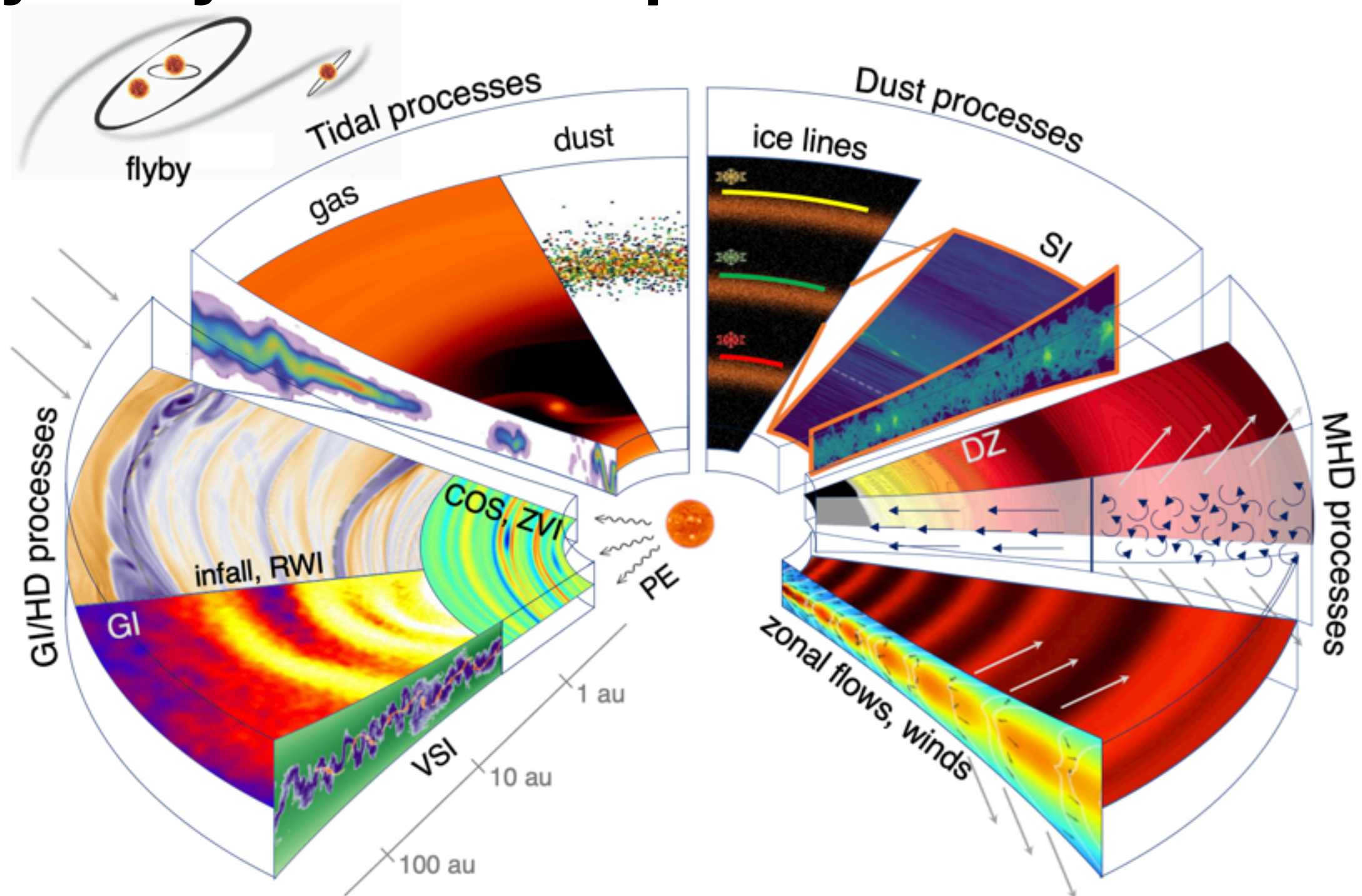


Larger samples: no consistent overlap between snowlines and gap locations



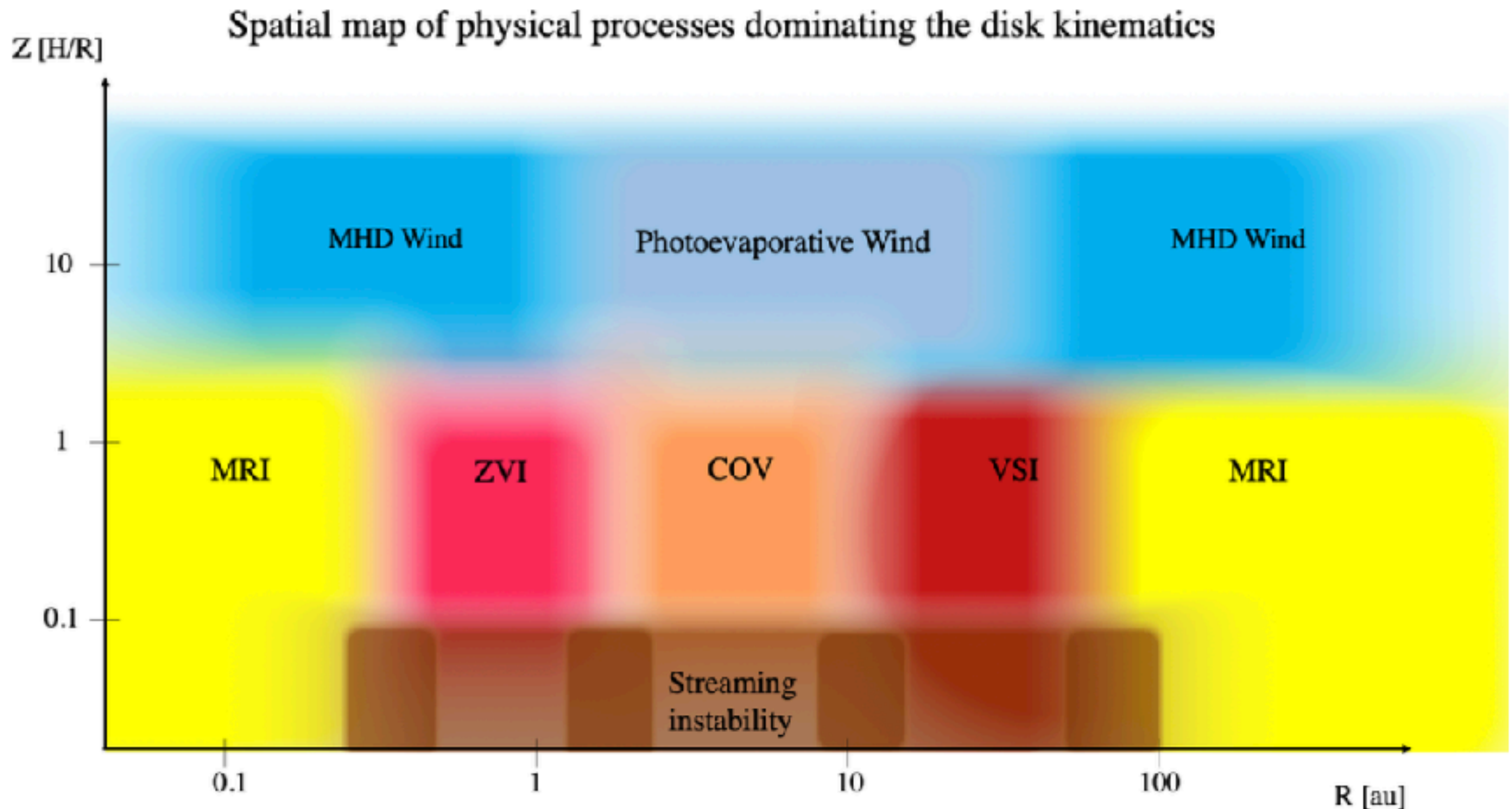
Origin rings and gaps

3. Hydrodynamic/MHD processes



Origin rings and gaps

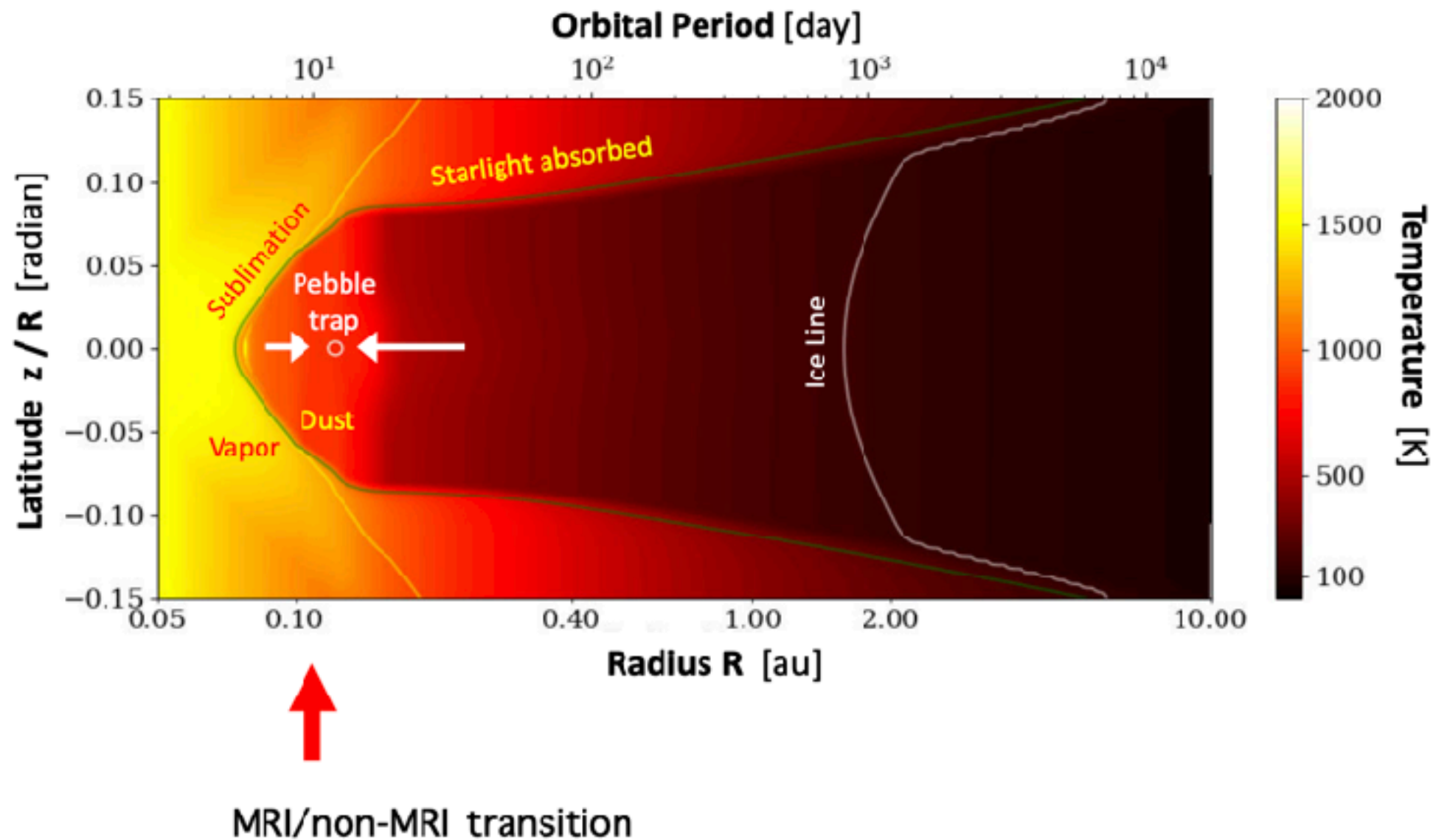
3. Hydrodynamic/MHD processes



Different processes active in different regions of the disk

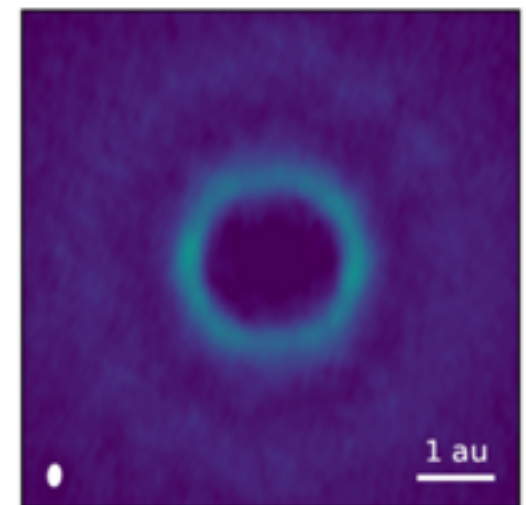
Origin rings and gaps

3. Hydrodynamic/MHD processes



What is the problem with this phenomenon?

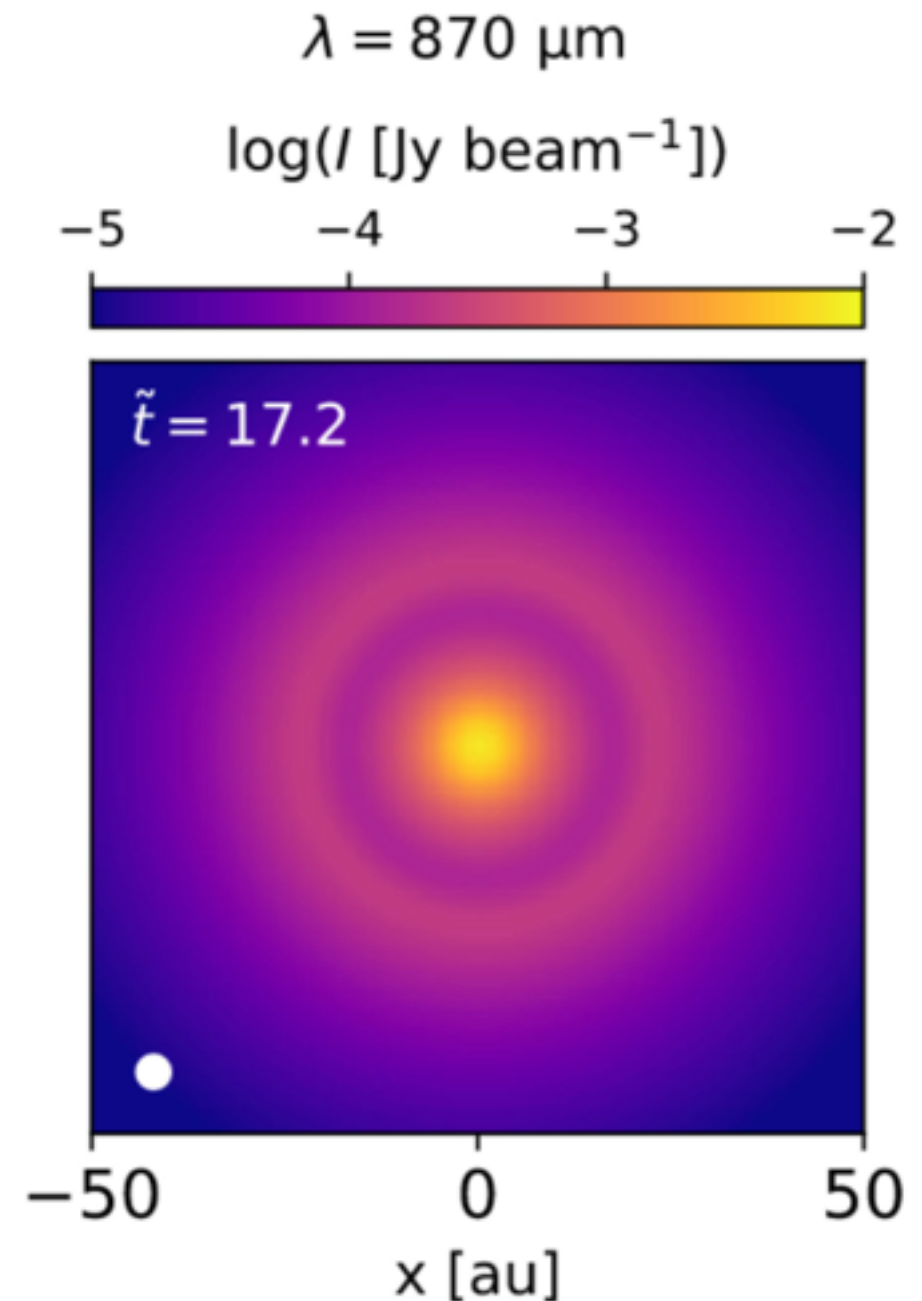
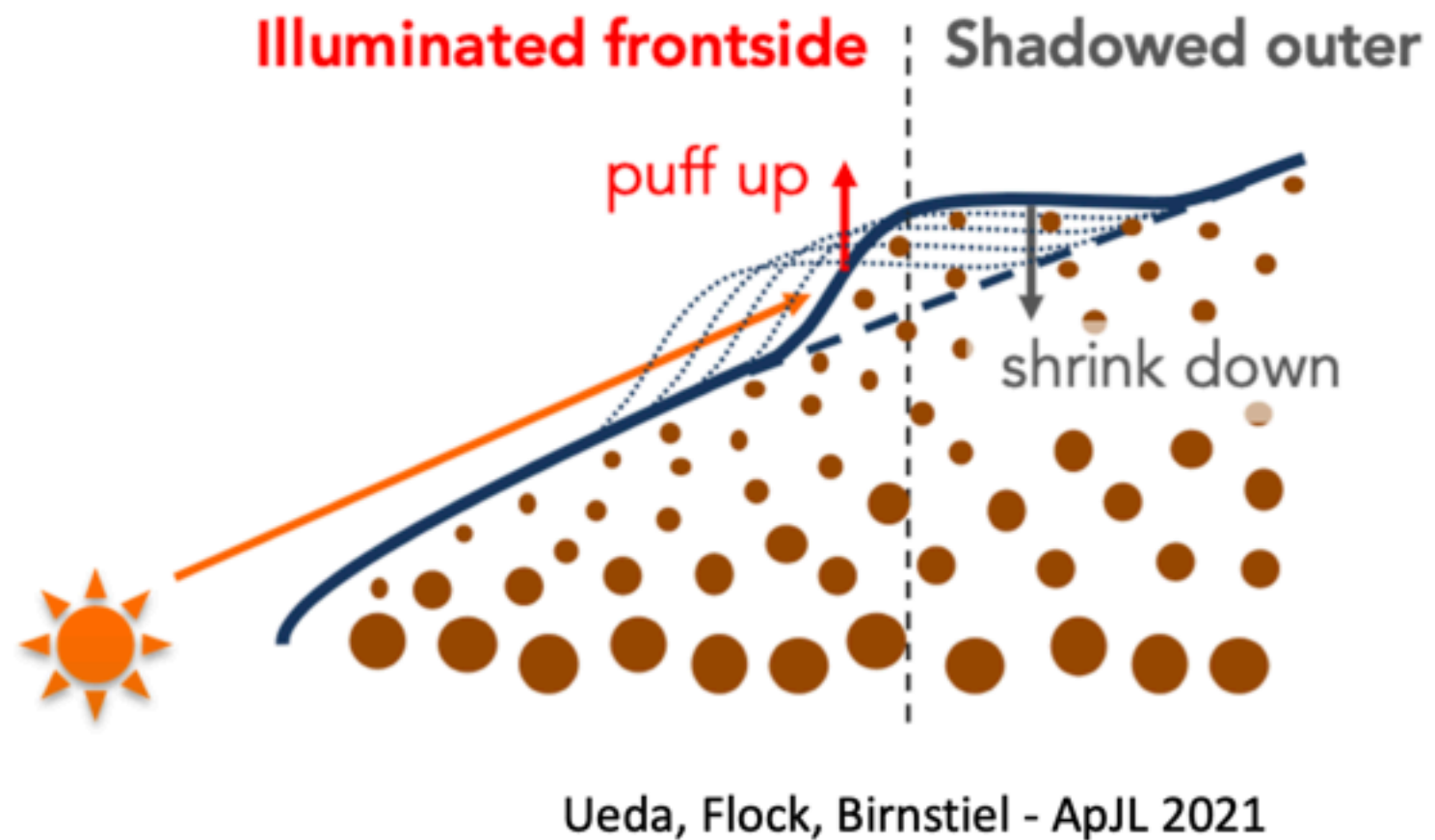
ngVLA Band 6
99.9 GHz



Origin rings and gaps

3. Hydrodynamic/MHD processes

Thermal wave instability
See also Watanabe & Lin 2008

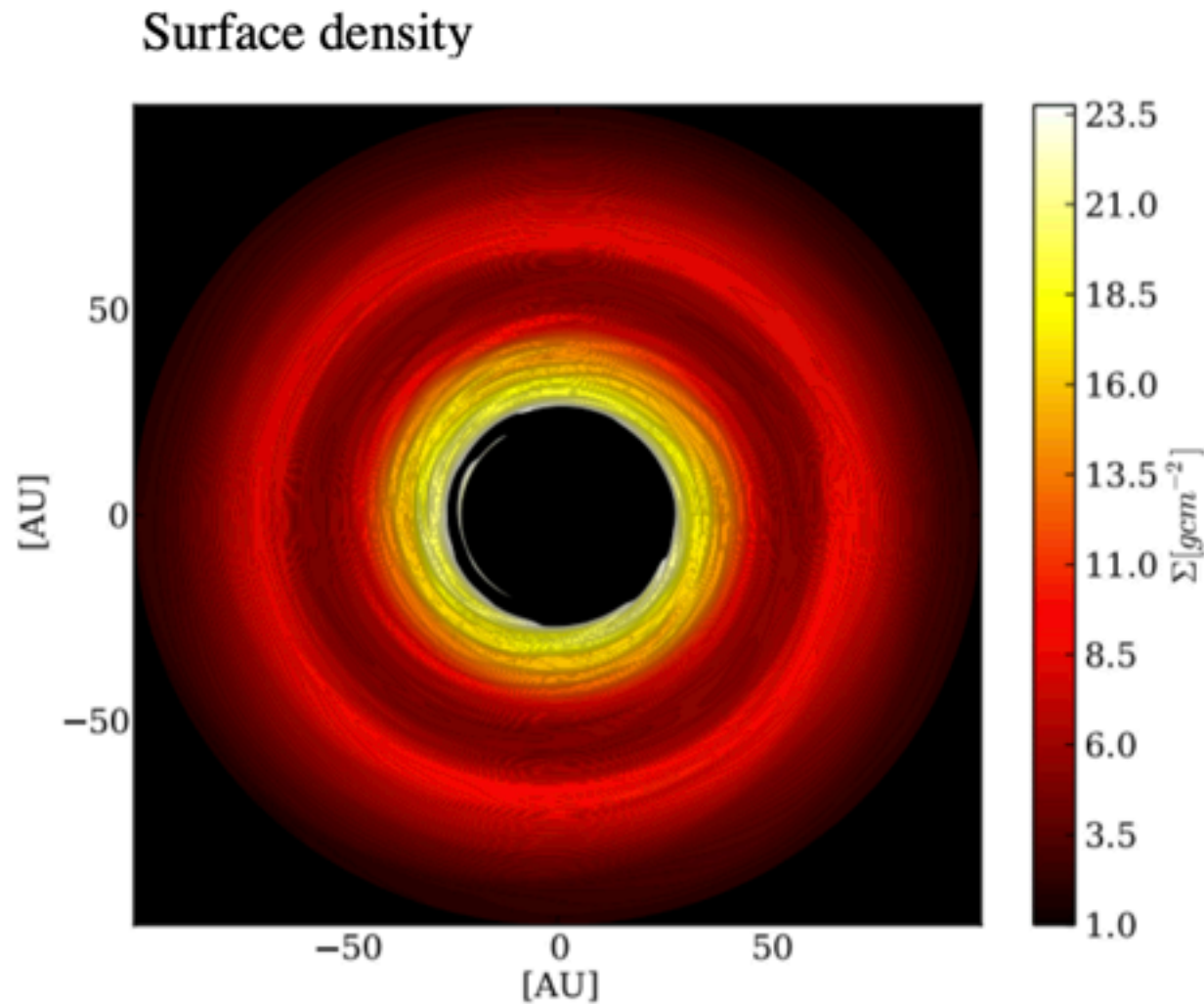


Larger scales...

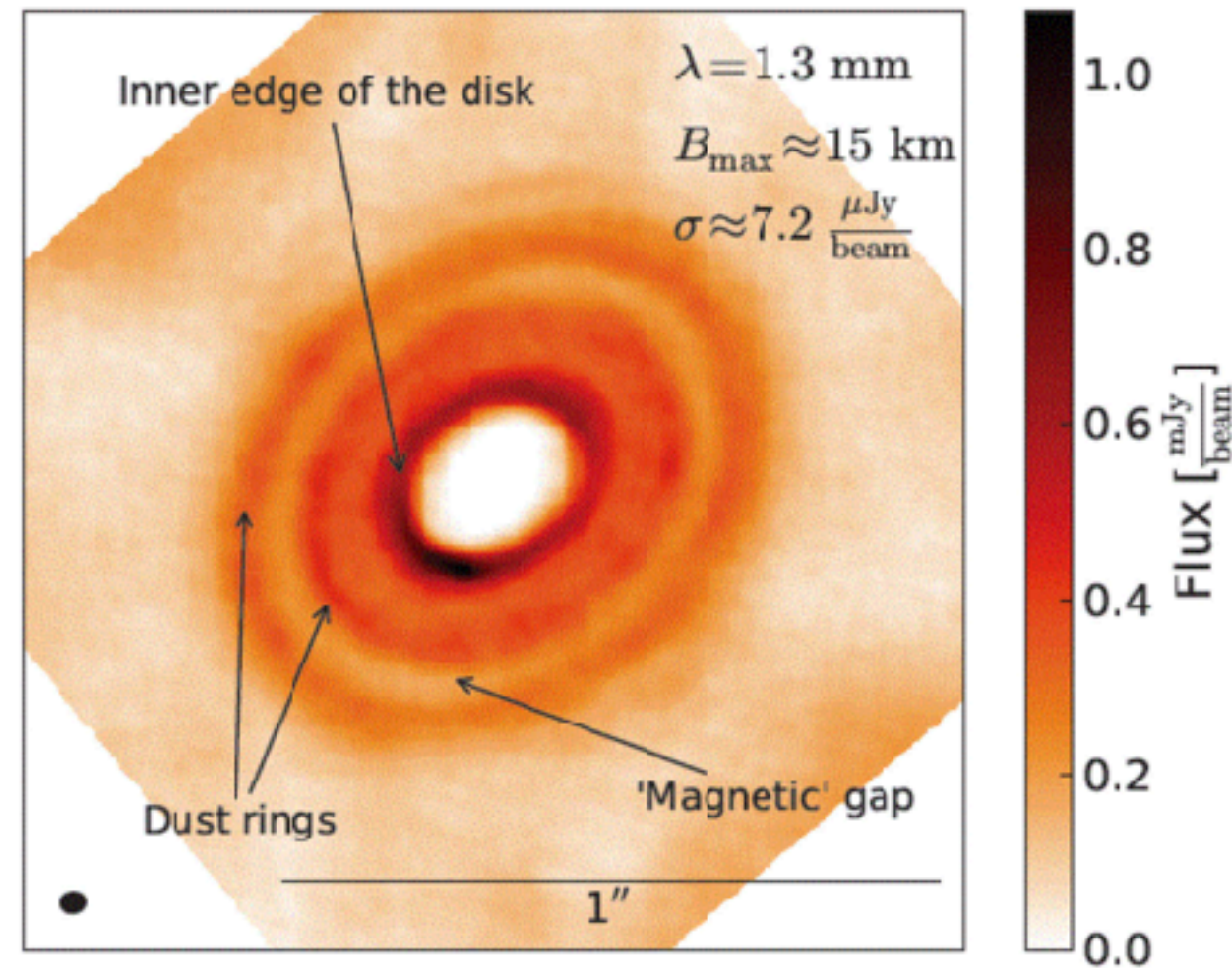
Origin rings and gaps

3. Hydrodynamic/MHD processes

Global 3D non-ideal MHD simulations



Synthetic ALMA observation of the global model



Even larger scales... (outside dead zone)

Origin rings and gaps

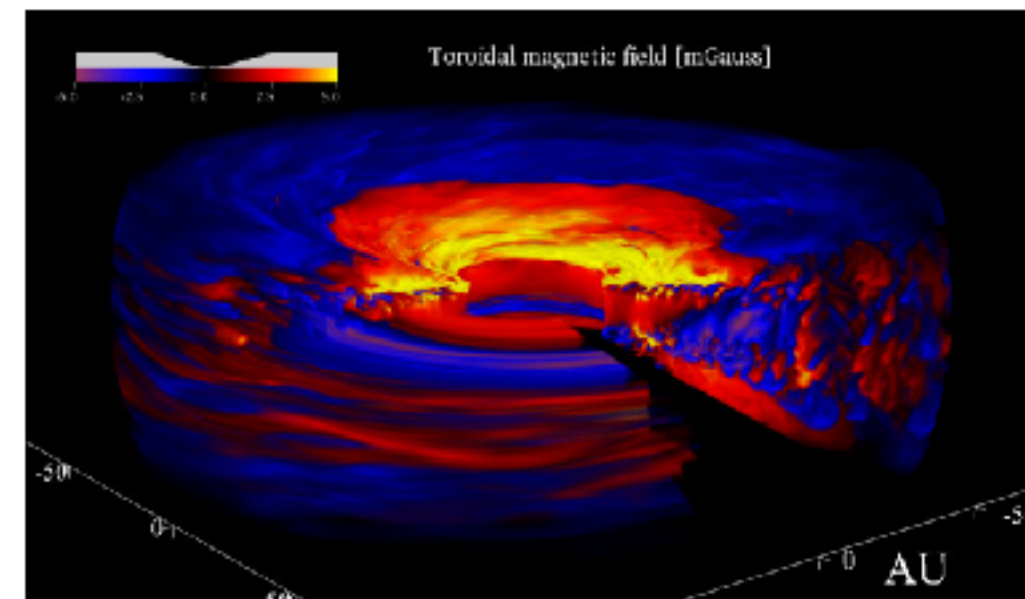
3. Hydrodynamic/MHD processes

Summary: many (magneto)hydrodynamic instabilities may be active in different parts of the disk which can lead to pressure bumps that trap the dust.

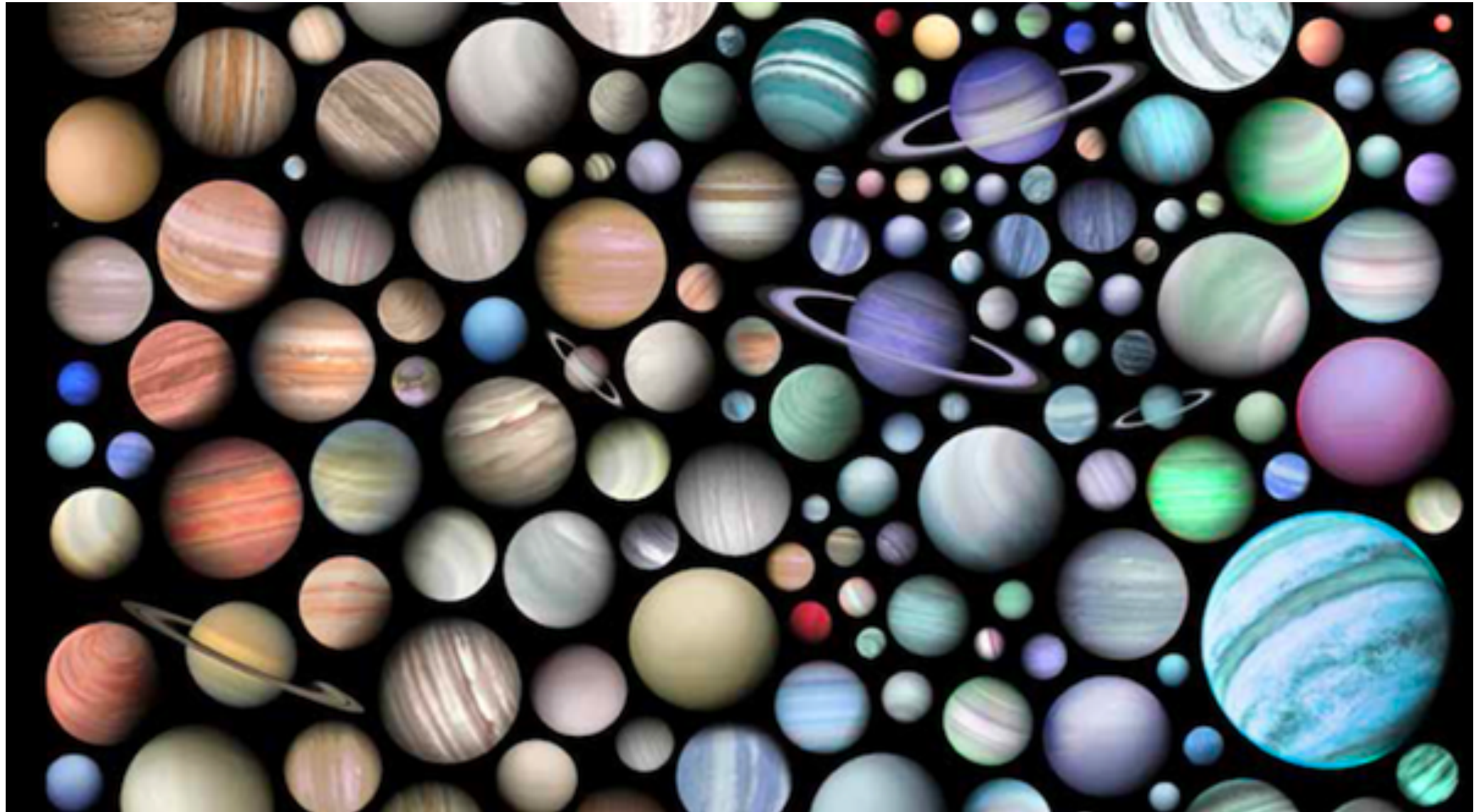
Quantifying their magnitude, lifetime and relative efficiency depends on many non-constrained disk observables, e.g. magnetic field, turbulence, etc.

Without well-constrained statistics on observed dust rings and gaps it is extremely difficult to claim a mechanism to be responsible

Global 3D non-ideal MHD simulations



So...can it be planets?



What do you think? Why yes/no?

So...it cannot be planets?

- ...because the protoplanets are not detected in disks
- ...because there are not so many giant exoplanets at such large orbital radii
- ...because there are not so many giant exoplanets overall (~20% of all stars) while all disks appear to have gaps
- ...because of the chicken-egg problem
- ...because core accretion cannot explain planet formation at large orbital radii

So...it cannot be planets?

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- ...because there are not so many giant exoplanets at such large orbital radii
- ...because there are not so many giant exoplanets overall (~20% of all stars) while all disks appear to have gaps
- ...because of the chicken-egg problem
- ...because core accretion cannot explain planet formation at large orbital radii

But the sensitivity of HCl is still poor, especially close to the star

But the planets may migrate inwards

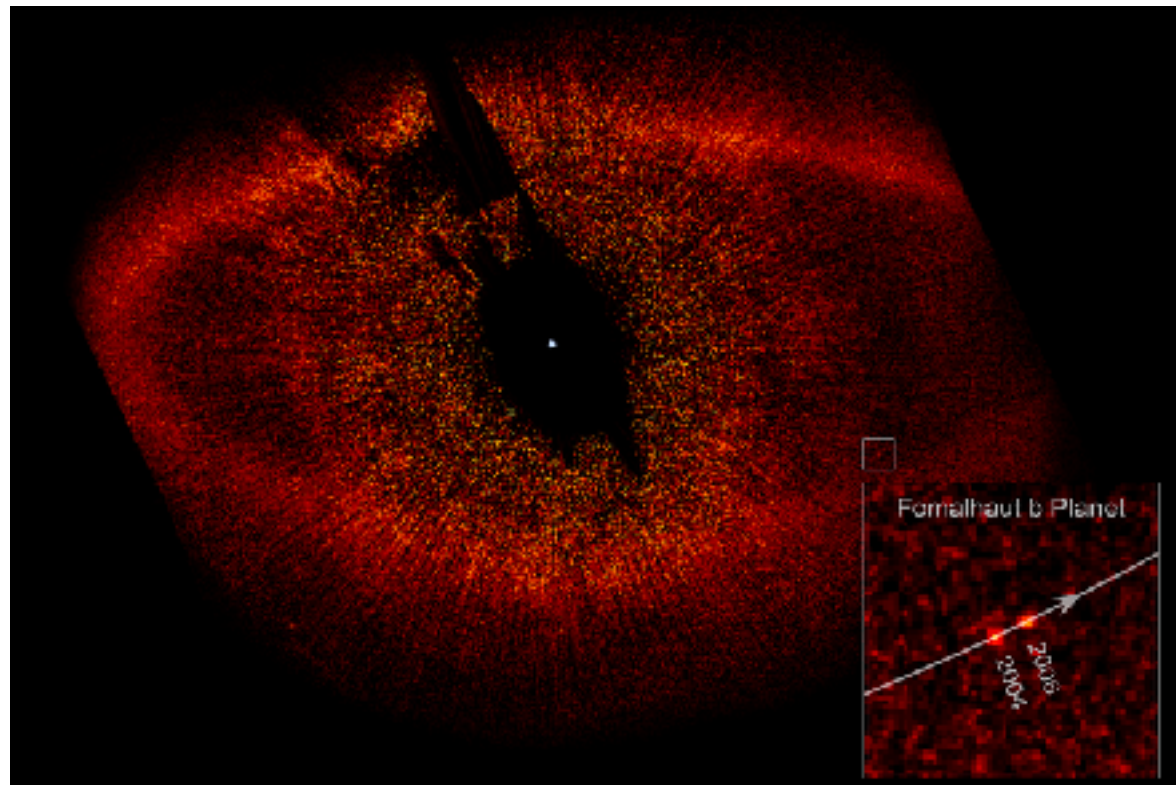
But the high-resolution observations are biased towards the brightest disks

But the planets may have formed in dust traps in embedded conditions and we are just looking at remnants

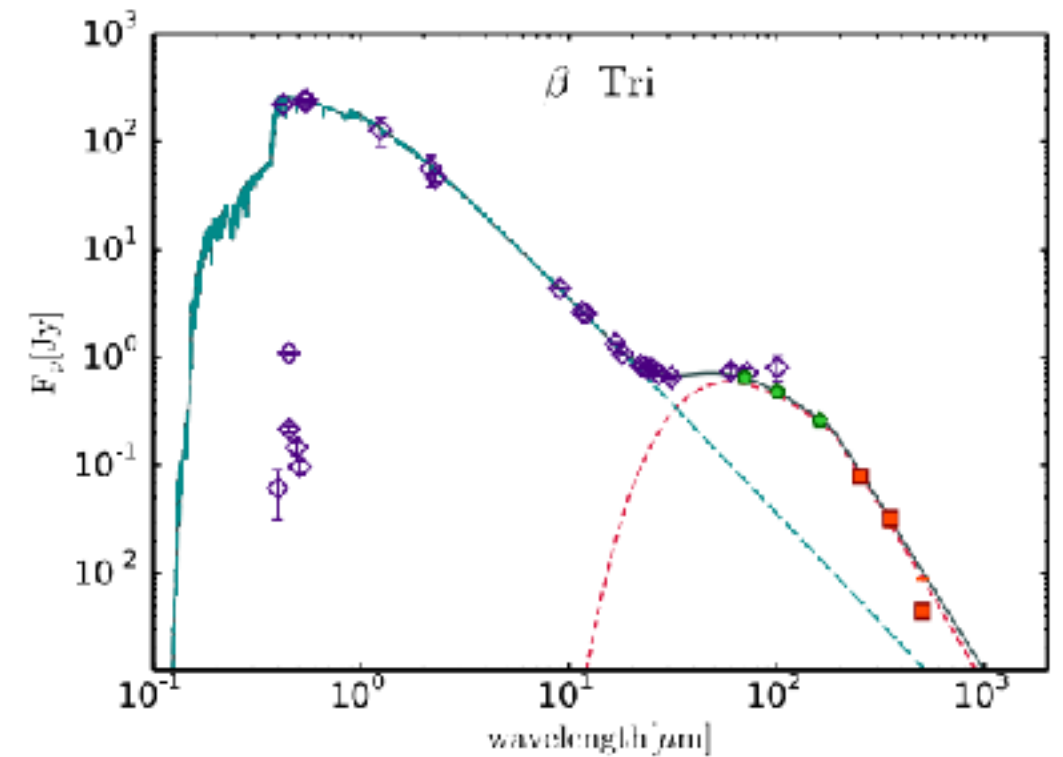
But core accretion has not been computed yet in realistic disk conditions (trapping, settling, embedded). Also GI?

Debris disks

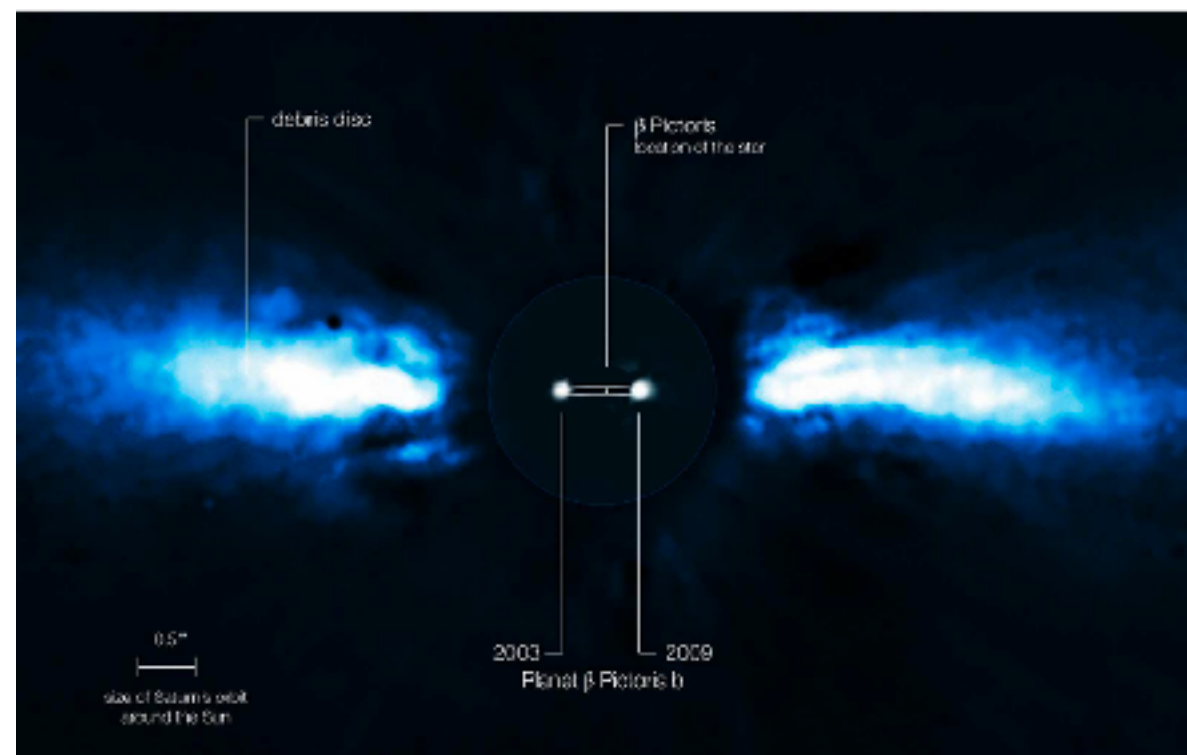
Planetesimal belts around stars > 10 Myr old
(including main sequence stars)



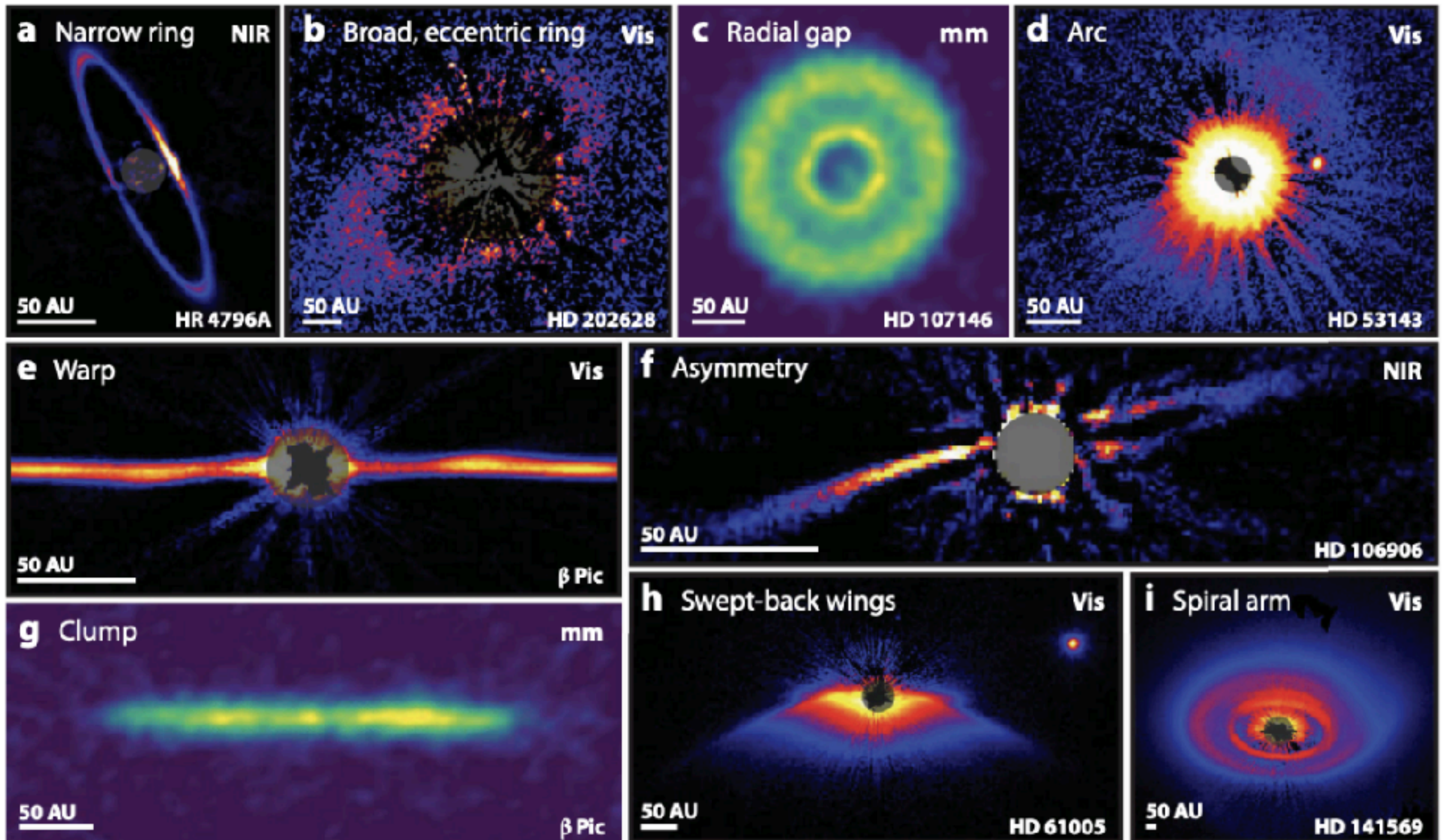
No more gas disk: dust is continuously replenished from collisions



Typical SED: FIR excess only (Herschel!)



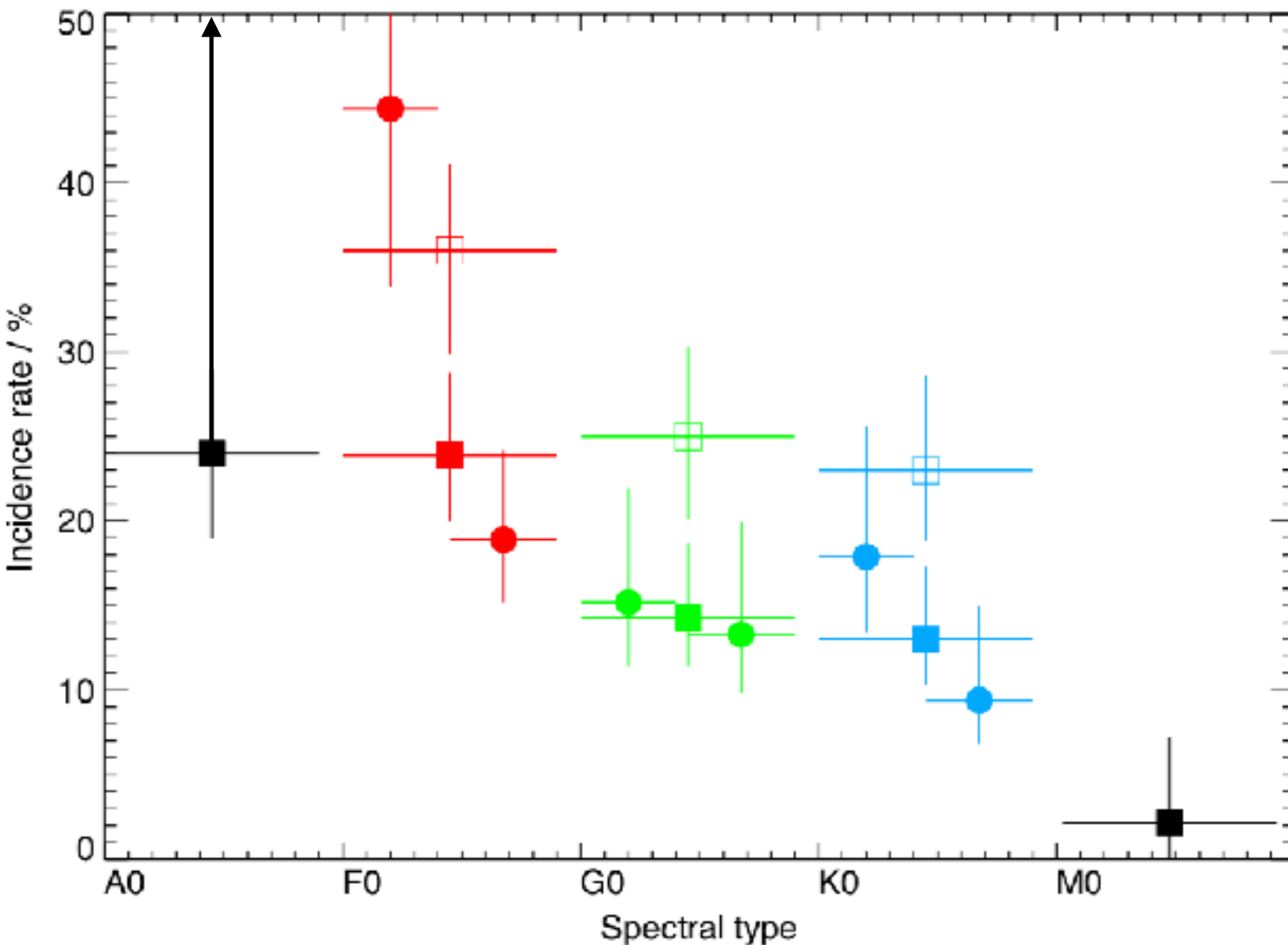
Debris disks



Large diversity of structures

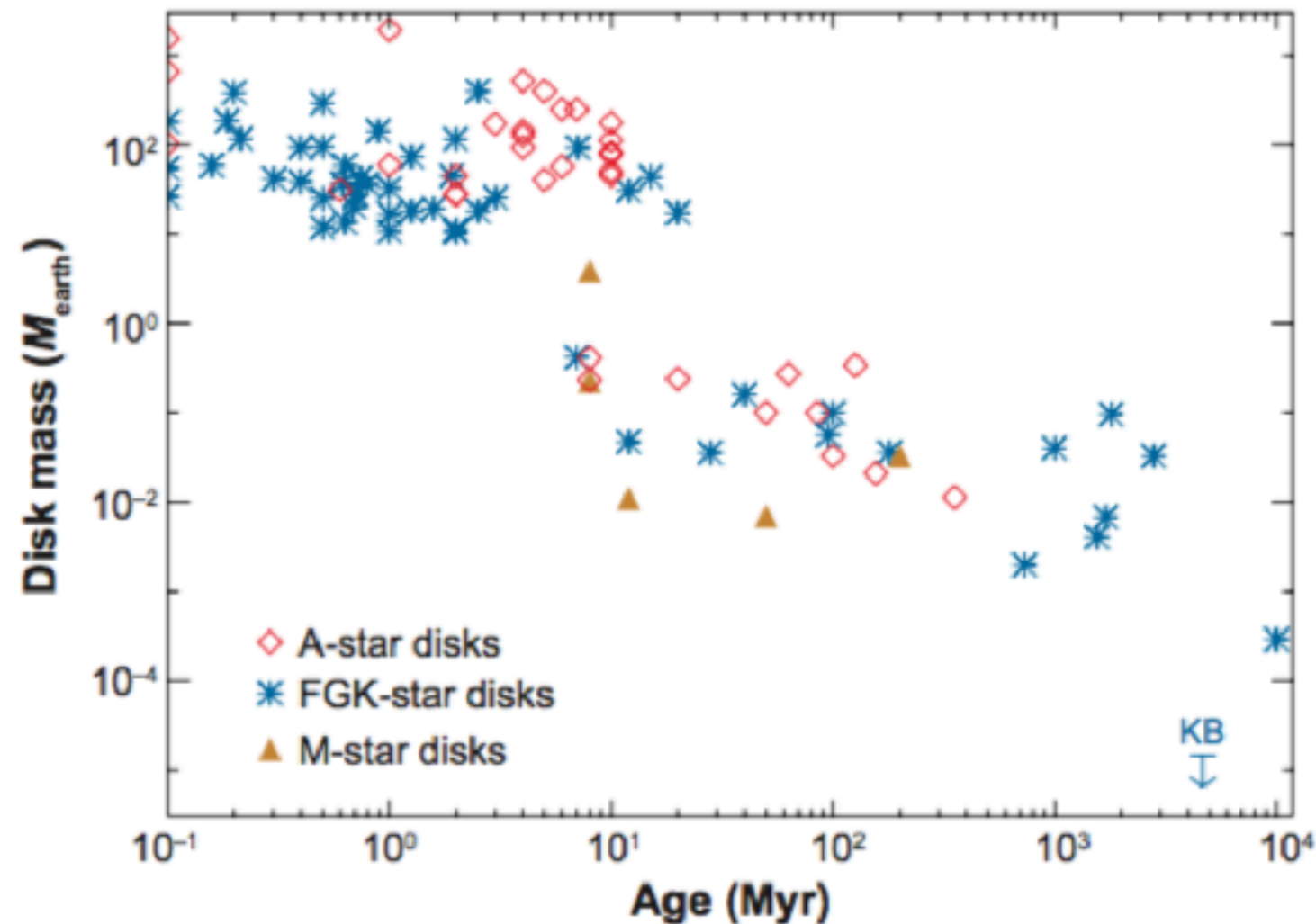
Debris disks

Herschel DEBRIS survey

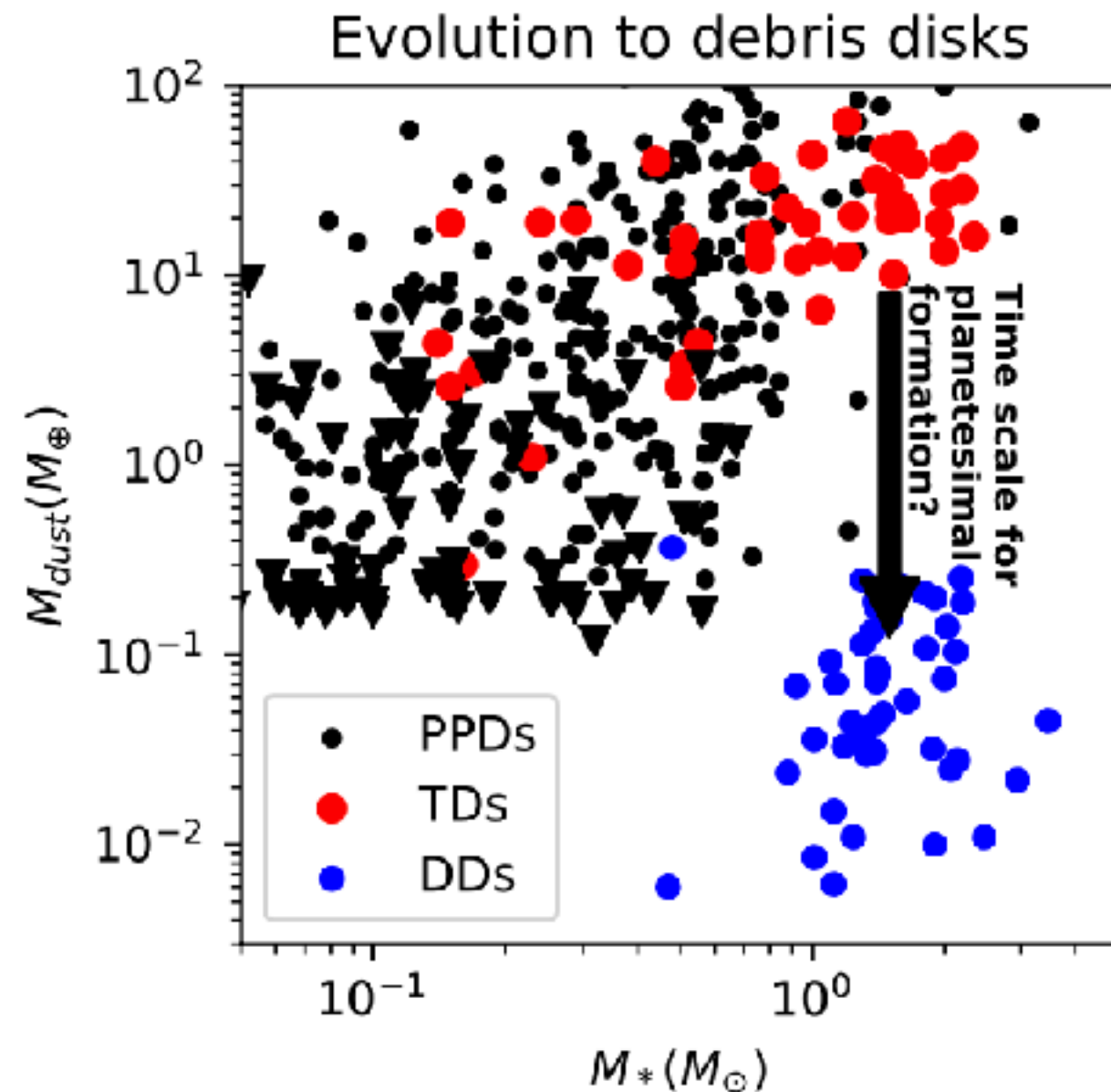


Debris disks are found throughout the sky: no longer part of star forming clusters (**why?**). Most often found around A stars because of brightness, and occurrence rate calculation indeed suggests that they may be less common around later type stars

Debris disks

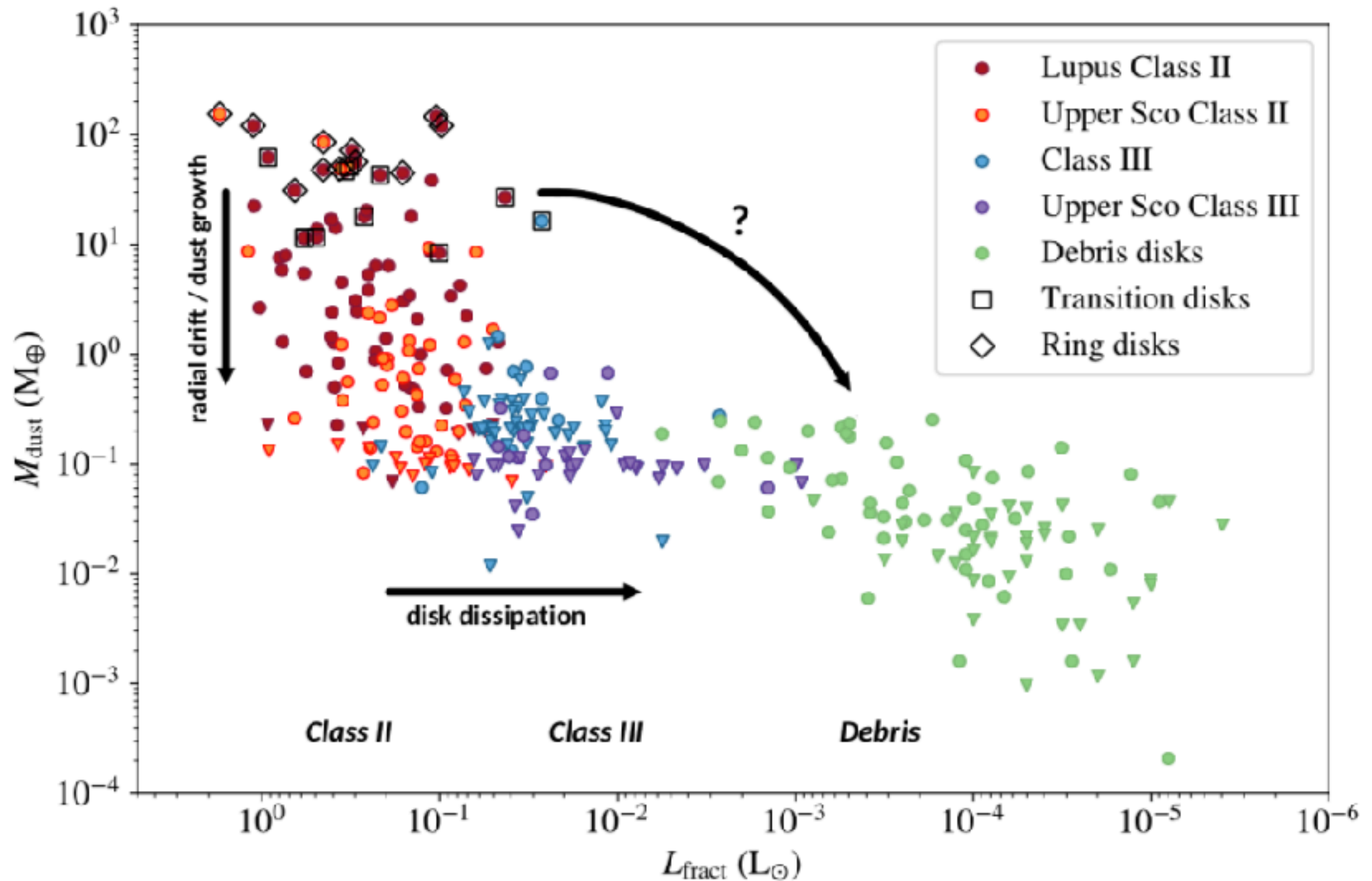


Dust masses of debris disks well below protoplanetary disks, but comparison only possible for $>M_{\text{sun}}$ stars



Wyatt et al. 2008
van der Marel 2022 (review)

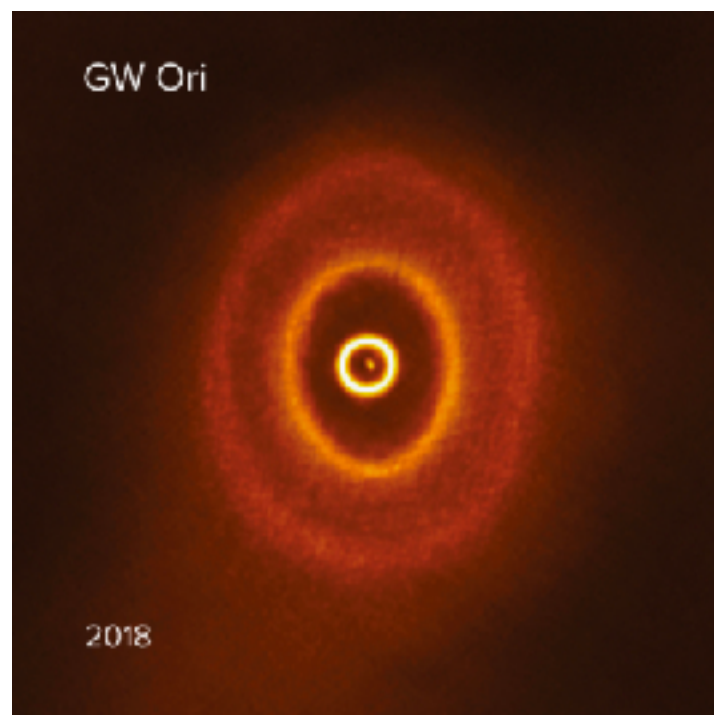
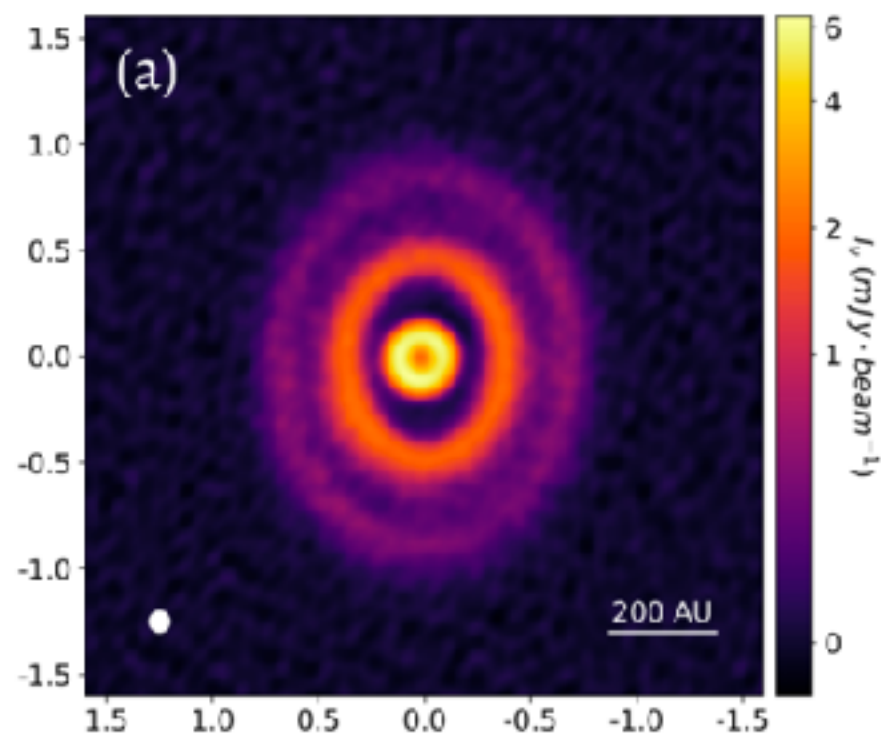
Debris disks



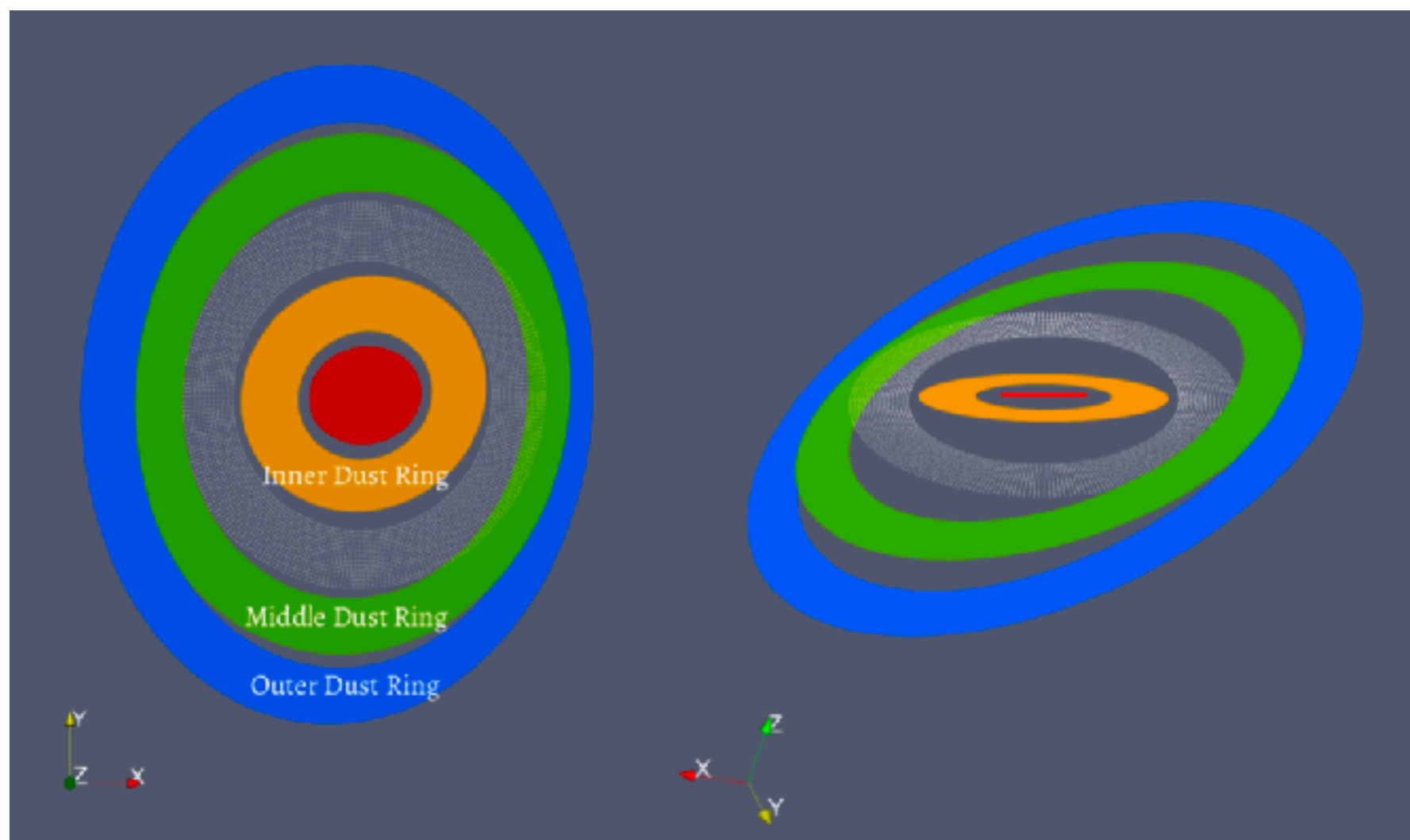
Michel, van der Marel & Matthews 2021
Najita et al. 2022
Zormpas et al. 2022

Are gapped disks progenitors of debris disks?

Misalignments



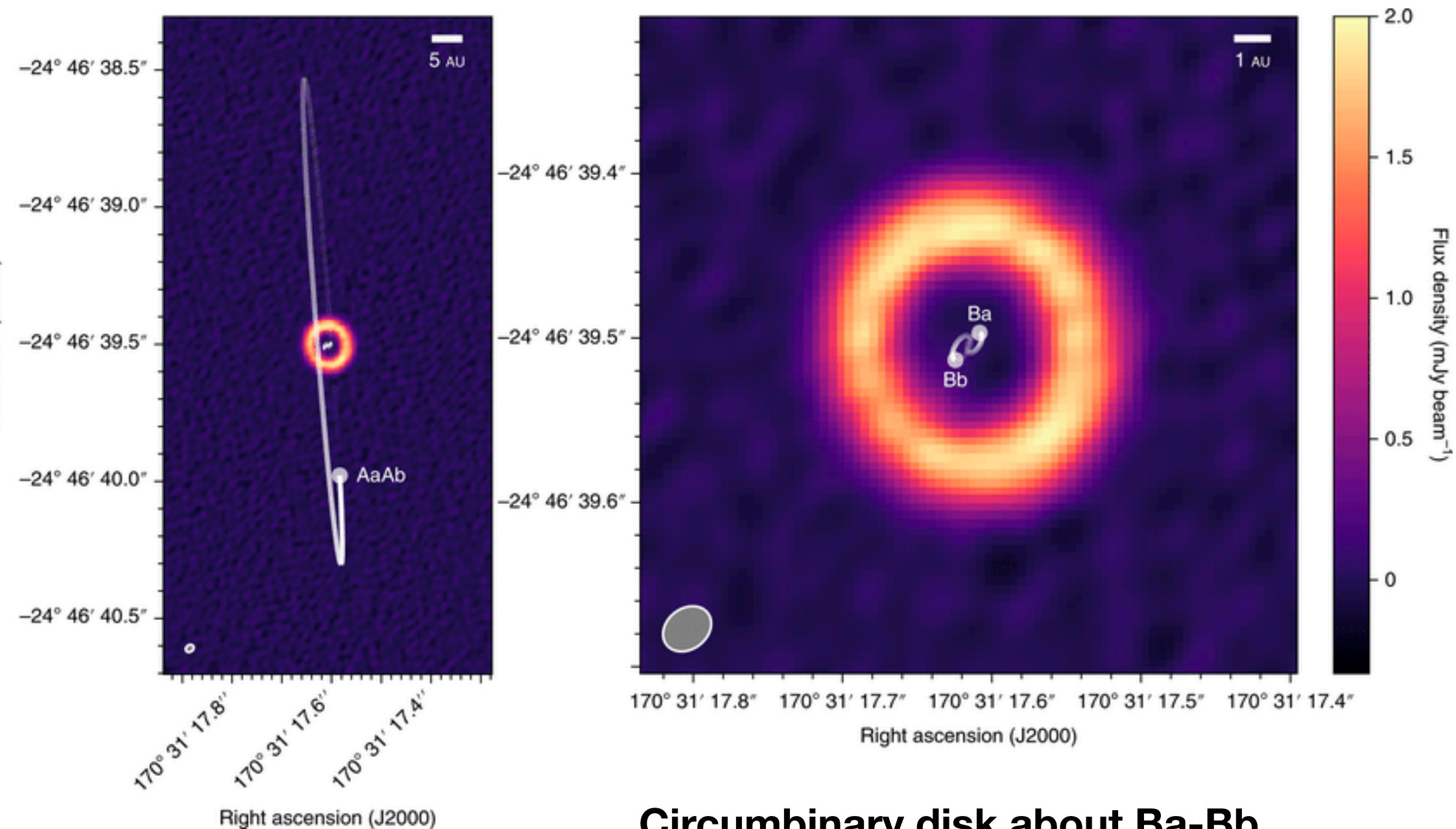
Same disk, higher resolution



Different orientations of different rings: dynamical effect of breaking of the disk, followed by precession?

Bi et al. 2020
Kraus et al. 2020

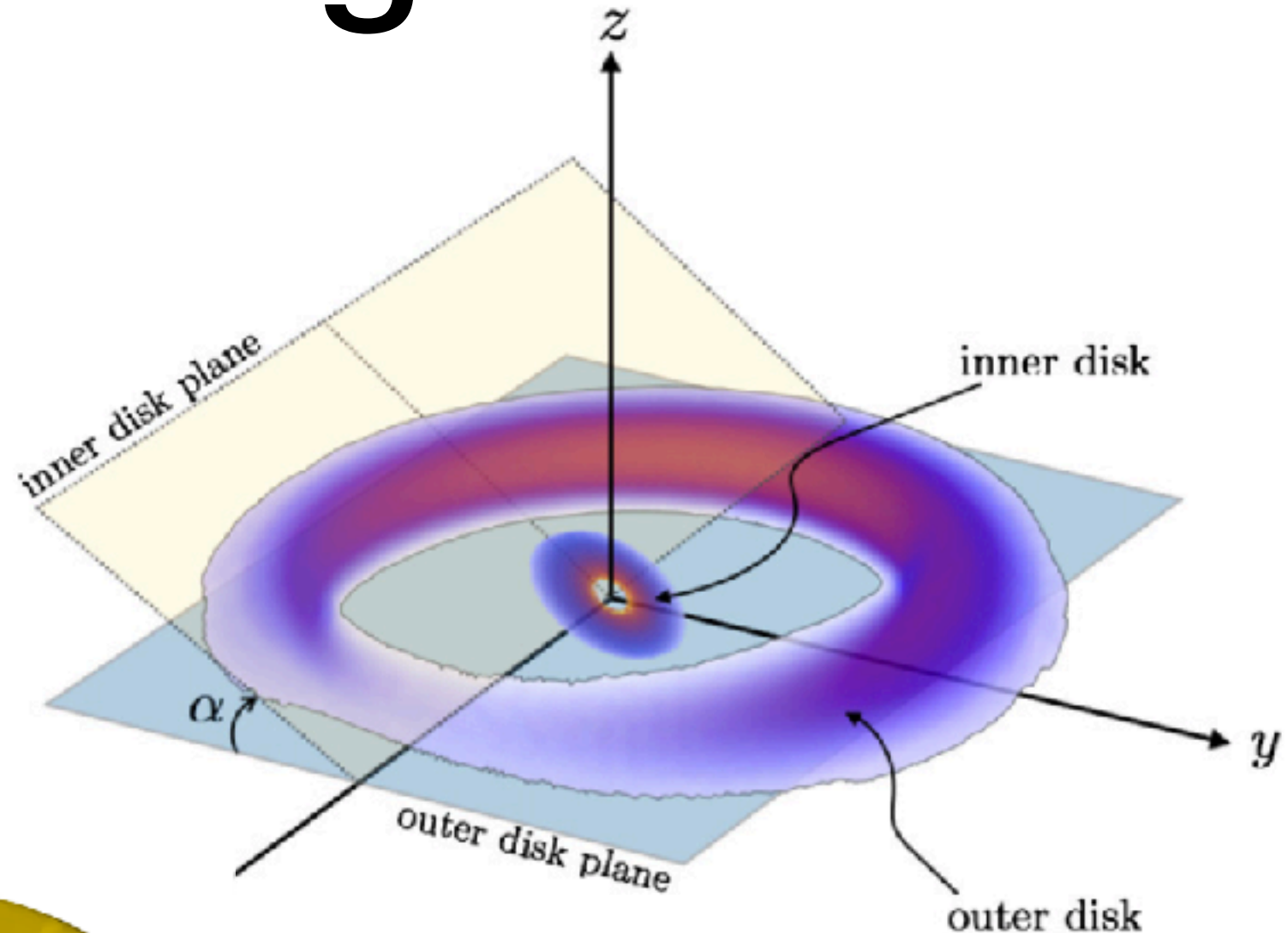
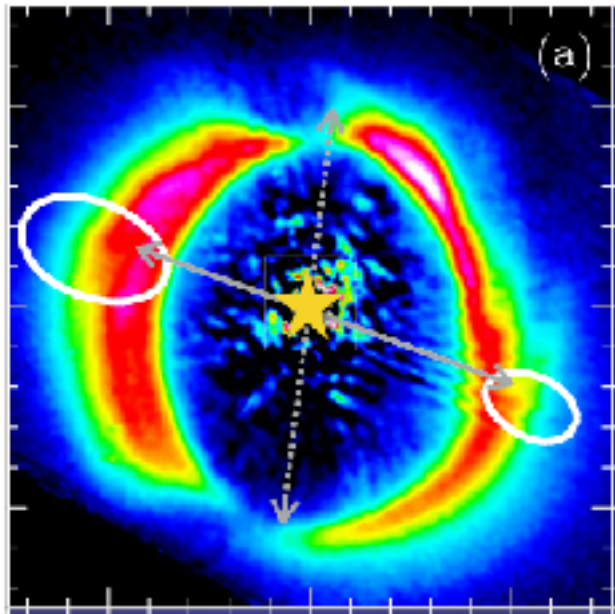
Misalignment



**Circumbinary disk about Ba-Bb
is orthogonal to orbit Aa-Ab star:
polar orbit**

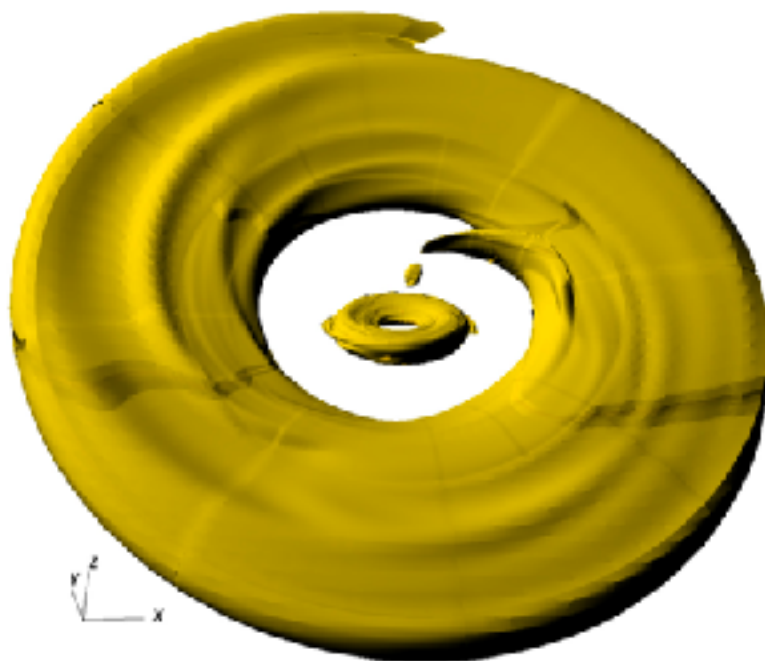
Misalignment

Shadows in scattered light



Shadows in NIR image can be explained if the inner disk is misaligned, casting a shadow on the outer ring

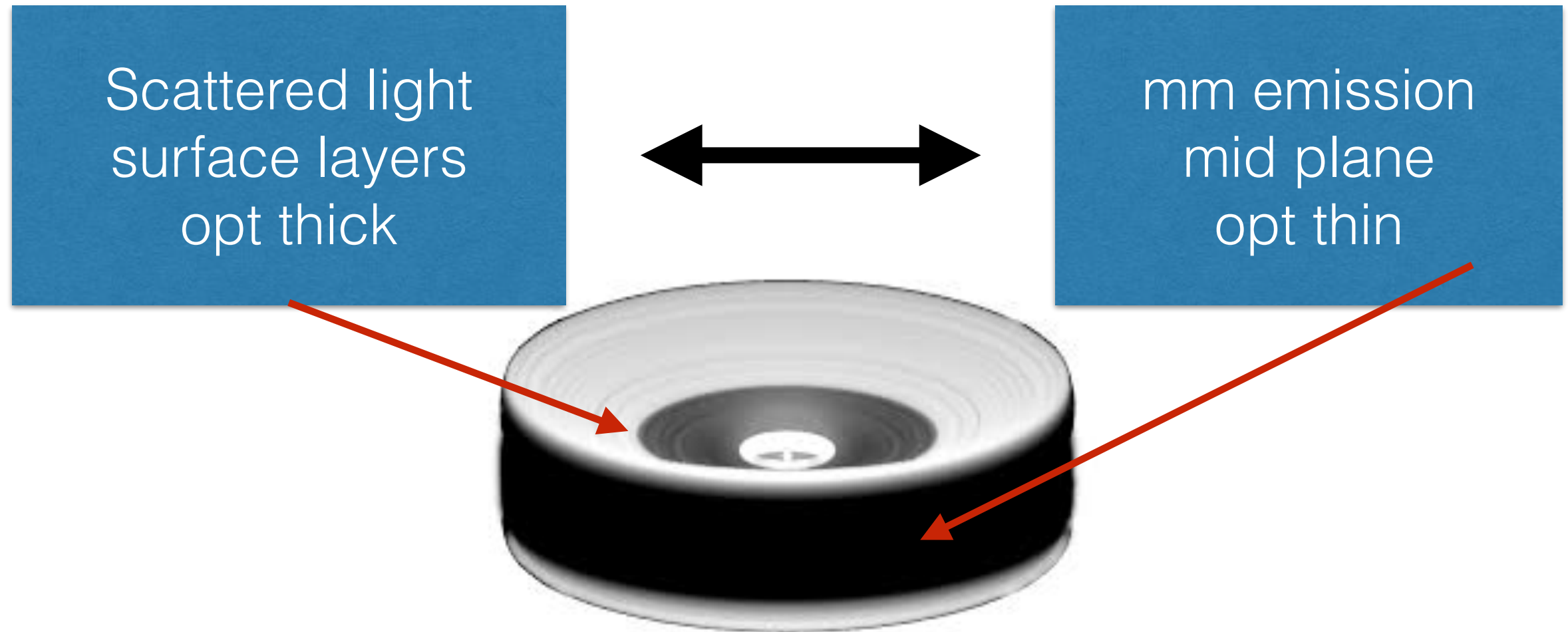
Simulation: precession inner disk



Misalignment when the disk is 'broken' and precessed

Marino et al. 2015
Zhu 2019

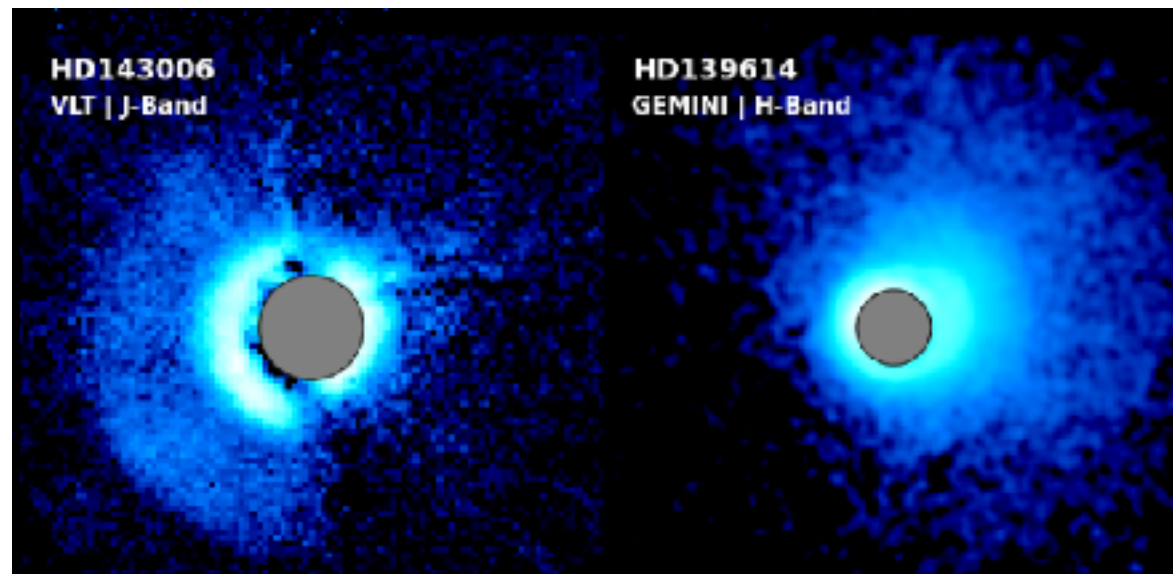
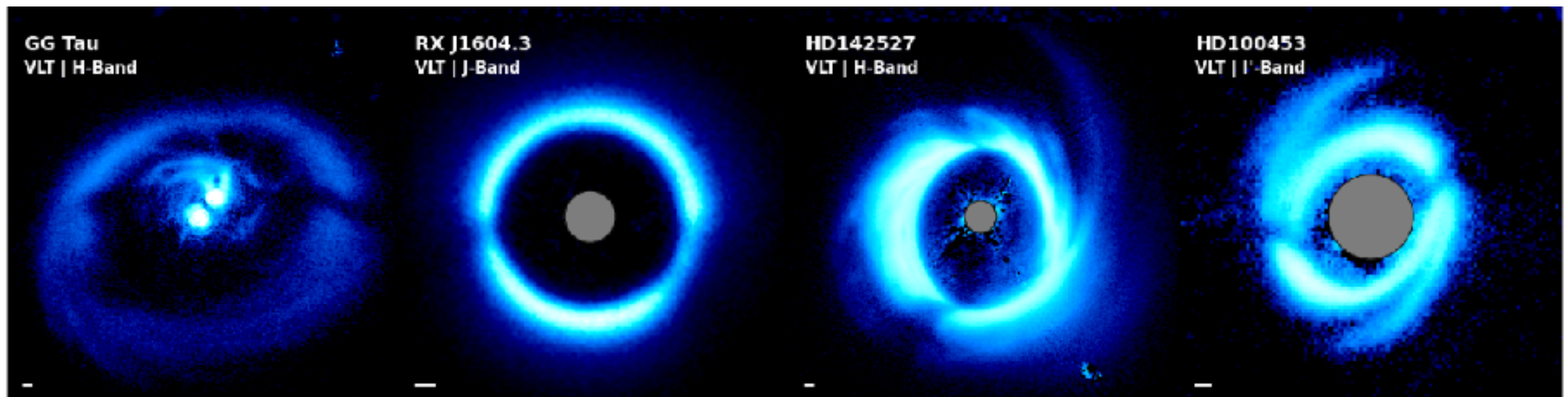
NIR imaging: surface layers of small dust grains



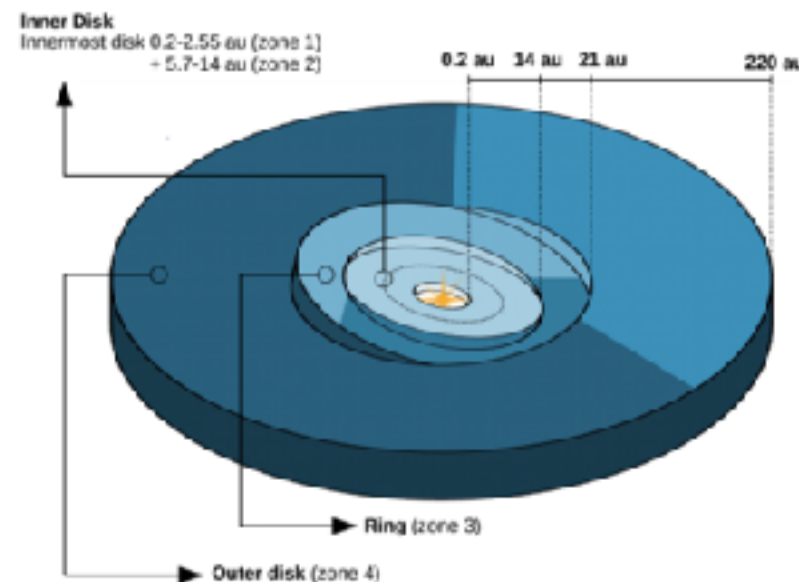
Gemini/Subaru/VLT/Keck:

Scattered light/polarized light

Shadows



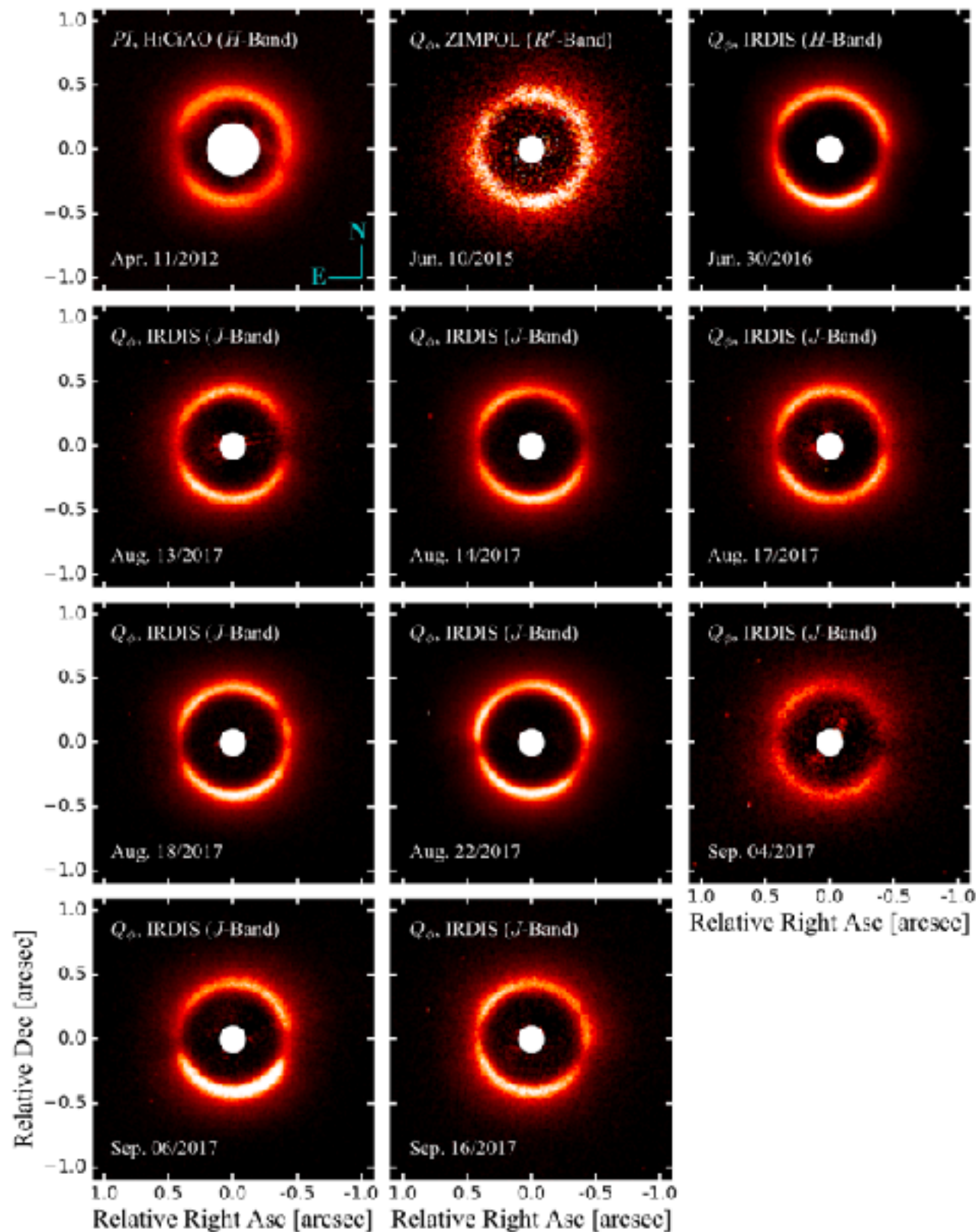
Narrow shadows are seen in several disks, as well as broad shadows that block half of the disk



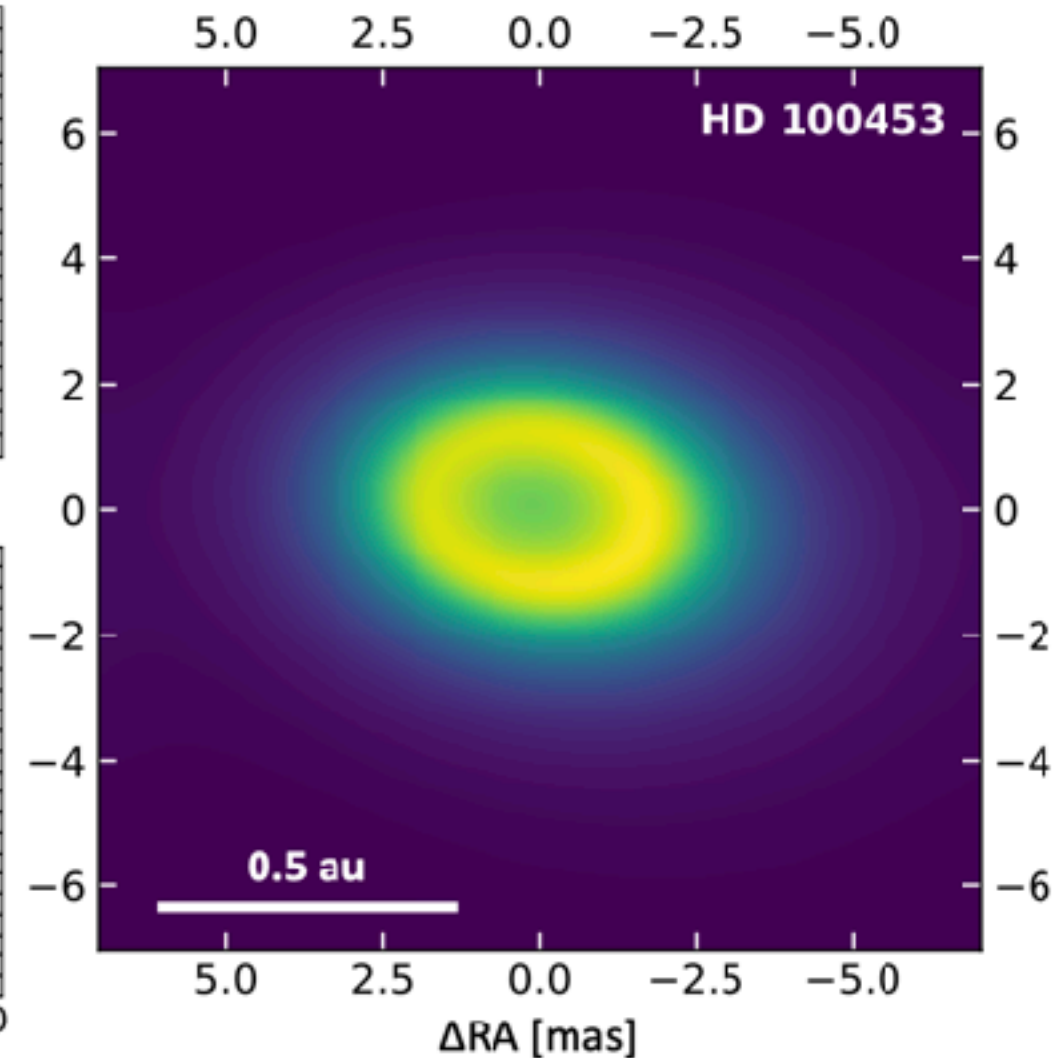
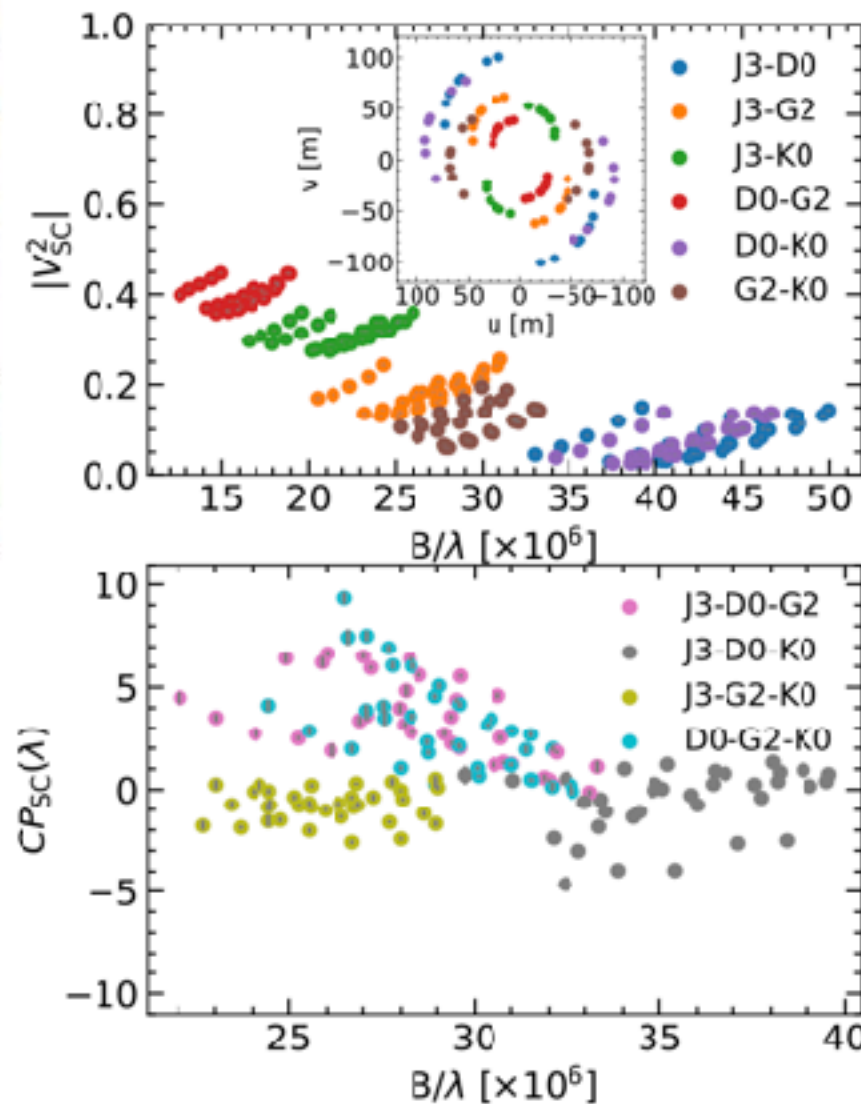
Benisty et al. 2022 (PPVII)
Muro-Arena et al. 2020

Shadows

Monitoring of the J1604 disk shows that the shadows rock around azimuthally over months-years timescales



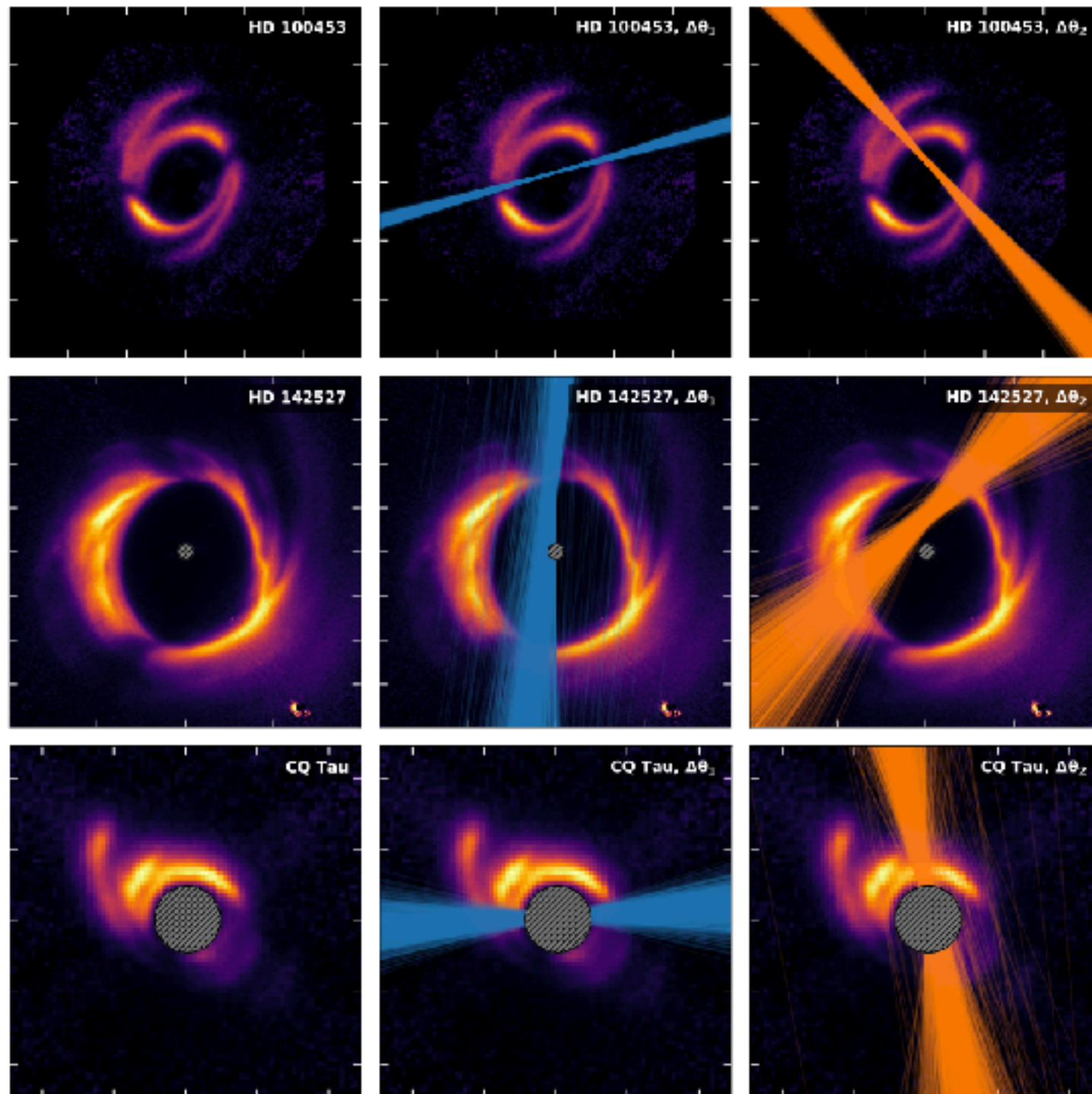
VLTI: inner disks



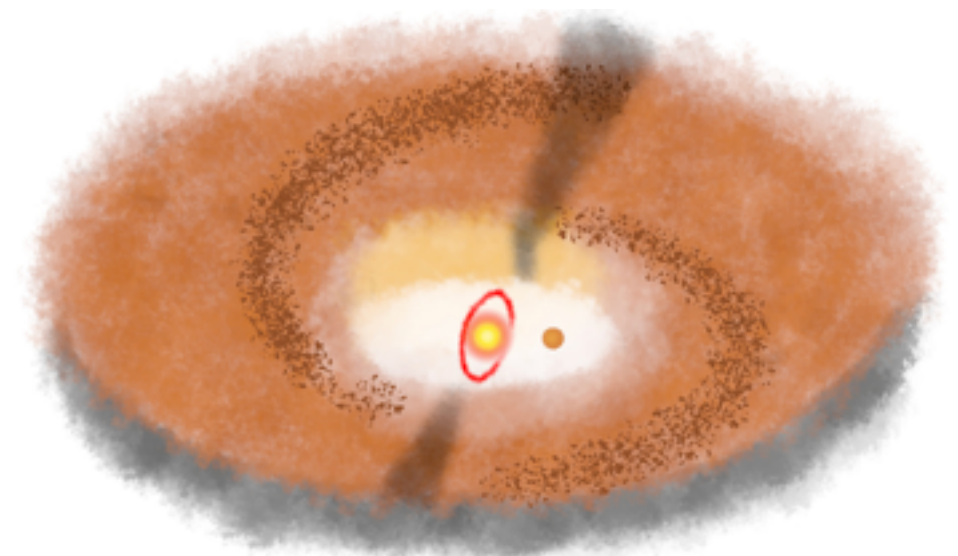
VLTI only has 4 telescopes (NIR wavelengths), so interferometry is tricky: fitting model image to visibilities rather than imaging the data

Perrault et al. 2019
Kluska et al. 2020
Bohn et al. 2022

Misalignment: VLTI + shadows



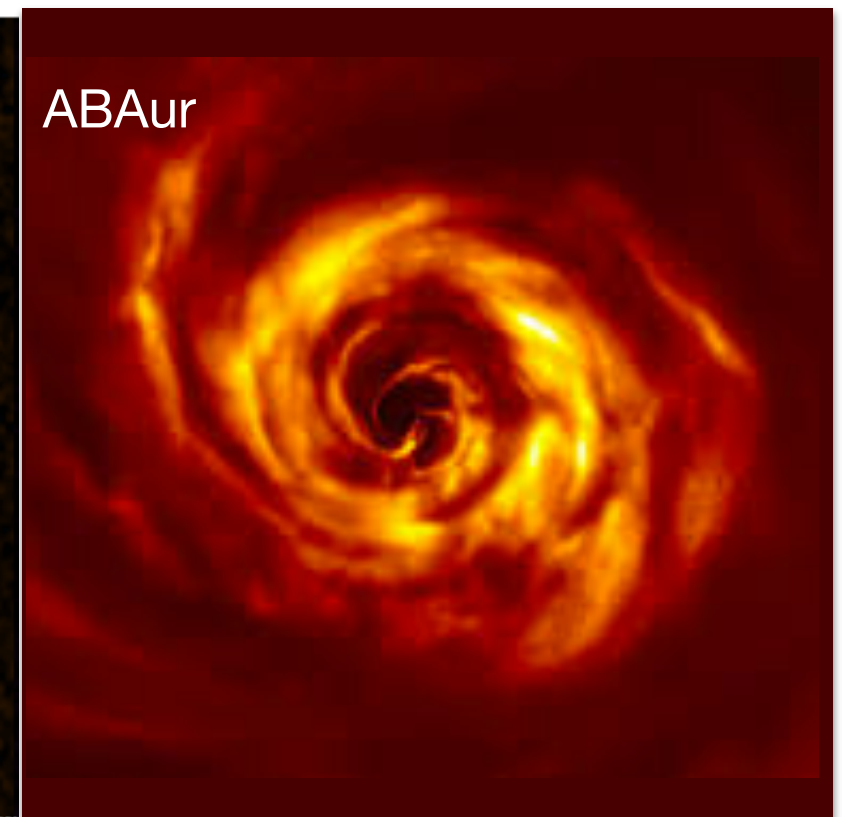
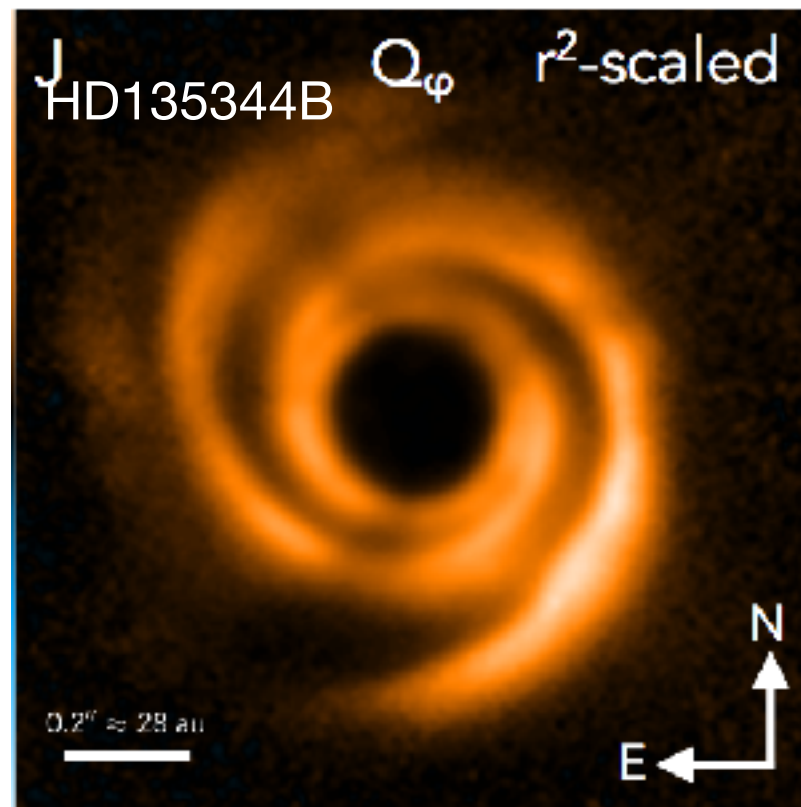
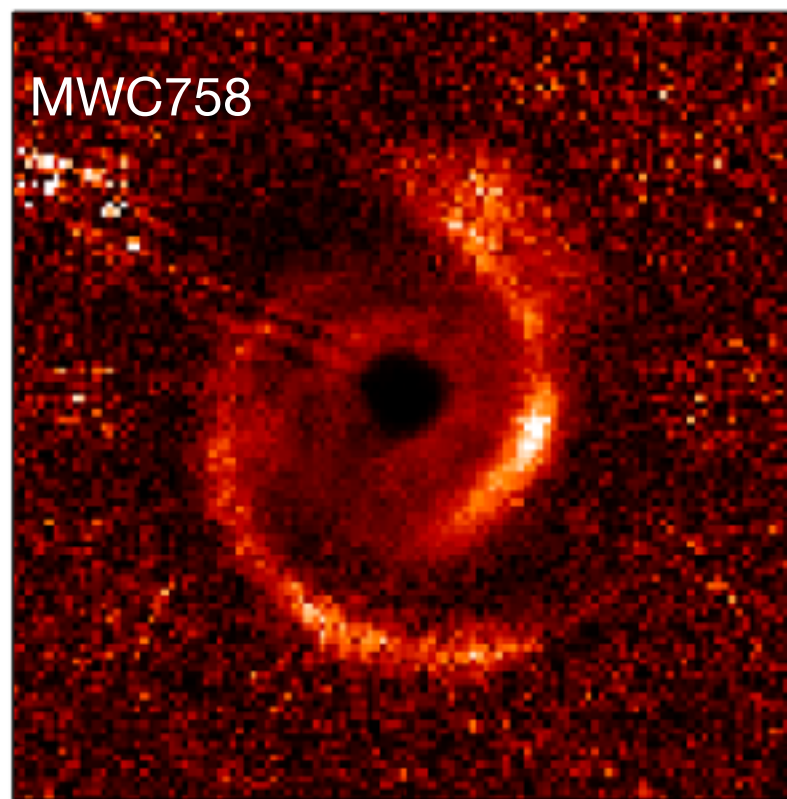
A ring model can have 2 possible orientations, but the shadows in NIR help to distinguish between those!



Spirals, shadow lanes, misaligned inner disk

Spirals (in NIR)

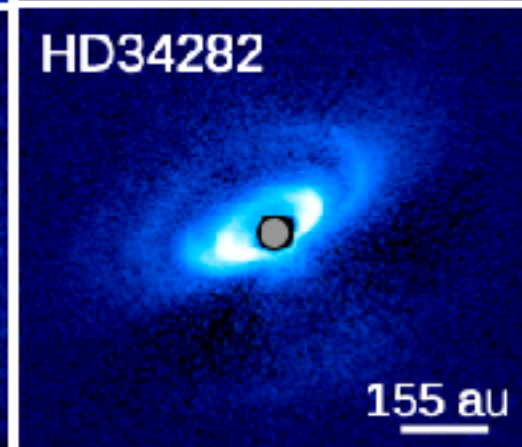
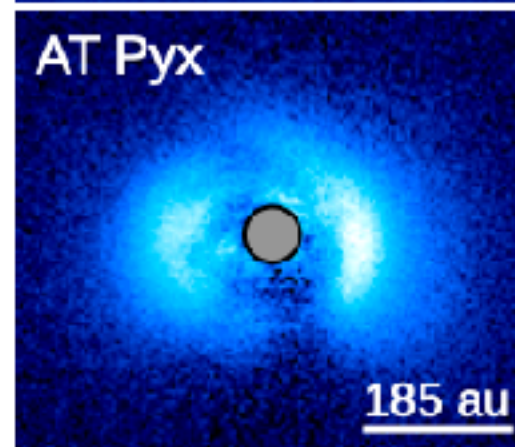
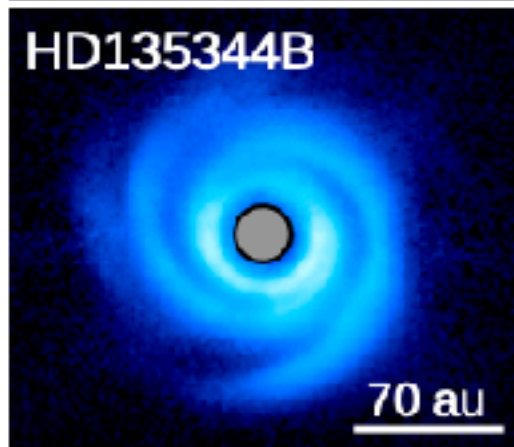
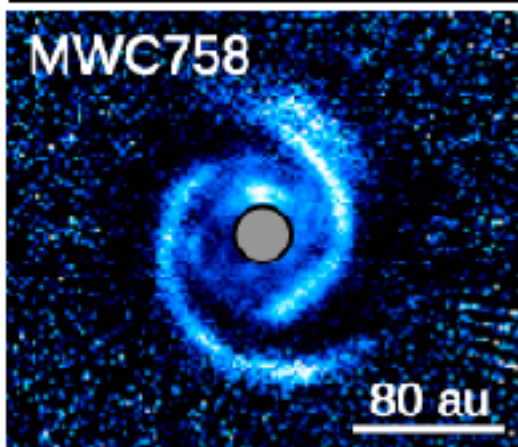
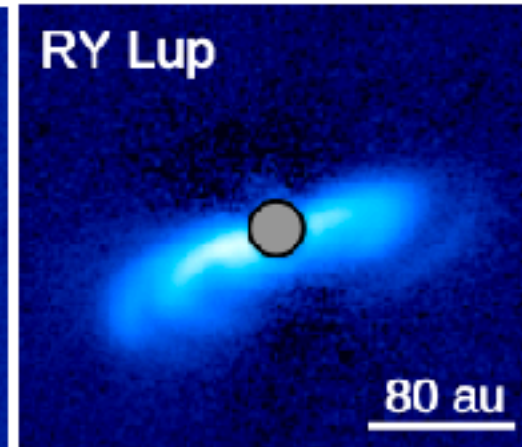
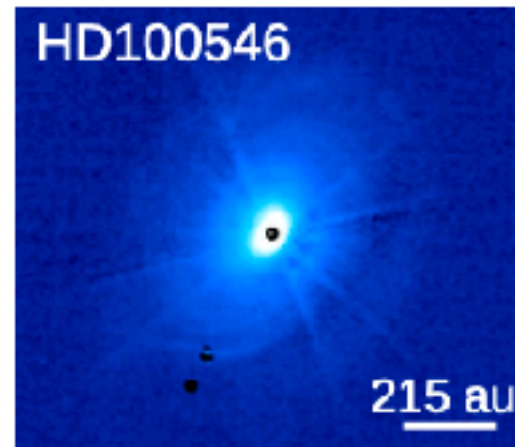
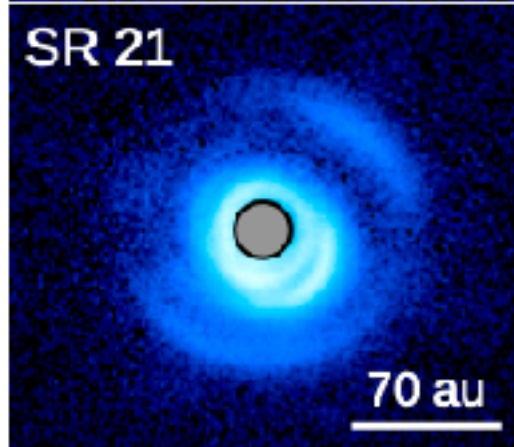
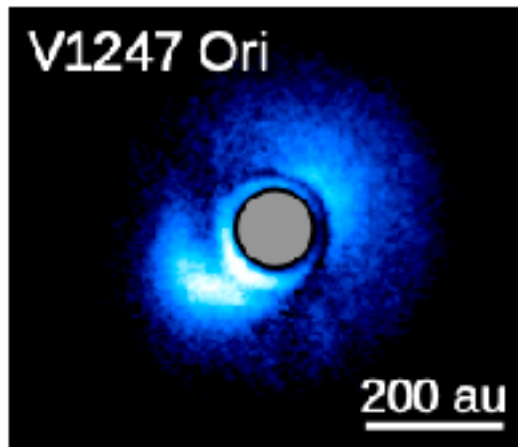
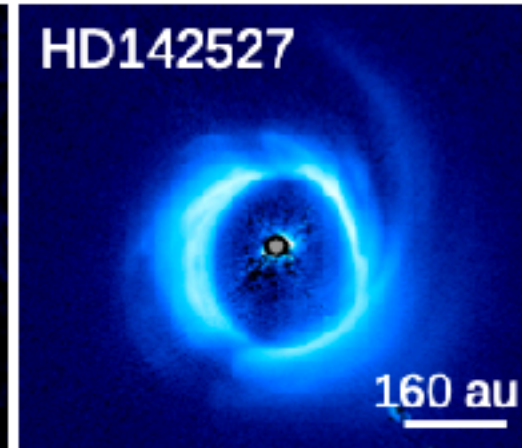
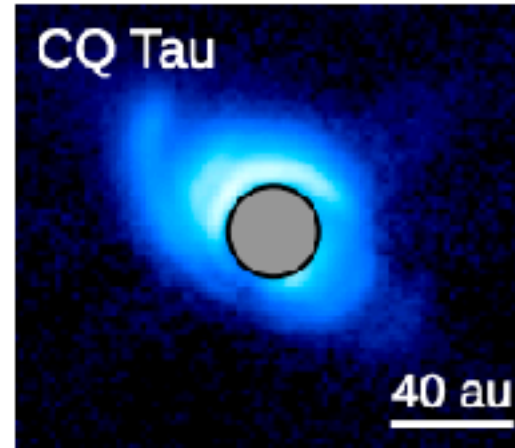
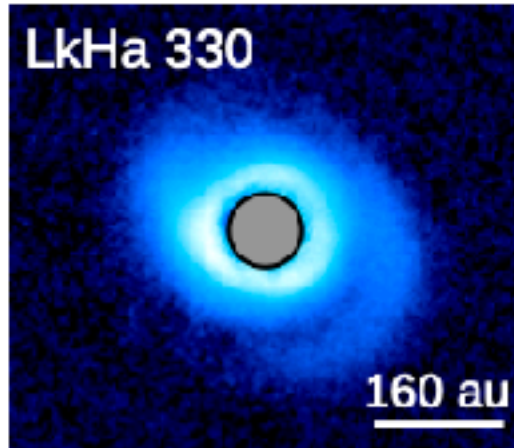
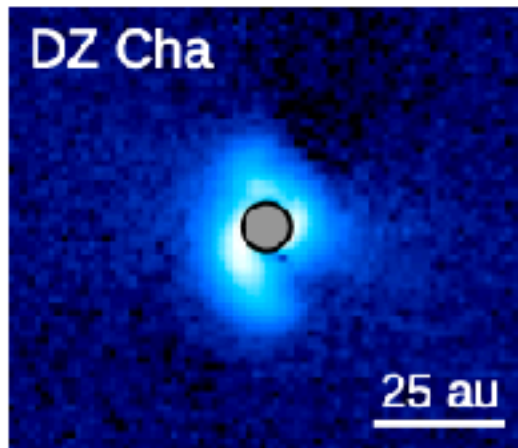
Some famous examples of spiral arms detected in scattered light imaging



Spirals (in NIR)

**symmetric /
double arm**

**asymmetric /
multi arm**



Large diversity in spiral structures...

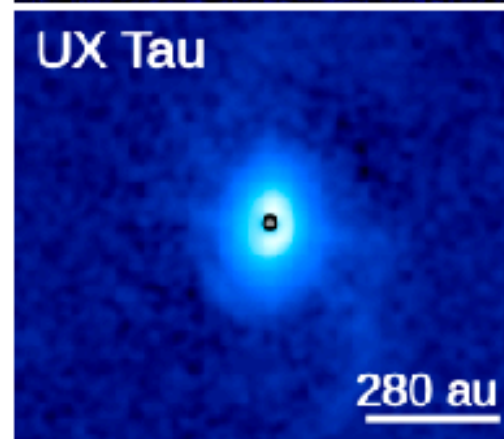
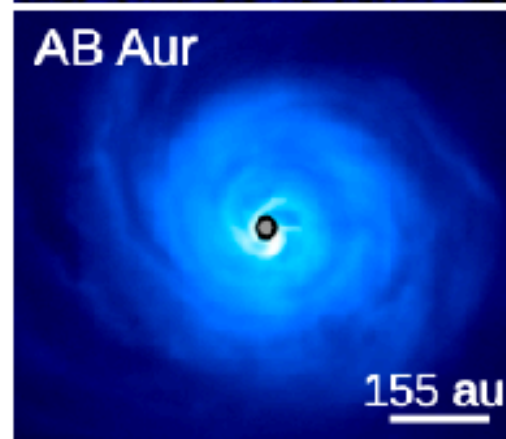
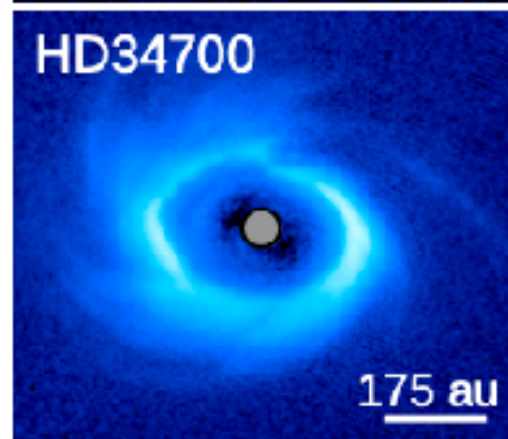
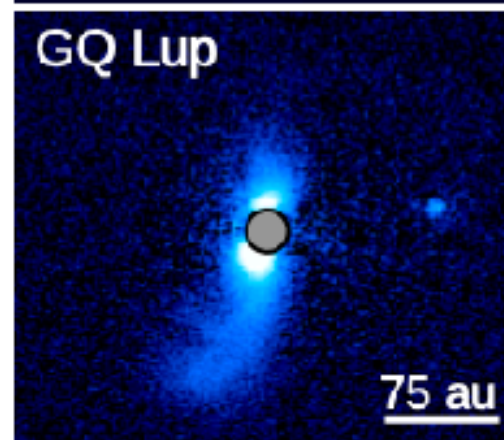
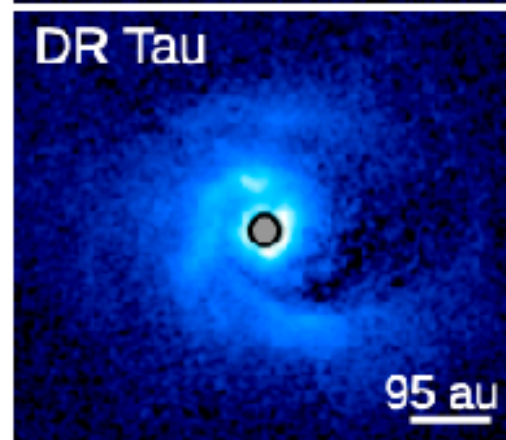
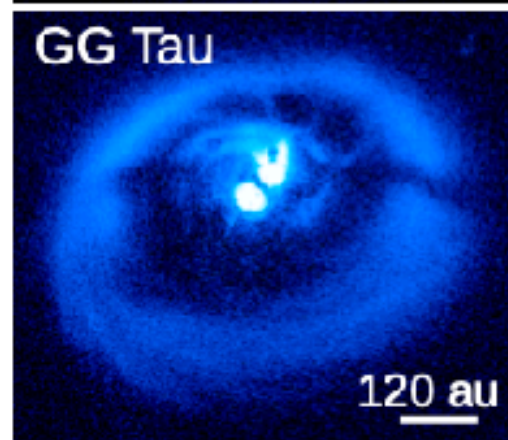
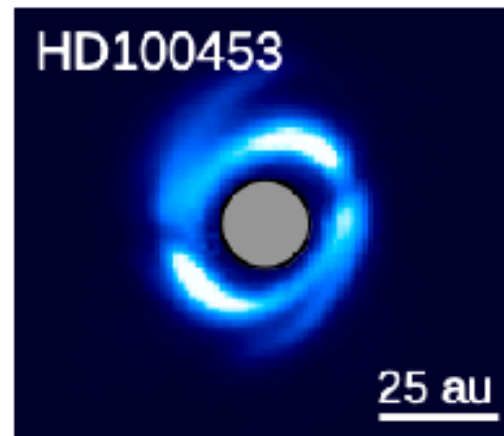
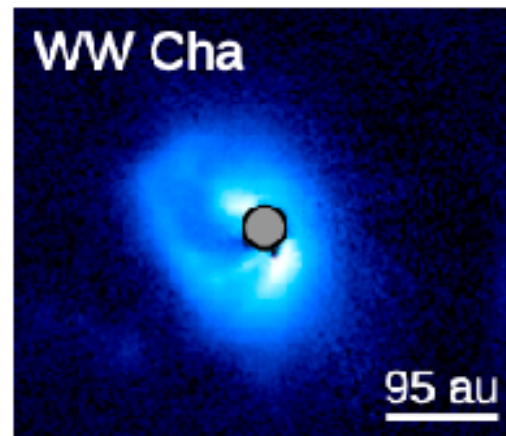
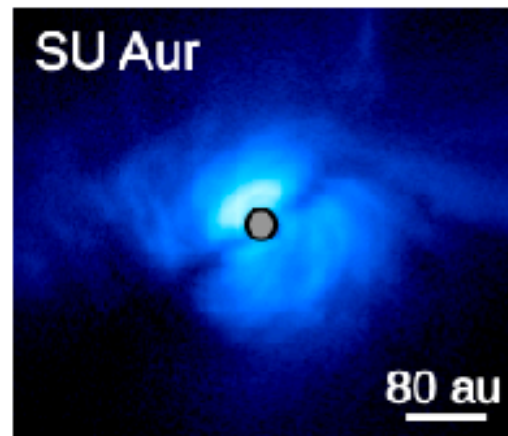
Benisty et al. 2022 (PPVII)

Spirals (in NIR)

flocculent

environment

**outer
companion**



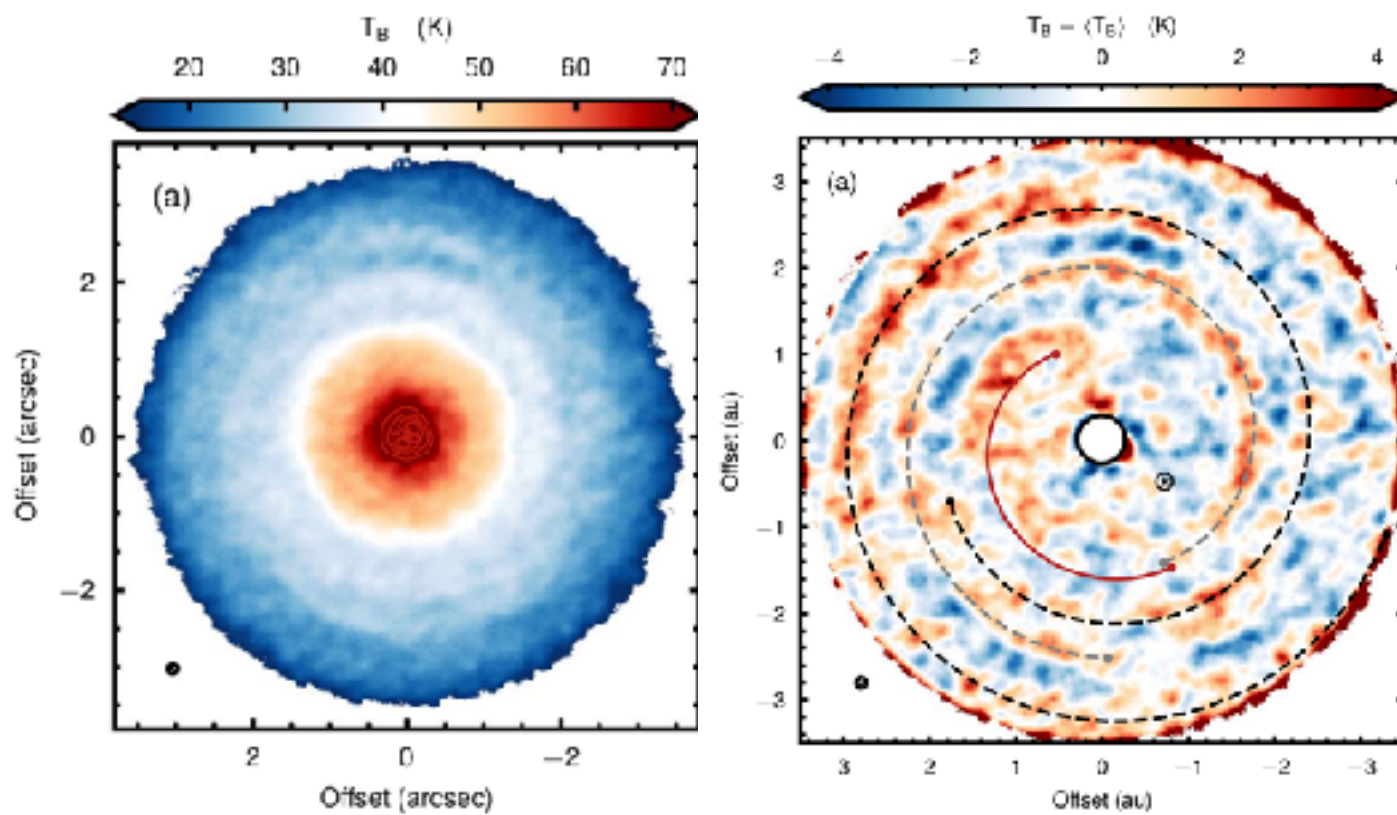
**Environment clearly
plays a role**

Large diversity in spiral structures...

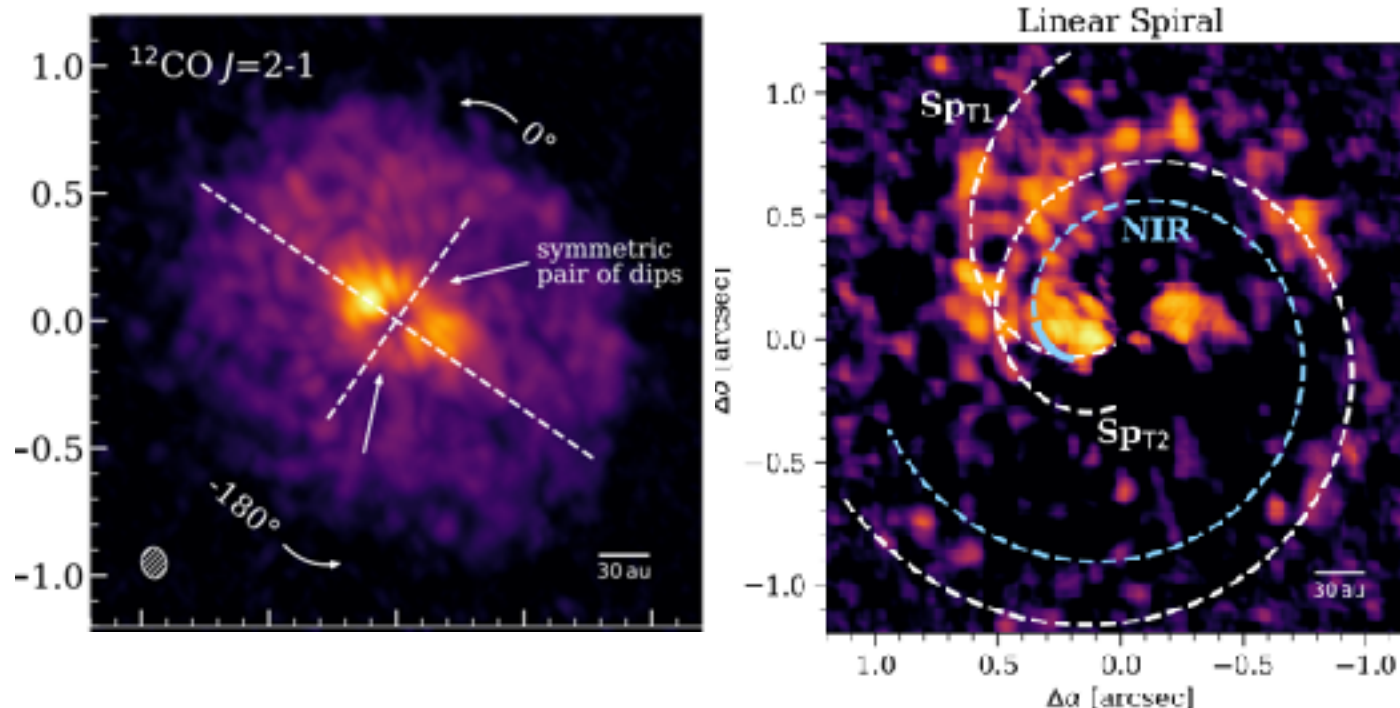
Benisty et al. 2022 (PPVII)

Spirals (in CO)

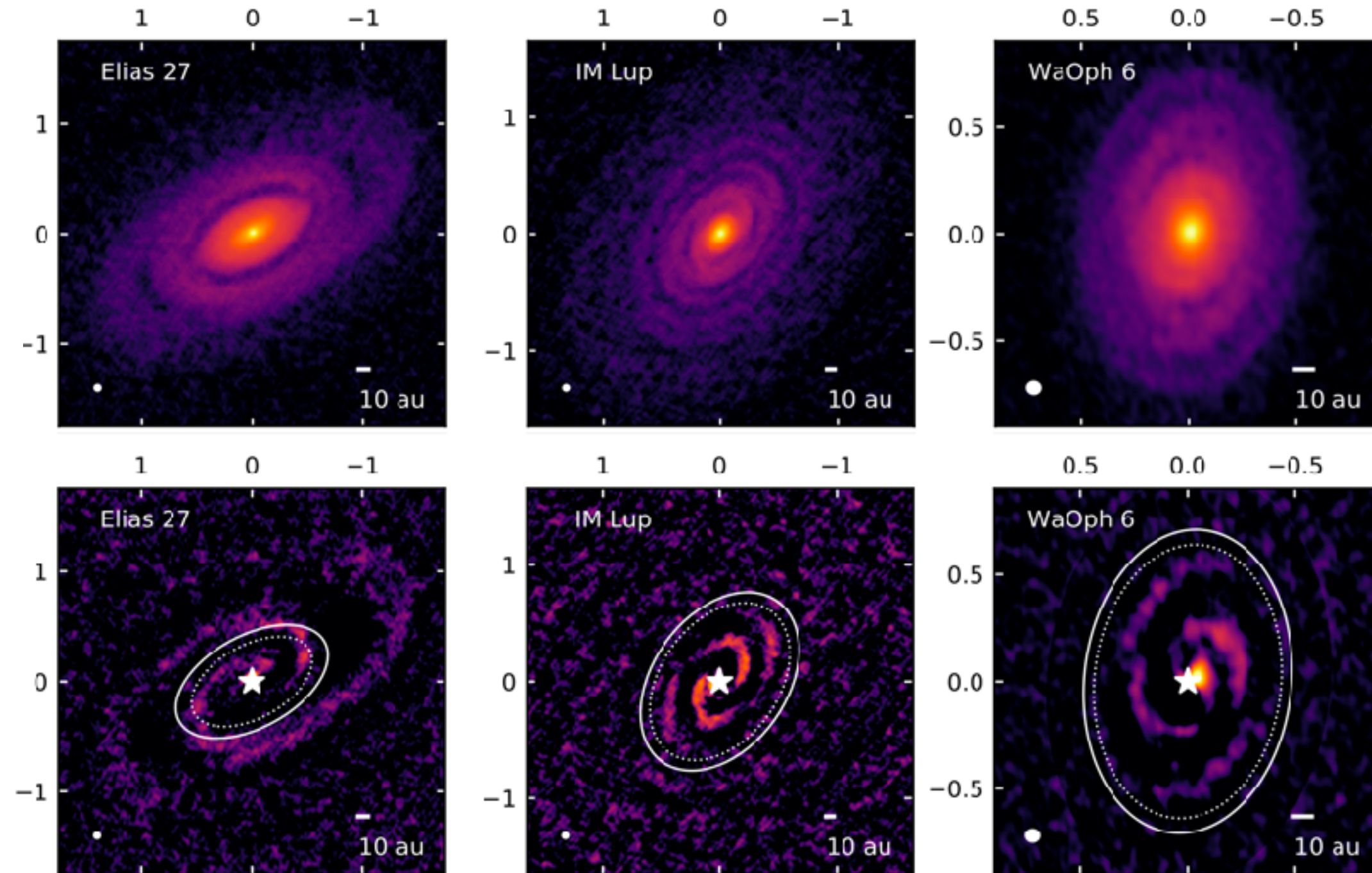
In ^{12}CO residuals



When subtracting an axisymmetric intensity model from the zero-moment ^{12}CO map, sometimes spiral arms become visible. High angular resolution required

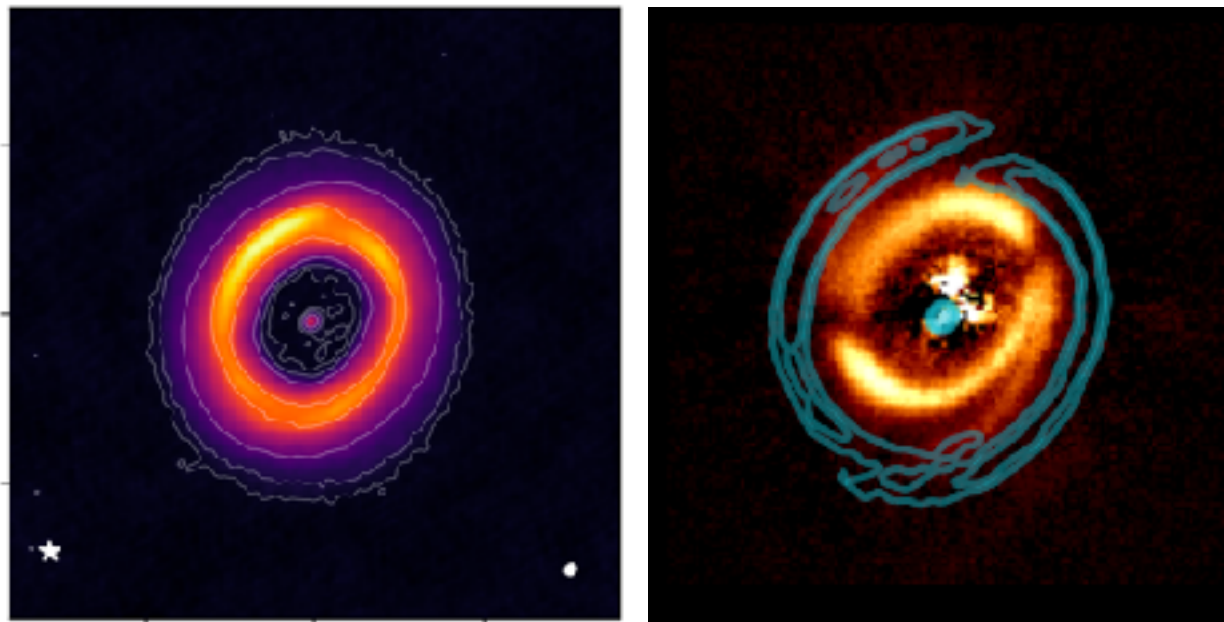


Spirals (in mm)

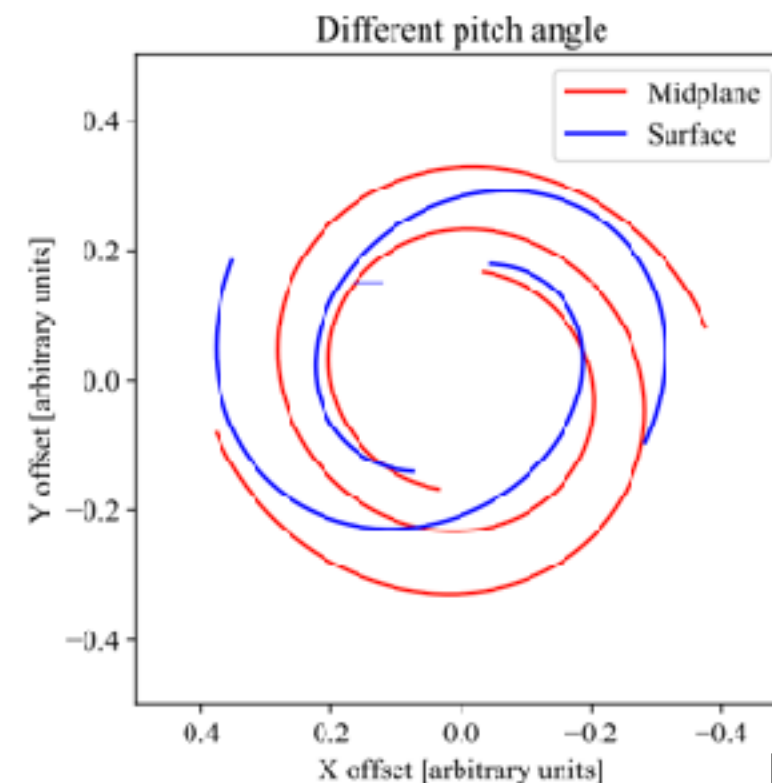
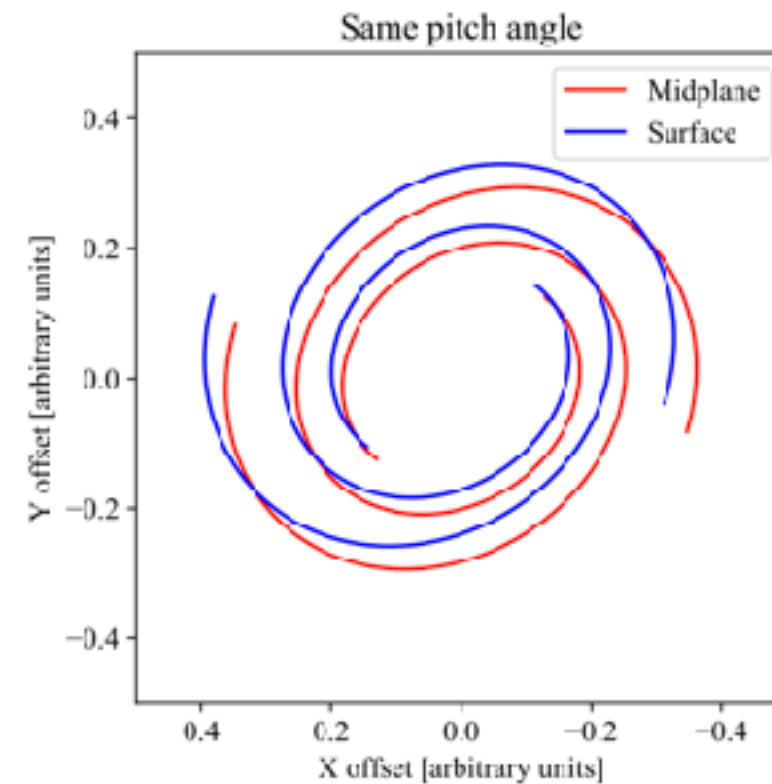


**Also in ALMA millimeter images, spiral structures
are sometimes visible: again more clear after
subtracting axisymmetric model**

Spirals NIR vs mm

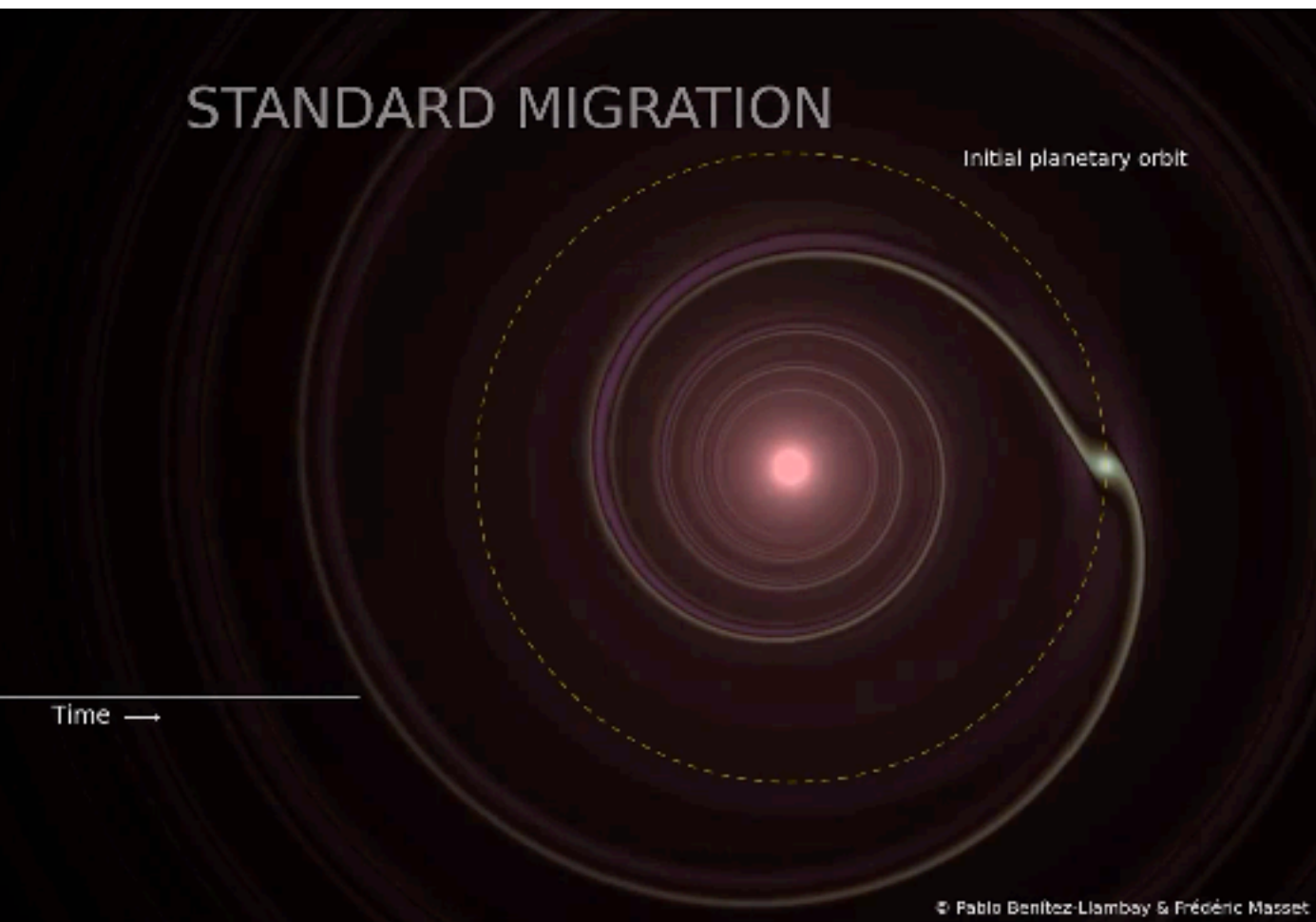


As the opening angle is different for NIR vs mm, this implies that the temperature is different at each height (vertical temperature structure)

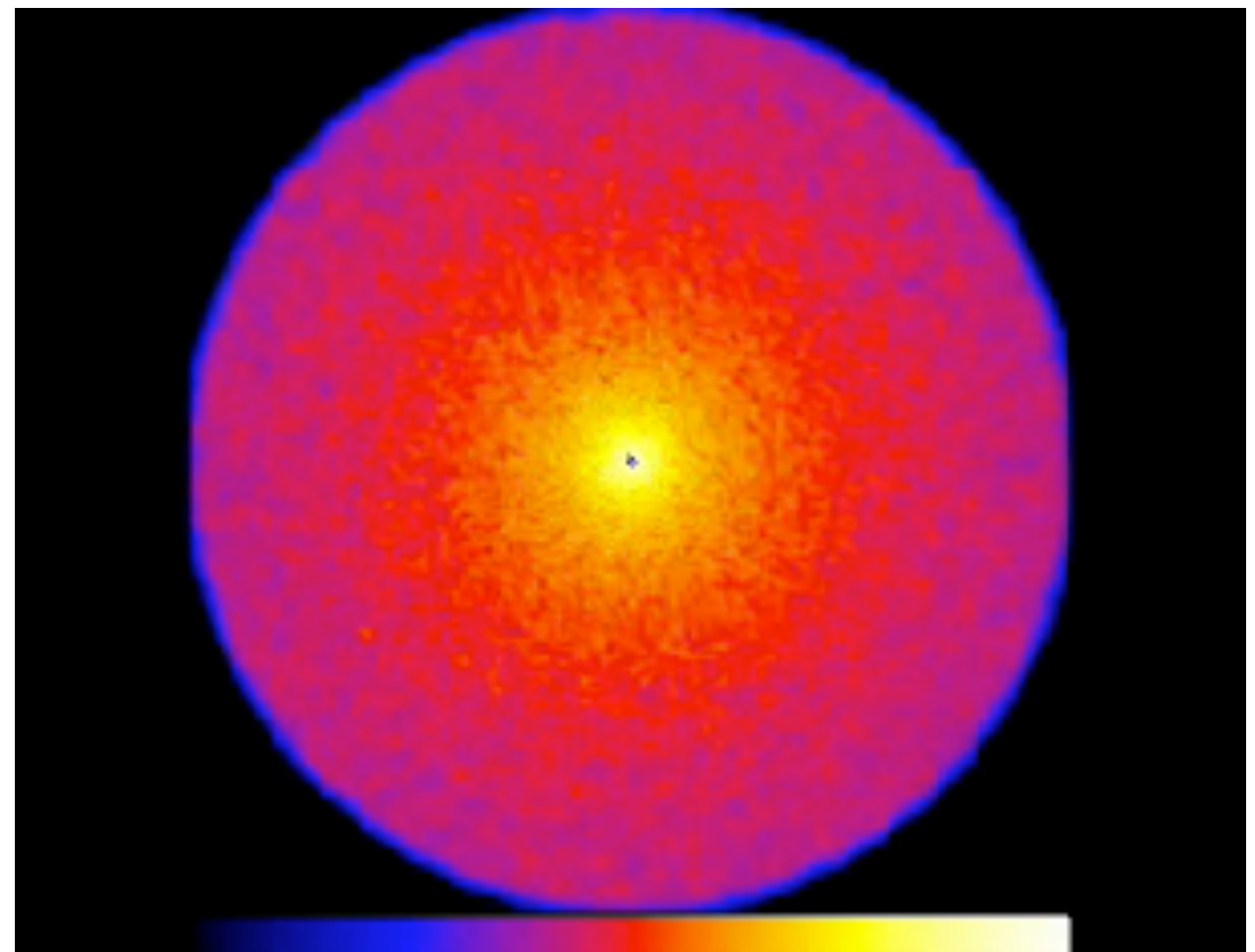


Origin spirals?

Planet spiral density waves



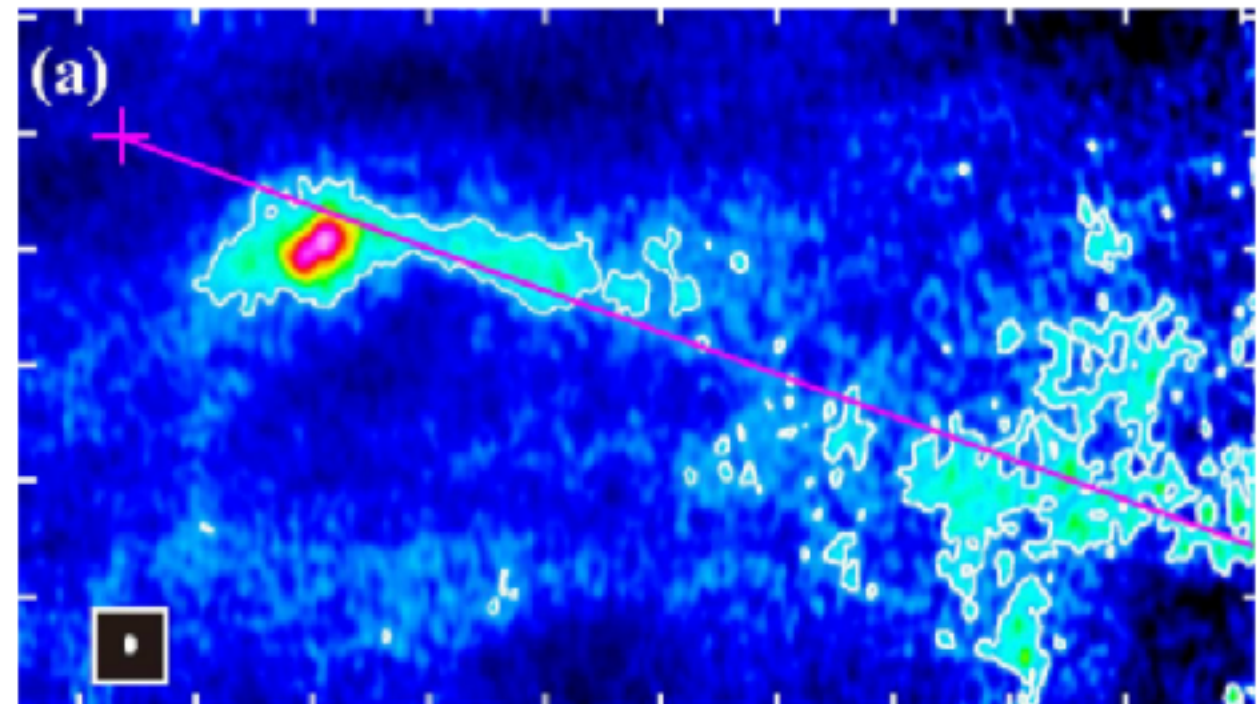
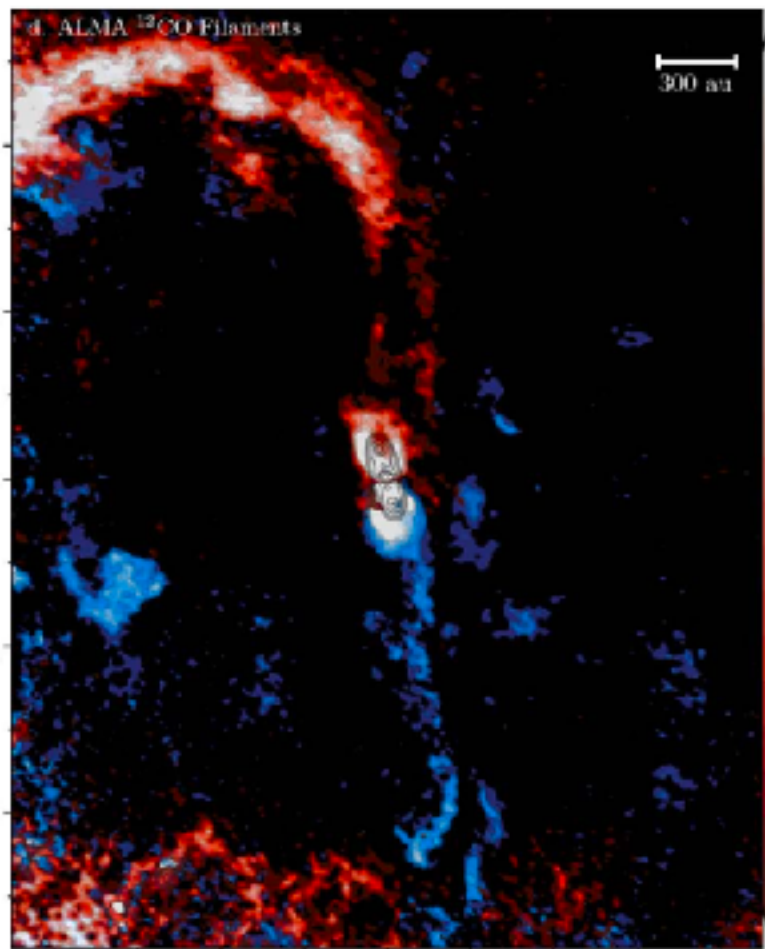
Gravitational instability



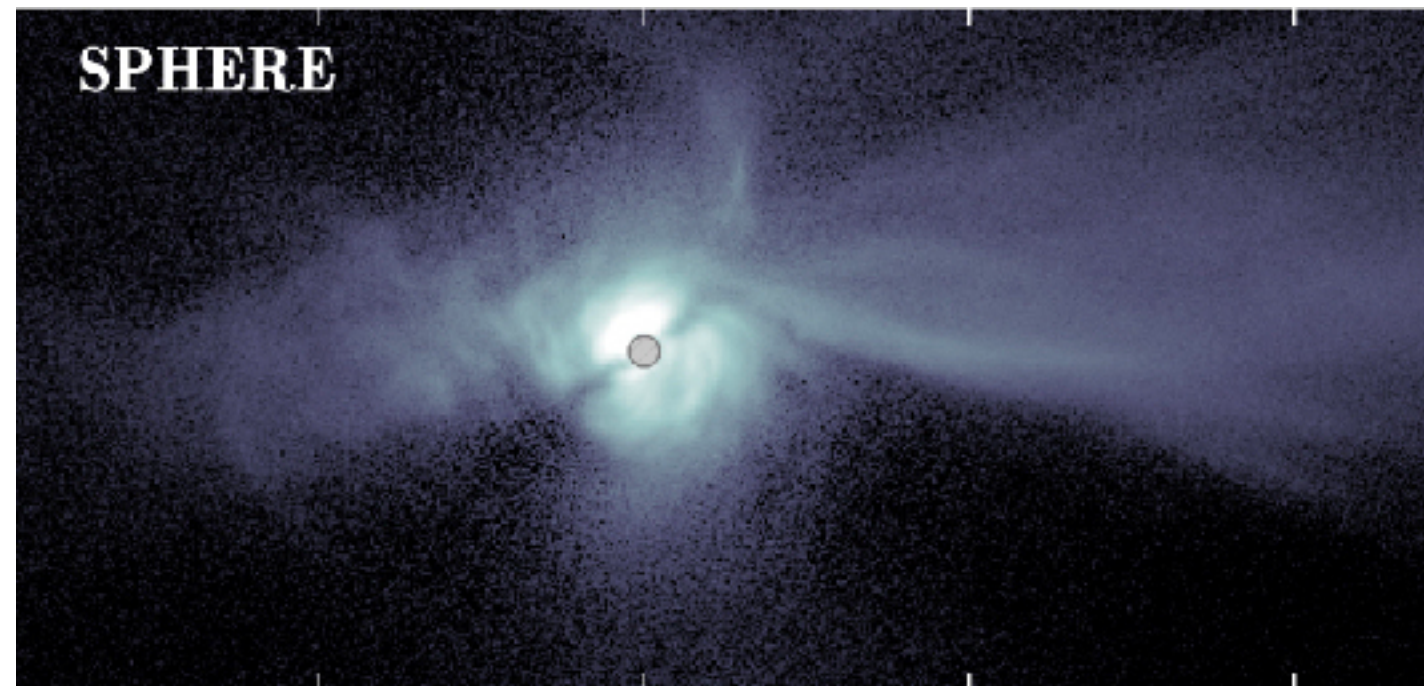
The spirals seen in mm emission are often associated with gravitational instability, as their disk masses are large. The NIR/CO spirals are more scale height/temperature variations (as the underlying mm disk looks very different) so more likely due to dynamical interaction. Not fully conclusive yet!

Credits:
Pablo Benítez-Llambay, Ken Rice

Streamers (NIR/CO)



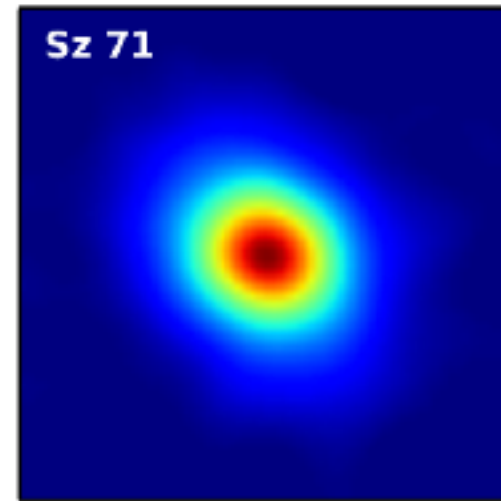
In younger systems, often evidence for large-scale tails/streamers (infalling material). Possibly cloud feeding the disk.



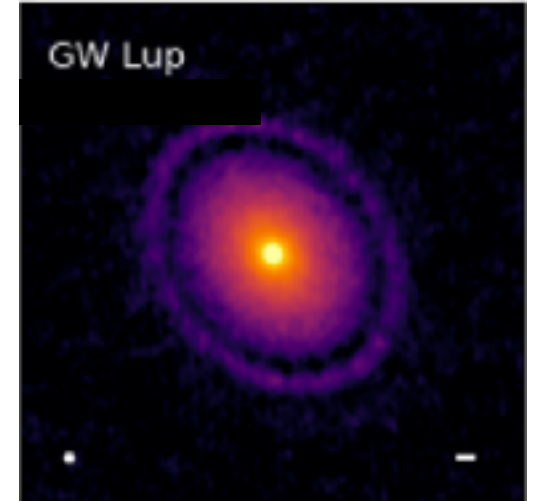
Detectability of substructures

The detection of substructures is resolution dependent:
high-resolution images reveal gaps in previously thought 'smooth' disks

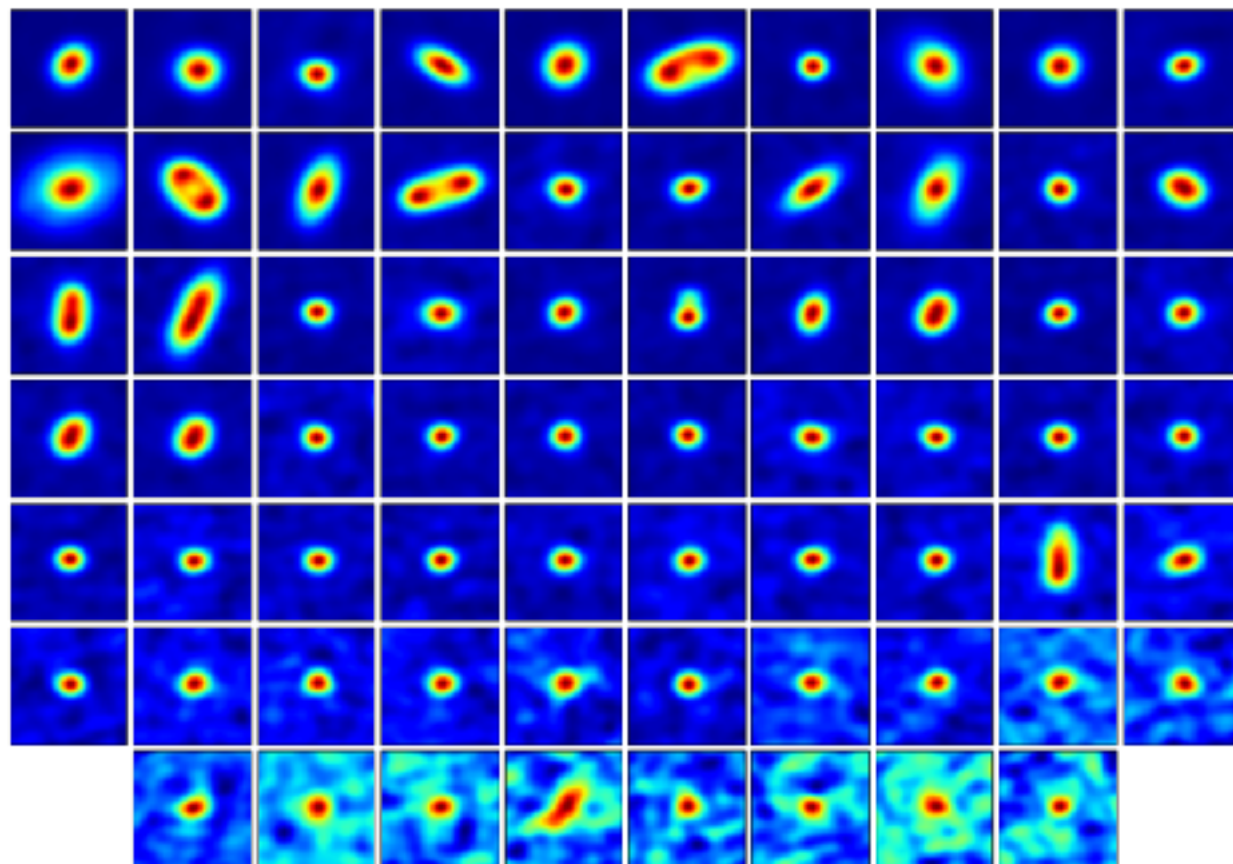
Transition disks with >30 au cavities were already resolved pre-ALMA



(0.30")



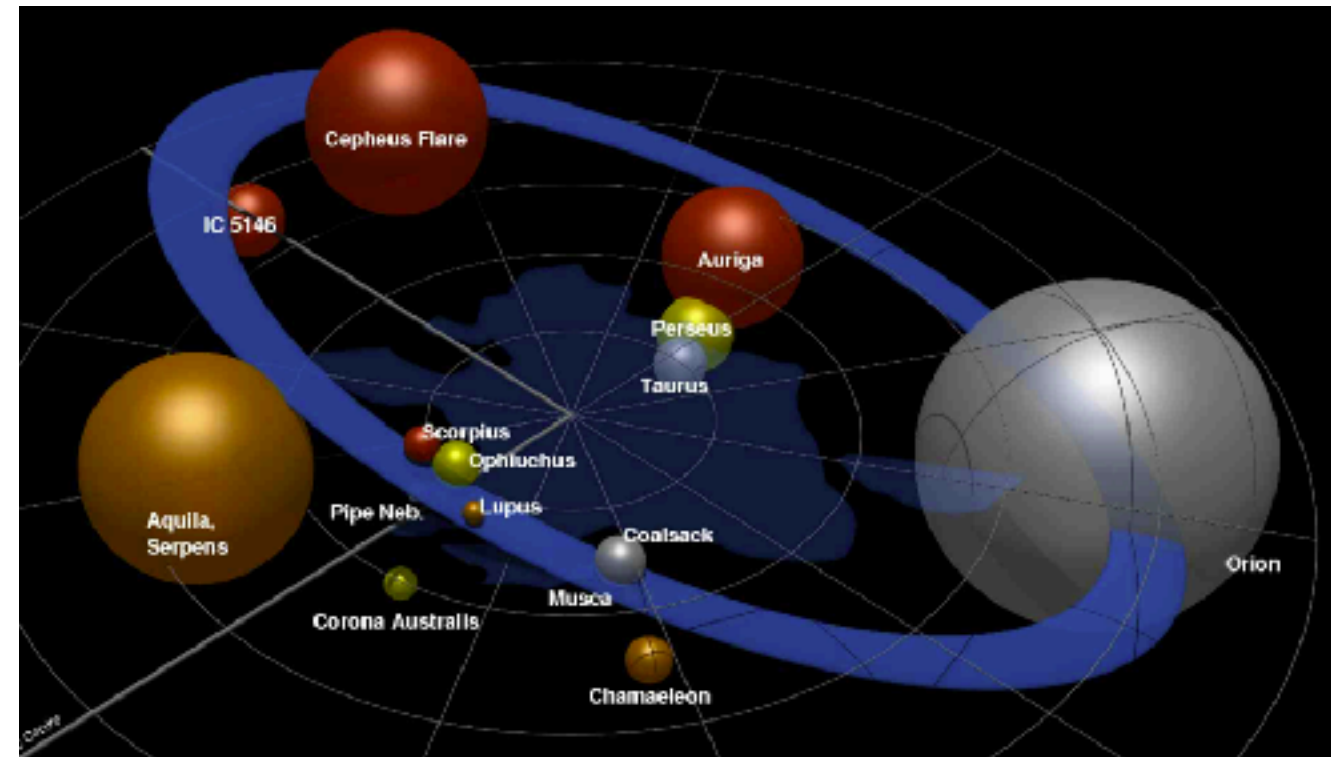
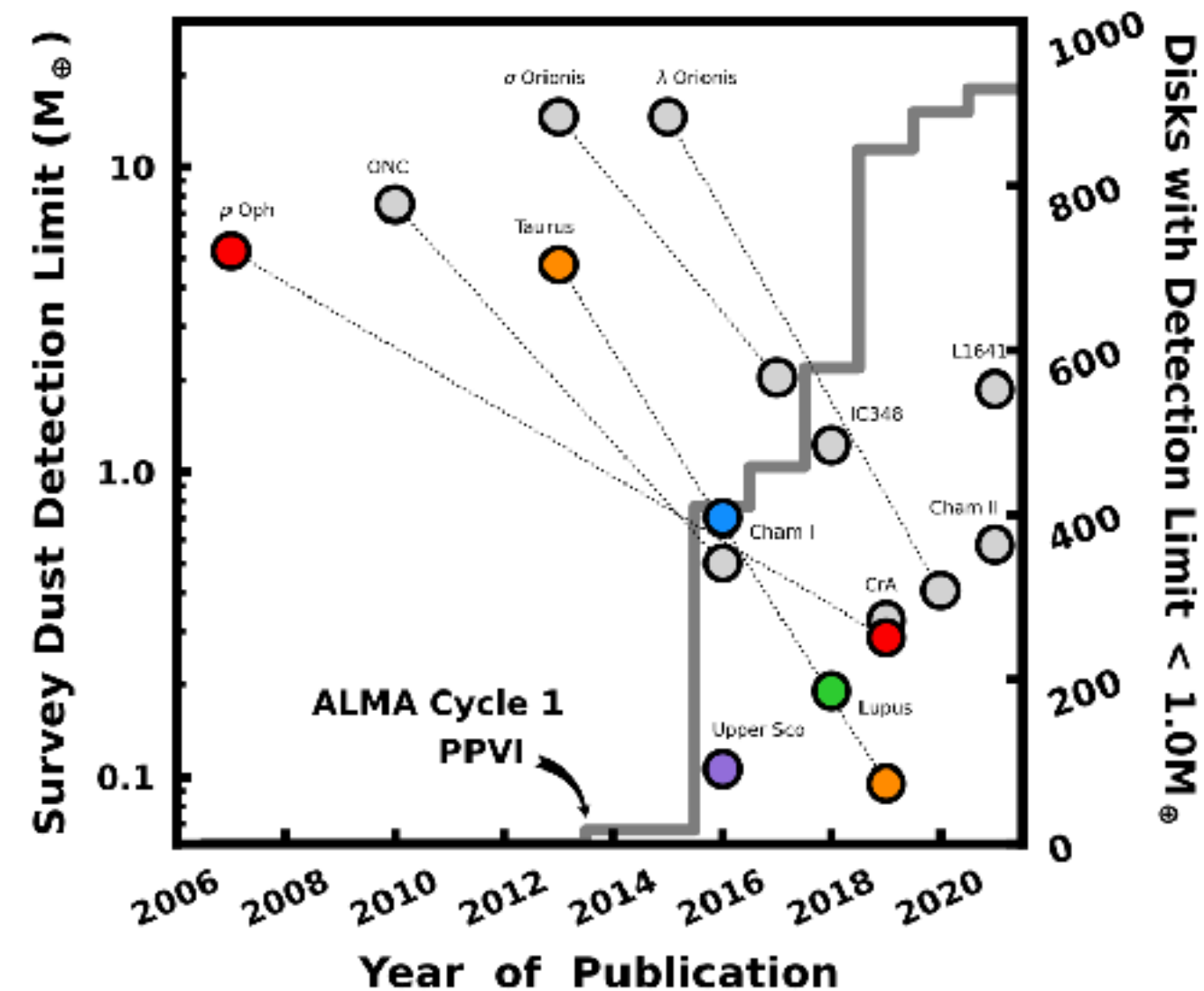
(0.04")



'Complete' disk surveys in nearby star forming regions are taken at moderate resolution of 0.25", only subsamples followed up at high resolution

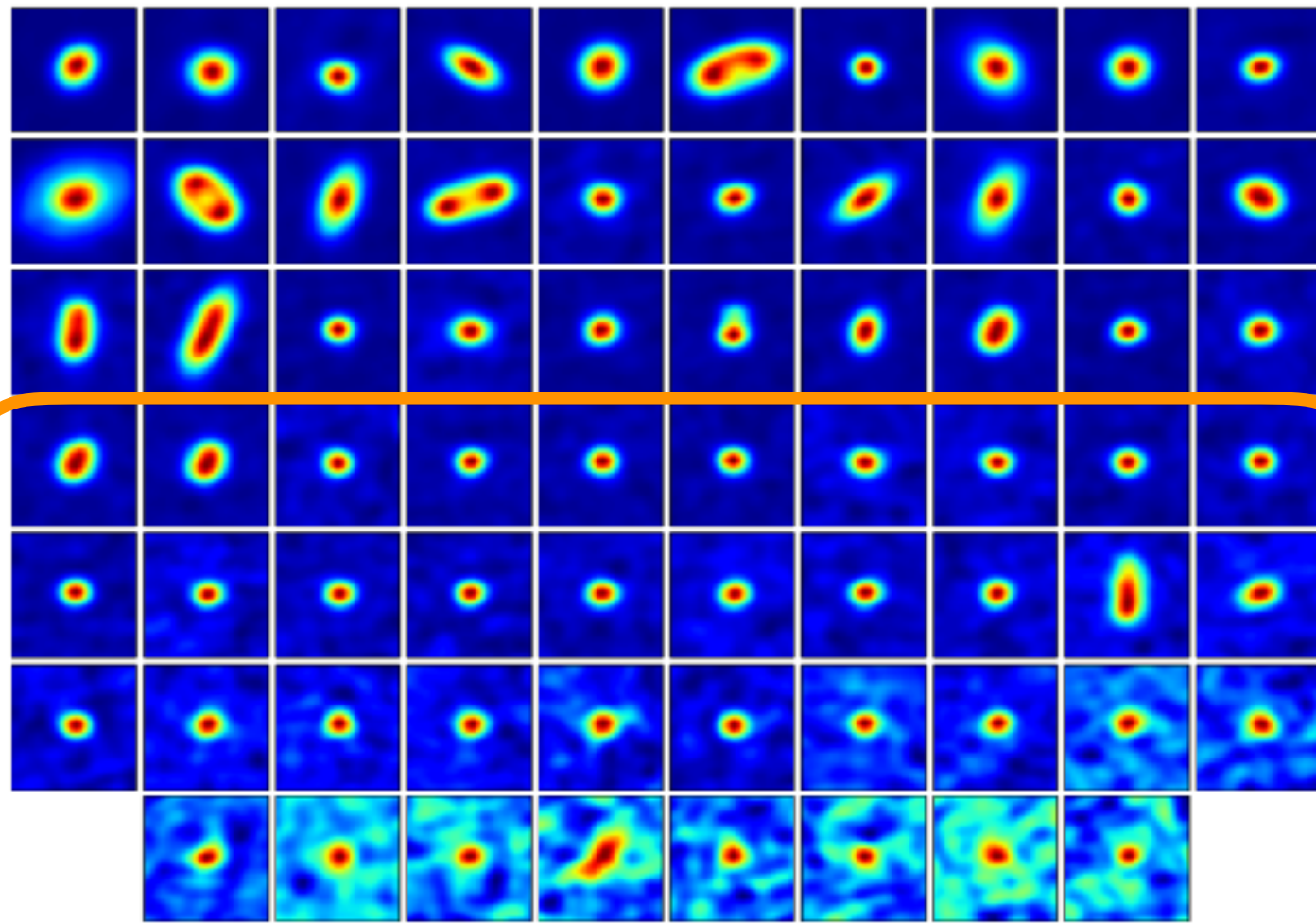
Transition disks were pre-selected based on their SED, but also many new transition disks with cavities >20 au discovered without dip in SED

Number of disks mapped with ALMA (low-res)

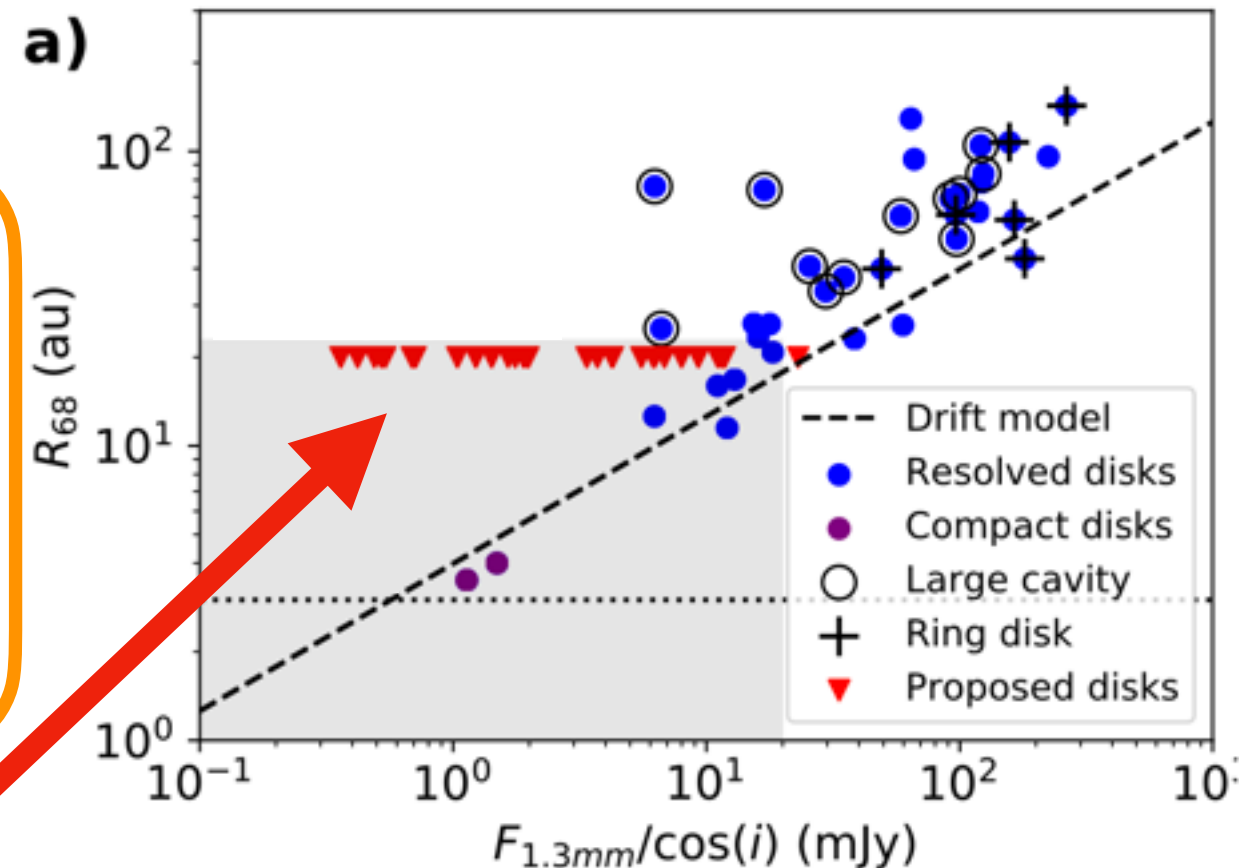


Essentially all nearby young clusters < 250 pc are covered, first by Spitzer (SEDs) and now by ALMA (mm images)

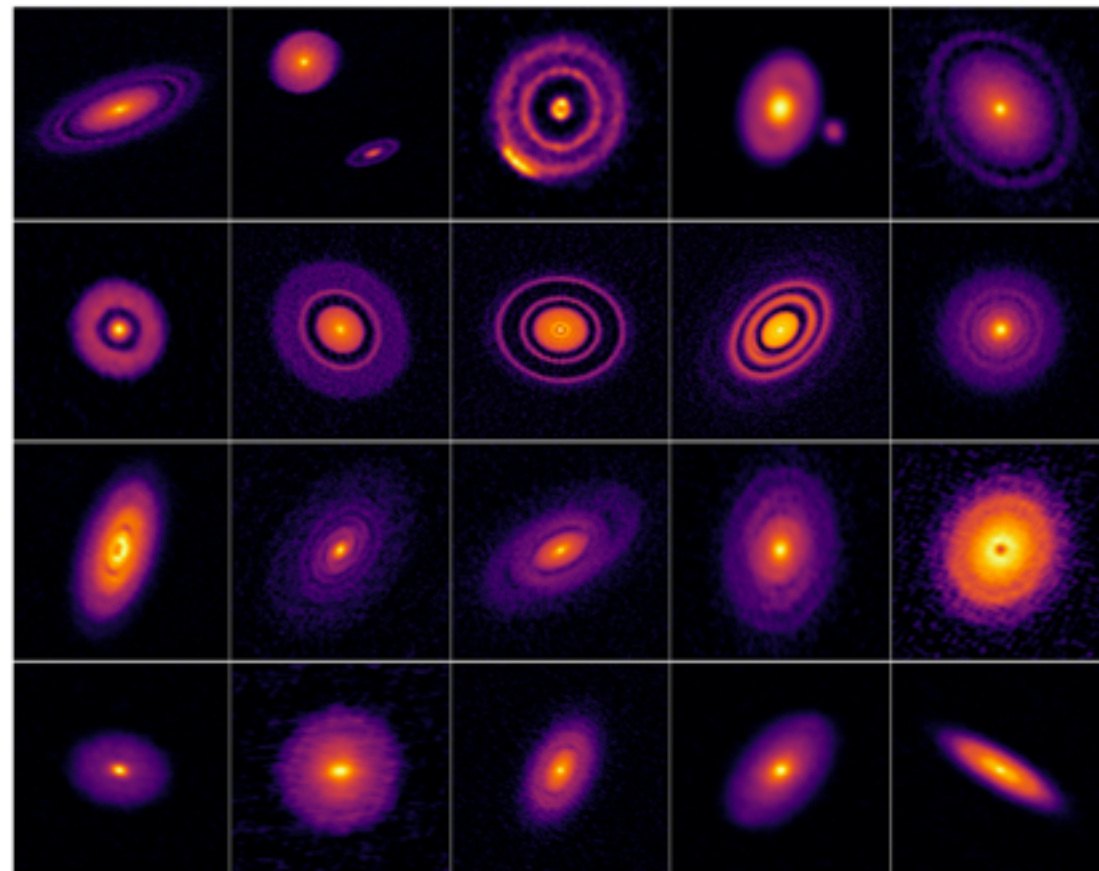
Number of disks mapped with ALMA (low-res)



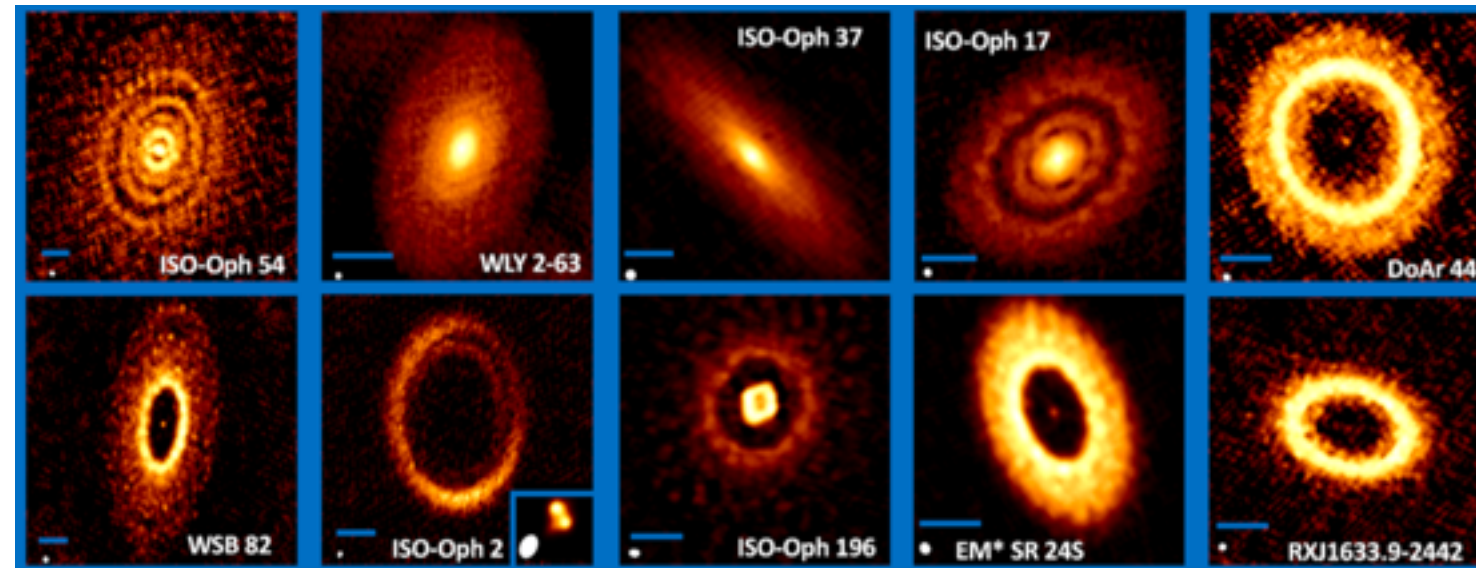
**Lupus Survey: half of the detected disks
are unresolved at 0.2''!**



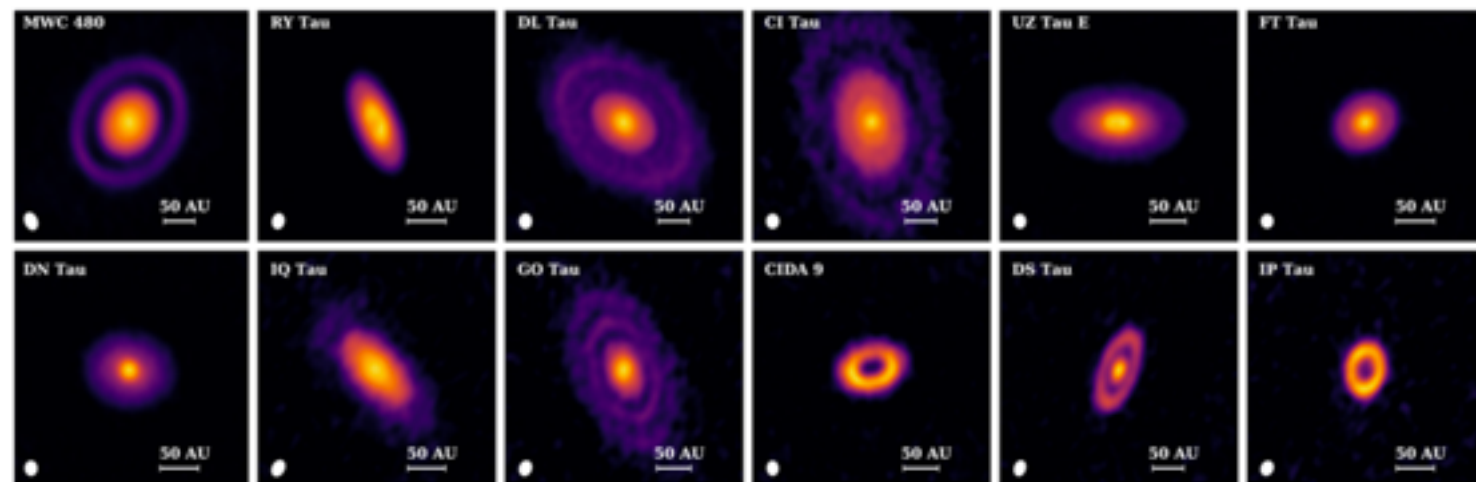
Selection bias



DSHARP (Andrews et al. 2018):
Selected targets with bright peak
millimeter continuum fluxes

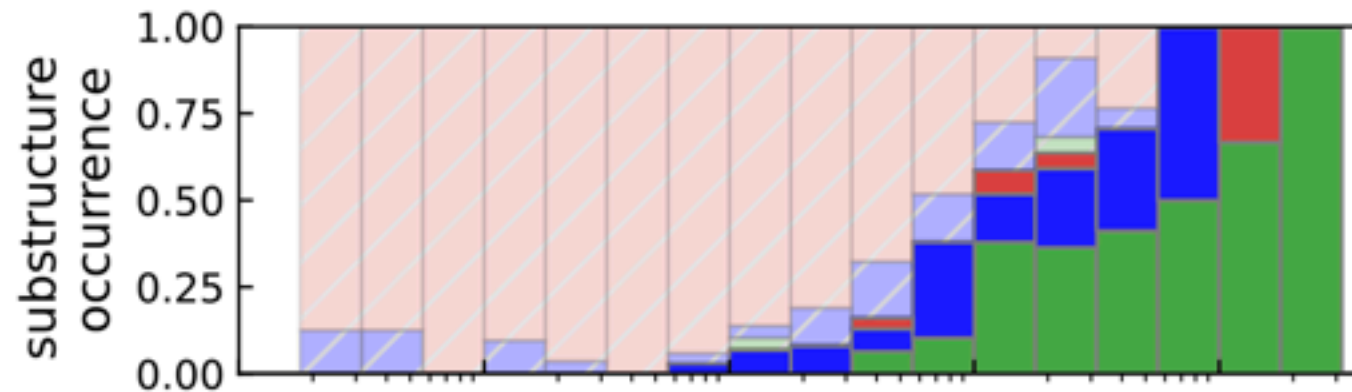


ODISEA (Cieza et al. 2021): Selected targets in
Ophiuchus that had bright millimeter
continuum emission

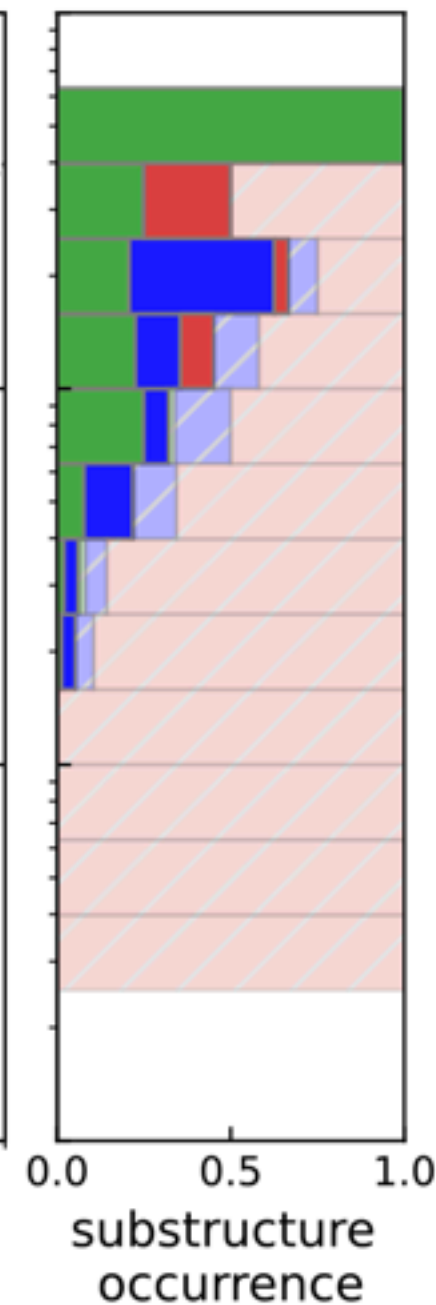
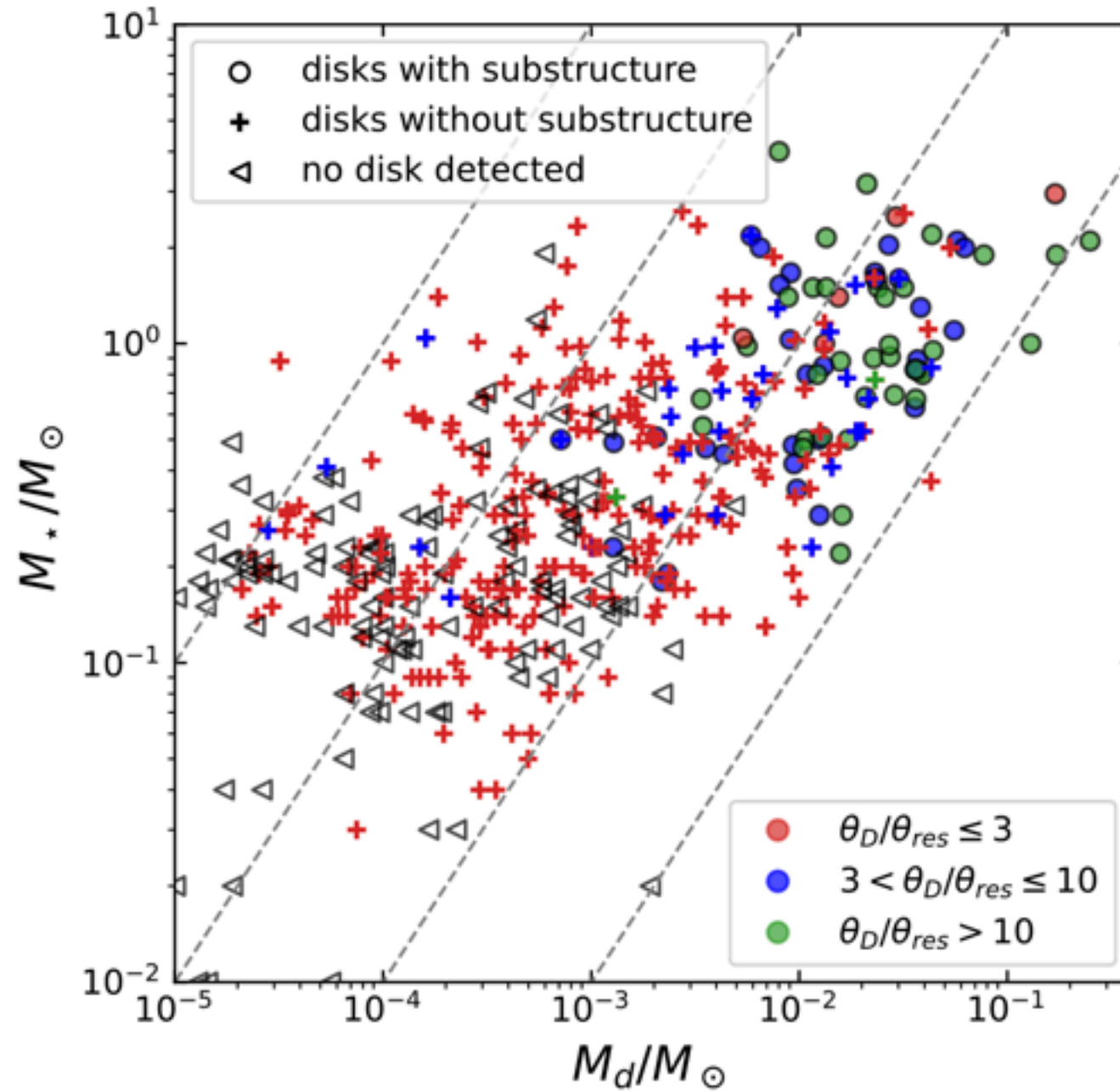


Long et al. 2018, 2019: Selected targets with
spectral types earlier than M3

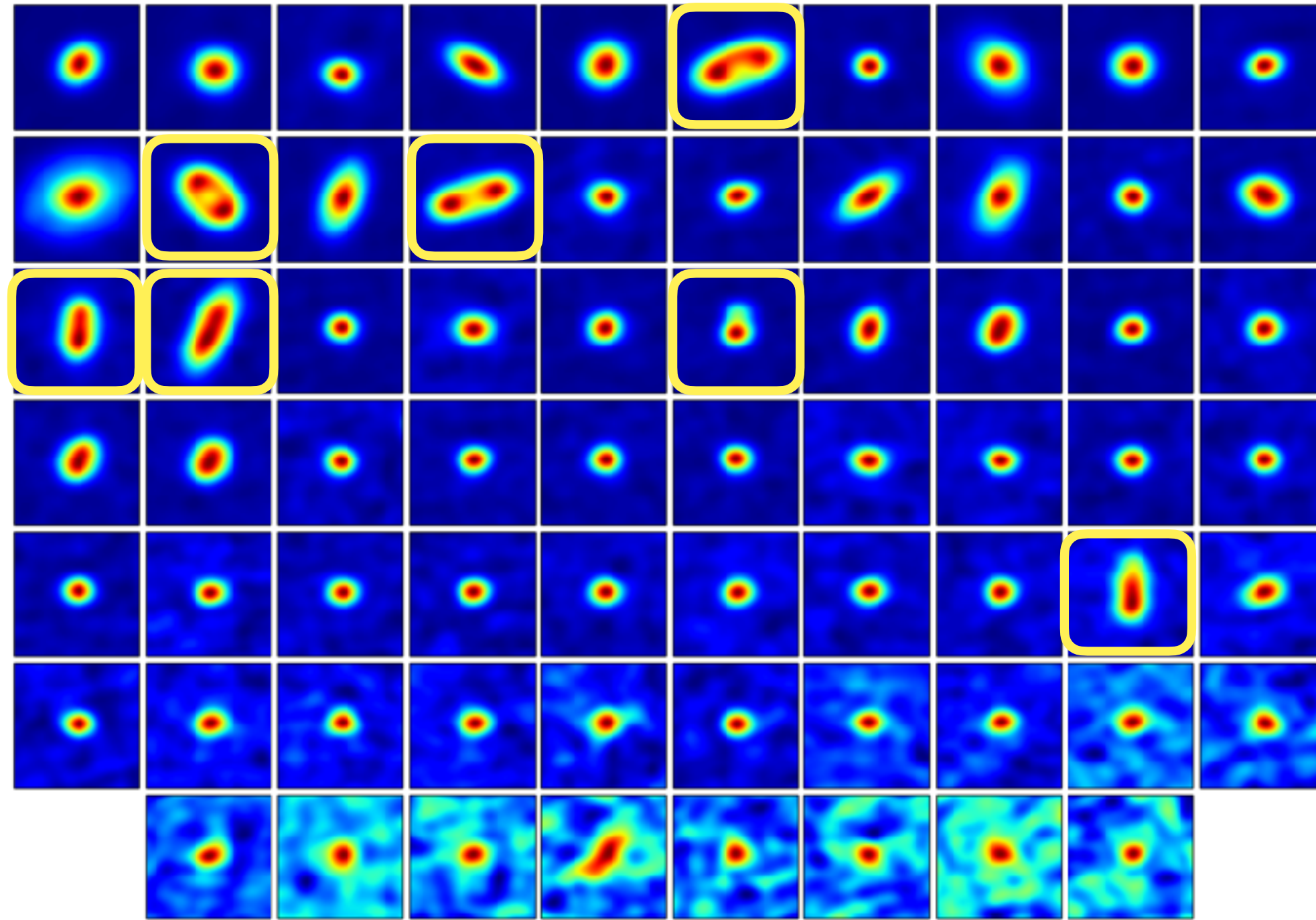
Detectability of substructures



Detectability depends on resolution!

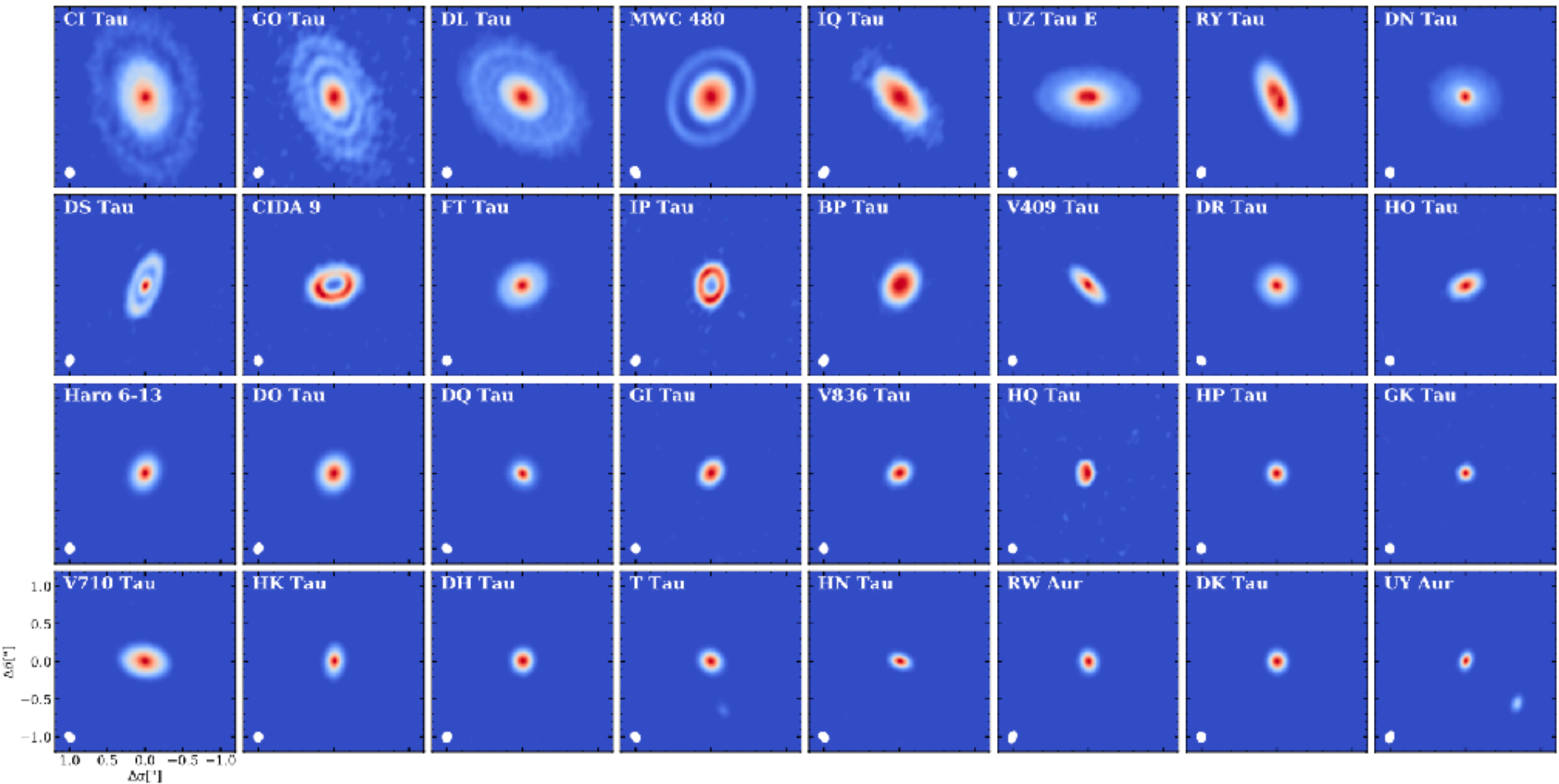


Occurrence rates



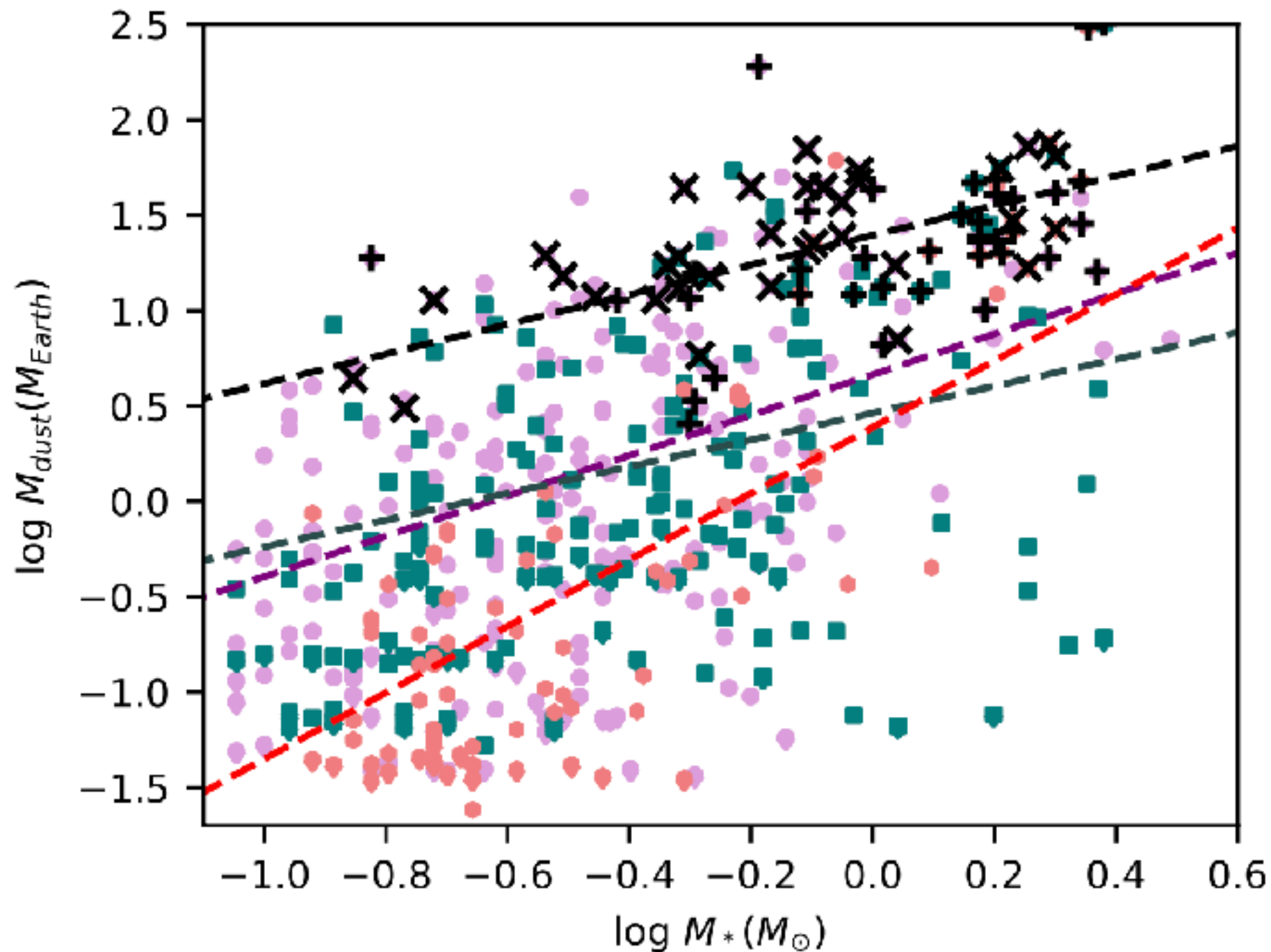
**Transition disks in Lupus at 0.25'': 11 TDs with
cavities > 15 au in 68 detections: ~16%**

Occurrence rates



Disks with substructures in Taurus for stars A0-M2: half of the single stars have substructure

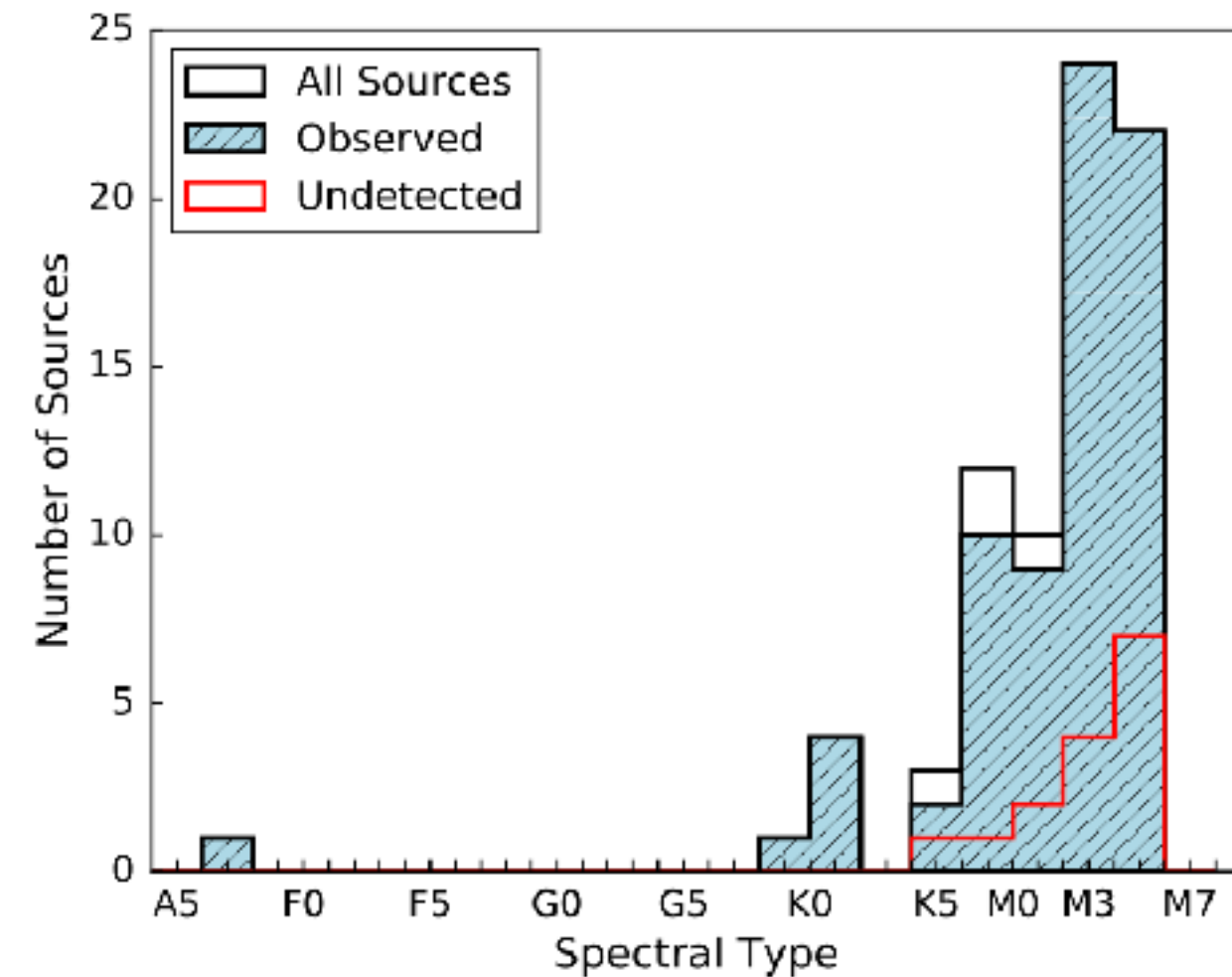
Occurrence rates



What do you notice?

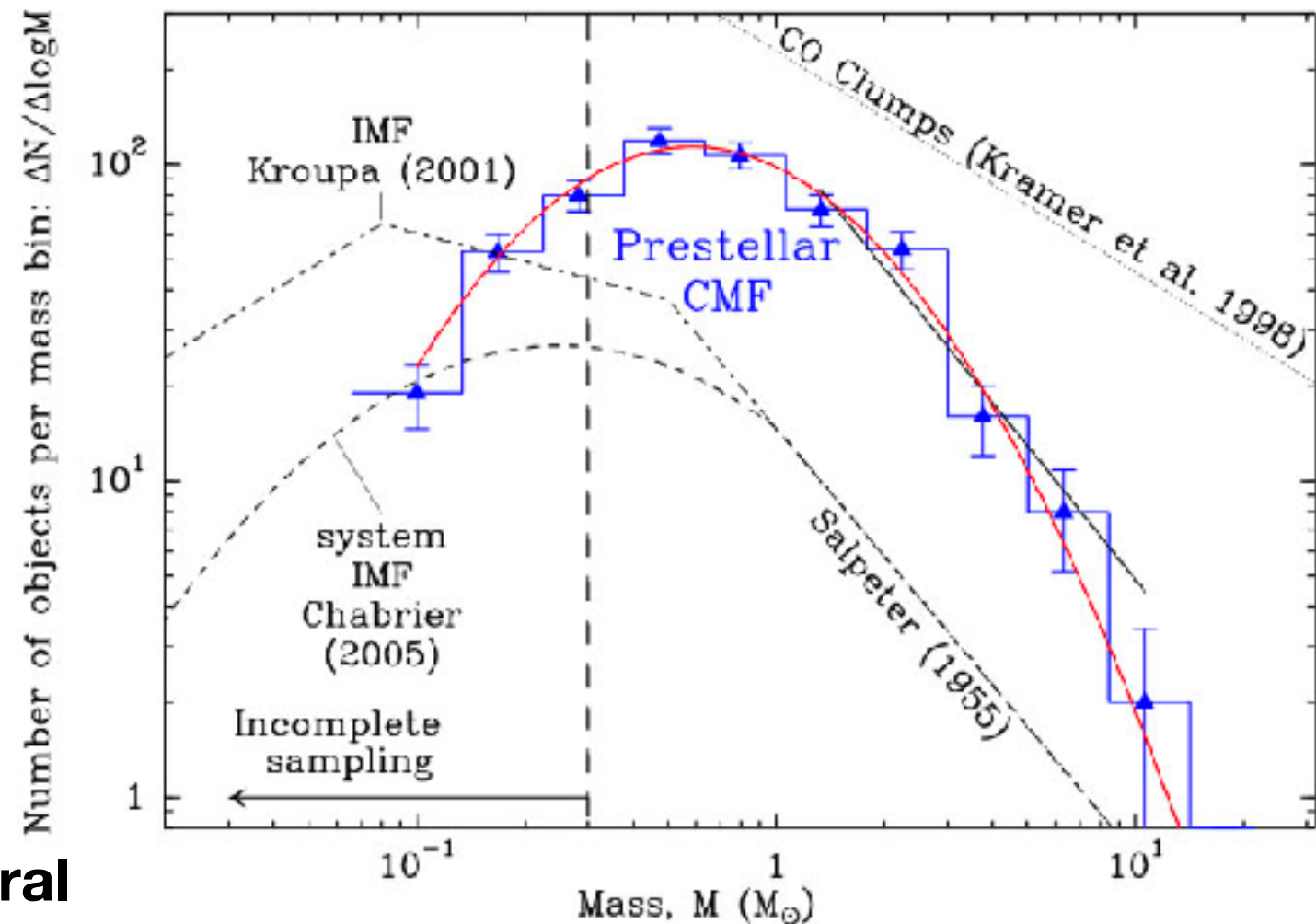
What do you know about the IMF?

The IMF



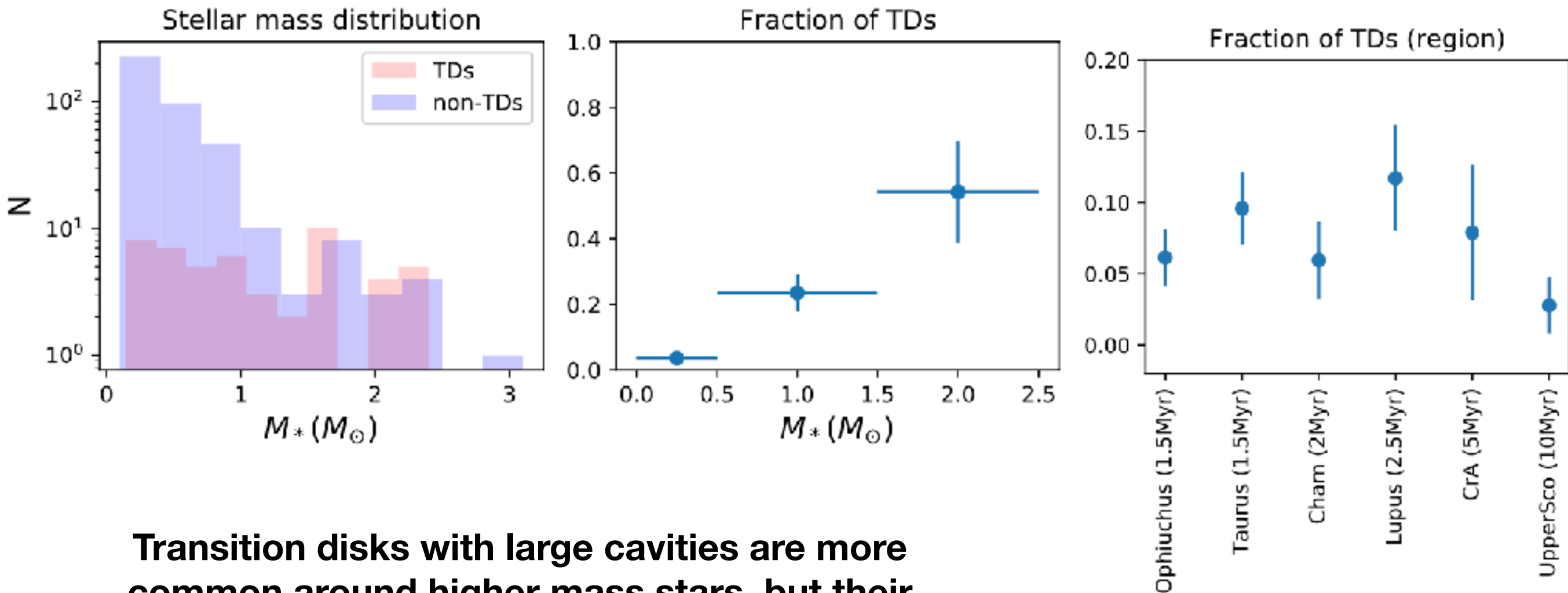
Stellar distribution in Lupus

**Overall stellar mass distribution
main-sequence stars
(and core mass function)**



**Most common stars are ~M2-M3 spectral
type or $0.3 M_{\text{sol}}$: Herbig stars and even Solar
mass stars are bright, but rare!**

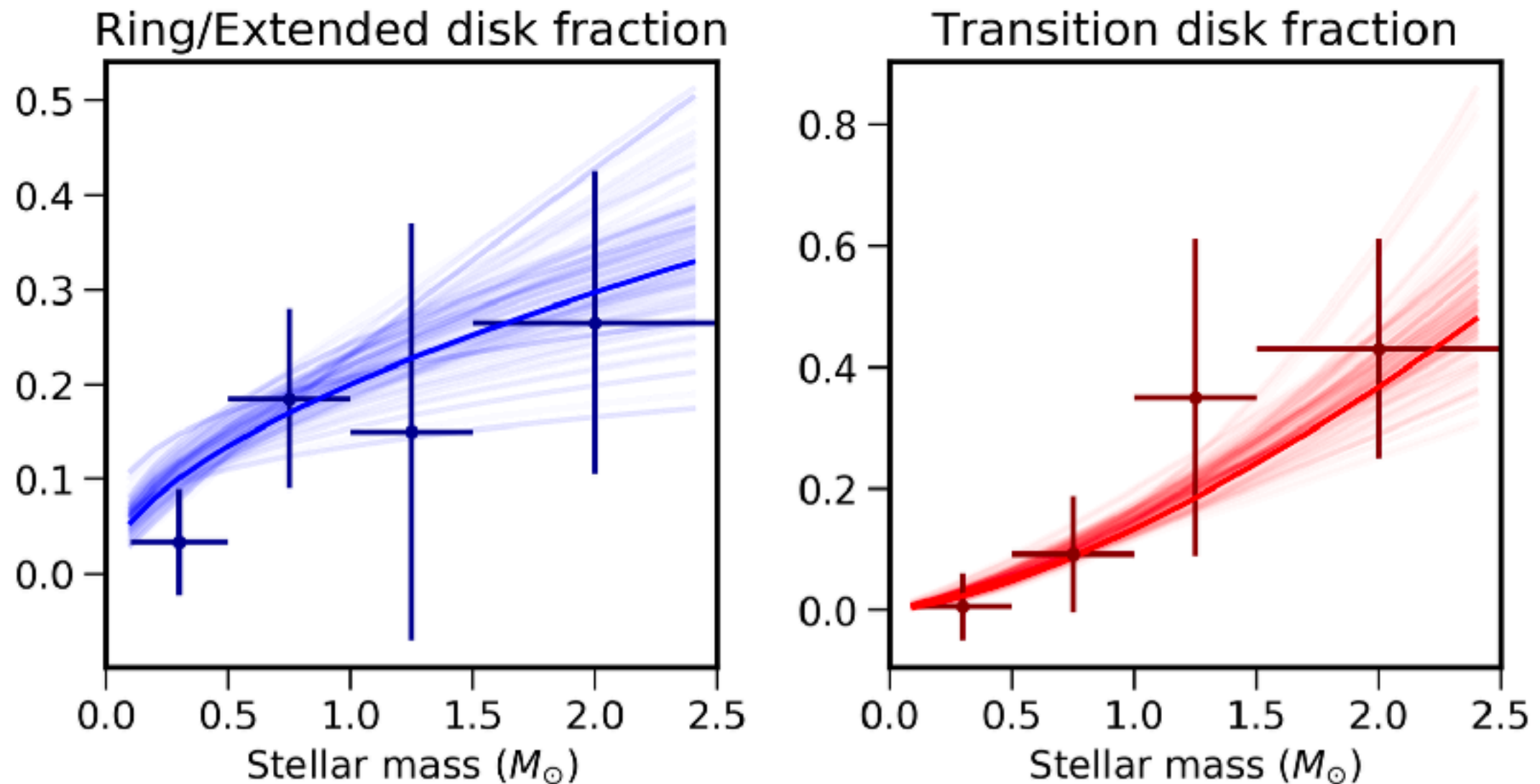
Occurrence rates TDs



Transition disks with large cavities are more common around higher mass stars, but their overall occurrence does not significantly decrease with age

Occurrence rates gapped disks

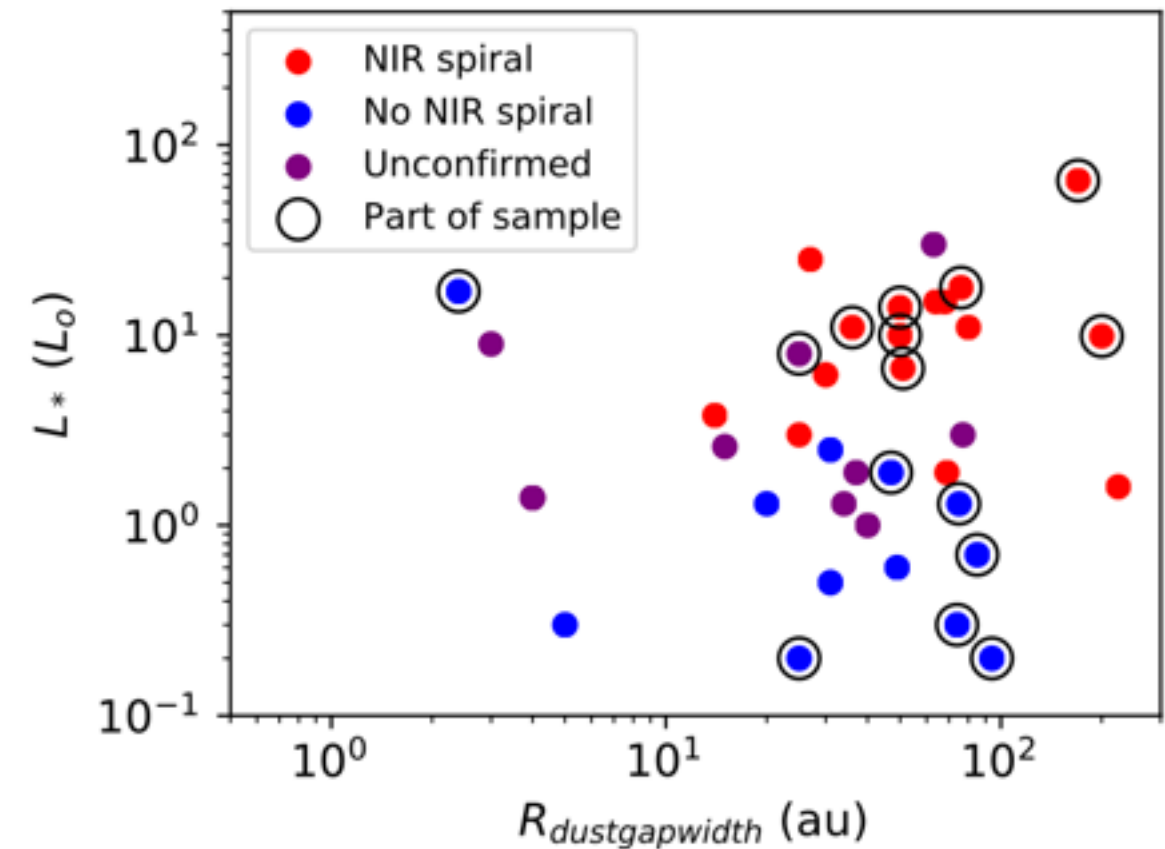
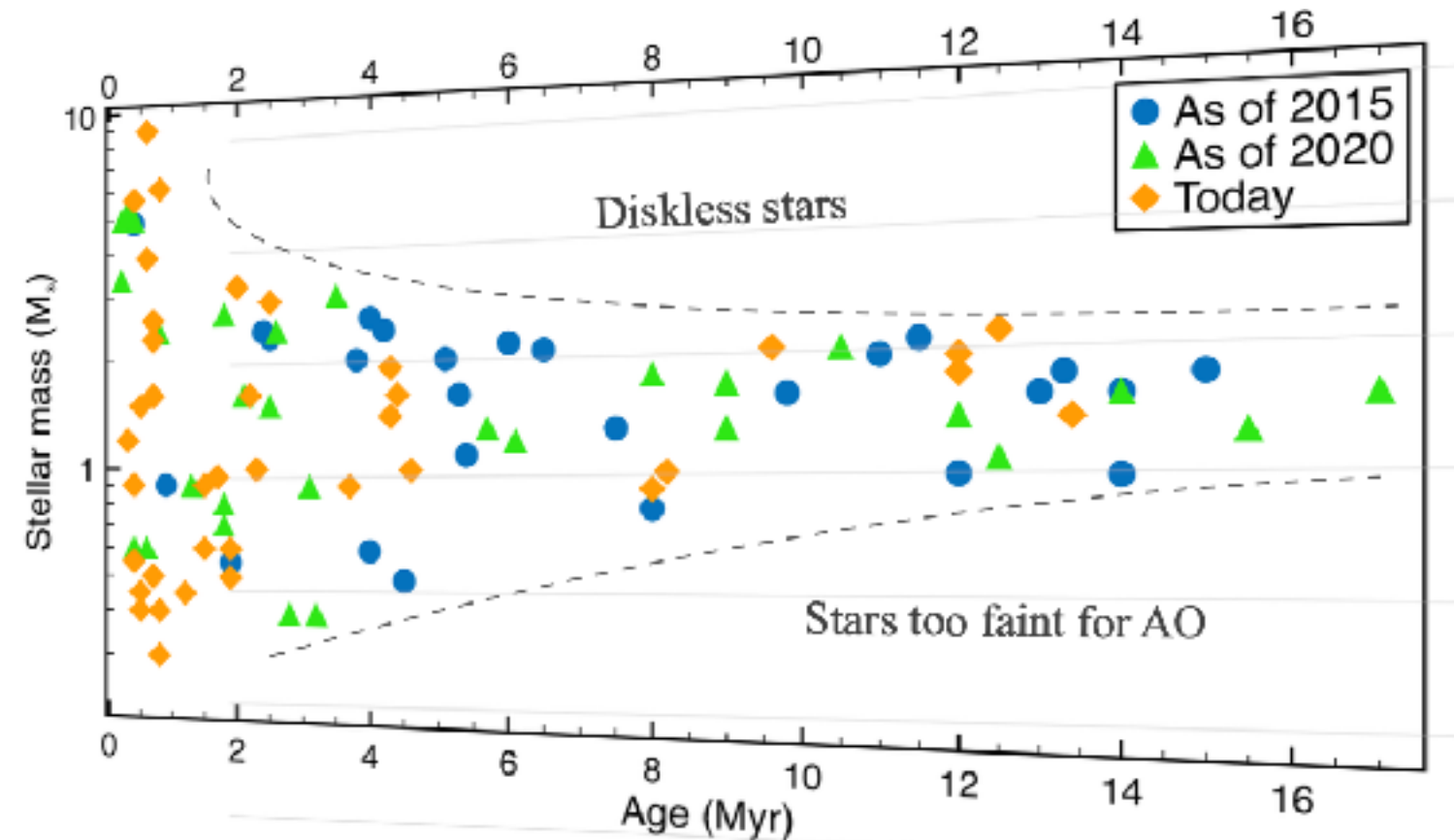
In this study: extended disks >40 au at low-resolution are assumed to have large scale gaps, considering Long et al. 2019



**Clear stellar mass dependence in both
transition disks and ring disks**

What about spirals in NIR?

Strong bias in detectability due to AO requirement: only bright optical stars targeted: incomplete sample, but decent coverage of parameter space of stellar mass and age



Spirals are most commonly detected in disks with wide gaps and a high luminosity: possibly related to detectability?

Summary

- Substructures are often detected in bright disks, but resolution-dependent
- Gaps can be related to planets, but also various other phenomena
- Spirals are seen in various wavelength and their exact origin remains debated.

Questions?

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<http://www.nienkevandermarel.com>