

# ALMA observations of protoplanetary disks: I

Dustbusters school 2024

Nienke van der Marel  
Leiden Observatory  
January 22nd

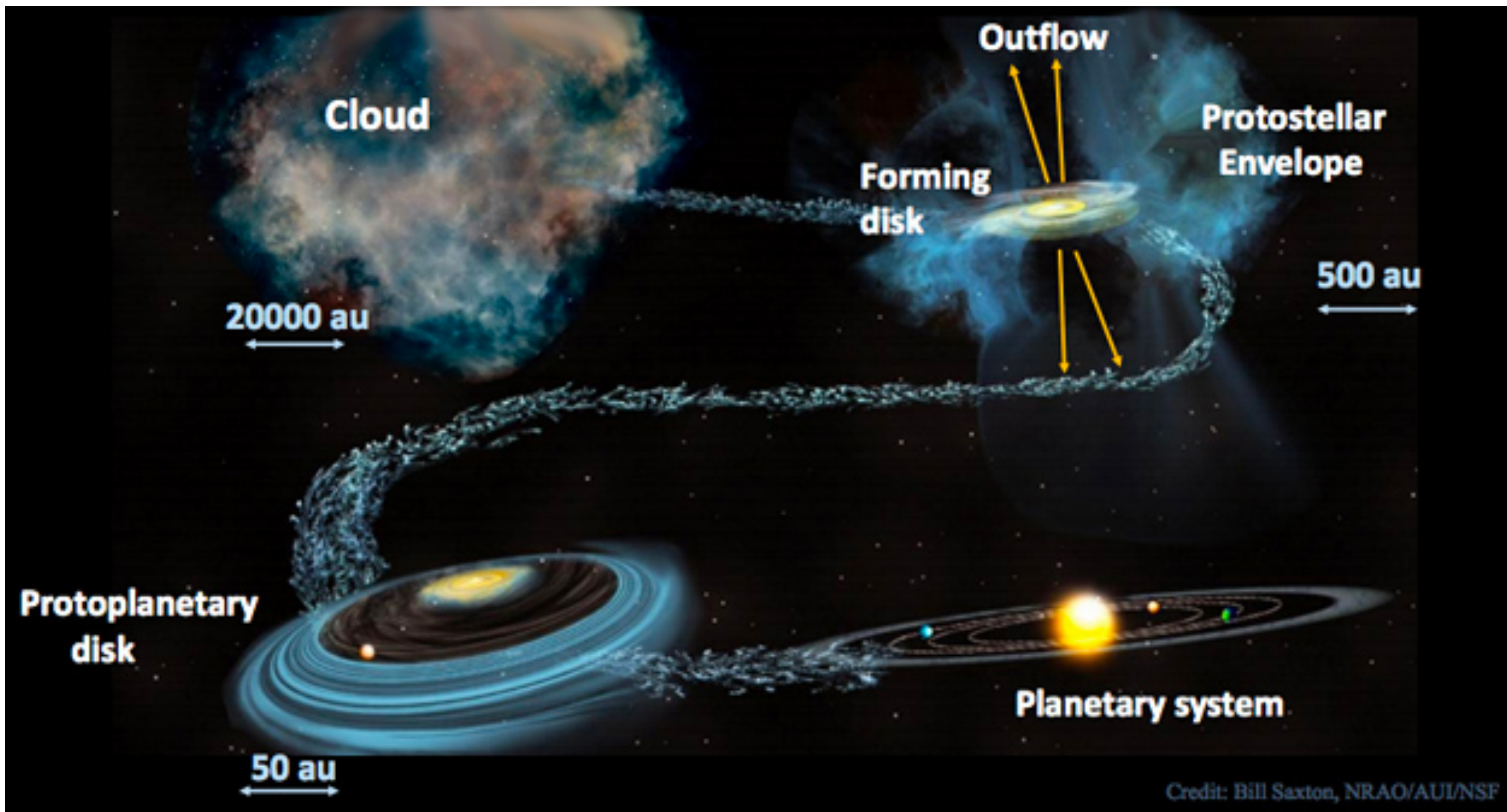


# Protoplanetary disks

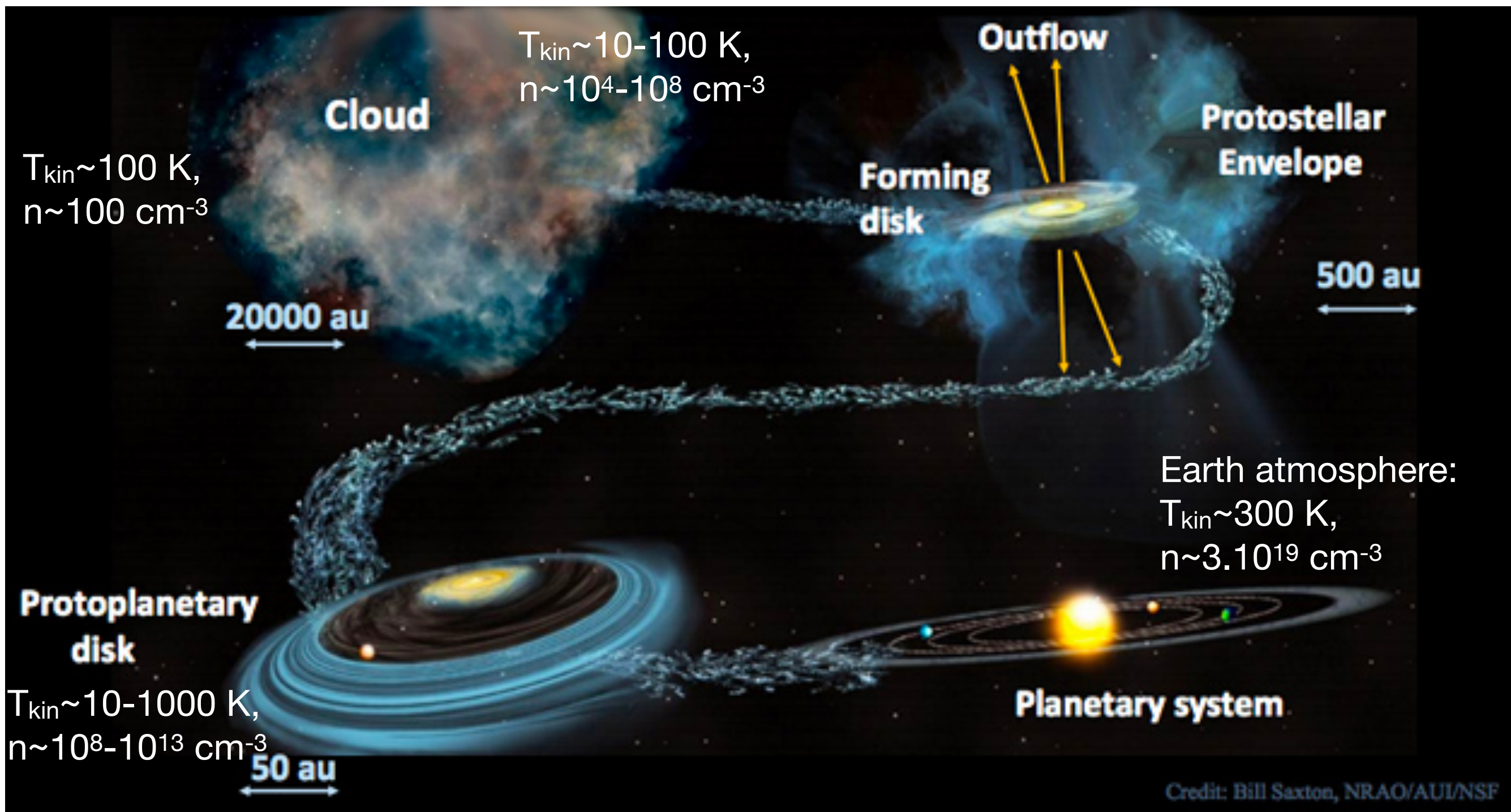




# Protoplanetary disks



# Conditions





# Protoplanetary disks

*Clouds and protostars:  
not discussed this week*

***This afternoon  
(Anaelle)***

20000 au

Protostellar  
envelope

Forming  
disk

500 au

Protoplanetary  
disk

50 au

*Debris disks and exoplanets:  
Not discussed this week*

# Contents today ('dust')

1. Brief history of disk observations
2. Pre-ALMA interferometers
3. Disk dust structure
4. Dust mass
5. Trends
6. Dust substructures
7. Multi-wavelength analysis
8. Polarisation
9. Interferometric imaging and visibility curves

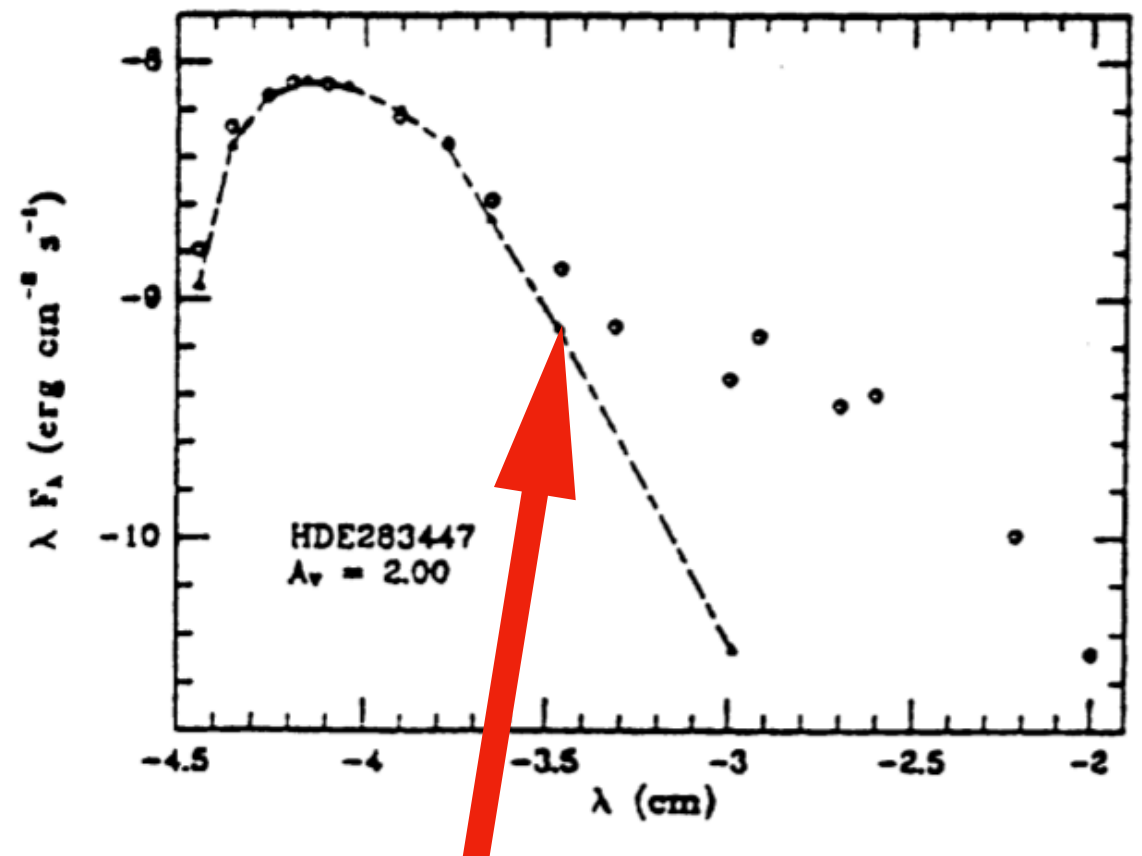
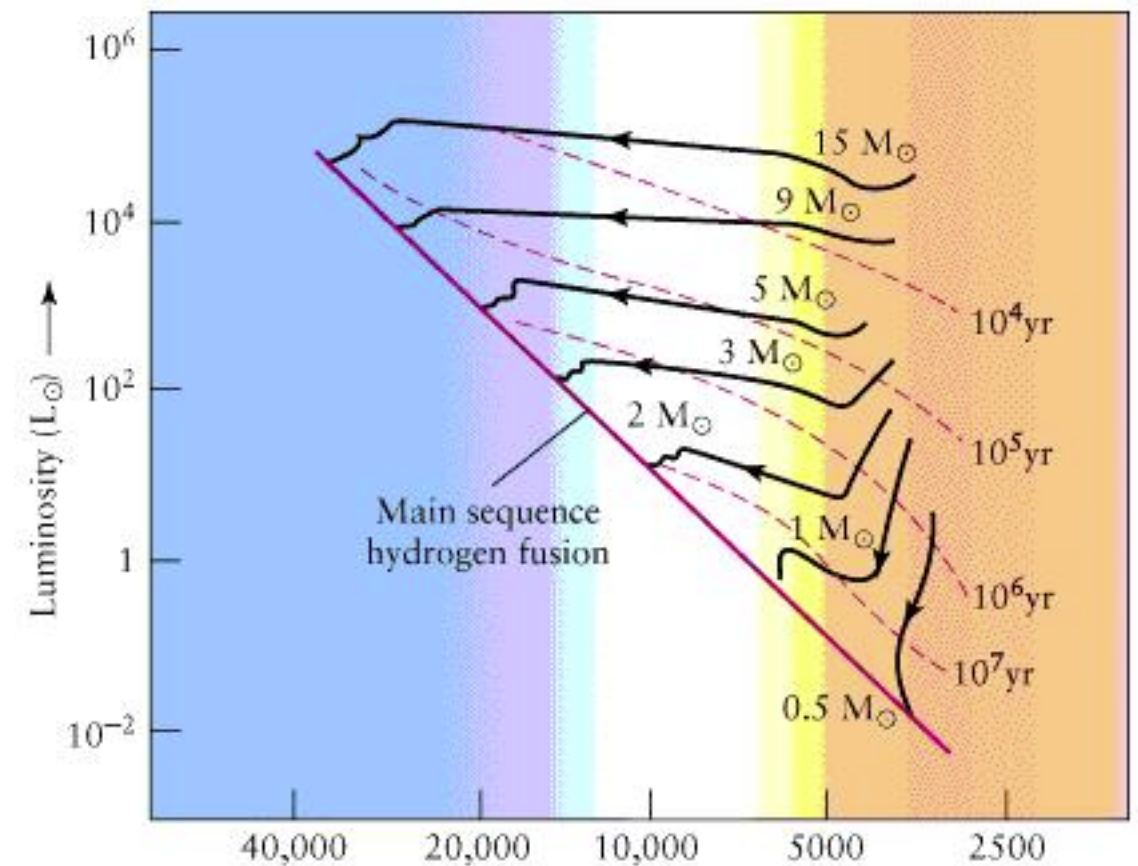
=> tomorrow: gas (molecular line emission and chemistry)

**What (and when) was the first evidence that disks existed?**



# History of disks

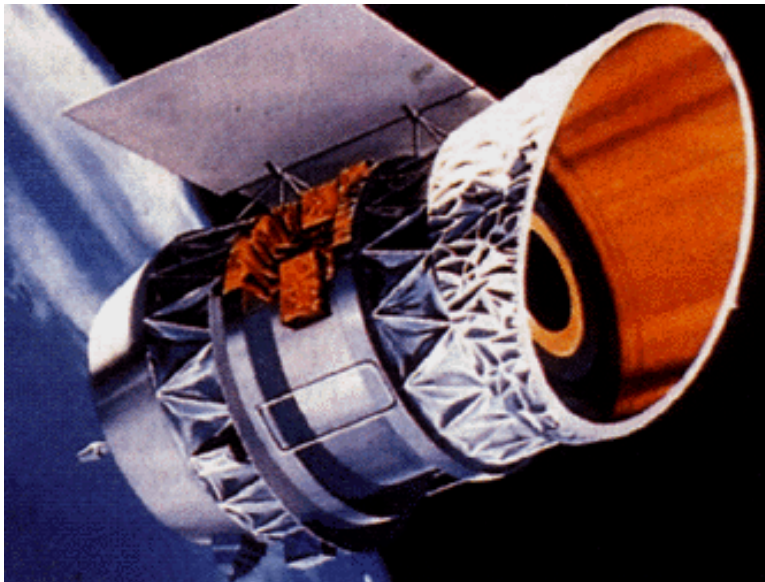
- 1950s: realization existence **young stars**
  - **overluminous** compared to stars of same SpT
  - found in **dark clouds**
  - strong H $\alpha$  and UV excess: **accretion**
- 1960s-1980s: '**excess emission**' at (near) infrared found in young stars  
=> first evidence of a dusty disk
  - Photometry only, no images: Spectral Energy Distributions (**SED**)
  - Dust continuum only, no lines
- 1980s-2000s: first **infrared (space) telescopes**



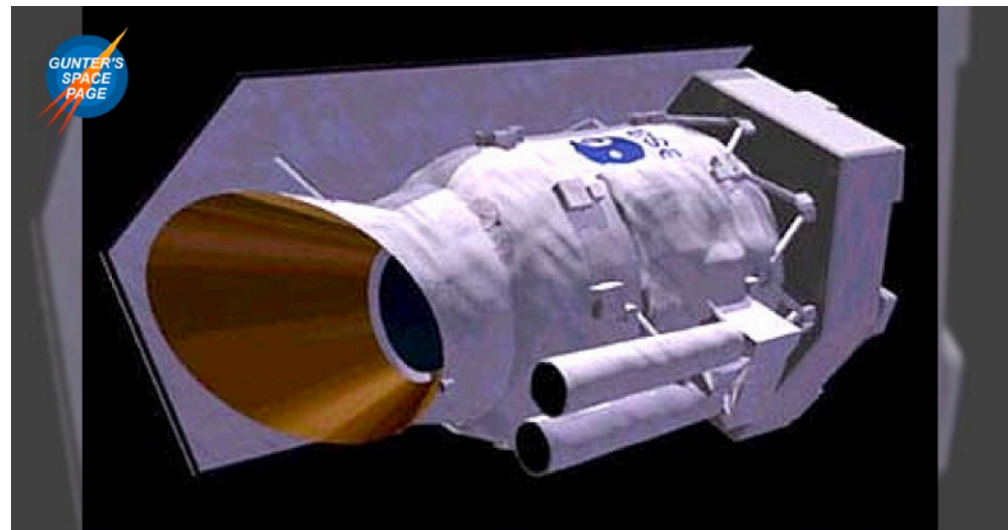
**excess**

# History of disks

**IRAS (1983, 57 cm):**  
12-100 micron

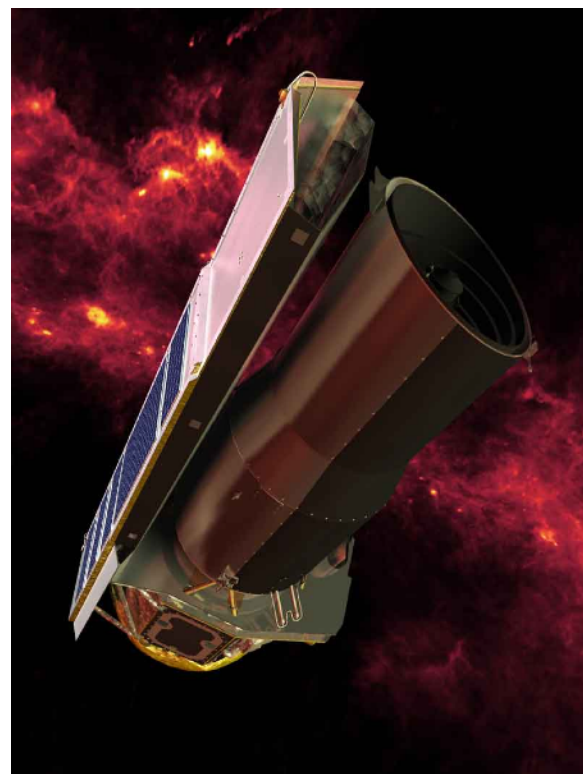


**ISO (~1994-1996, 60 cm):**  
2-200 micron, incl. spectroscopy



**What is the main issue of these early infrared telescopes w.r.t disks?**

**Spitzer (~2003-2009, 85 cm):**  
3-70 micron, incl. spectroscopy



**WISE (~2009-now, 40 cm):**  
2-24 micron, full-sky mapping

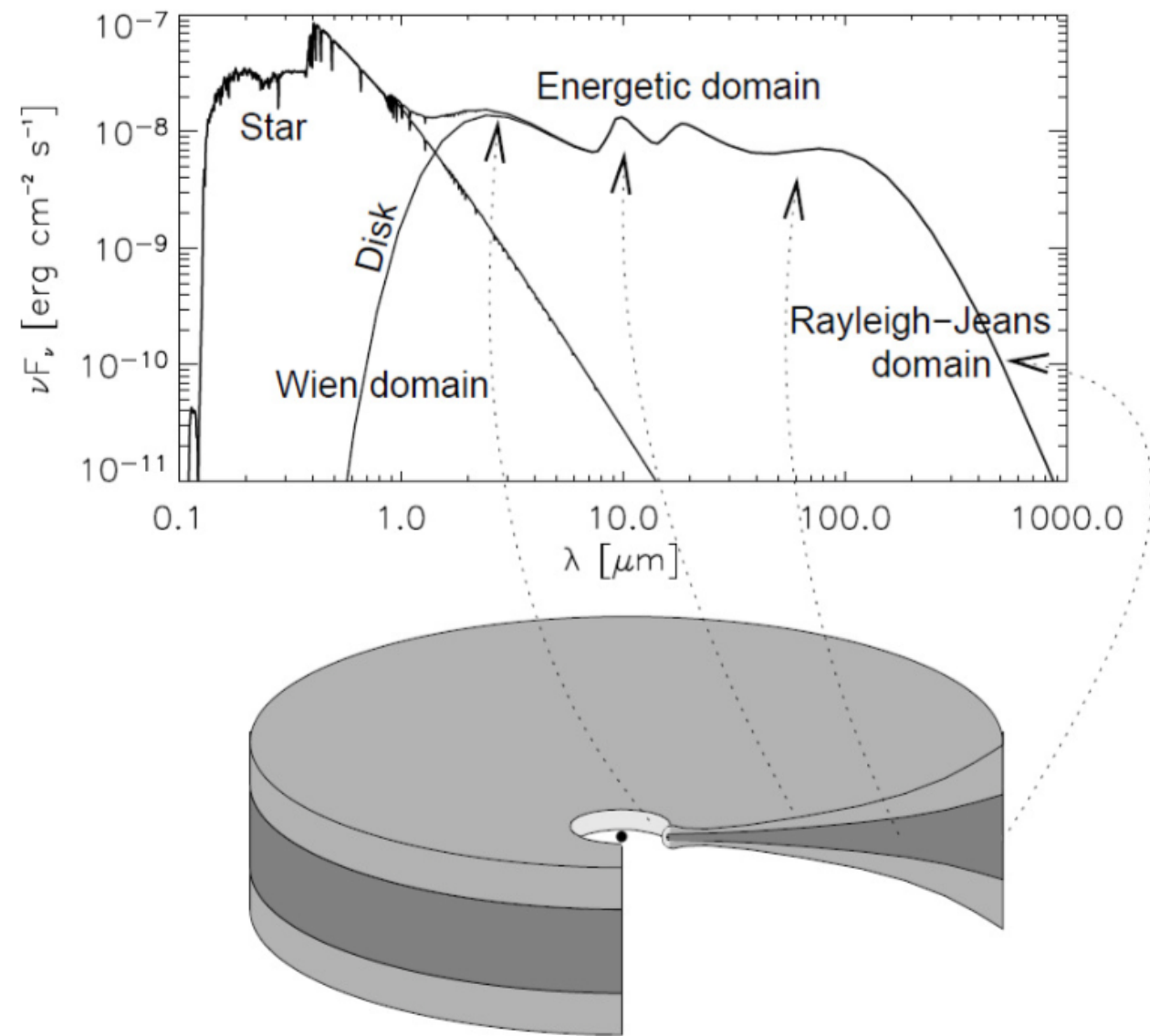
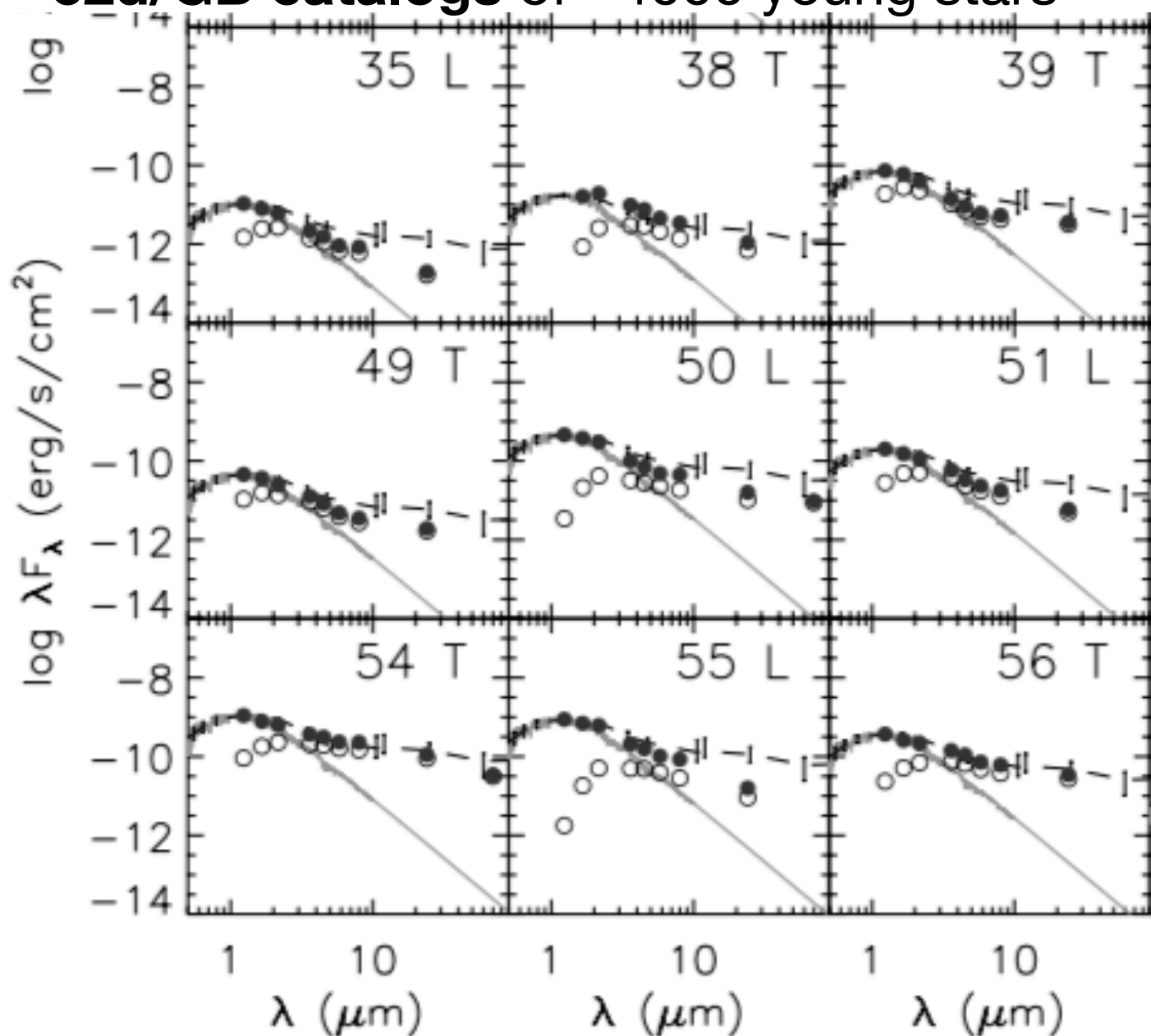




# History of disks

## SEDs

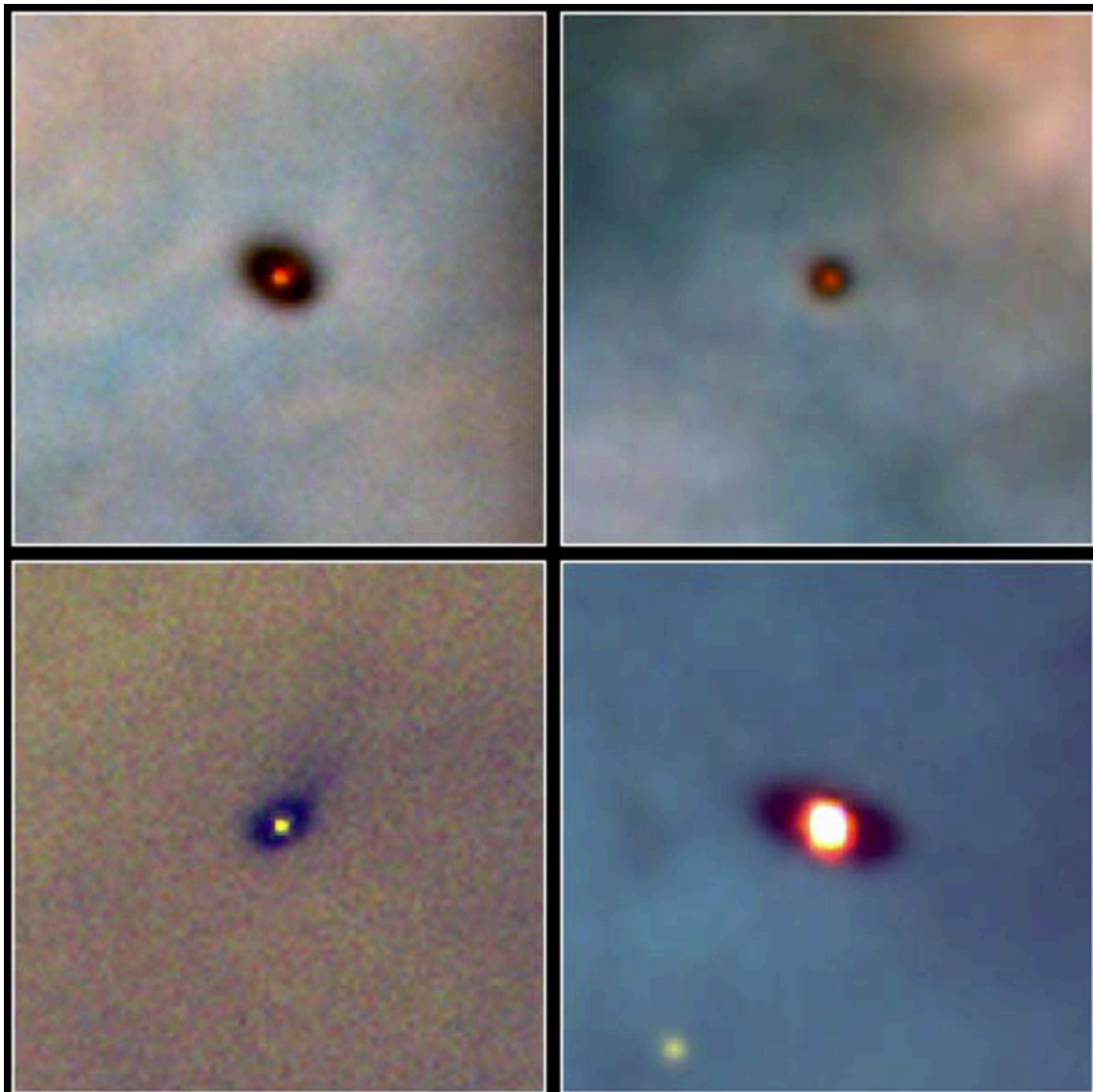
Construction SED from **photometric points** at multiple wavelengths: Spitzer resulted in **c2d/GB catalogs** of ~4000 young stars



Superposition of **blackbodies** (dust):  
SED provides some information on  
disk **dust structure**

# First disk images

1995: 'proplyds' seen with Hubble in Orion



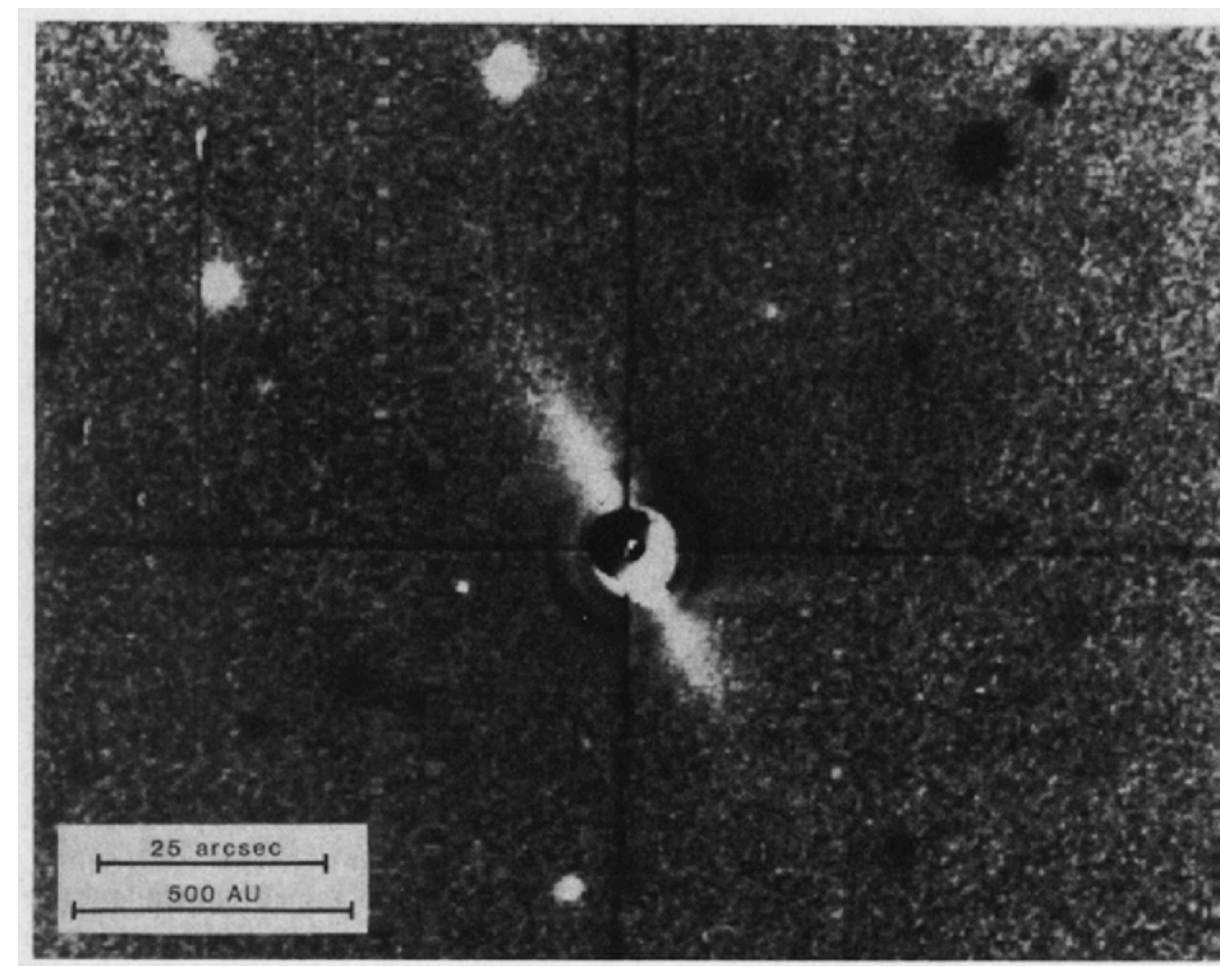
**Protoplanetary Disks  
Orion Nebula**

HST • WFPC2

PRC95-45b • ST ScI OPO • November 20, 1995

M. J. McCaughrean (MPIA), C. R. O'Dell (Rice University), NASA

1984: Beta pic (debris disk) in optical  
scattered light: edge-on



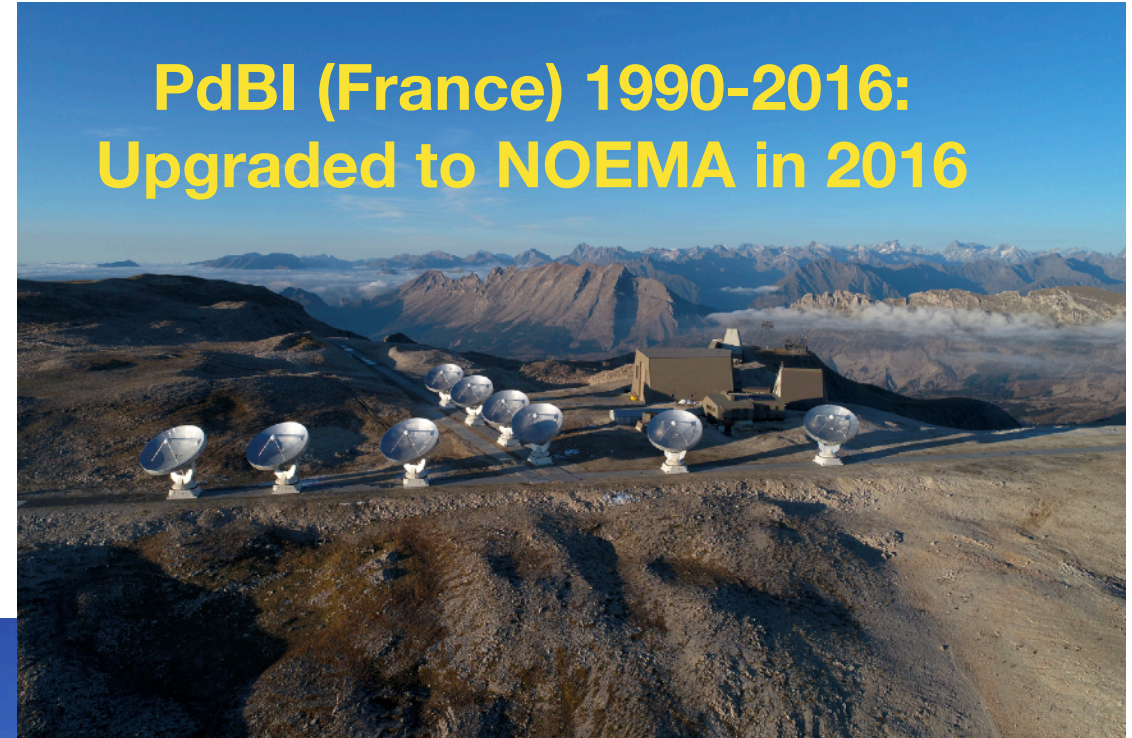


# A new era of disk imaging: millimeter interferometry

**SMA (Maunakea) since 2003**



**PdBI (France) 1990-2016:  
Upgraded to NOEMA in 2016**

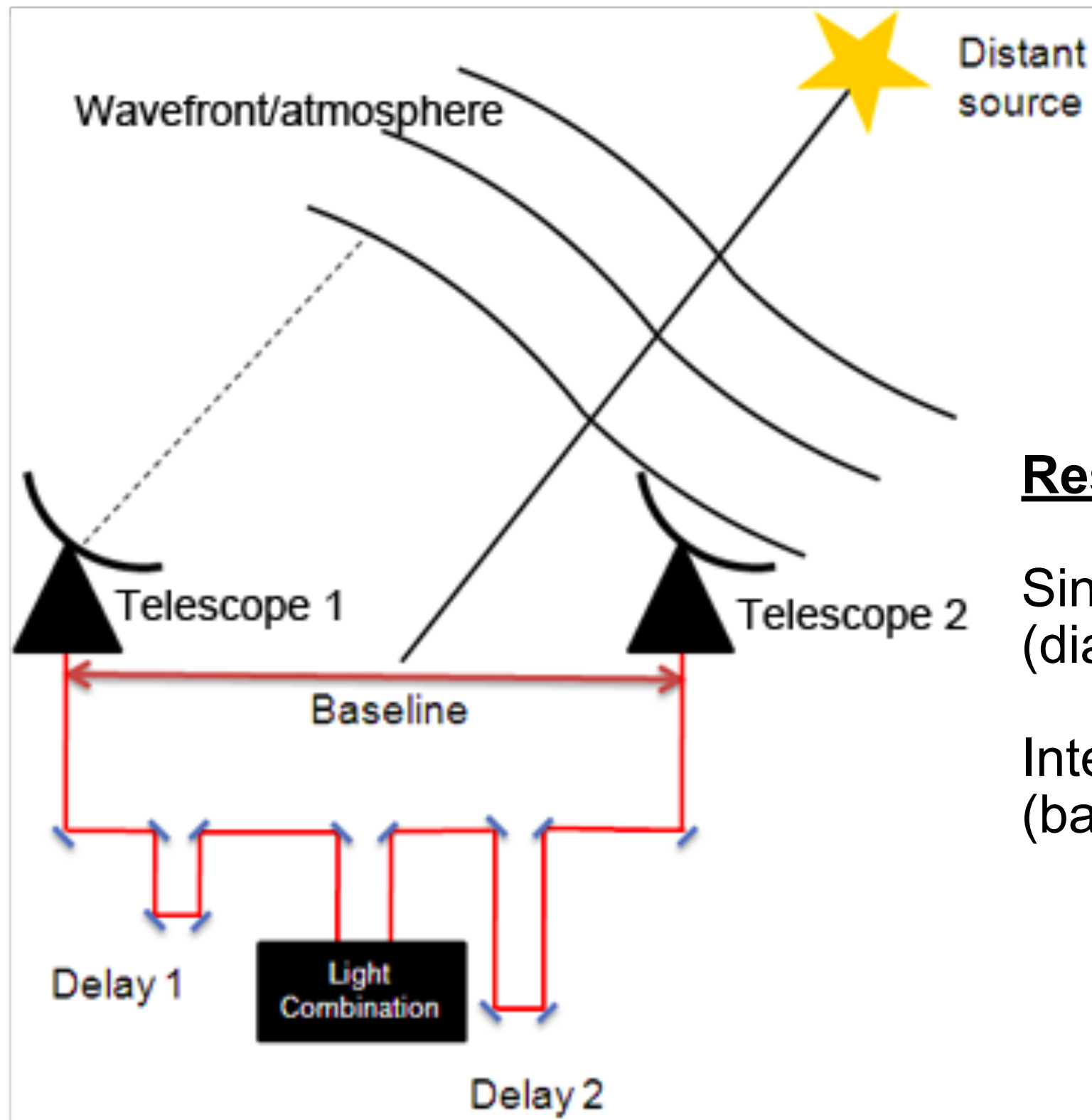


**CARMA (California) 2006-2015**



How does interferometry give higher resolution?

# Interferometry



## Resolution:

Single dish:  $R \sim \lambda/D$   
(diameter)

Interferometer  $R \sim \lambda/B$   
(baseline length)

**At 1mm:**  
**B~500 m: R~0.4"**  
**B~10 km: R~0.02"**



# ALMA

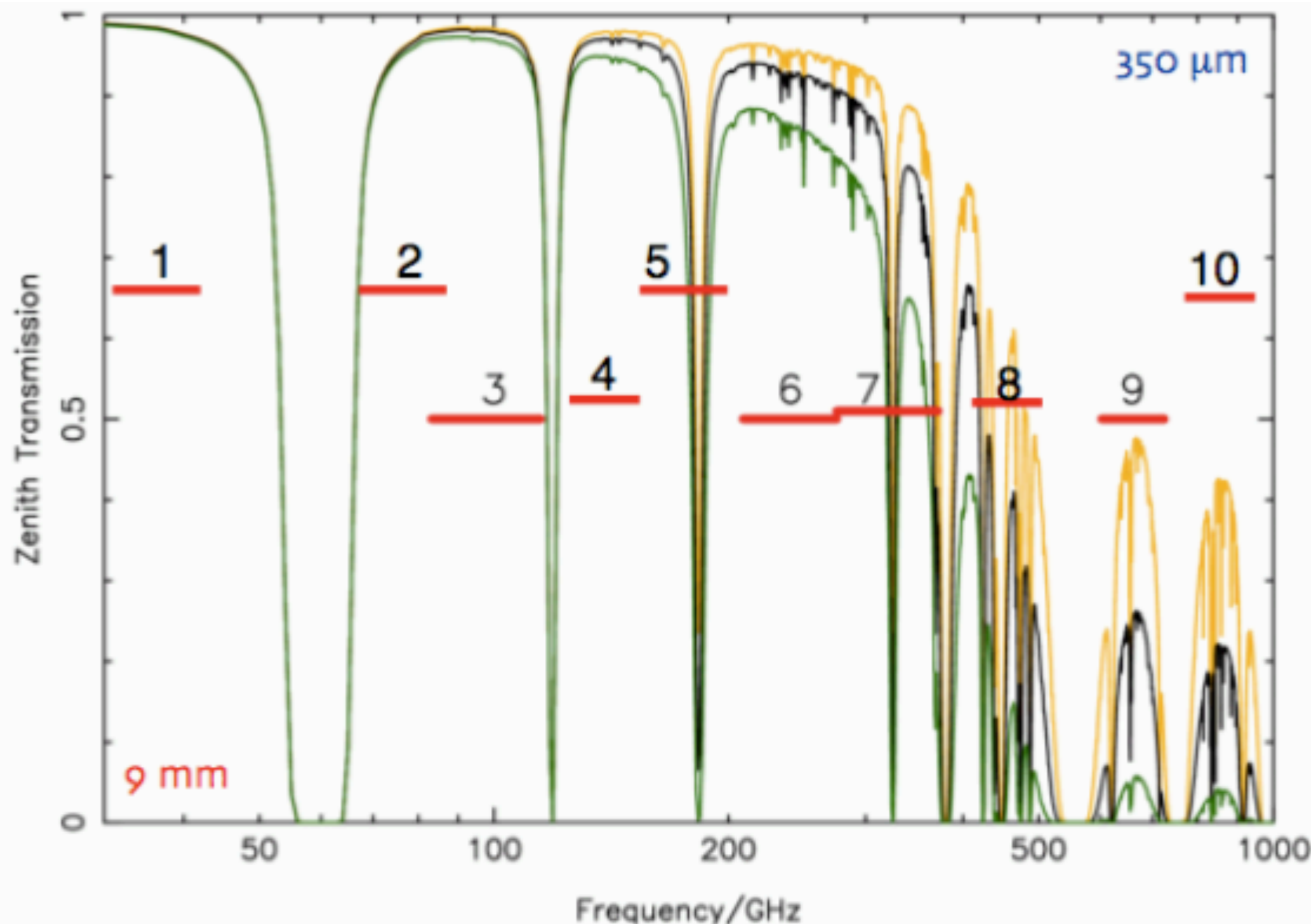
**ALMA: Atacama Large Millimeter/submillimeter Array**





# ALMA

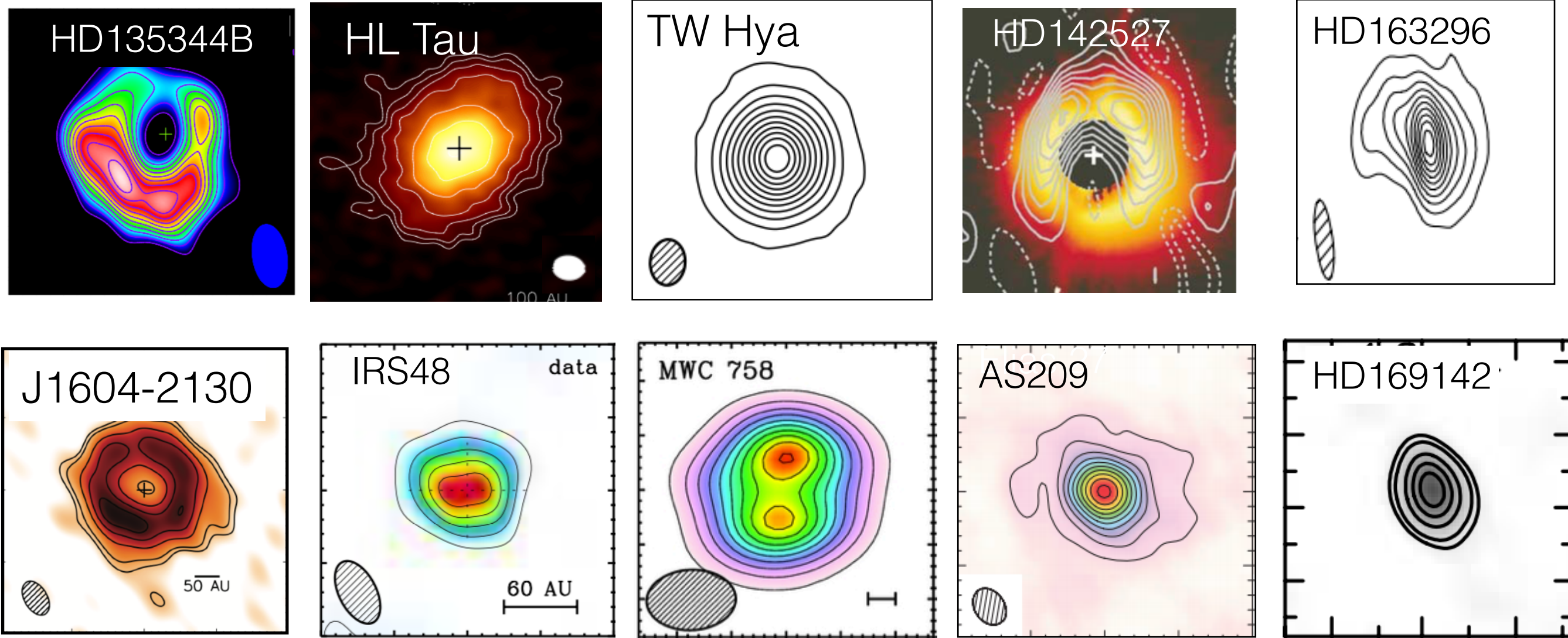
## Observing bands



**Most commonly used for disk dust imaging with ALMA:**

- **Band 6**  
= 230 GHz = 1.3mm
- **Band 7**  
= 345 GHz = 0.85mm
- **Band 9 (0.45mm):**  
optically thick
- **Band 4 (2mm),**  
**Band 3 (3mm):**  
fainter emission

# Revolution of ALMA (pre-ALMA)

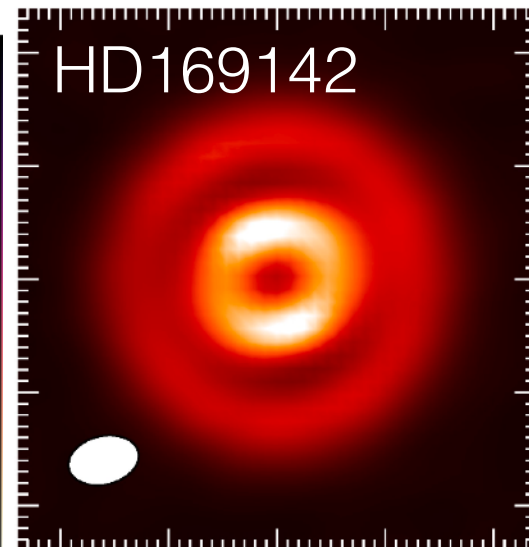
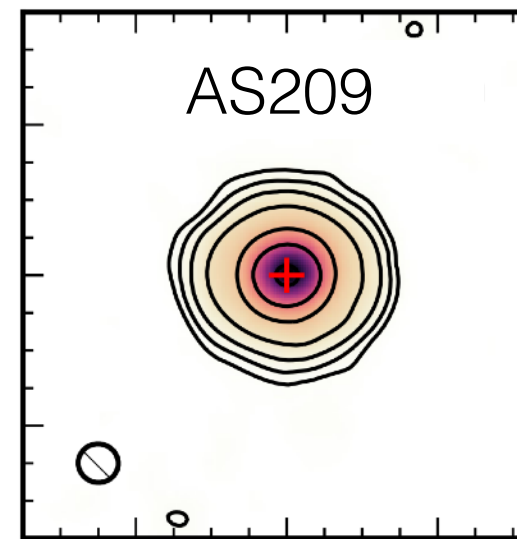
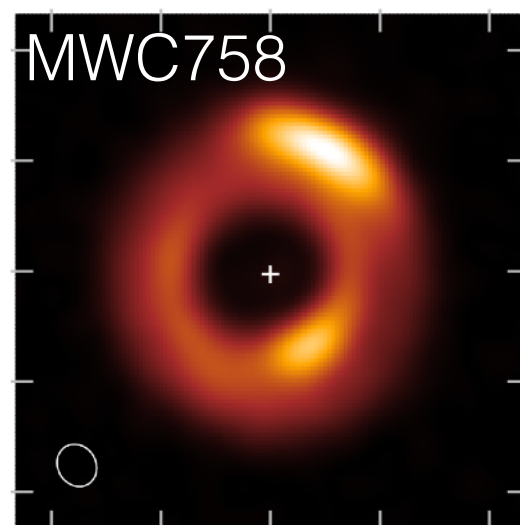
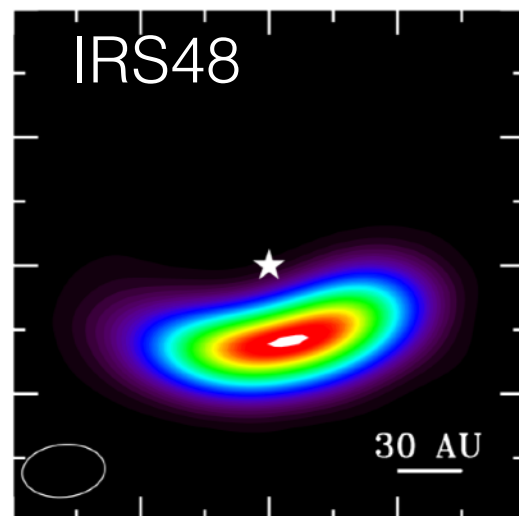
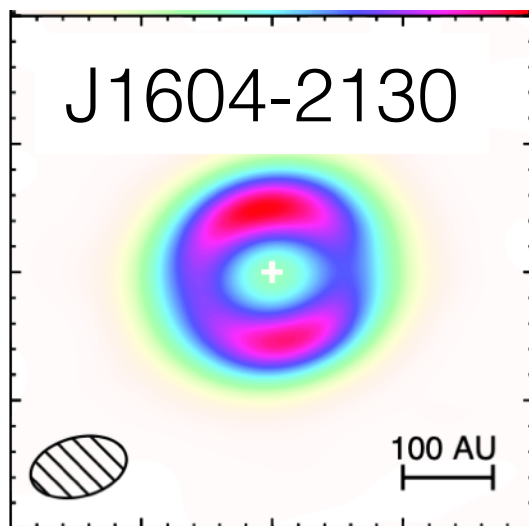
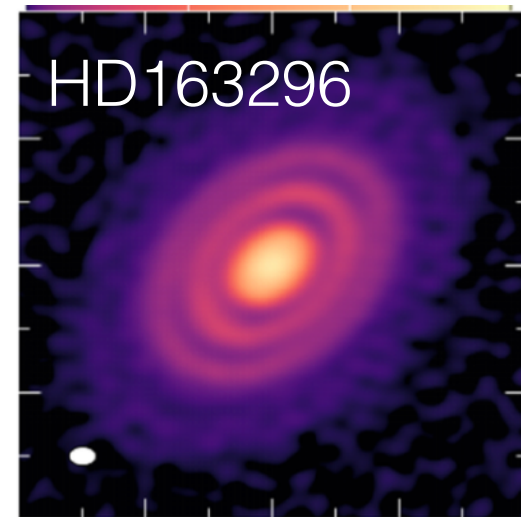
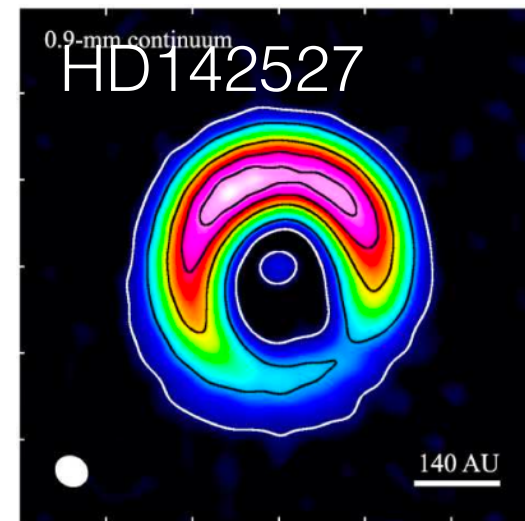
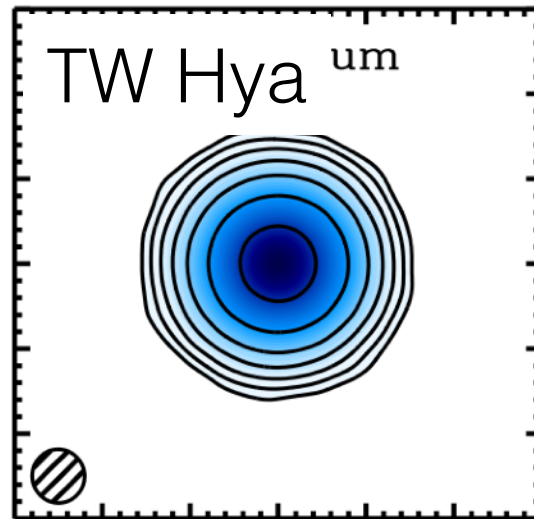
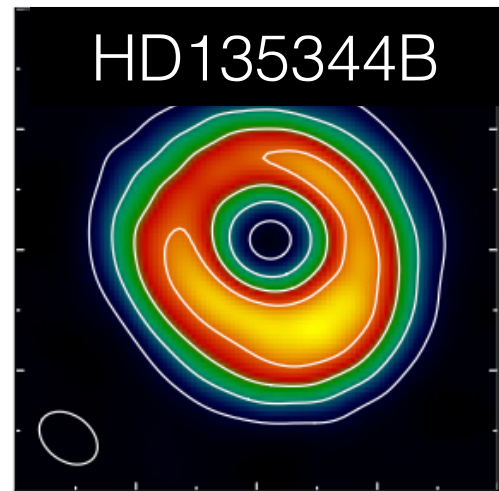


*Typical resolution  $\sim 0.5-0.8''$*

Andrews et al. 2011 & 2012, Brown et al. 2009 & 2012, Isella et al. 2007, Kwon et al. 2011, Matthews et al. 2012, Ohashi et al. 2008, Perez et al. 2012, Raman et al. 2006



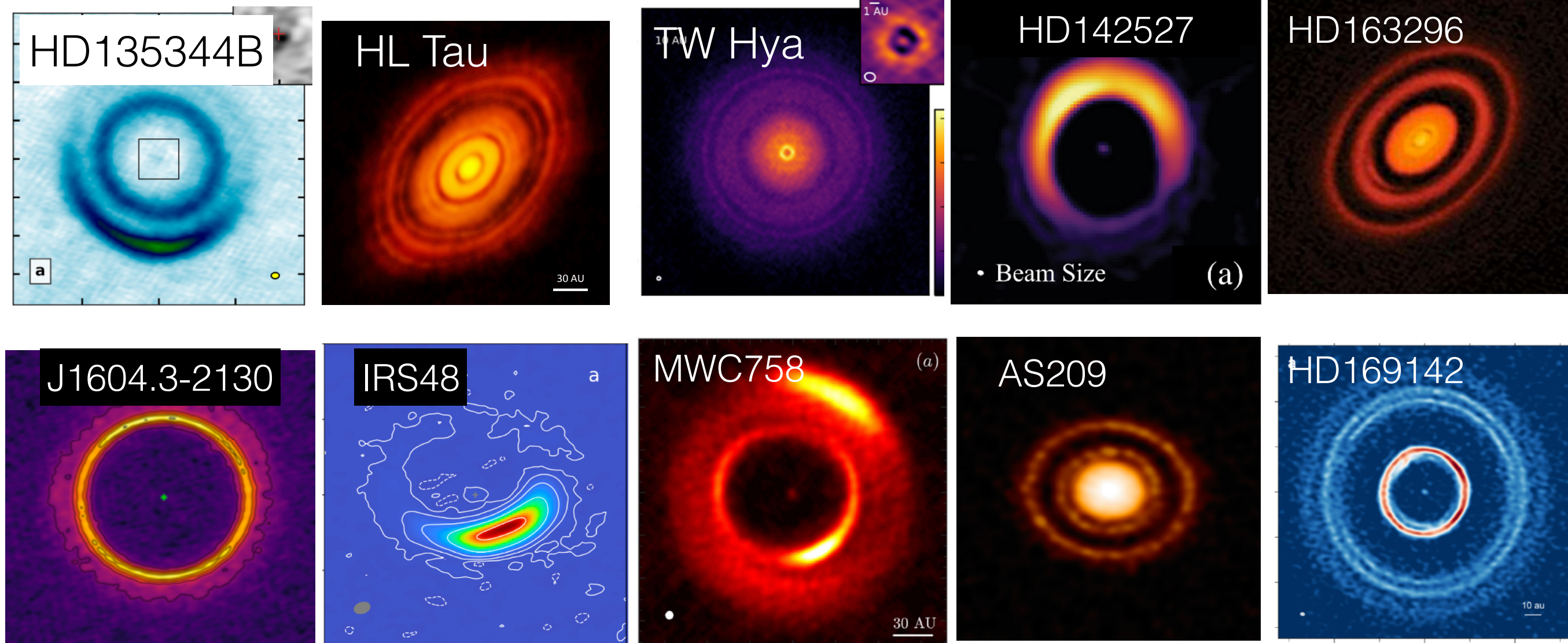
# Revolution of ALMA (Early Science)



*Typical resolution  $\sim 0.3-0.5''$*

Perez et al. 2014, Qi et al. 2013, Fukagawa et al. 2013, Isella et al. 2016, Zhang et al. 2014, van der Marel et al. 2013, Boehler et al. 2018, Huang et al. 2016, Fedele et al. 2017

# Revolution of ALMA (long baseline)

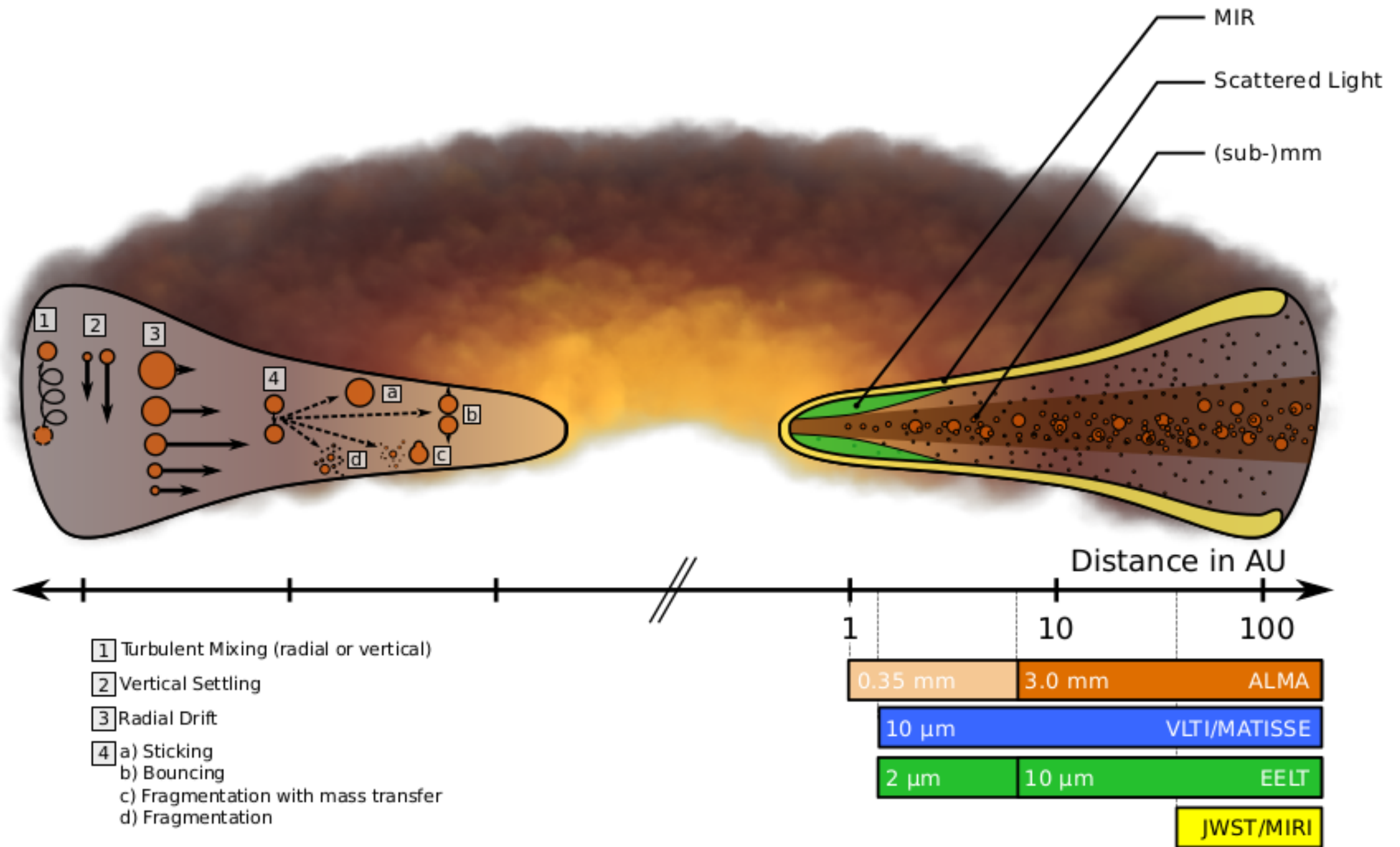


*Typical resolution  $\sim 0.05\text{--}0.1''$*

Casassus et al. 2021, ALMA consortium  
et al. 2015, Andrews et al. 2016,  
Yamaguchi et al. 2020, Andrews et al.  
2018, Stadler et al. 2022, Yang et al.  
2023, Dong et al. 2018, Perez et al. 2018

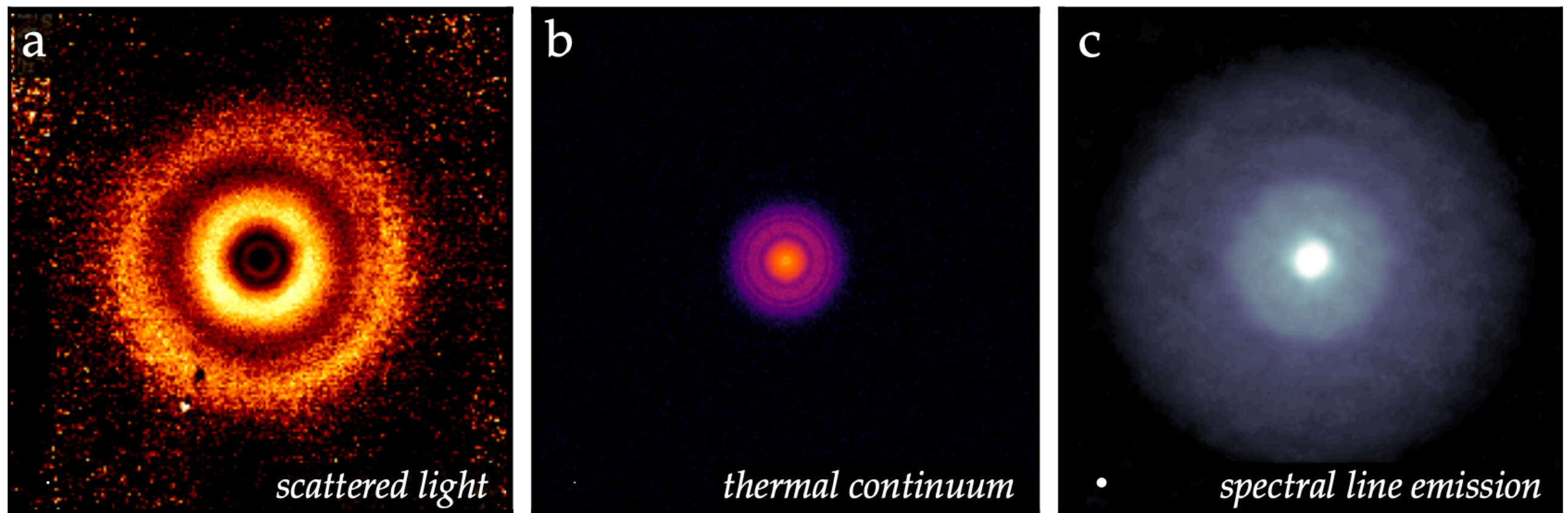


# Disk dust structure



**Dust structure disk: ISM ratio gas/dust = 100/1**

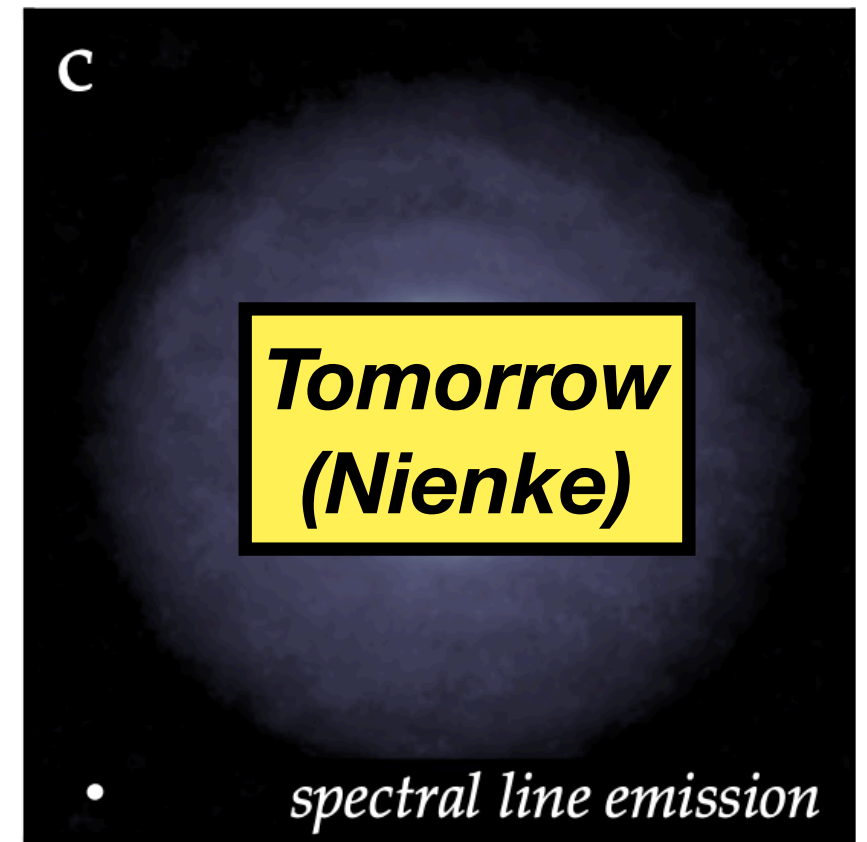
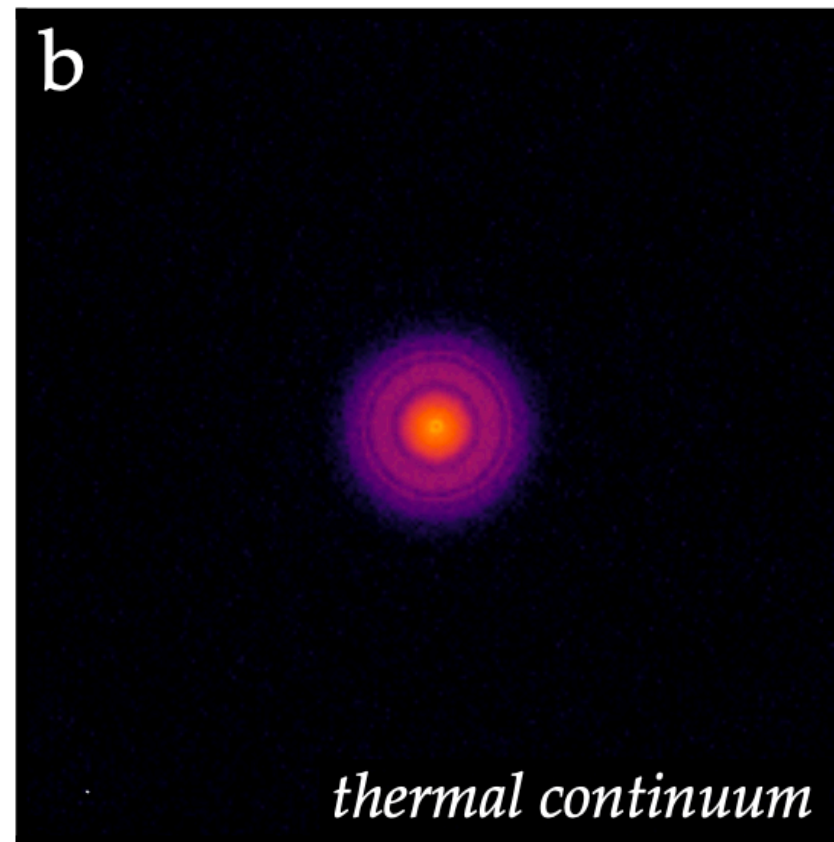
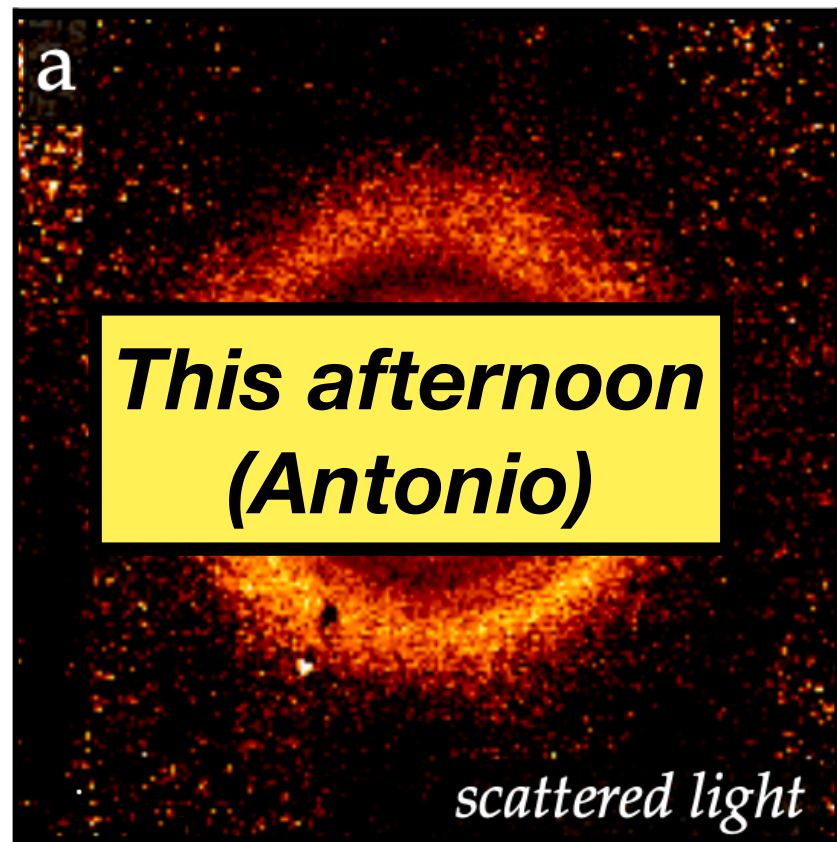
# Disk dust structure



**Gas and millimeter dust show very different distributions,  
and gas is the bulk component of the disk**



# Disk dust structure



Gas and millimeter dust show very different distributions,  
and gas is the bulk component of the disk

# Disk dust structure

HL Tau:

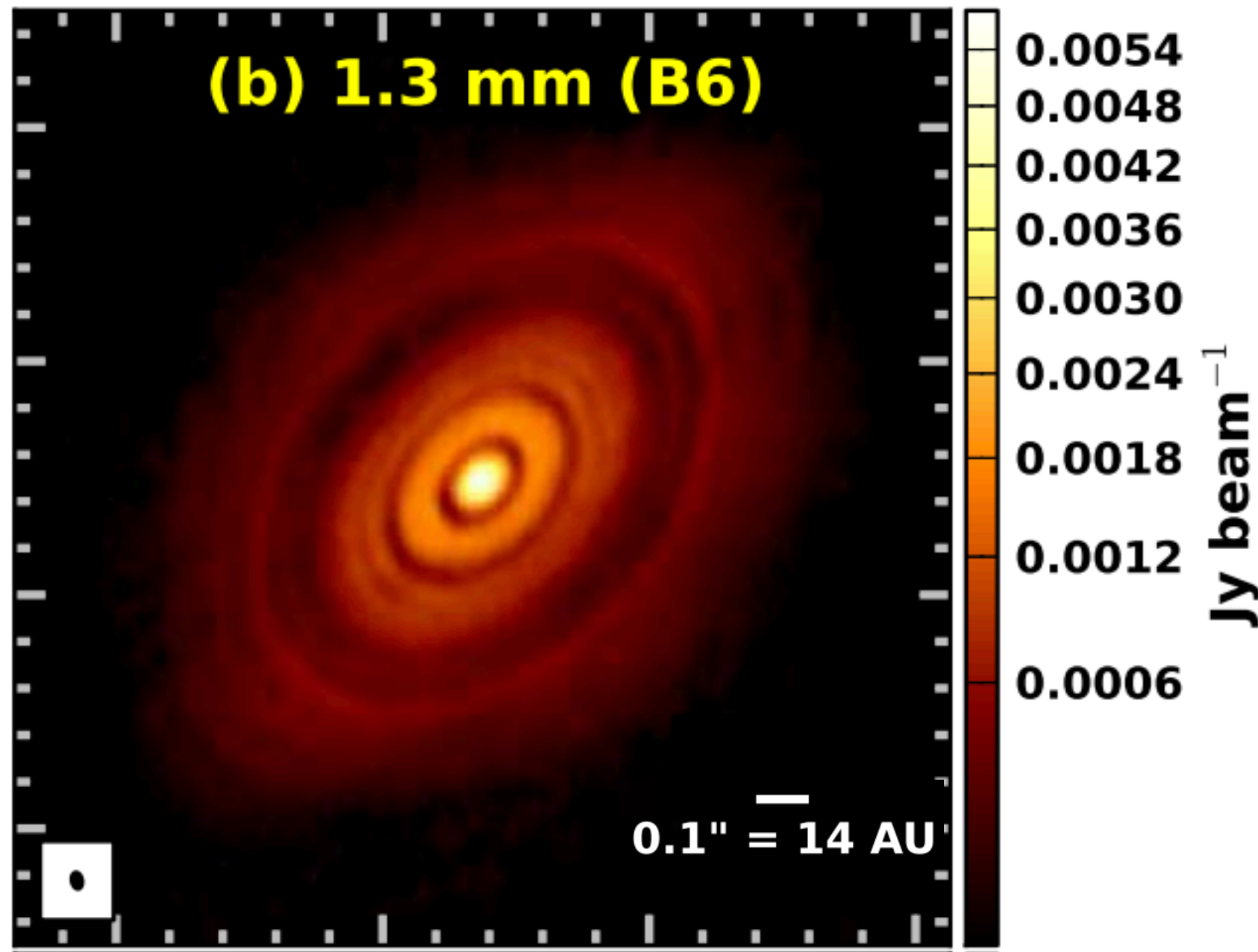
Distance = 147 pc (Gaia DR3)

SpT = K5

Teff = 4400 K

Stellar mass = 1.7  $M_{\text{sun}}$

Stellar luminosity = 6  $L_{\text{sun}}$



Beam: 0.035x0.022'', PA=11°

Assuming we have the fits file and the stellar properties, what properties can we measure directly in this disk image? And what can we infer?

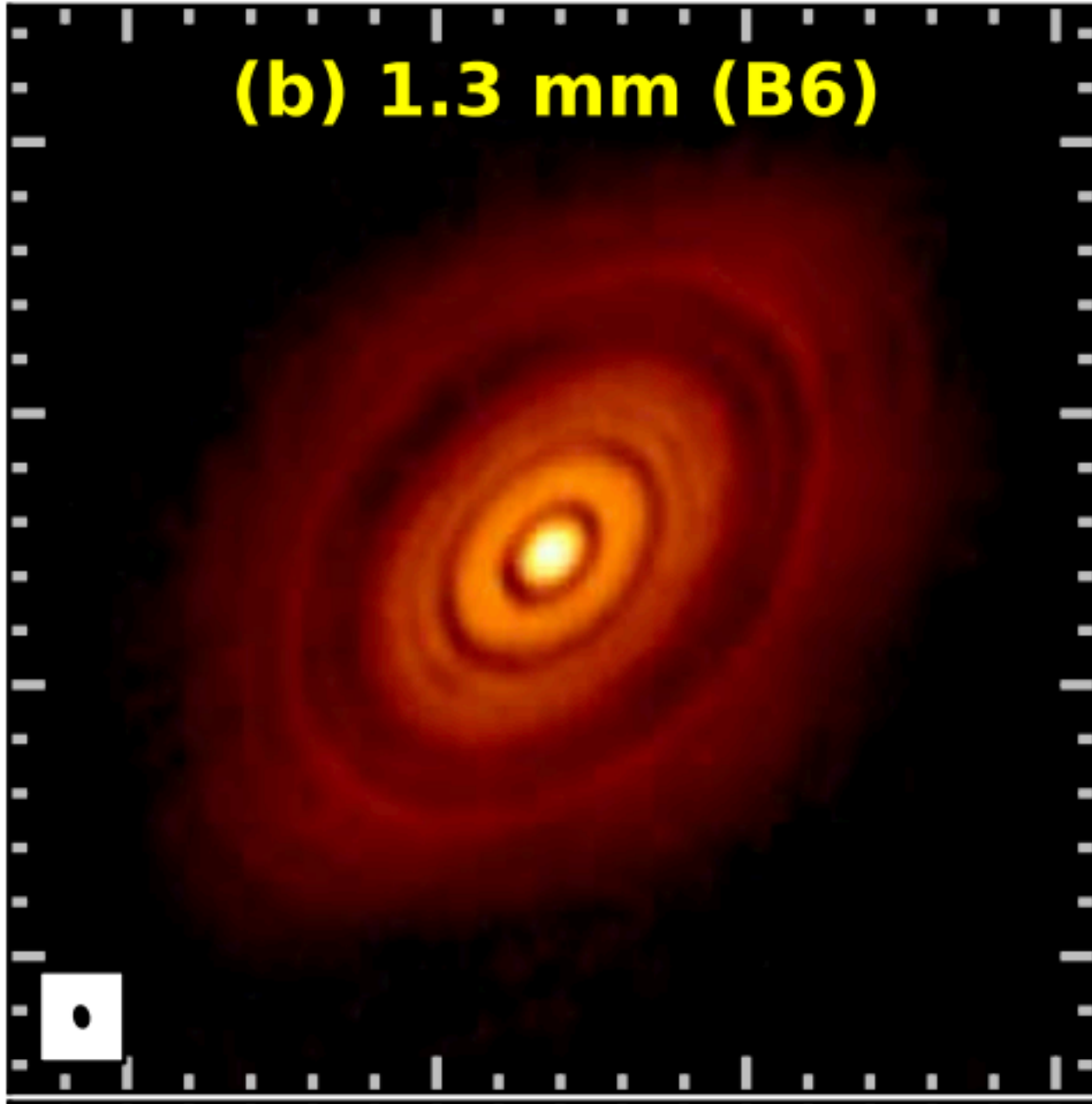
ALMA consortium et al. 2015

Pinte et al. 2016



# Disk dust structure

**(b) 1.3 mm (B6)**



## Direct:

1. Image properties  
(Peak flux, rms, total flux)
2. Orientation (position angle, inclination)
3. Disk radius
4. Ring/gap radii and widths

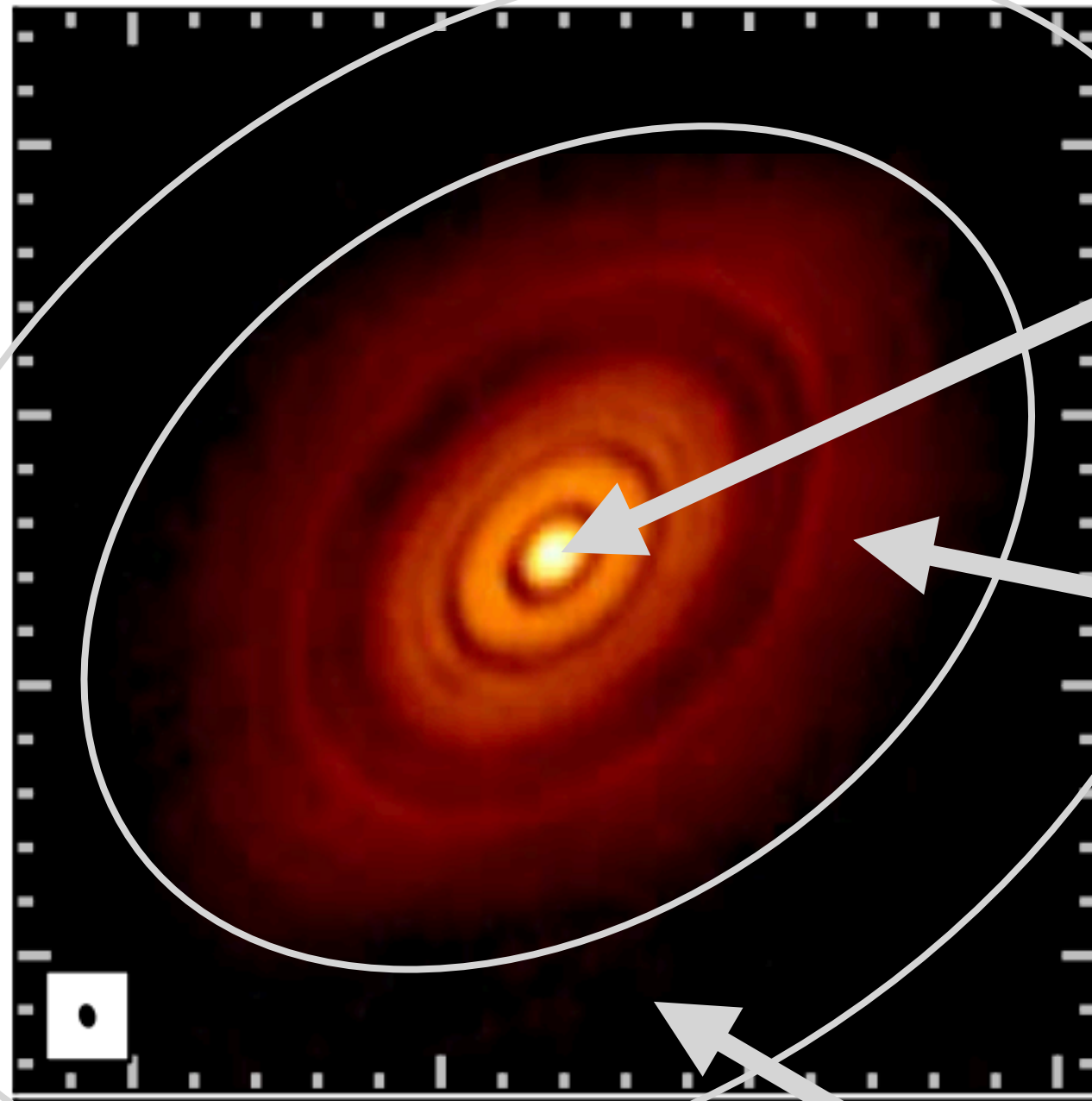
## Indirect:

1. Disk dust mass
2. Dust surface density
3. Vertical height (settling)

# Disk dust structure

CASA tool:  
imstat

## Image properties



Peak = maximum value in  
disk region [mJy/beam]

Integrated flux = sum of all values  
in disk region/#pixels in beam  
OR sum of all values  $>3.\text{rms}/$   
#pixels in the beam  
OR peak (if unresolved)  
[mJy]

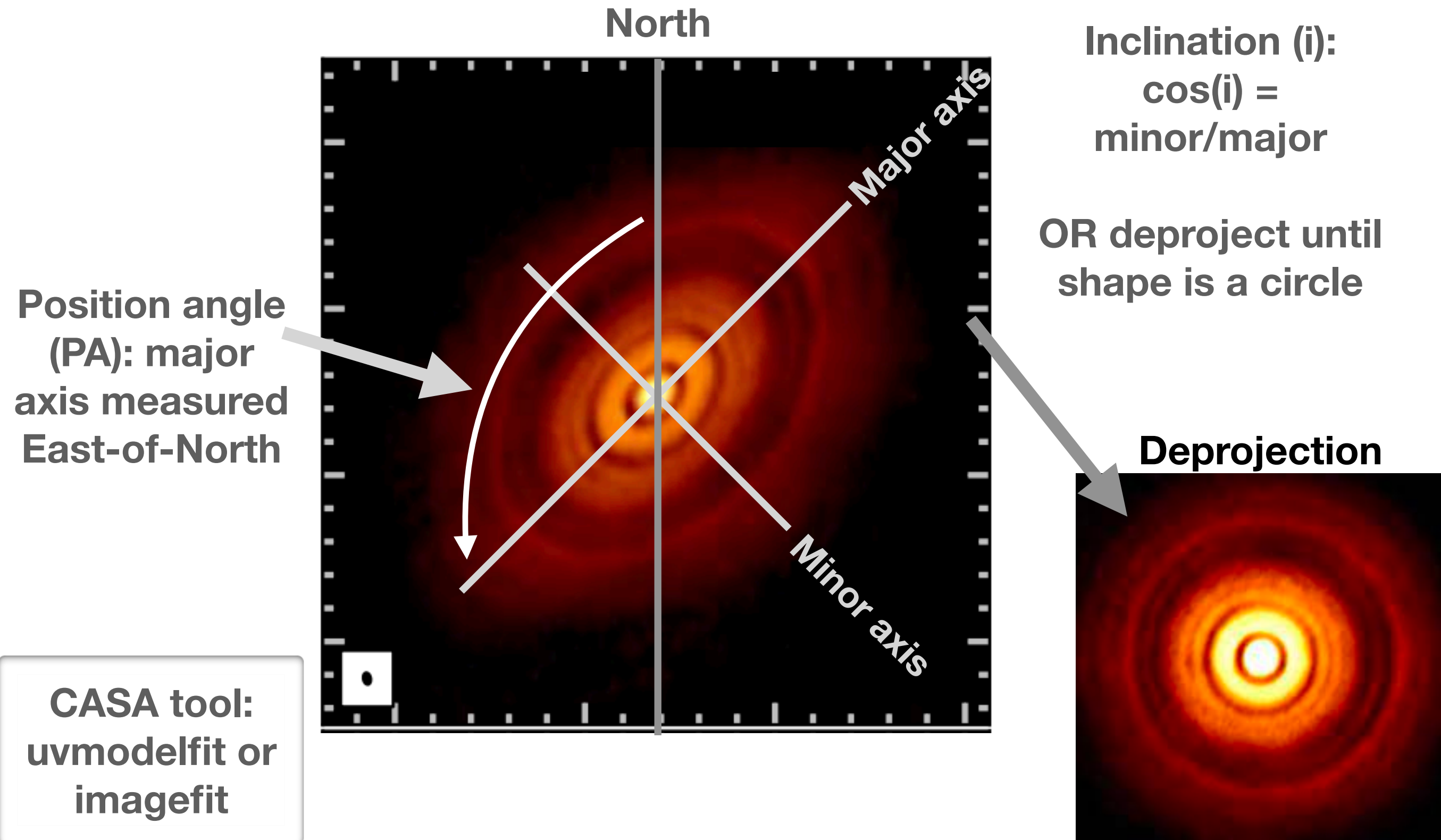
RMS =  $\text{sqrt}(\text{variance})$  in region  
around the disk [mJy/beam]



Signal-to-noise ratio  
(SNR) =  $\text{peak}/\text{RMS}$

# Disk dust structure

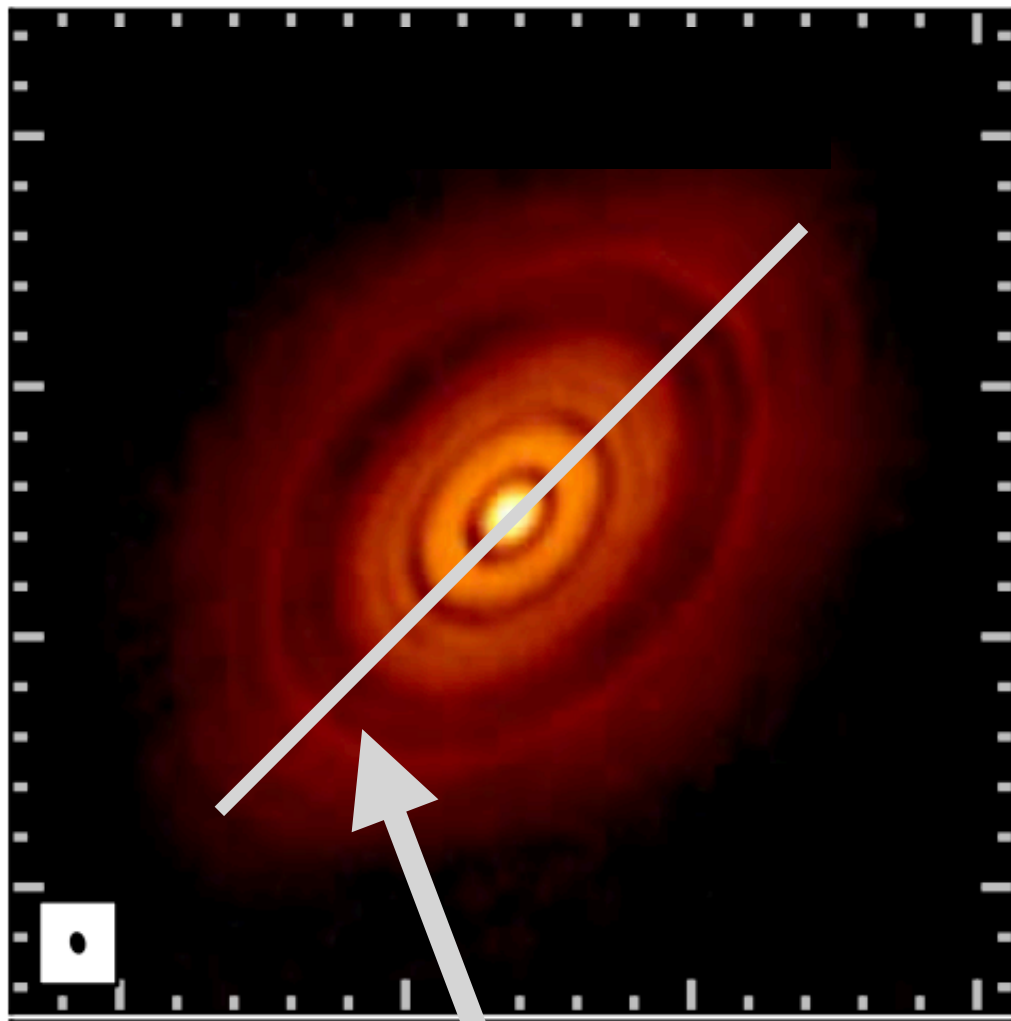
## Orientation



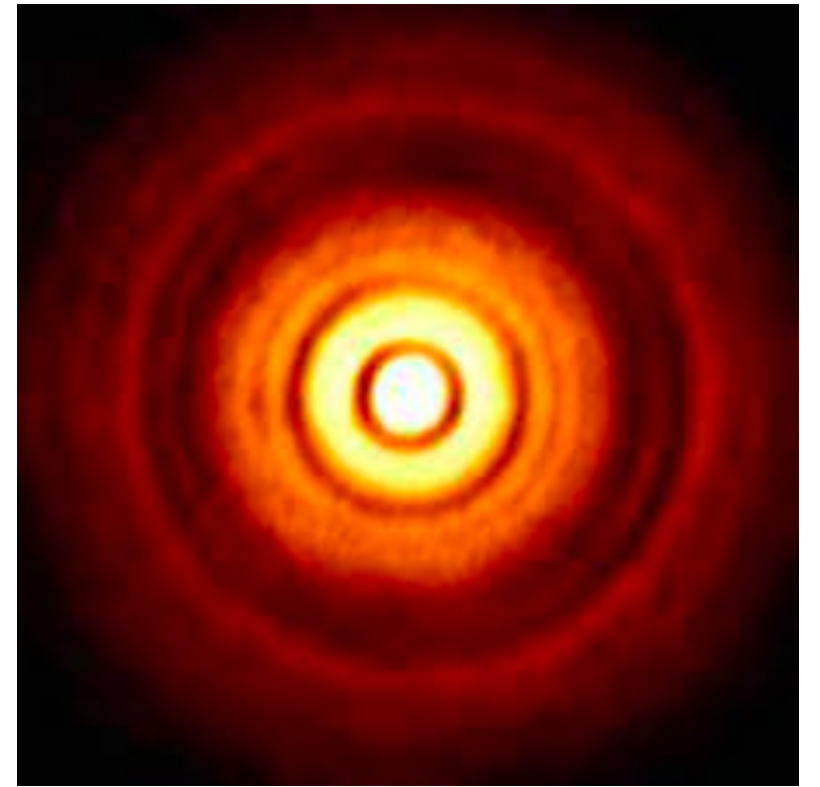


# Disk dust structure

## Disk radius

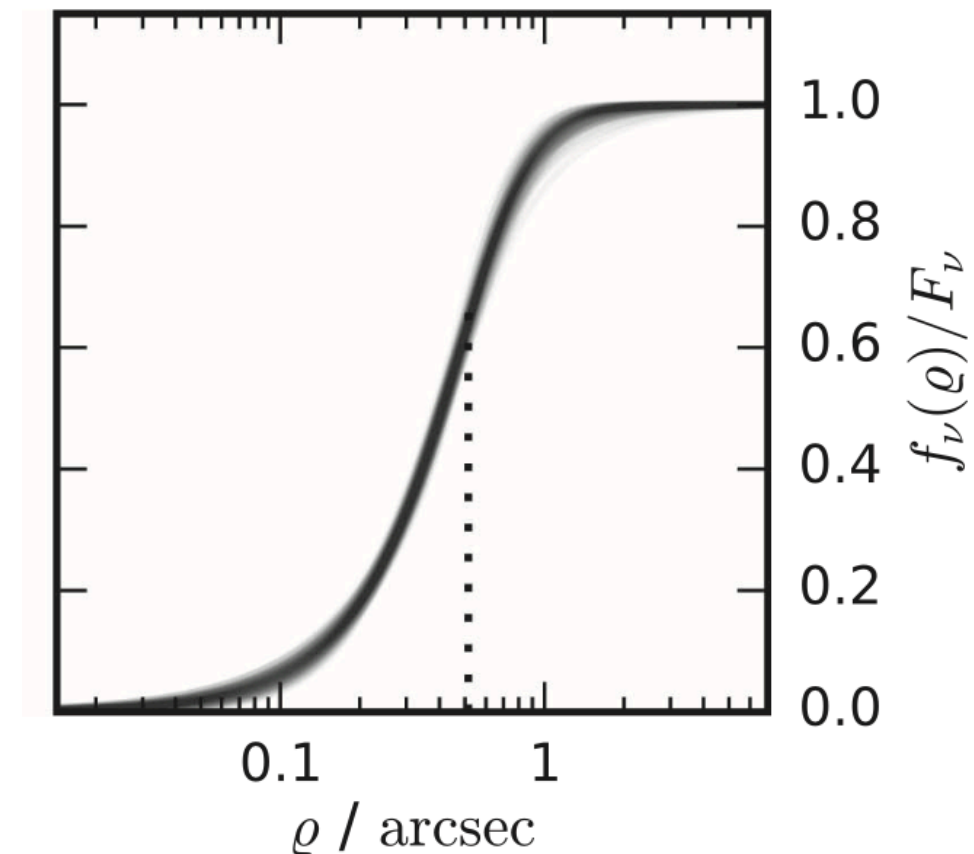


Half of diameter  
major axis  
(FWHM of  
(2D-)Gaussian fit)



### Curve of growth:

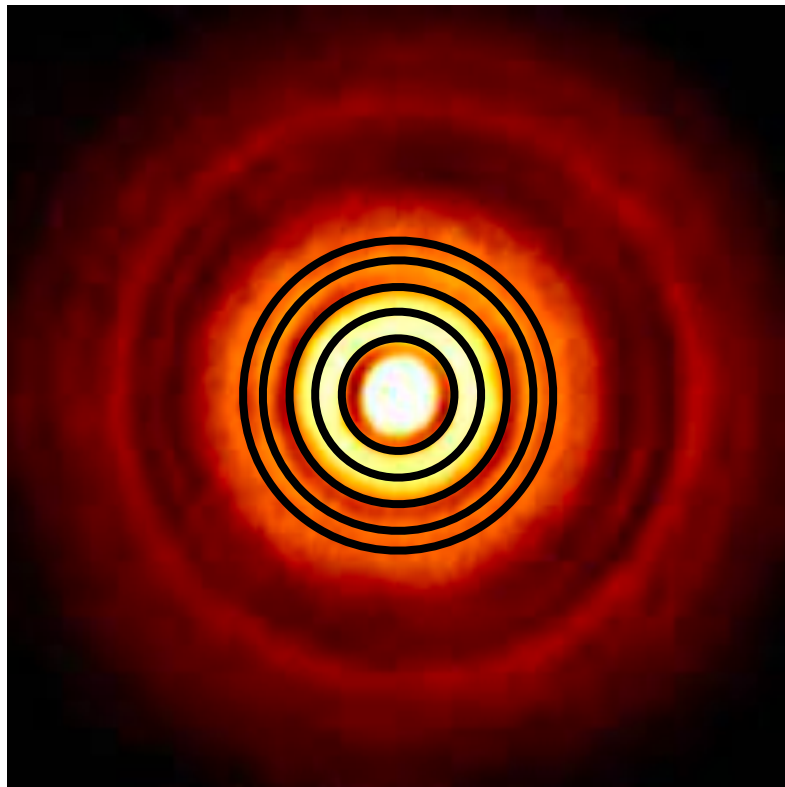
Measure flux within circular aperture and find  
radius where flux = 0.68\*total flux



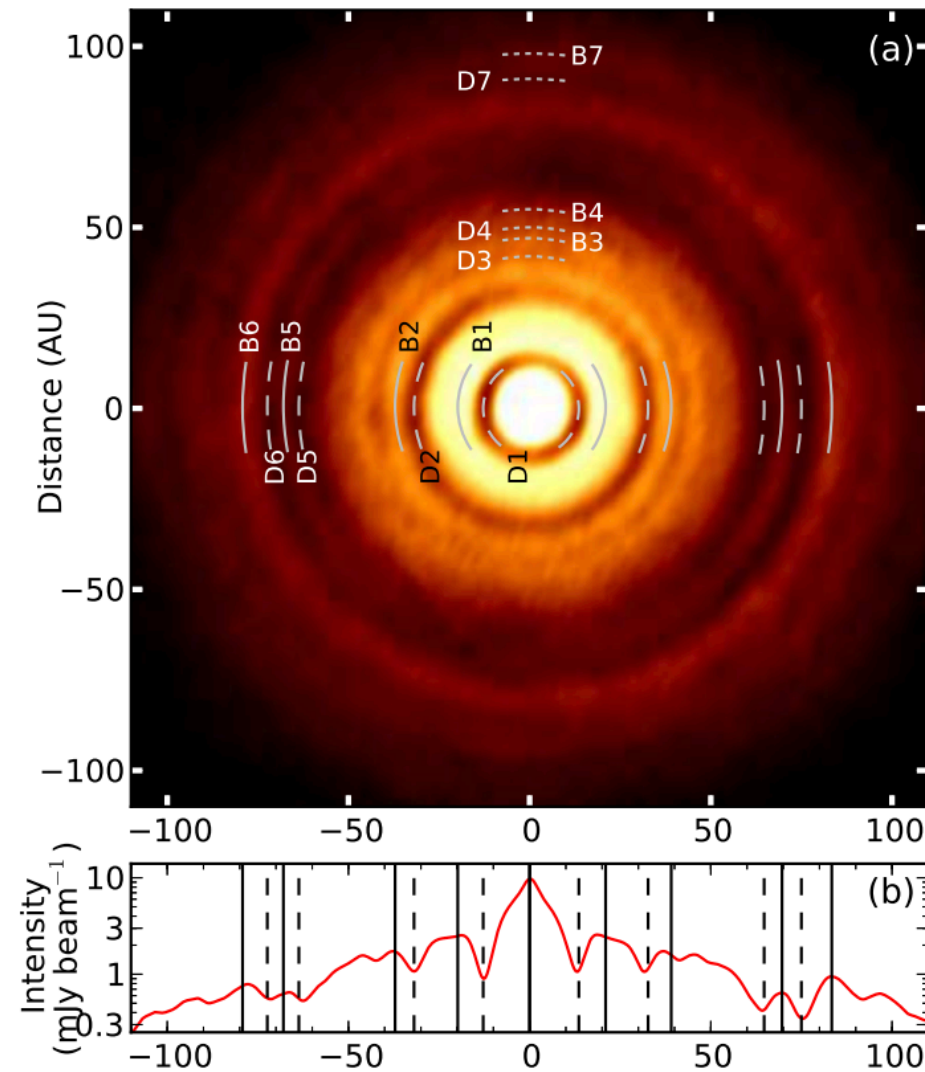
CASA tool:  
uvmodelfit or  
imagefit

# Disk dust structure

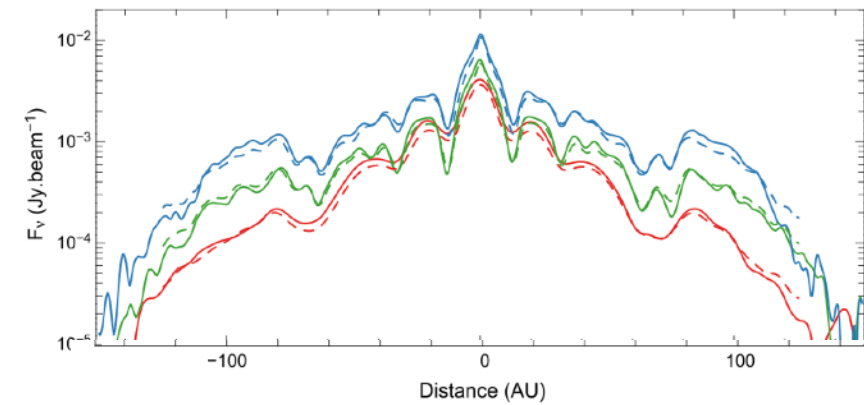
## Ring/gap structure



**Radial profile:**  
azimuthal average in  
narrow rings in  
deprojected image



**Find maxima and  
minima in radial profile  
(e.g. fitting Gaussians)**



**Sometimes logarithmic or  
normalized profiles,  
or profile starting from zero**

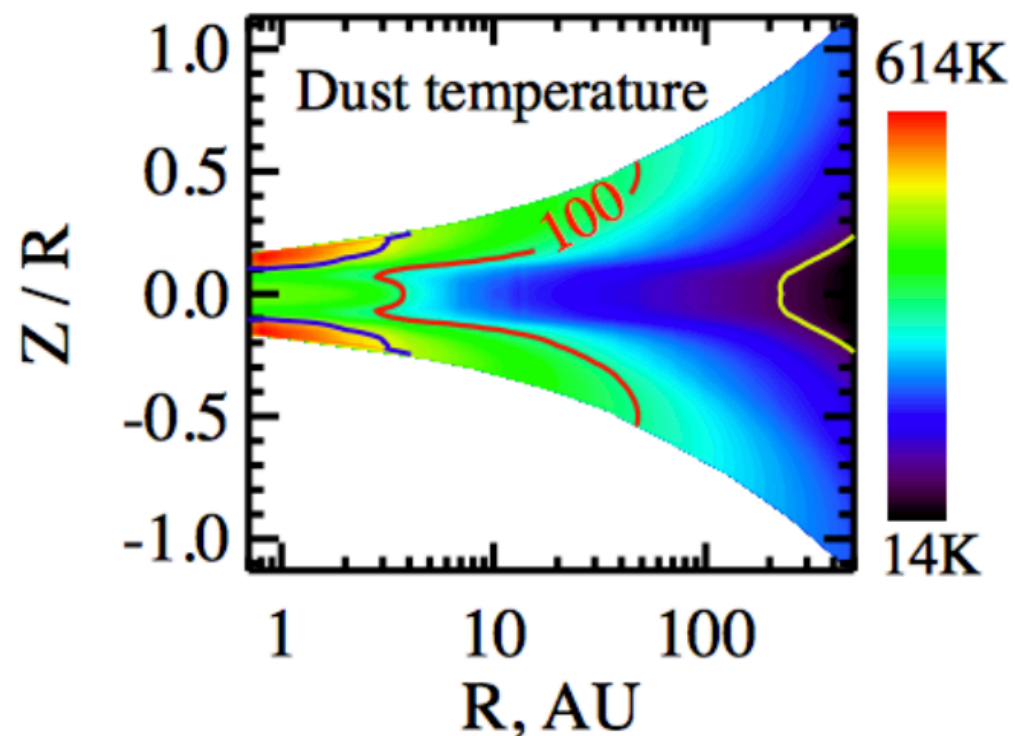
# Measure dust mass

Inferred from total flux

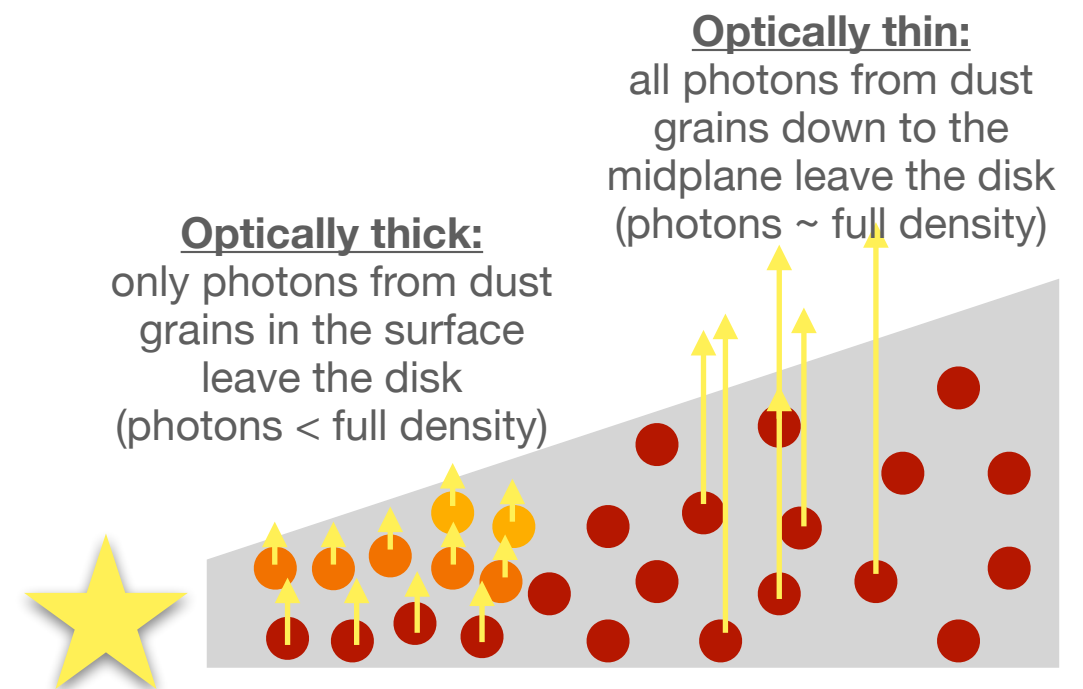
$$M_{dust} = \frac{B_{\nu}(T = 20K) \kappa_{\nu} F_{\nu}}{d^2}$$

Assuming dust emission is  
optically thin and mostly 20 K

Reality dust  
temperature:



What is optical depth?



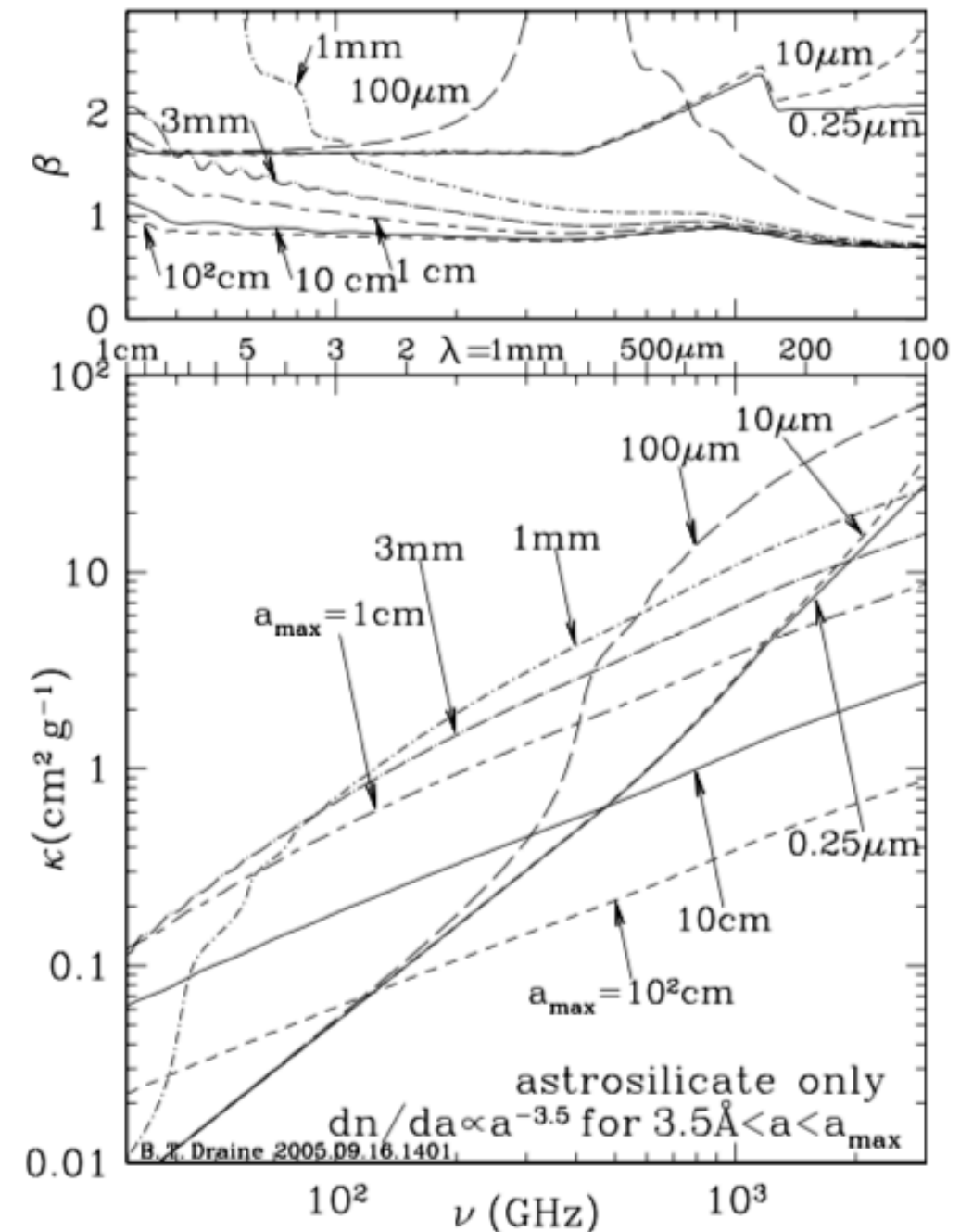


# What is origin dust mass equation?

*Dust opacity wavelength dependence: at wavelength  $\lambda$  you are sensitive to grains up to size  $\sim 3 \lambda$*

## Dust

- Flux density  
(Jansky =  $\text{erg s}^{-1} \text{ cm}^{-2} \text{ Hz}^{-1}$ )  $F_\nu = \int I_\nu d\Omega$
- Specific intensity  
( $\text{erg s}^{-1} \text{ cm}^{-2} \text{ Hz}^{-1} \text{ sr}^{-1}$ )  $I_\nu = B_\nu(T)(1 - e^{-\tau_\nu})$
- Planck function  $B_\nu(T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$
- Optical depth  $\tau_\nu = \frac{\kappa_\nu \Sigma_{\text{dust}}}{\cos i}$
- Dust opacity  $\kappa_\nu \sim \nu^\beta$   
 $\kappa_\nu \sim n(a) \propto a^{-p}, a_{\text{max}}, a_{\text{min}}$   
+ composition



# How do we compute the dust mass?

## Dust

Optically thin:  $\tau_\nu \ll 1$  :

Power-law  
surface density:  $\Sigma_d(r) = \Sigma_c \left(\frac{r}{r_c}\right)^{-1}$

Dust mass: 
$$M_{dust} = \int_0^R \Sigma_{dust}(r) 2\pi r dr$$

$$F_\nu = \int I_\nu d\Omega$$

$$I_\nu = B_\nu(T)(1 - e^{-\tau_\nu})$$

$$\tau_\nu = \frac{\kappa_\nu \Sigma_{dust}}{\cos i}$$

**Now you can compute  $M_{dust}$  as function of  $F_\nu$   
in optically thin regime and  $\cos(i)=1$  (face-on)**

$$\begin{aligned} F_\nu &= \int B_\nu(T) \tau_\nu(r) d\Omega \\ &= \int_0^R B_\nu(T) \kappa_\nu \Sigma_{dust}(r) \frac{2\pi r dr}{d^2} = \frac{B_\nu(T) \kappa_\nu M_{dust}}{d^2} \end{aligned} \quad \longrightarrow \quad \boxed{M_{dust} = \frac{B_\nu(T) \kappa_\nu F_\nu}{d^2}}$$

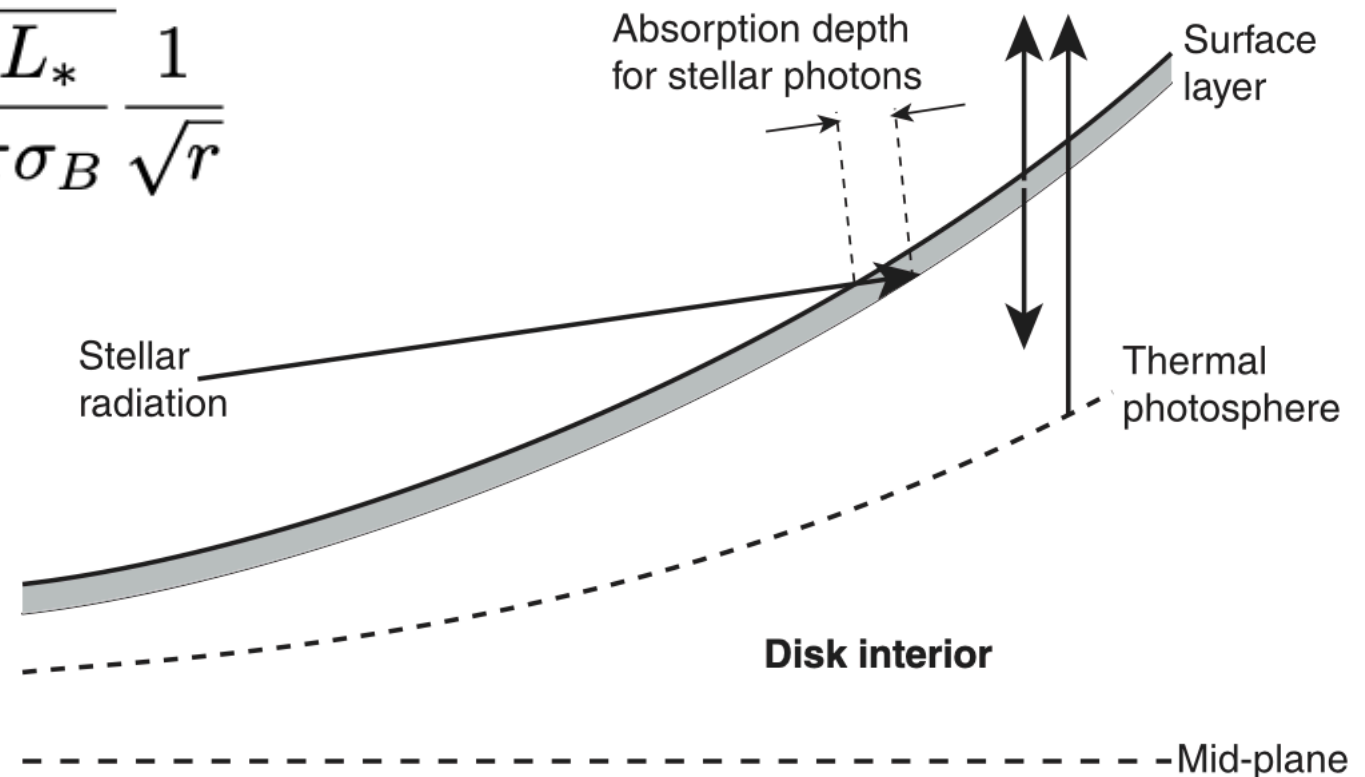
# Dust temperature

**Disk temperature ~ received stellar radiation**

Passively heated, flared disk in radiative equilibrium has the following temperature:

$$T(r) = \left( \frac{\phi L_*}{8\pi\sigma_B r^2} \right)^{1/4} = \sqrt[4]{\frac{\phi L_*}{8\pi\sigma_B}} \frac{1}{\sqrt{r}}$$

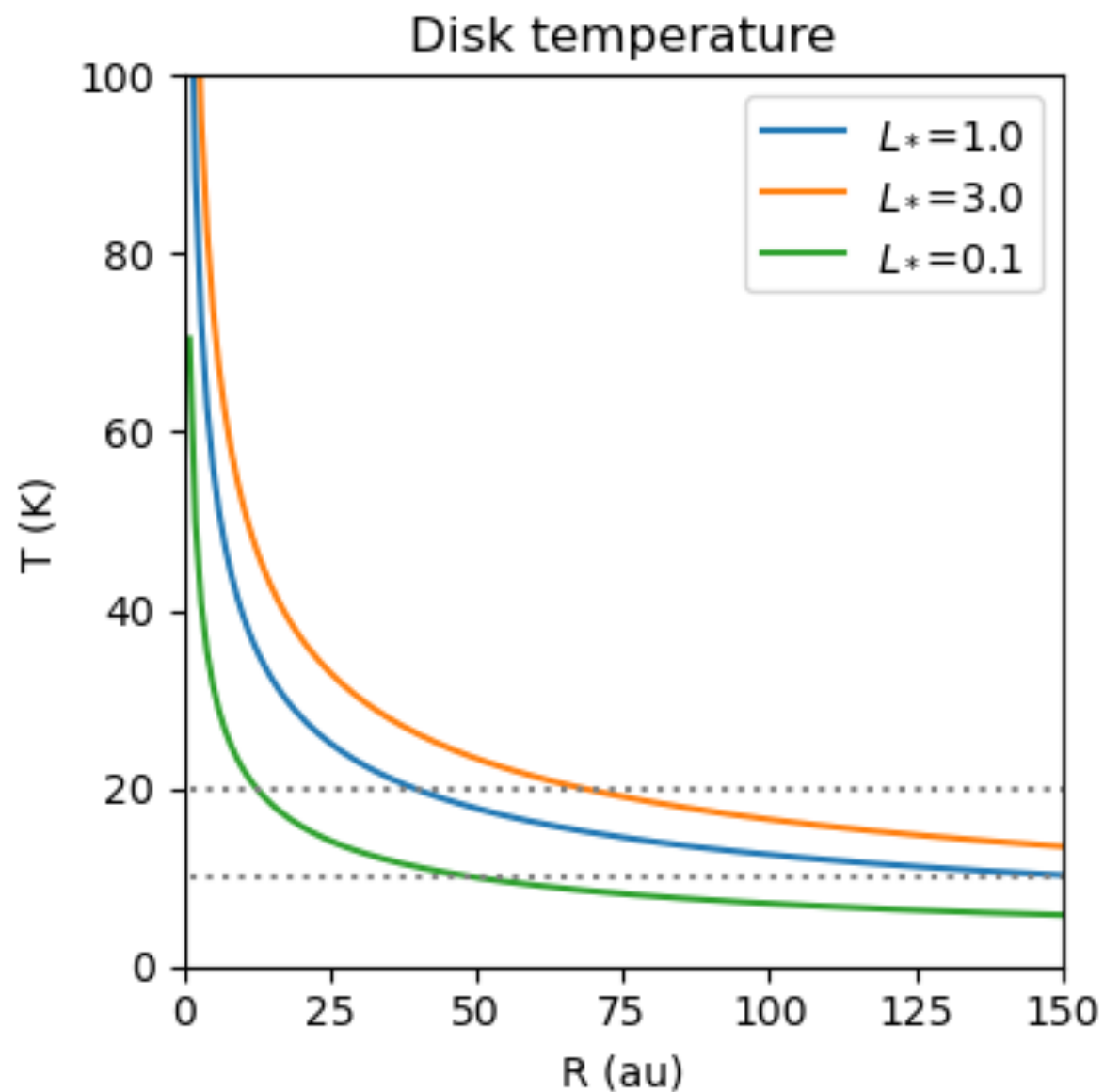
**With phi the flaring angle  
(generally taken as 0.02)**





# Dust temperature

$$T(r) = \left( \frac{\phi L_*}{8\pi\sigma_B r^2} \right)^{1/4} = \sqrt[4]{\frac{\phi L_*}{8\pi\sigma_B}} \frac{1}{\sqrt{r}}$$



**Assume that bulk of the disk is at  
50-150 au => 10-20 K**

**General assumption:  
use average temperature (20 K) to  
compute dust mass**

$$M_{dust} = \frac{B_\nu(T = 20) \kappa_\nu F_\nu}{d^2}$$

# Rayleigh-Jeans approximation

## Additional step

Rayleigh-Jeans:  $B_\nu(T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$

Approximation:  $h\nu \ll k_B T$  (Valid in mm wavelengths)

$$B_\nu(T(r)) = \frac{2\nu^2 k_B T(r)}{c^2} \longrightarrow B_\nu(T) \sim \nu^2$$

Remember:  $\kappa_\nu \sim \nu^\beta$

# Rayleigh-Jeans approximation

Rayleigh-Jeans:  $B_\nu(T) = \frac{2h\nu^3}{c^2} \frac{1}{e^{h\nu/kT} - 1}$

Approximation:  $h\nu \ll k_B T$

$$B_\nu(T(r)) = \frac{2\nu^2 k_B T(r)}{c^2} \longrightarrow B_\nu(T) \sim \nu^2$$

Remember:  $\kappa_\nu \sim \nu^\beta$

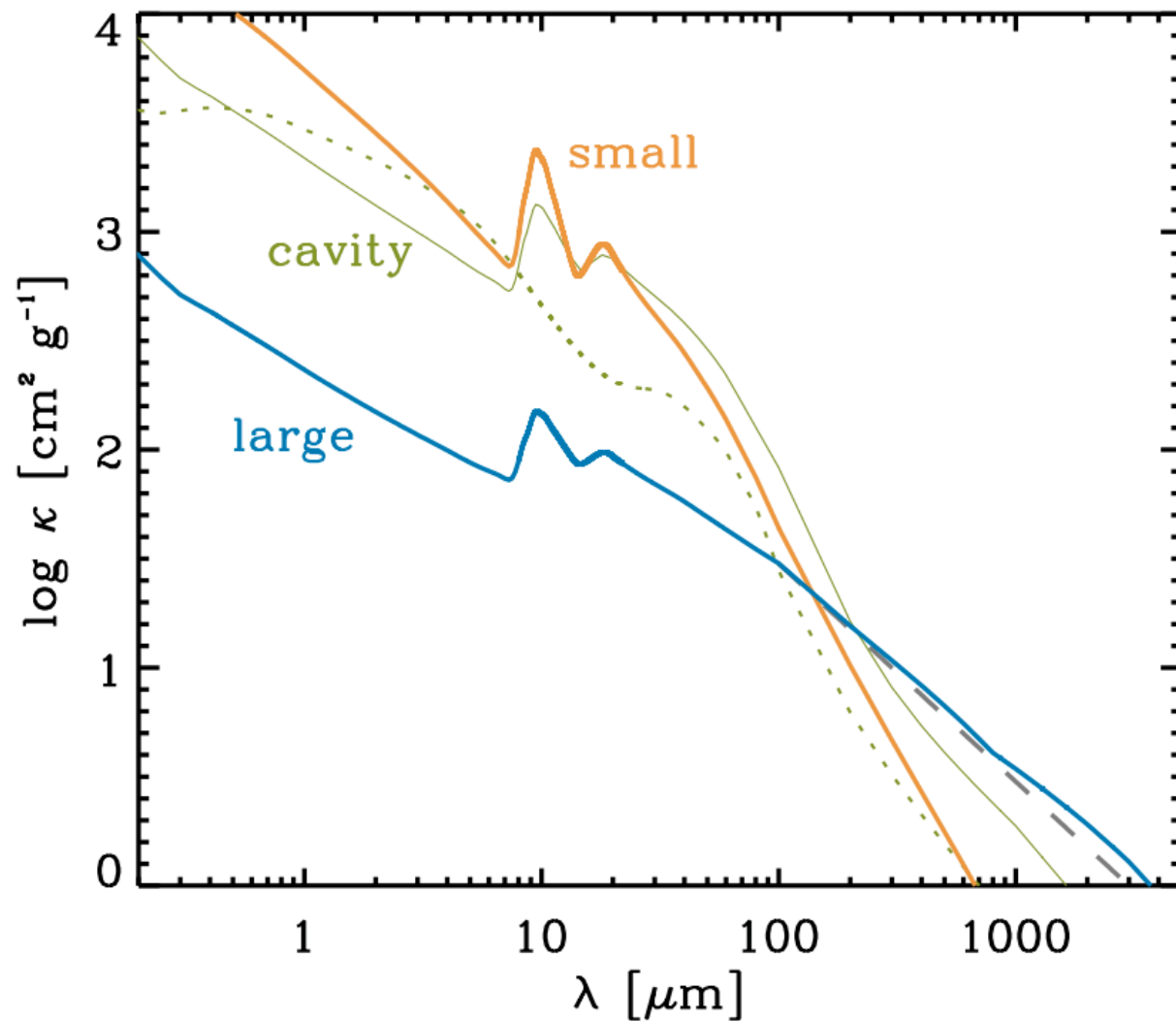
Rayleigh-Jeans +  
Opt thin regime:  $F_\nu = \frac{B_\nu(T) \kappa_\nu M_{dust}}{d^2} \longrightarrow F_\nu \sim \nu^2 \kappa_\nu \sim \nu^{2+\beta} \sim \nu^\alpha$

**So the spectral index alpha can provide us the dust opacity  $\beta$  in the assumption of opt. thin emission**

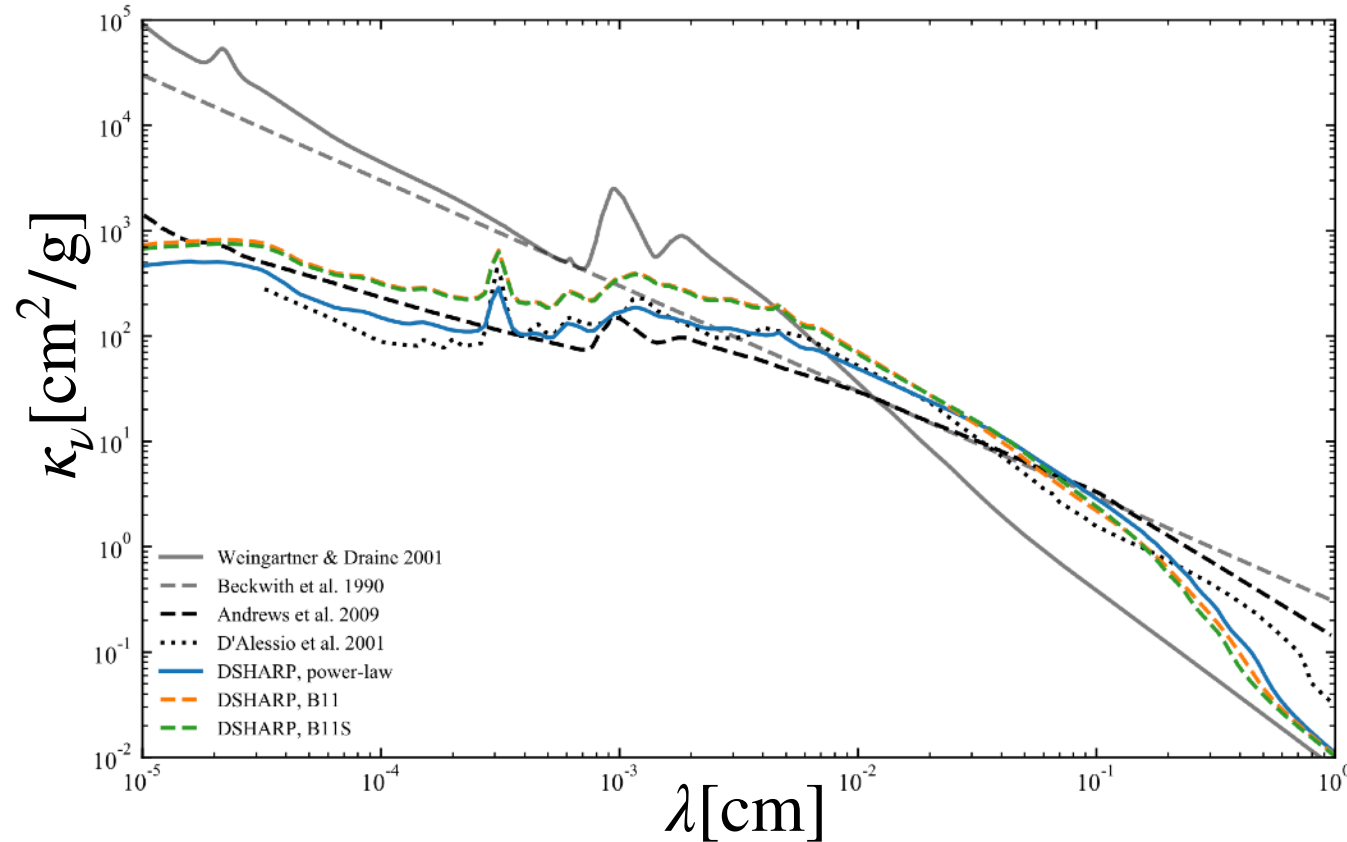
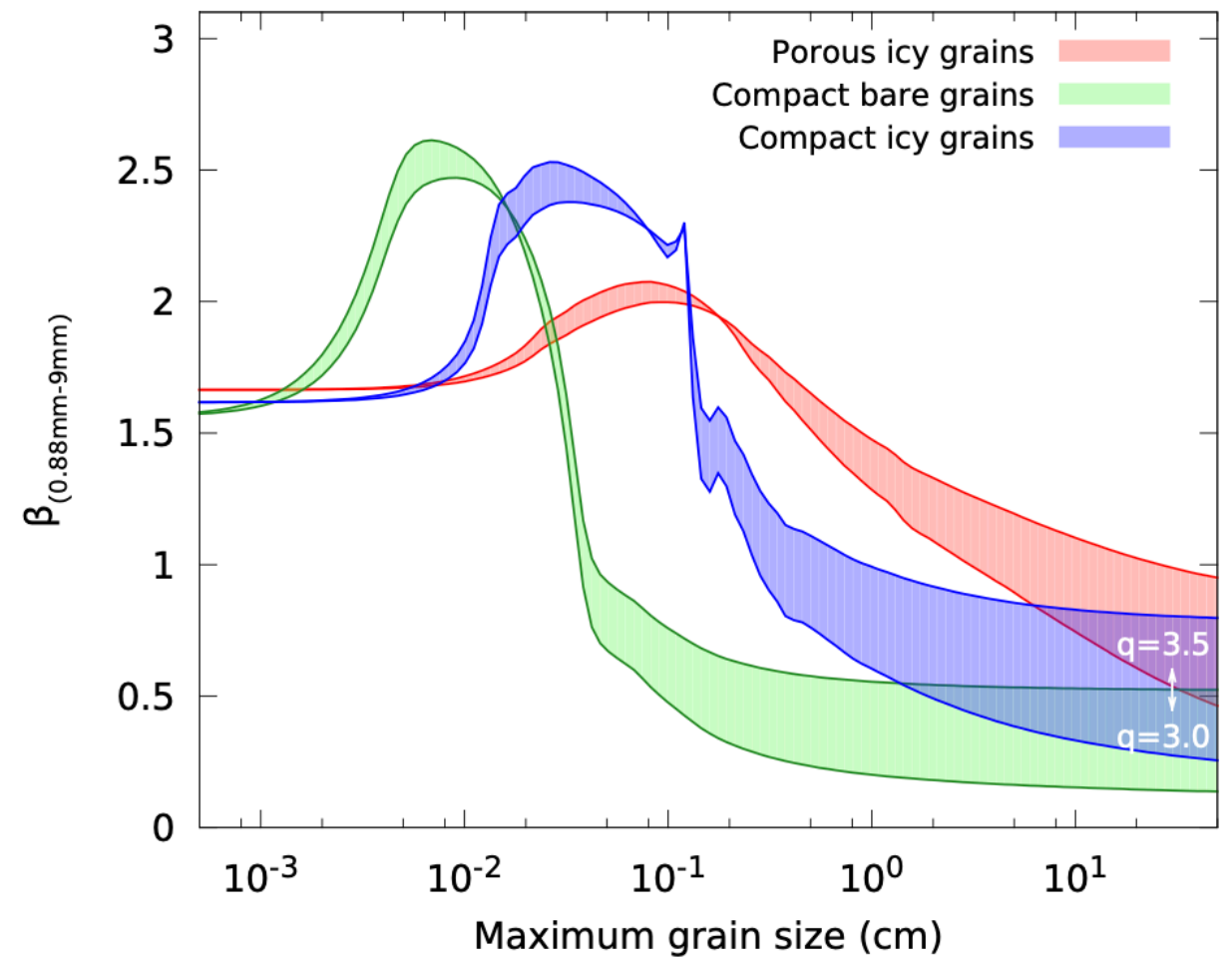


# Dust opacity

Dust opacity  $\kappa_\nu \sim \nu^\beta$



Dust opacity depends on assumed grain properties: generate table at [https://github.com/birnstiel/dsharp\\_opac](https://github.com/birnstiel/dsharp_opac)



Testi et al. 2014  
Andrews et al. 2011  
Birnstiel et al. 2018

# What if dust is optically thick?

$$F_\nu = \int I_\nu d\Omega$$

$$I_\nu = B_\nu(T)(1 - e^{-\tau_\nu}) = B_\nu(T) \quad \tau_\nu > 1$$

$$F_\nu \sim \nu^2 \cancel{\kappa_\nu} \sim \nu^2 \cancel{+\beta} \sim \nu^\alpha \longrightarrow M_{dust} \neq \frac{B_\nu(T) \kappa_\nu F_\nu}{d^2}$$

**Spectral index  $\alpha$  no longer  
represents the dust opacity and  
the dust mass is underestimated**

# Check optical depth?

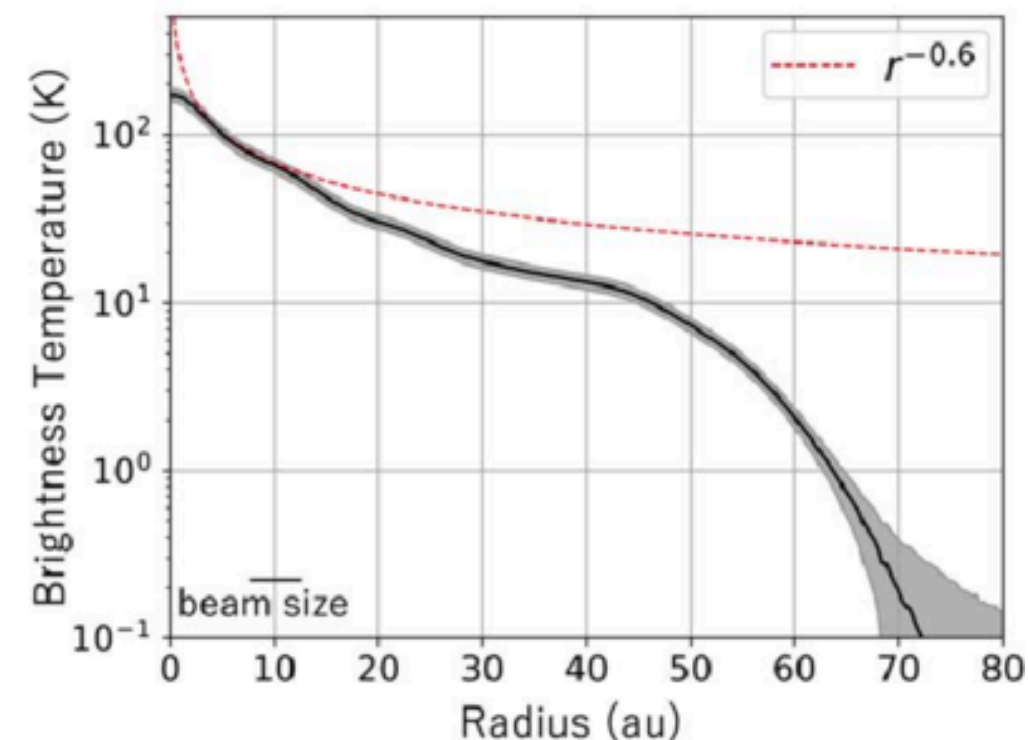
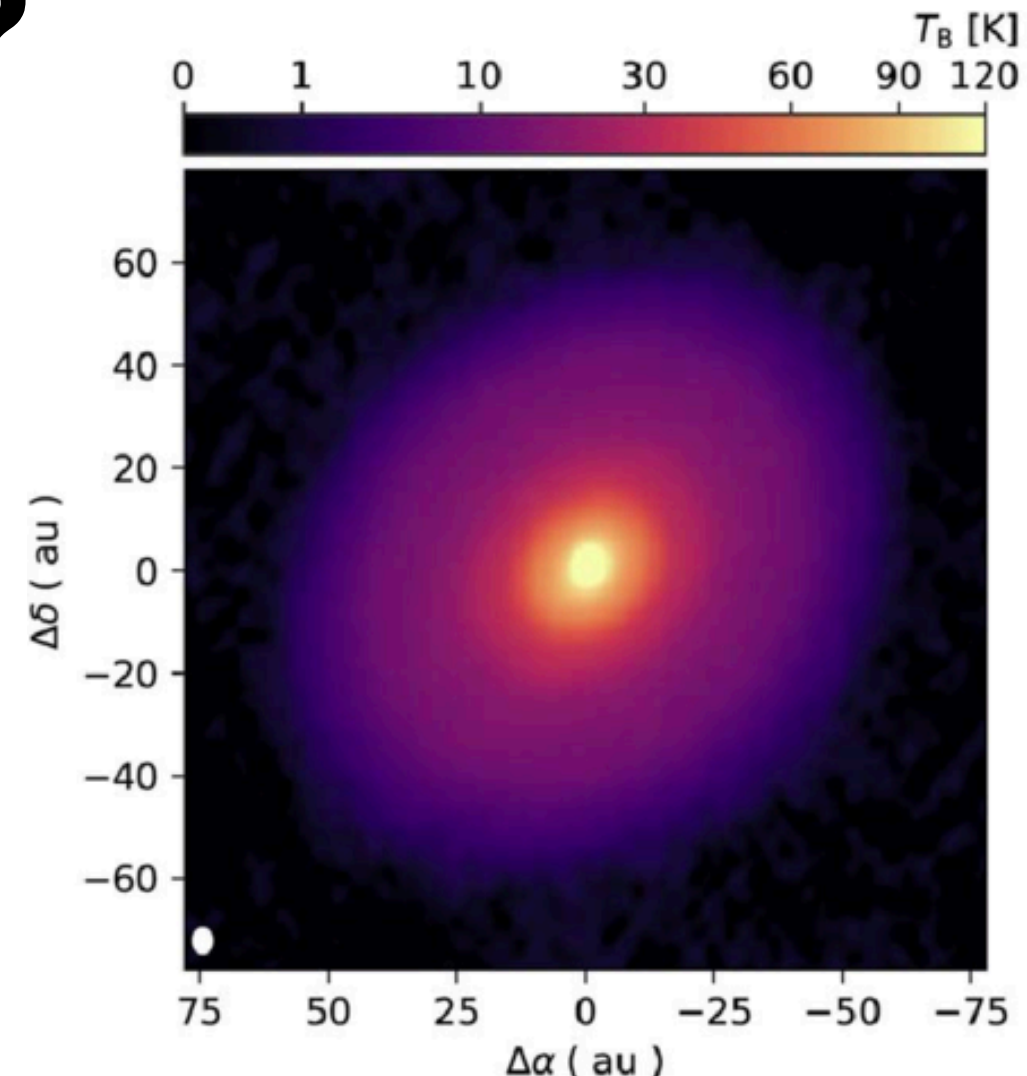
If emission is **spatially resolved**, we can check if the emission is **optically thick** by comparing the emission with the **local temperature**

If optically thick:

$$F_\nu(r) = B_\nu(r, T) \approx \frac{2\nu^2 k_B T(r)}{c^2} \propto T(r)$$

Compute the ‘**brightness temperature**’  $T_b$  from the measured flux and compare with the physical temperature at that location: if comparable, the emission is likely optically thick

Example (Ohashi et al. 2023)



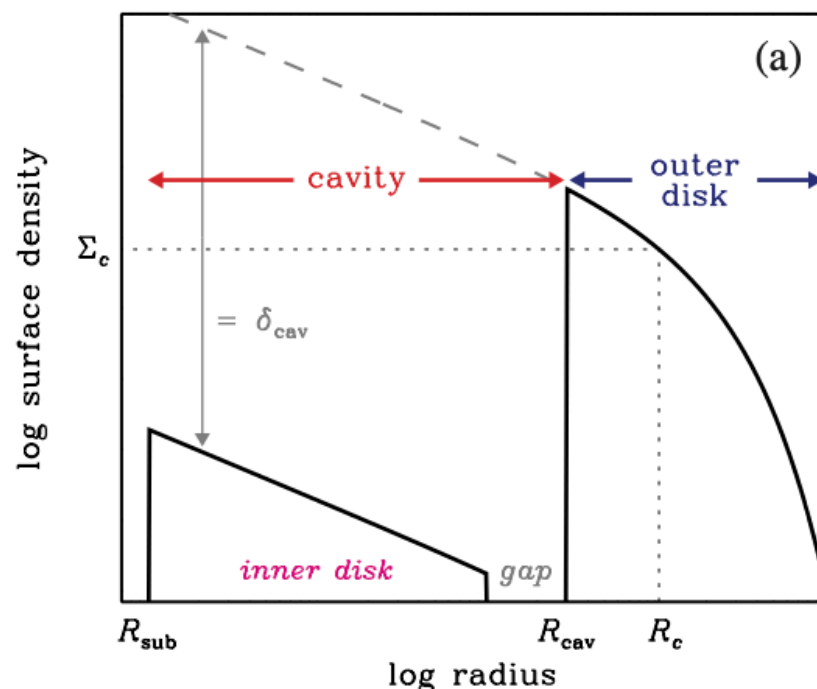
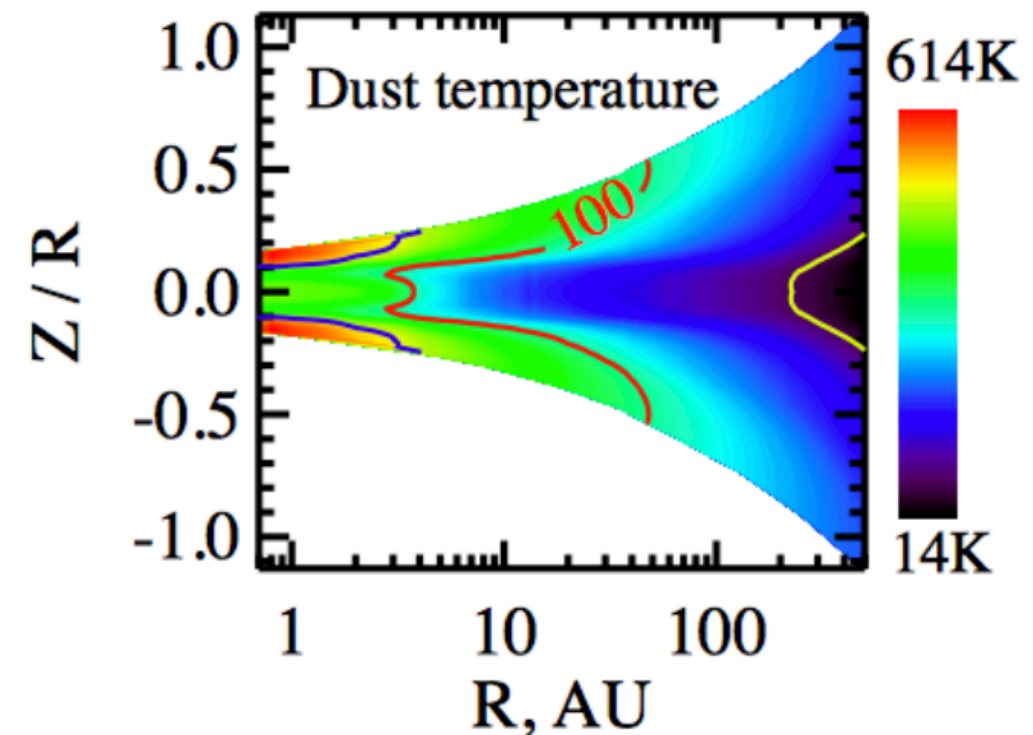


# Dust radiative transfer

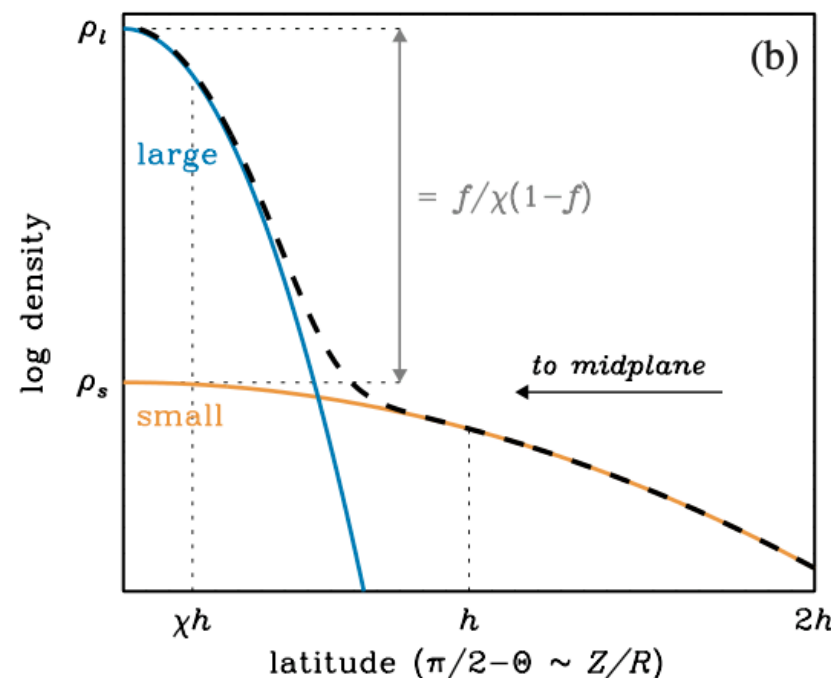
## Surface density

- **Radiative transfer modeling:**  
compute  $T_{\text{dust}}$  and  $\tau$  throughout the disk based on given  $\Sigma(r)$  and  $h(r)$  and input **star**, then ray-trace expected **emission**  $I(r)$  to compare with data

**Note: midplane temperature is usually described by simple  $T(r)$ : higher layers and gap edges have increased  $T$**



$$\Sigma_g = \Sigma_c \left( \frac{R}{R_c} \right)^{-\gamma} \exp \left[ - \left( \frac{R}{R_c} \right)^{2-\gamma} \right]$$



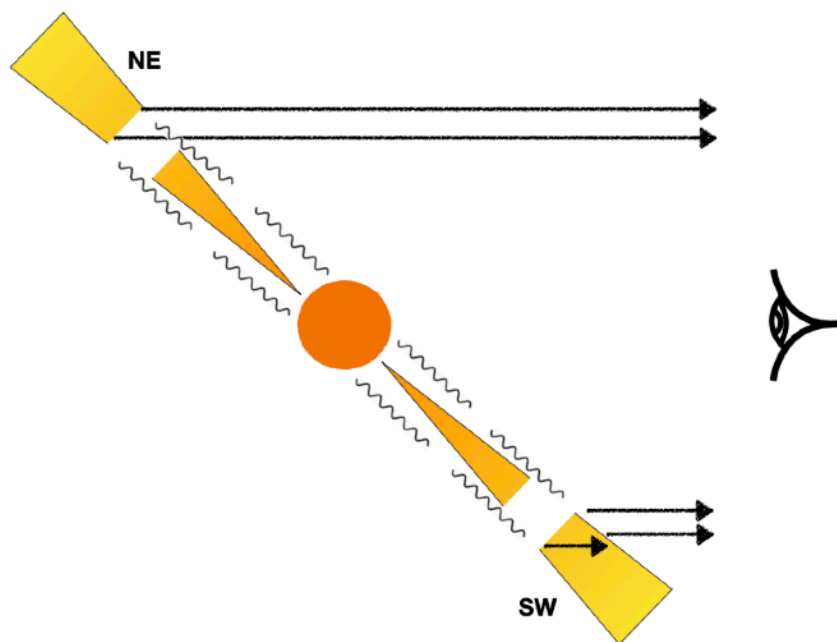
$$\rho_l = \frac{f\Sigma}{\sqrt{2\pi}R\chi h} \exp \left[ - \frac{1}{2} \left( \frac{\pi/2 - \Theta}{\chi h} \right)^2 \right]$$

**Example codes  
(available online):**  
**RADMC-3D**  
**MCFOST**  
**MCMAX**

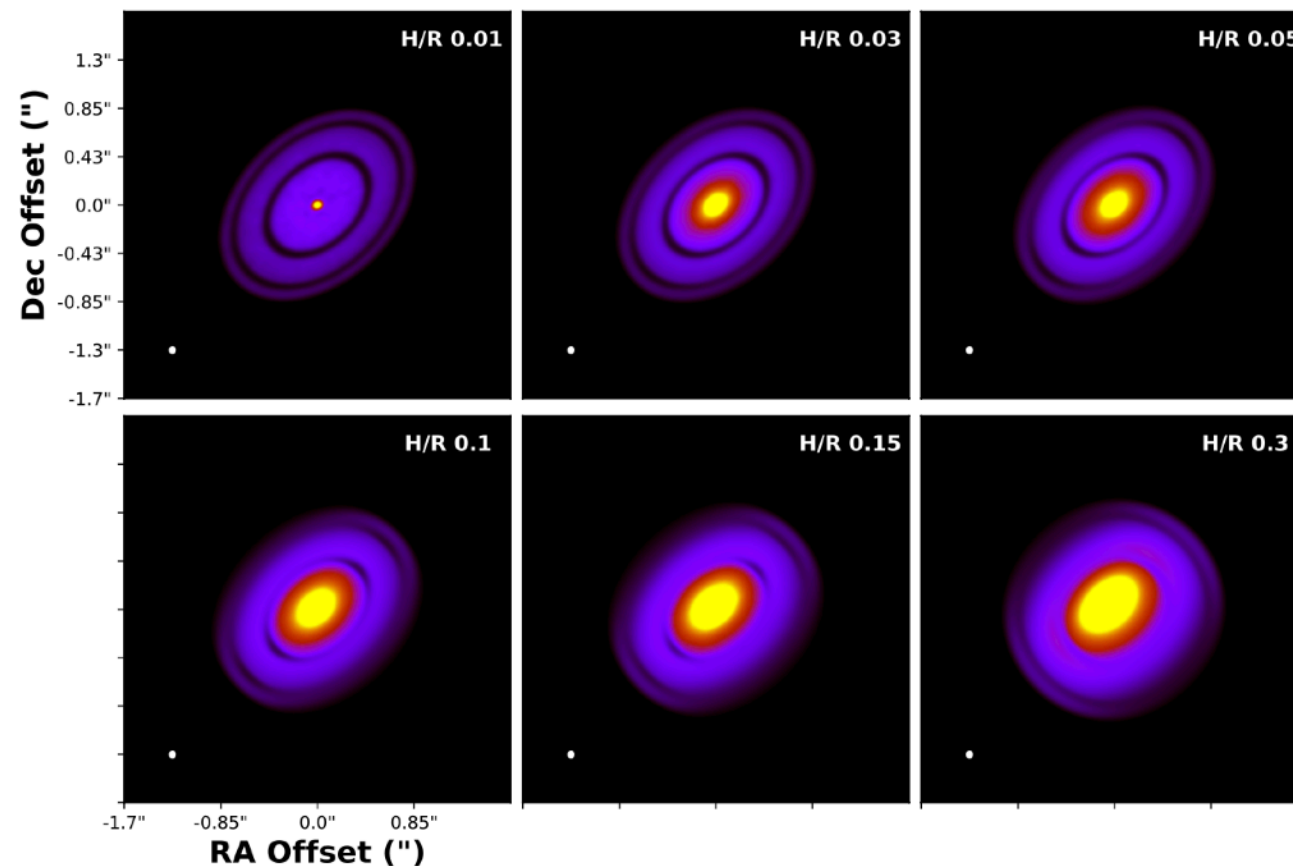
# Dust radiative transfer

## Scale height

- Scale height ('flaring') of **inclined** disk can be determined by radiative transfer => **shadowing** effects, **hiding** gaps, apparent **asymmetries** => in particular for optically thick emission!

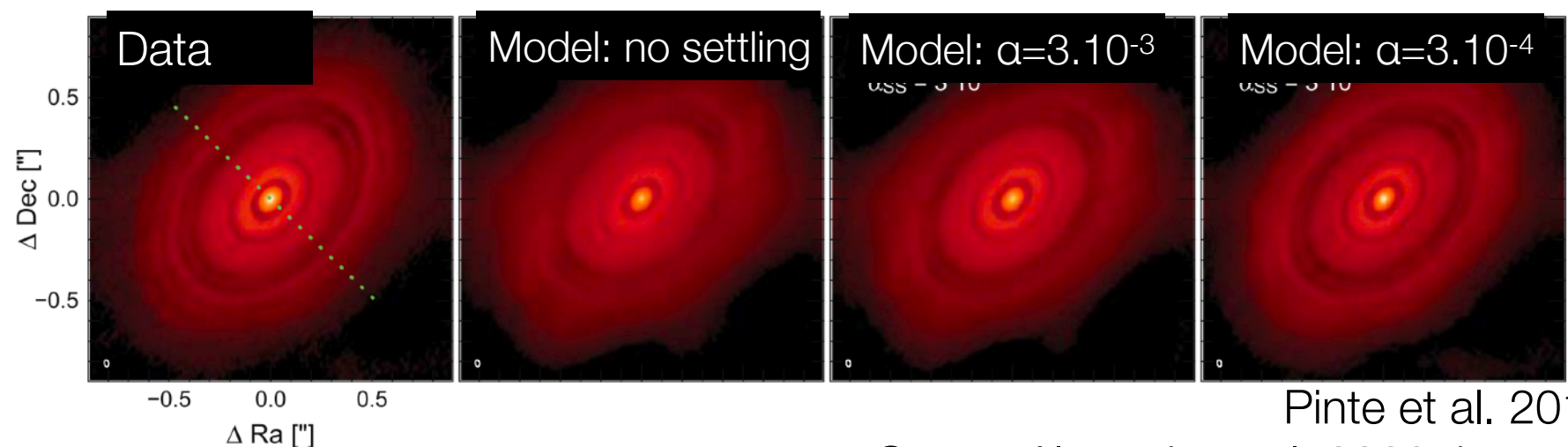


Example embedded disk model IRAS4A:



Example HL Tau:

determine turbulence  $\alpha \sim 10^{-4}$  to explain strong settling



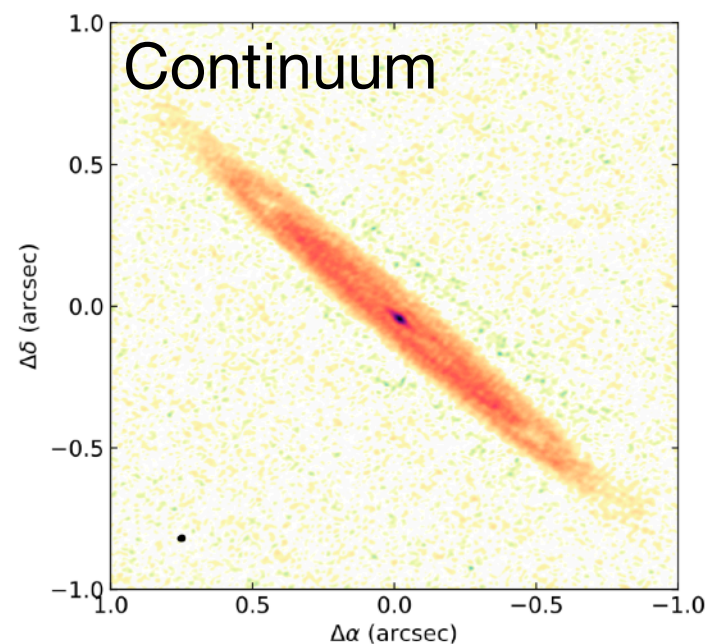
Pinte et al. 2016

Guerra Alvarado et al. 2023, in press

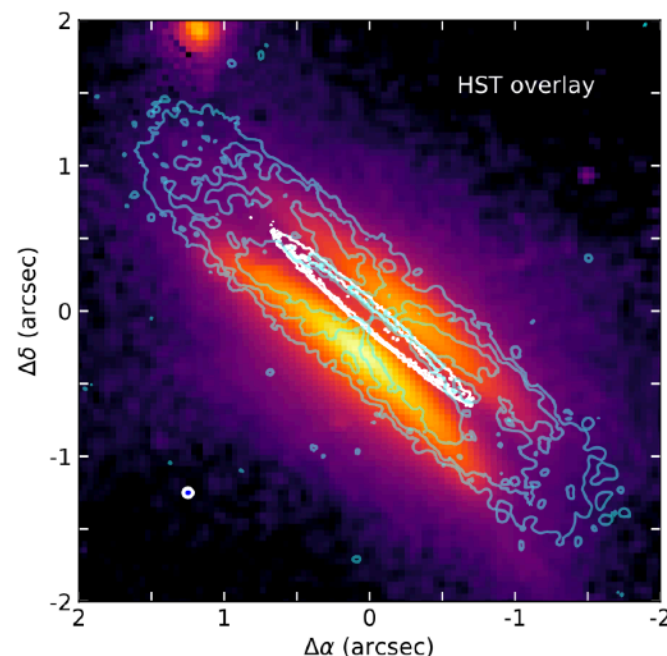
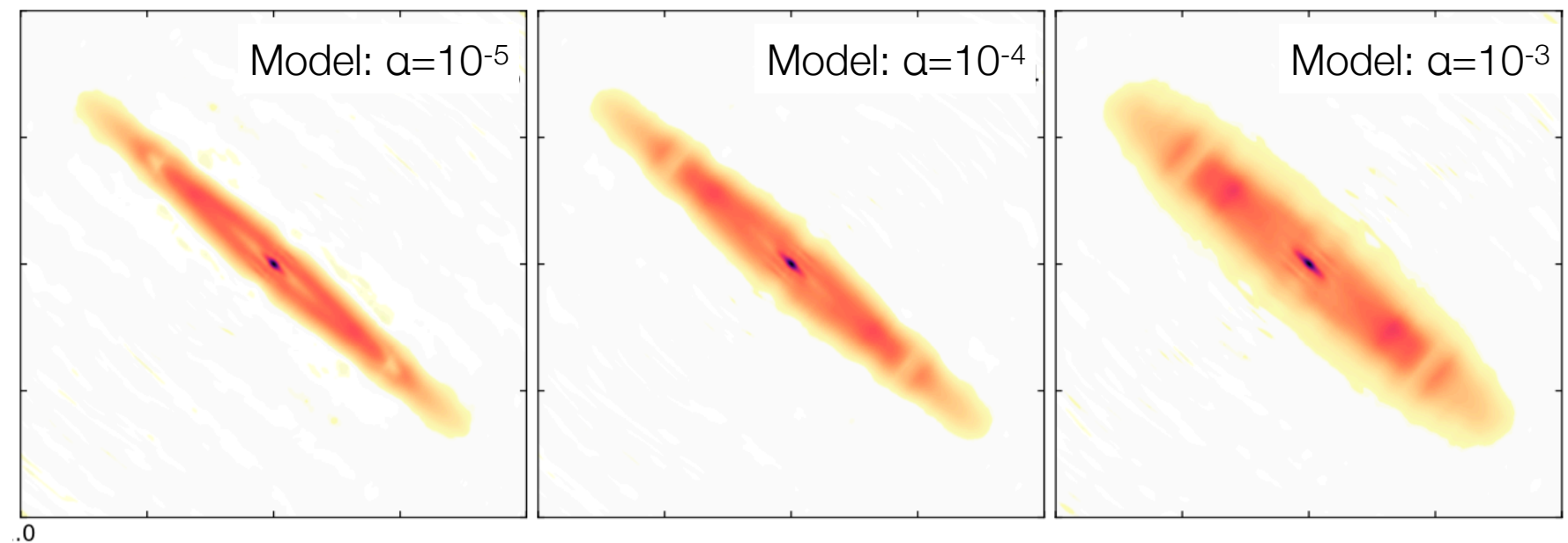
# Dust radiative transfer

Scale height: fully edge-on disk

**Oph163131**: highly settled mm-dust disk with  $h=0.5/100$  au



**Radiative transfer models: alpha-viscosity  $\sim 10^{-5}$**



Overlay on HST scattered light



# Dust mass

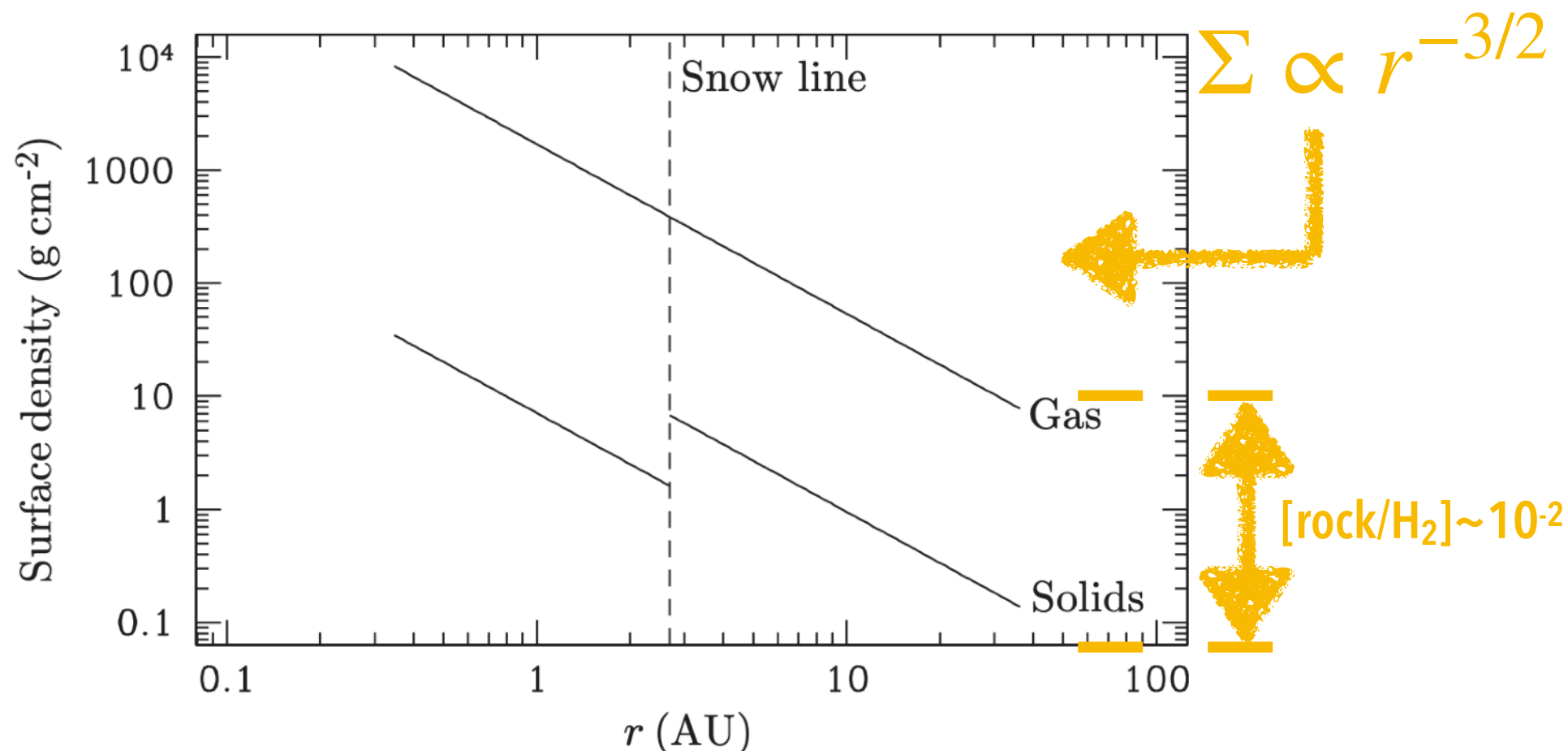
## Relevance: solid reservoir for planet formation

**Solar System: cannot go back in time and measure disk mass, but can estimate the minimum amount of material needed: The Minimum Mass Solar Nebula**

1. Take amount of solid mass per planet and multiply by Solar composition
2. Divide in annuli and distribute mass across each planet orbit: gas surface density
3. Compute the solid surface density considering the H<sub>2</sub>O snowline

**Total gas: ~0.01 M<sub>Sun</sub>**

**Total dust: ~ 30 M<sub>Earth</sub>**



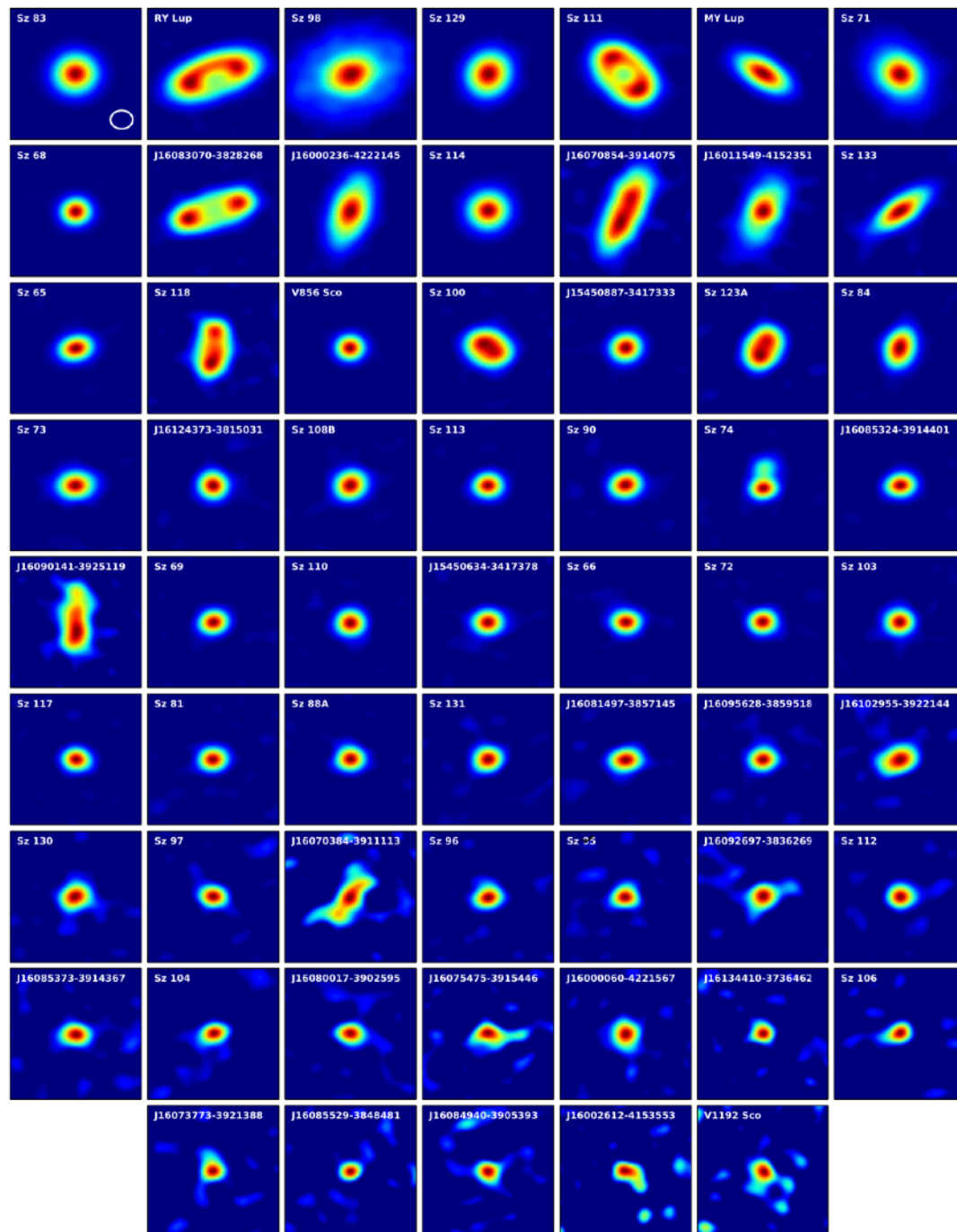
**Why is this insufficient to use for exoplanetary systems?**

Weidenschilling 1977  
Hayashi 1981

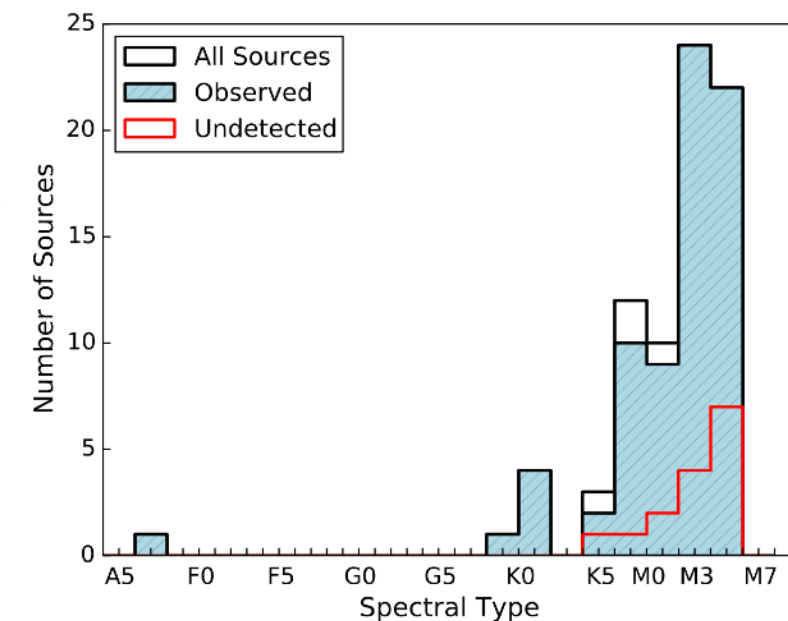
# Dust mass observations

## ALMA snapshot surveys

### Example: disks in Lupus



+ 27 non-detections (upper limits)



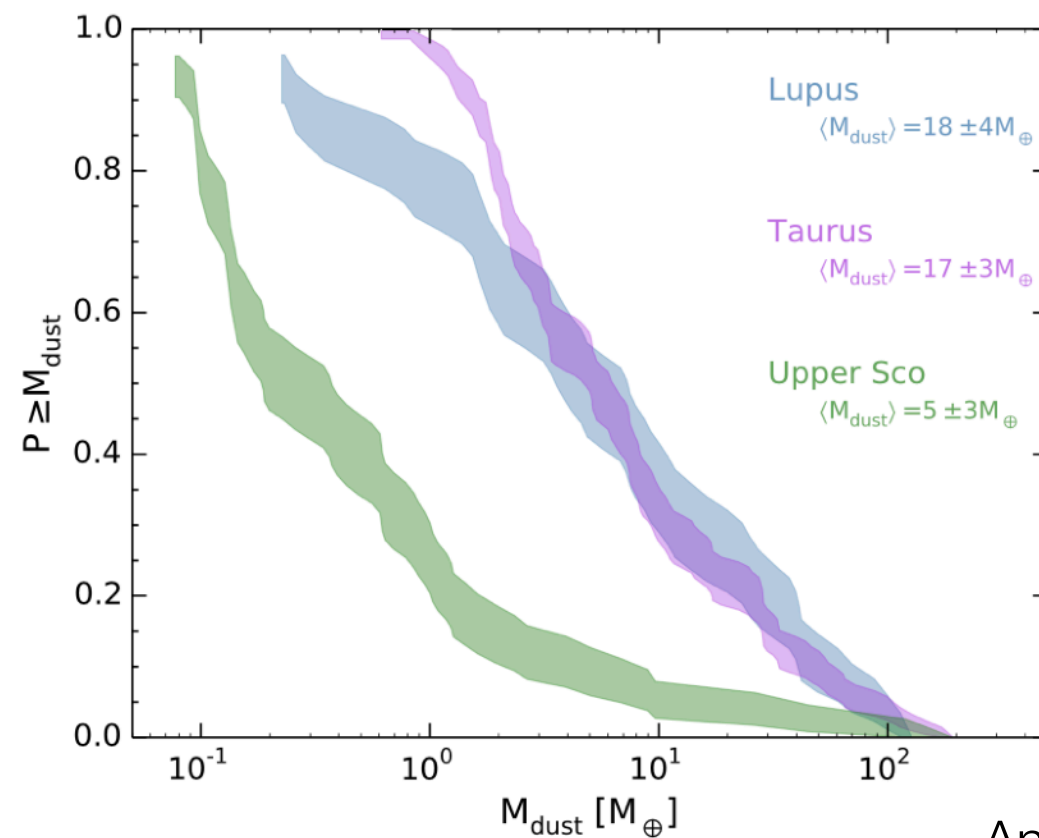
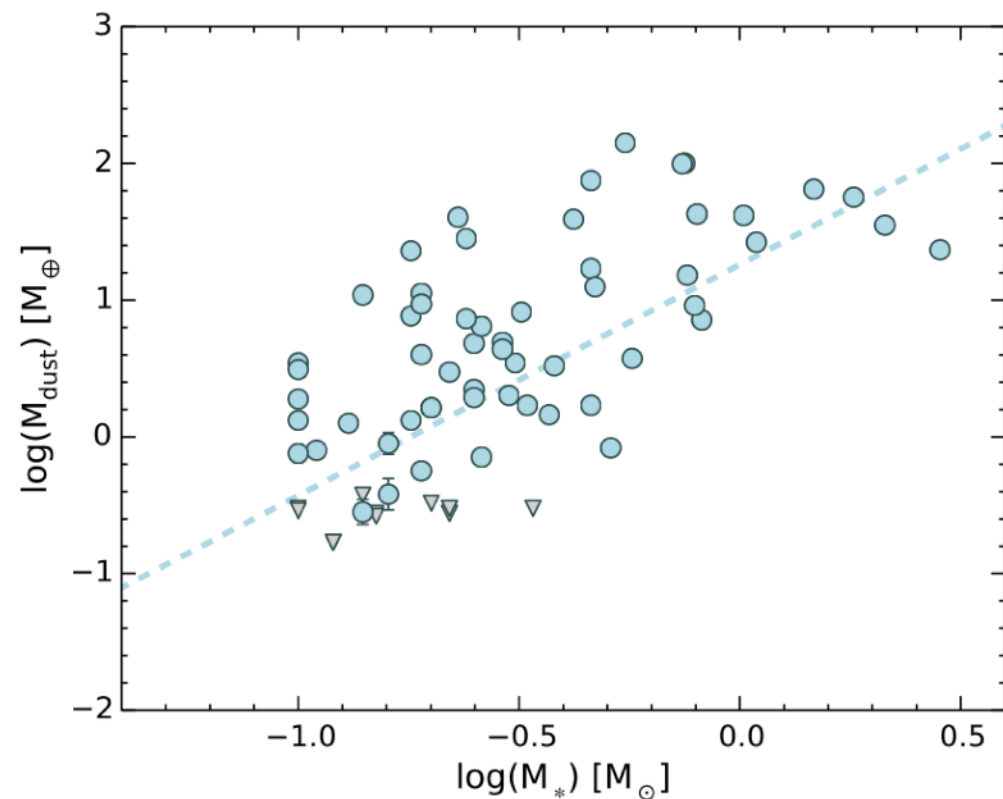
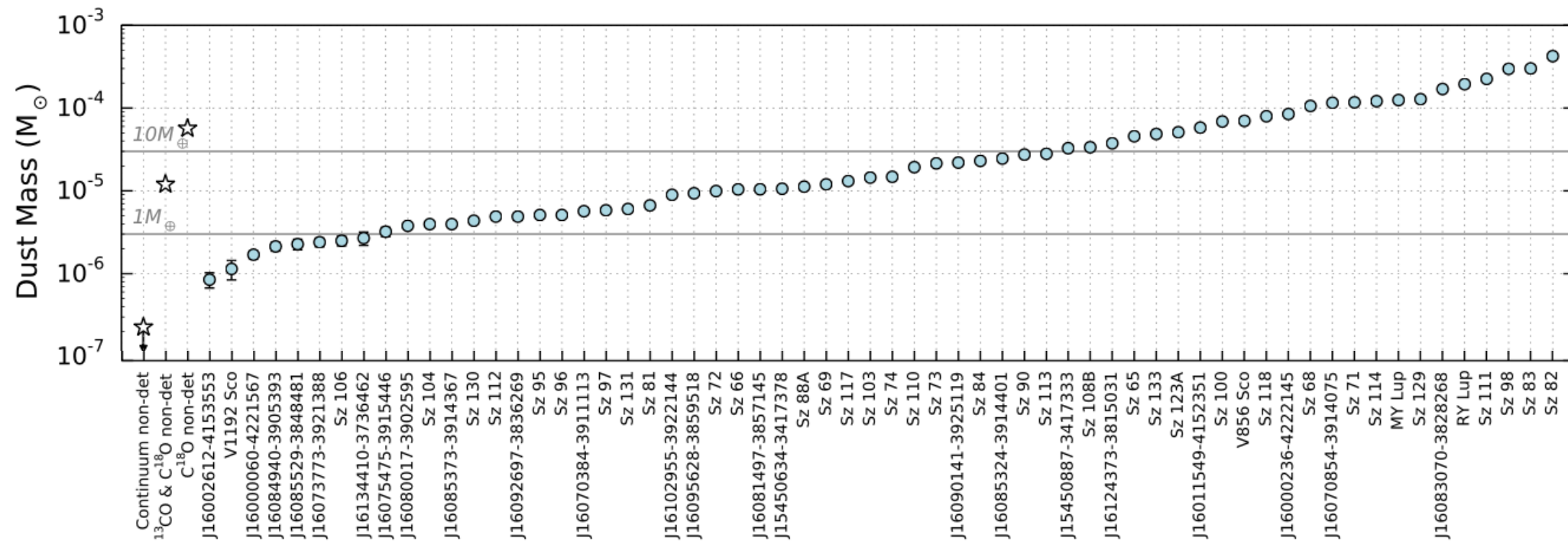
- Map all Class II disks across IMF in cluster with ALMA in continuum (B6/B7)
- Low-resolution: typically 0.25" (~35 au)
- Snapshot surveys of 1-2 min/source
- Almost 100 disks per SF region
- Continuum flux provides estimate disk dust mass
- Some disks show resolved gaps, but mostly no substructure due to resolution

$$M_{dust} = \frac{B_\nu(T = 20K) \kappa_\nu F_\nu}{d^2}$$

# Dust mass observations

## Lupus disk survey

What trends do you notice?

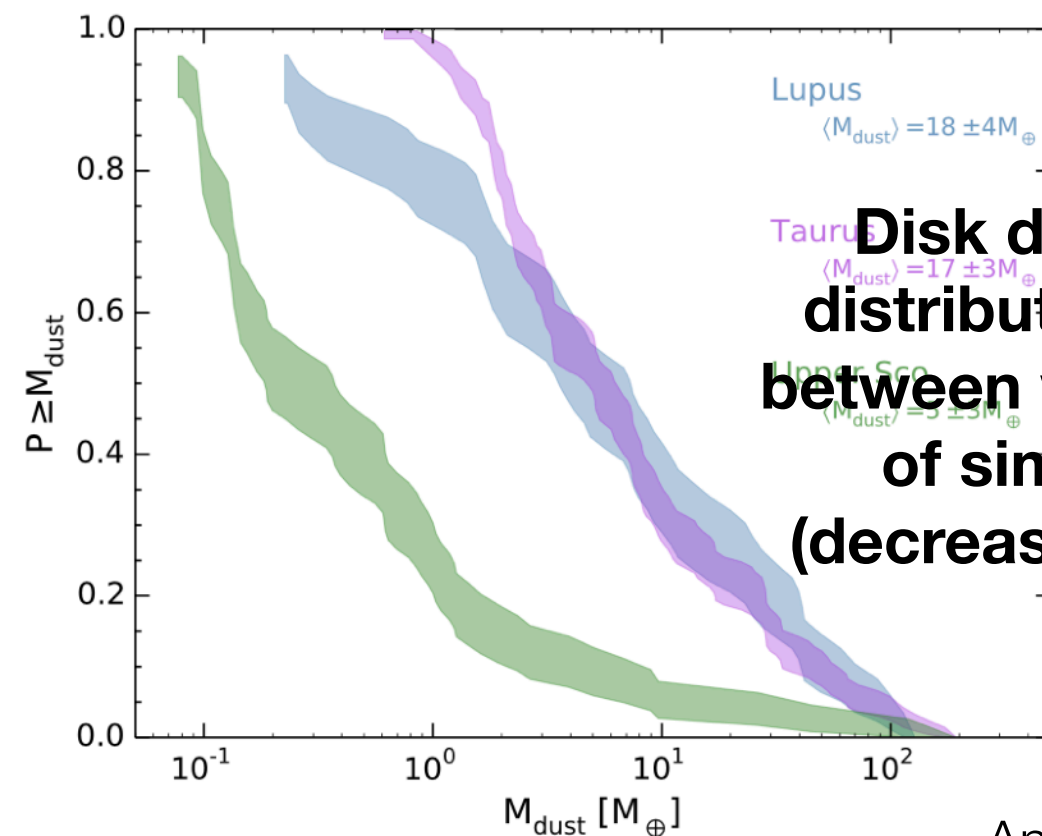
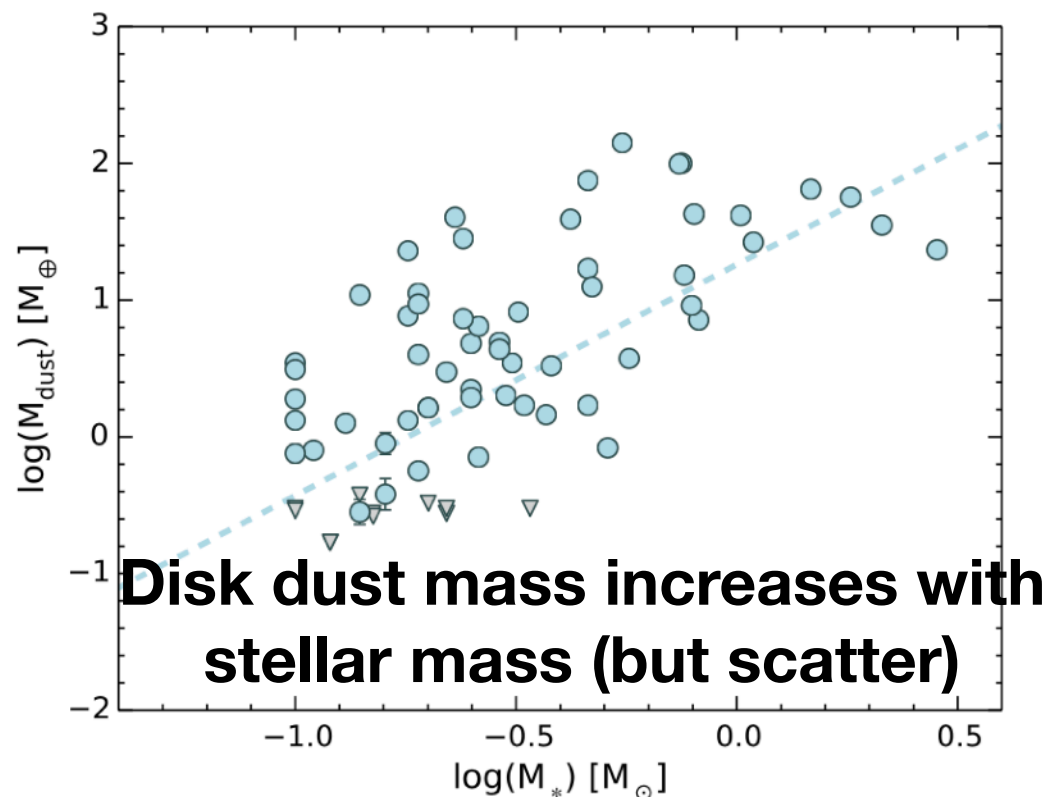
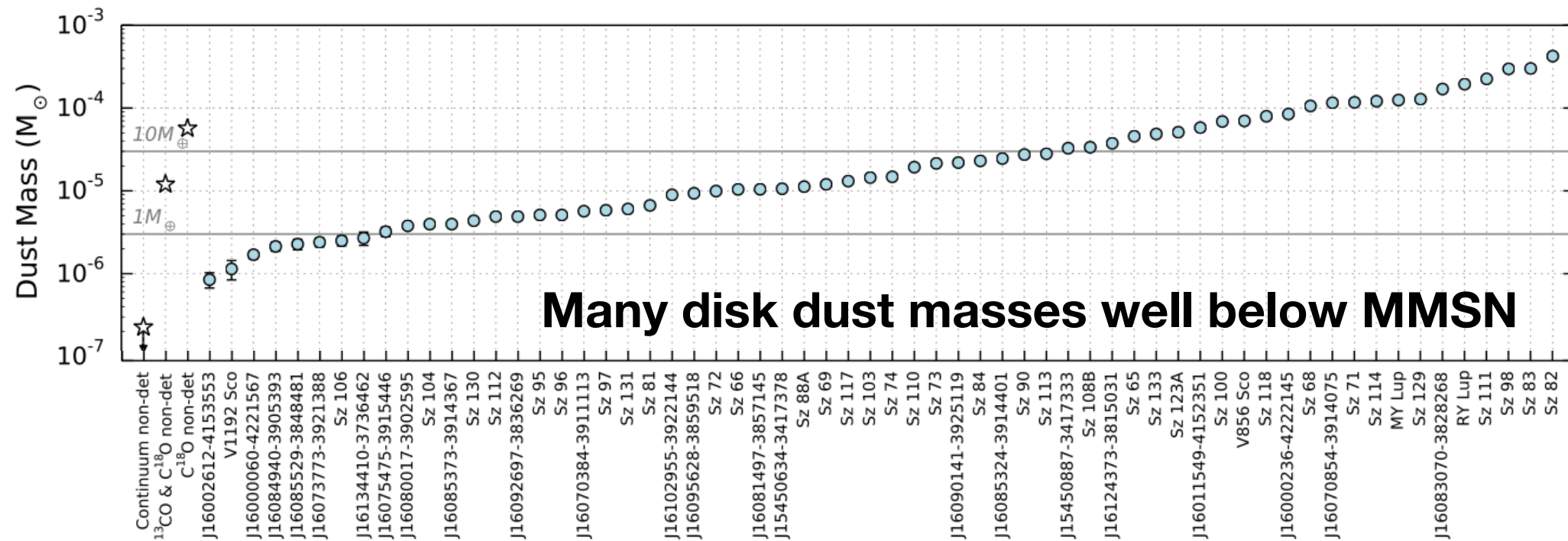




# Dust mass observations

## Lupus disk survey

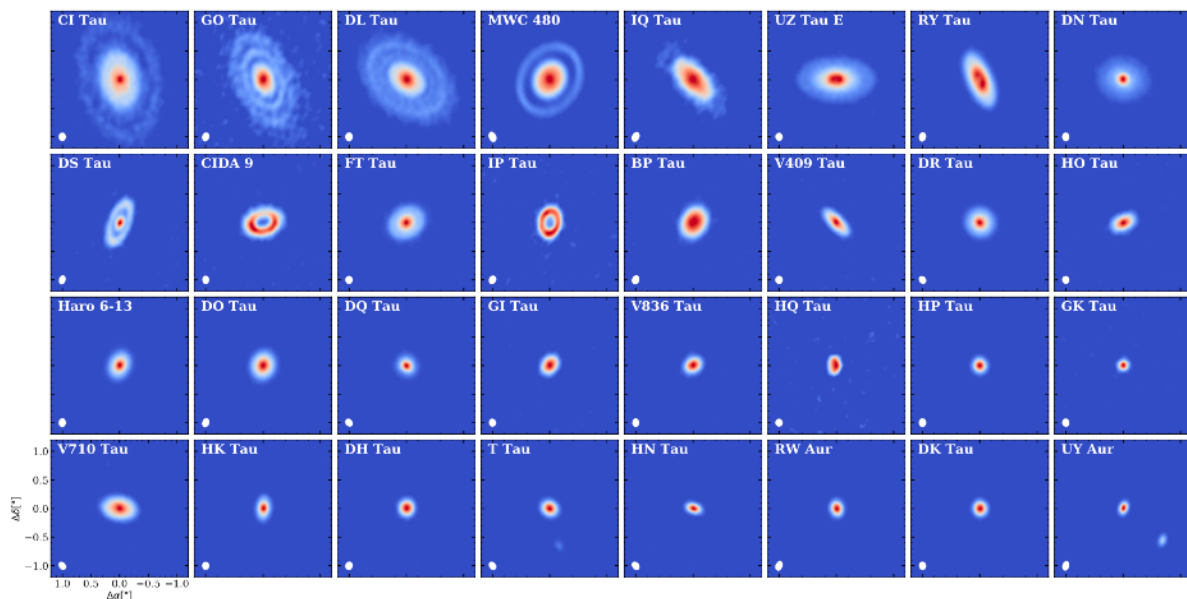
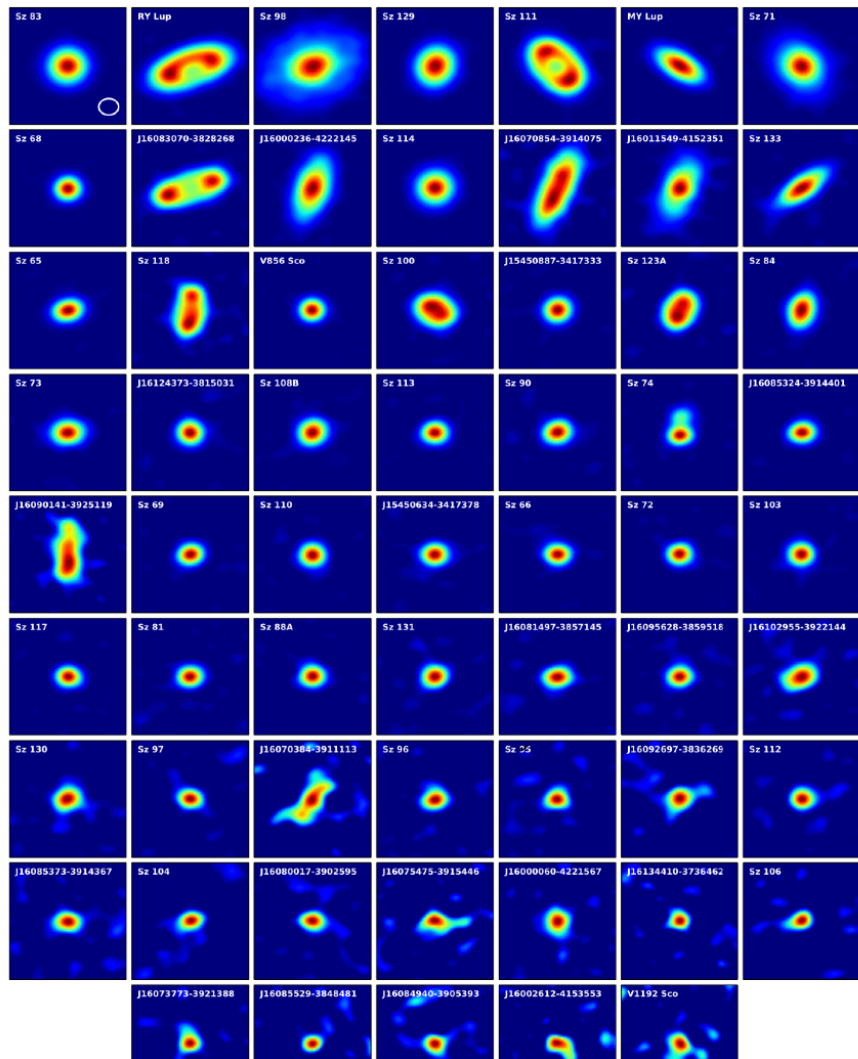
What trends do you notice?



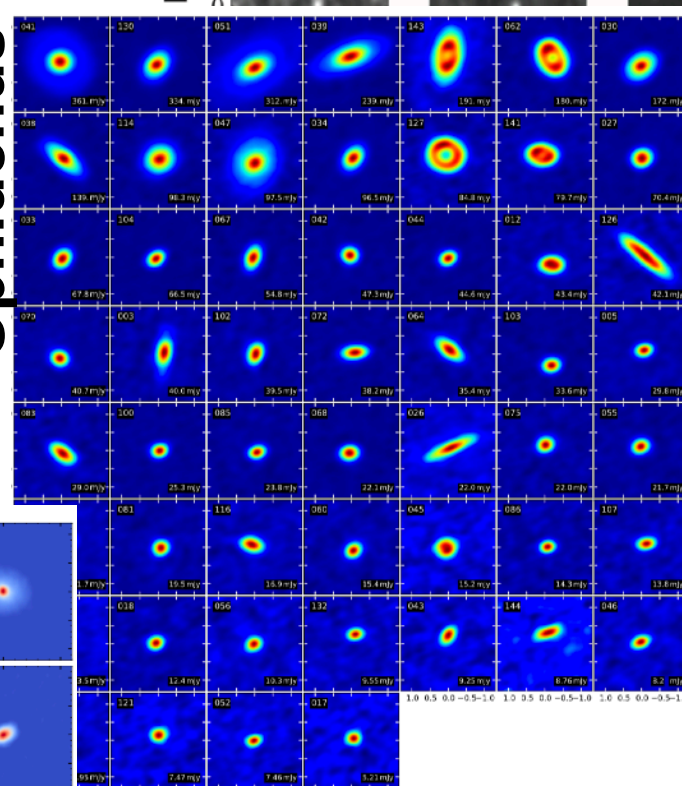
# Dust mass trends

# Chamaeleon

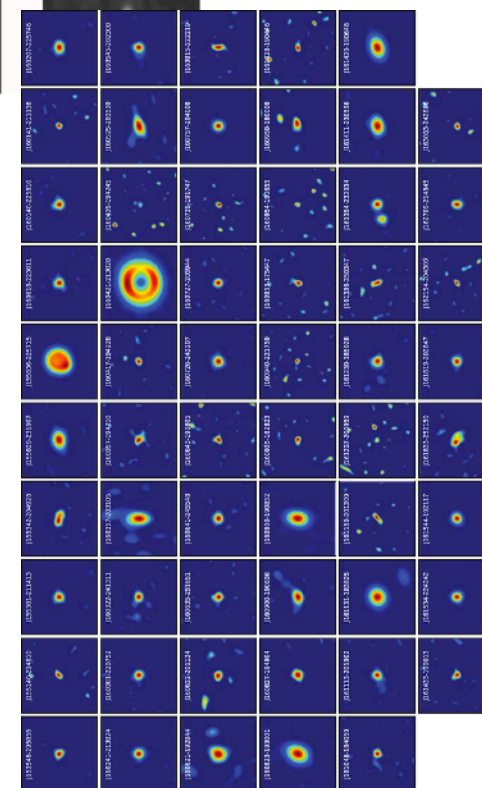
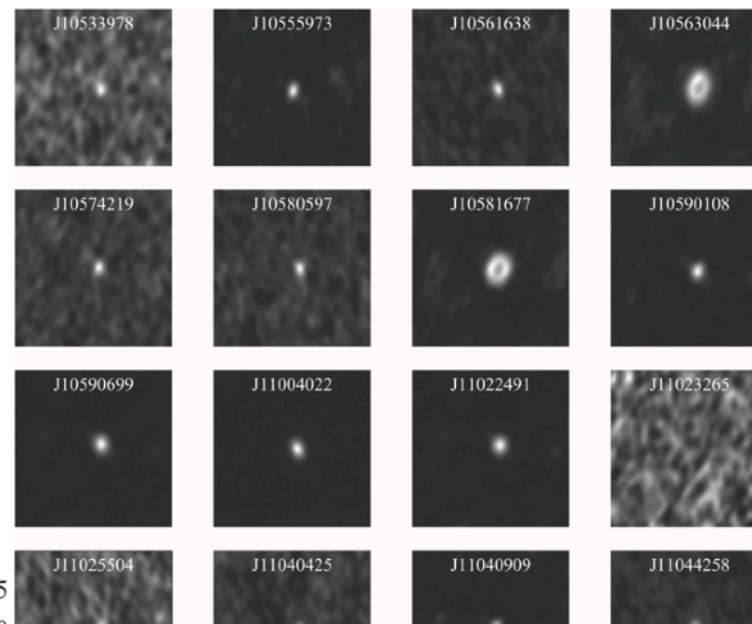
# Lupus



# Ophiuchus



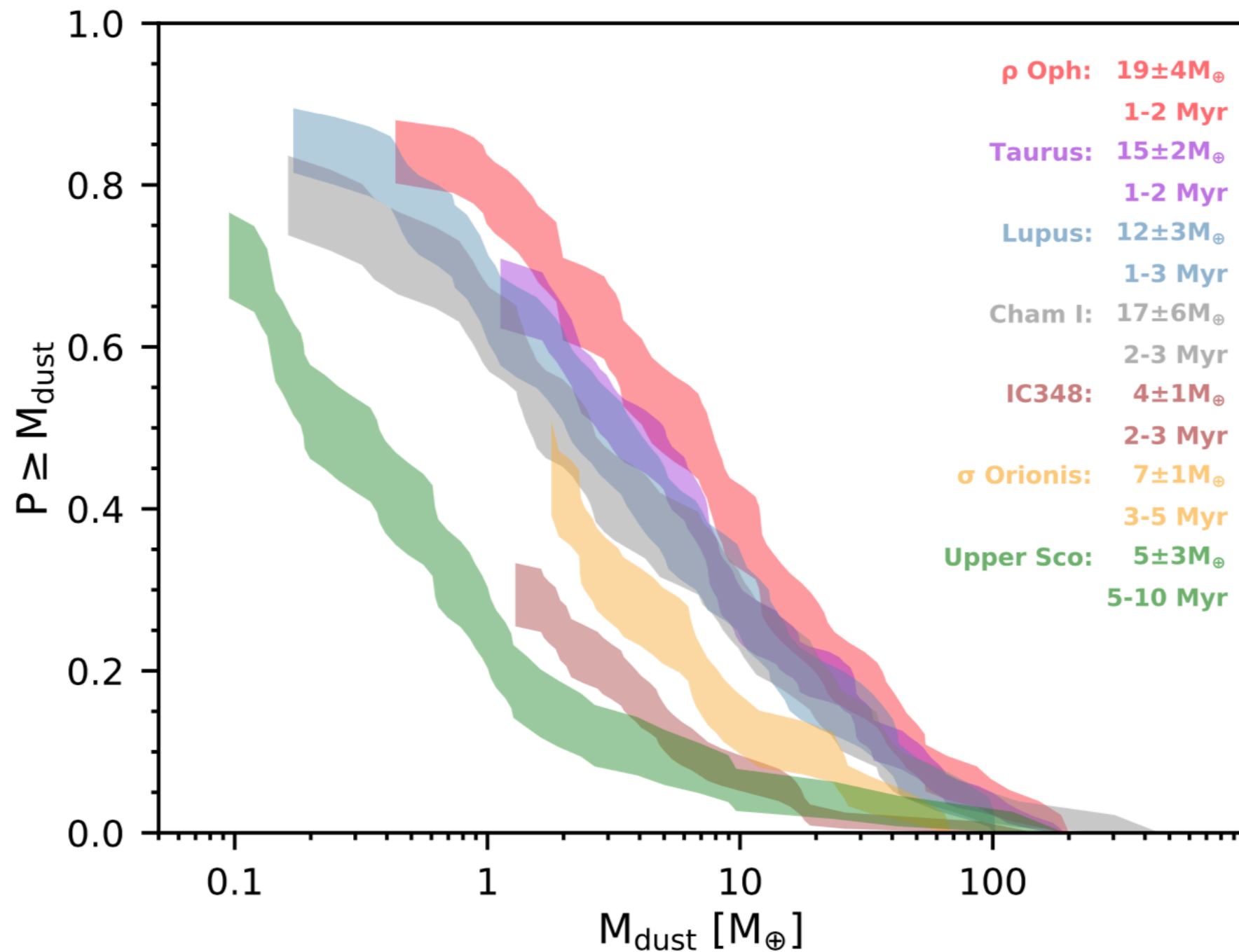
## Subset Taurus



## Upper Sco

Andsell et al. 2016, Barenfeld et al. 2016,  
Pascucci et al. 2016, Cieza et al. 2018,  
Long et al. 2019

# Dust mass trends

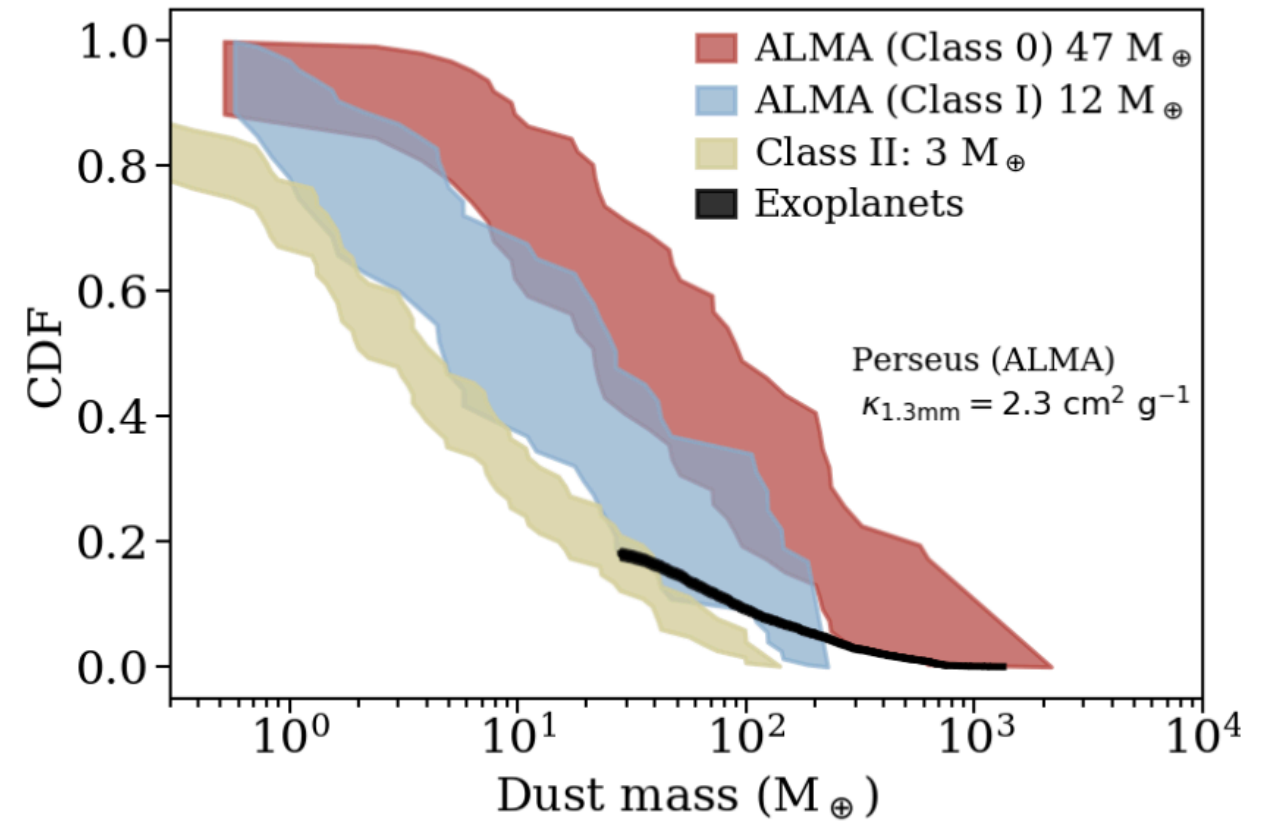
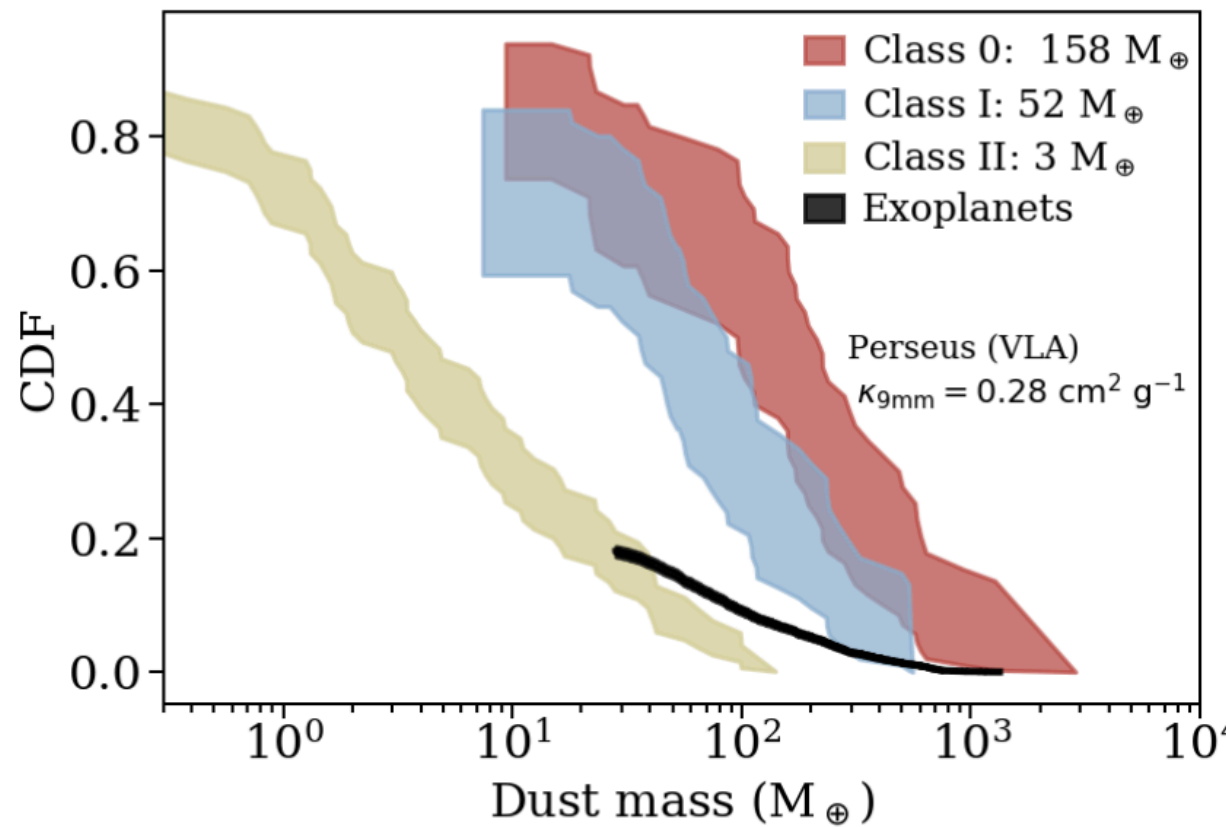


**Observed dust mass decreases with age:  
decrease of mm-dust grains or change in opacity?**

Ansdeell et al. 2016, 2017  
Cieza et al. 2018

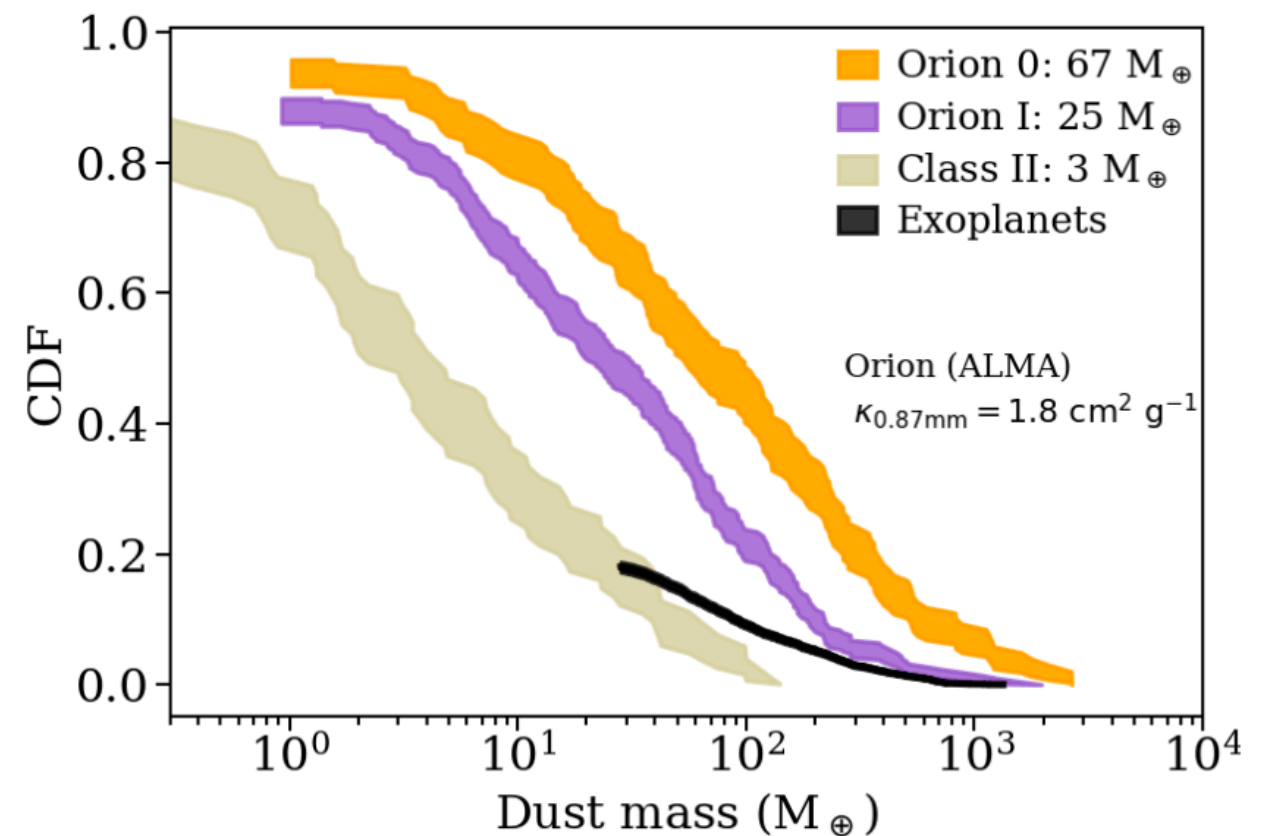


# Dust mass trends



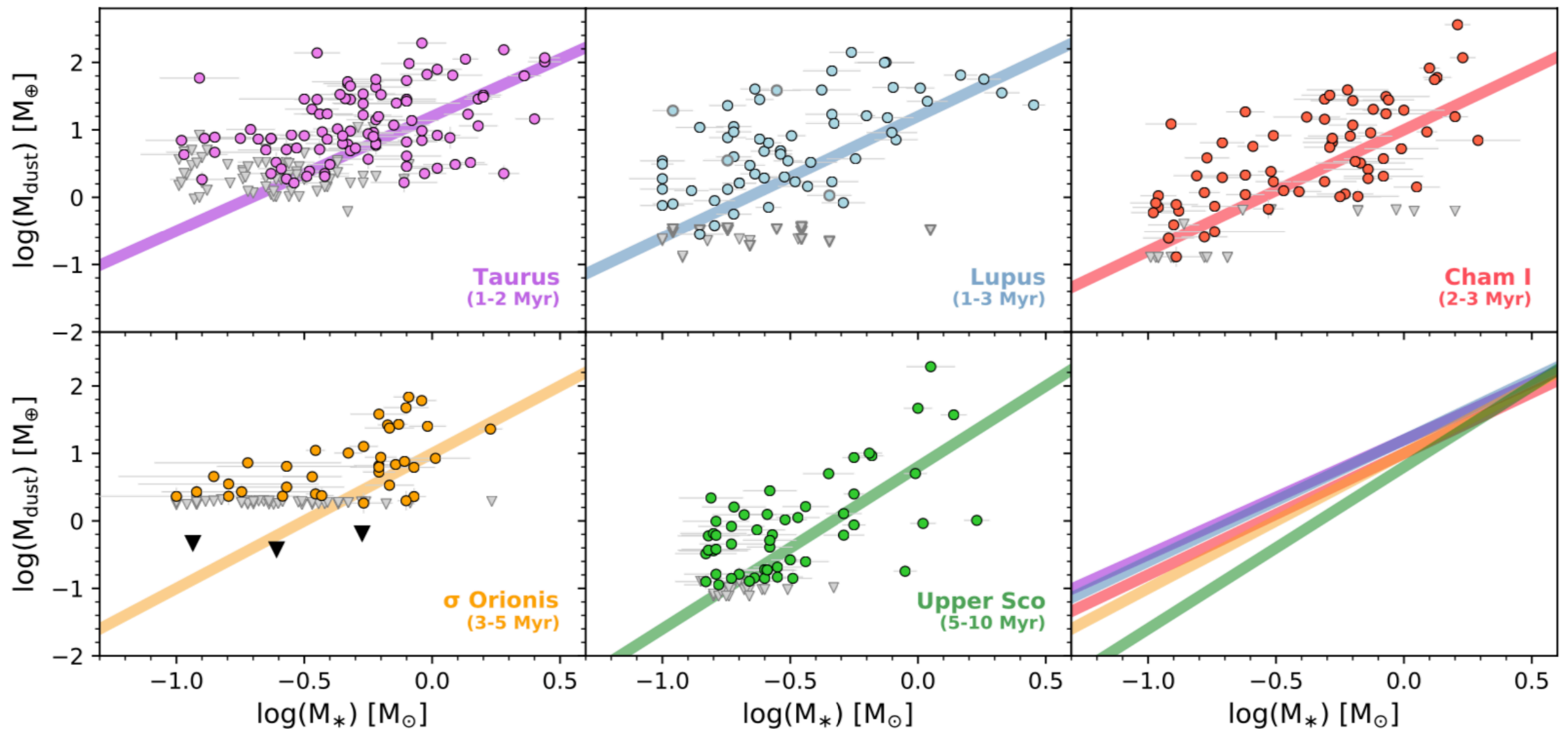
**Decrease in dust mass already seen from Class 0 to Class II stage, even at longer wavelengths:**

**not just dust opacity change!**





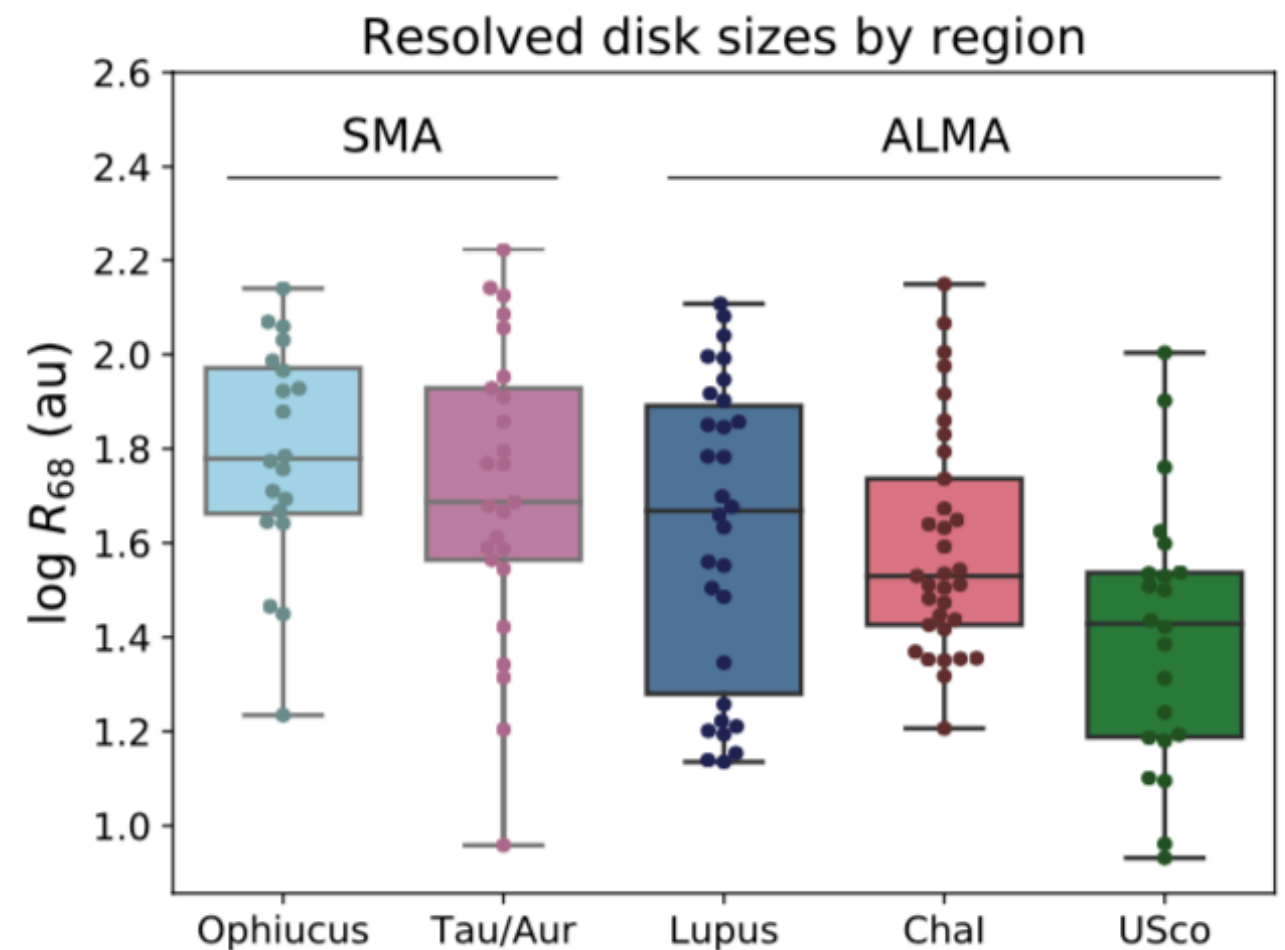
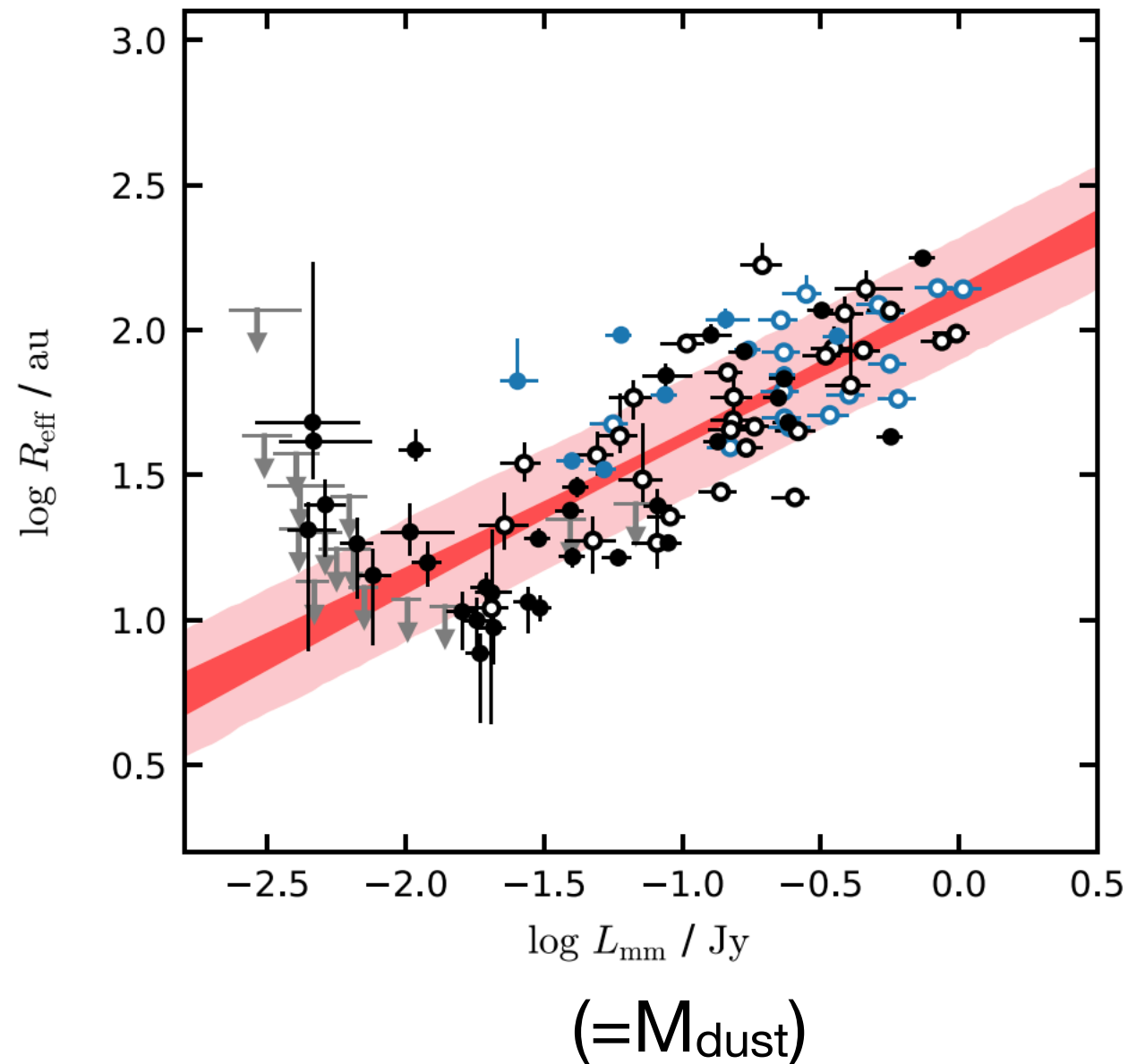
# Dust mass trends



Disk dust mass scales with stellar mass  
**and** decrease with age is stronger for low-mass

# Dust mass trends

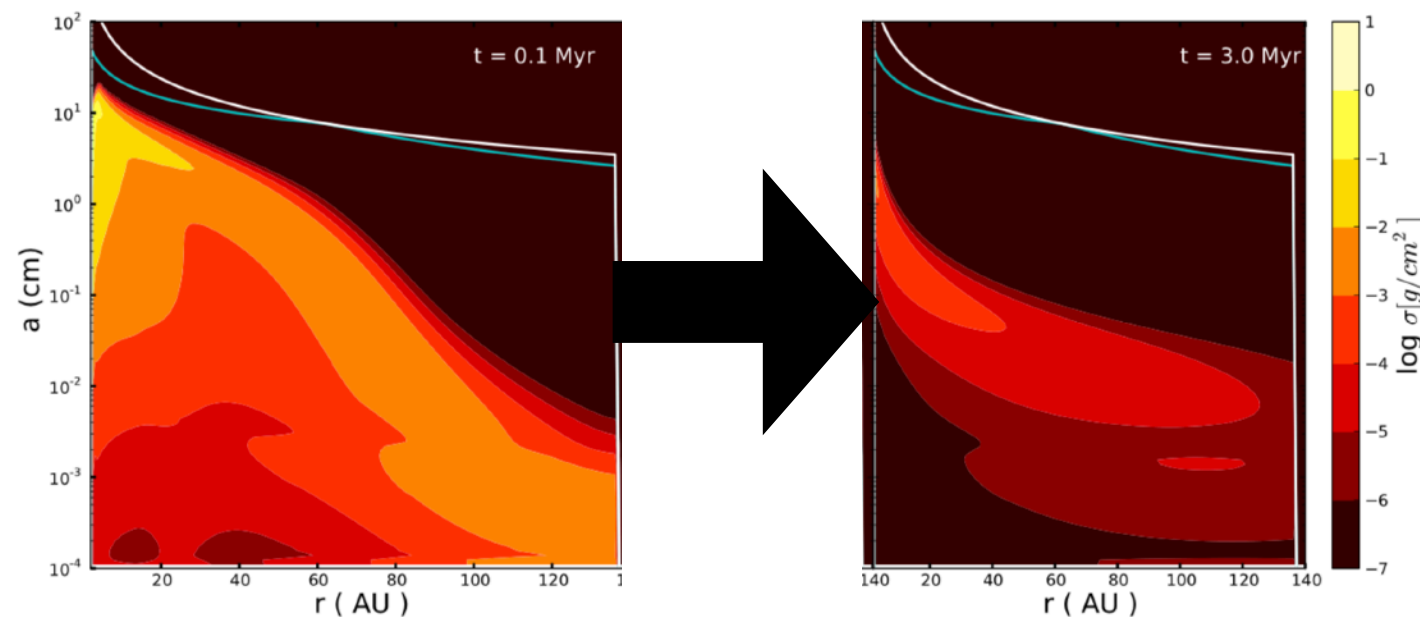
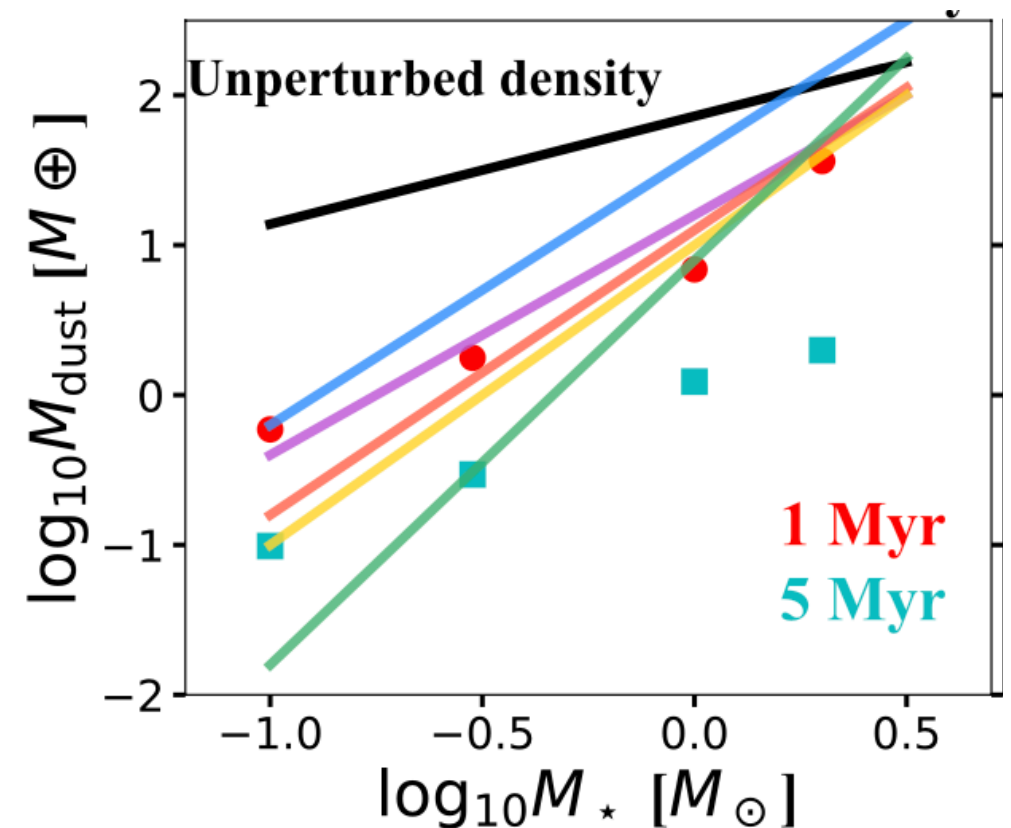
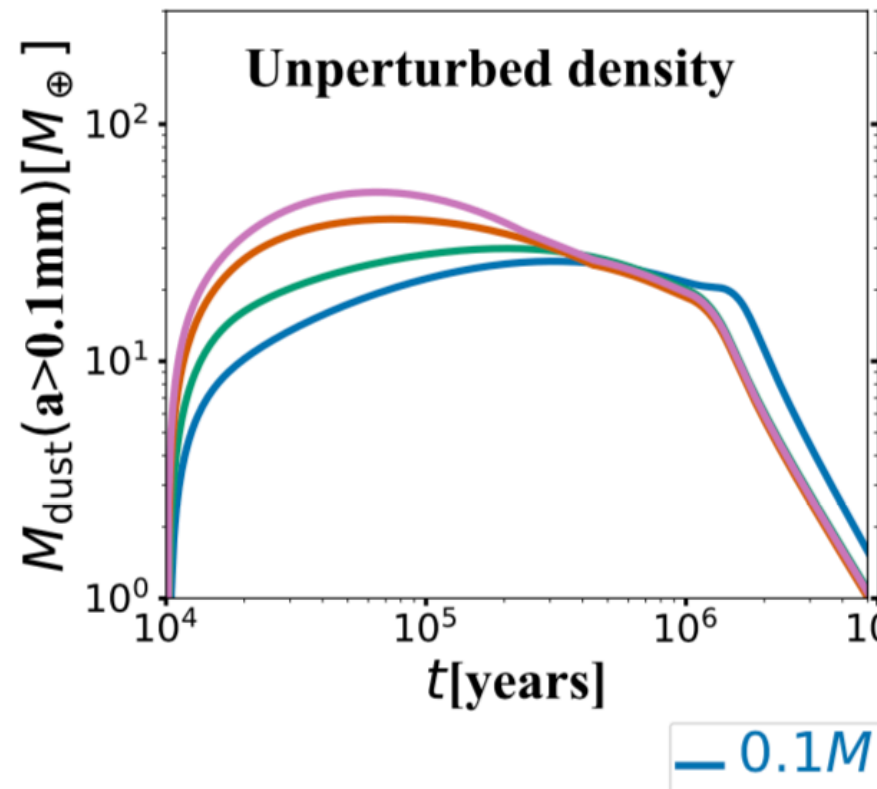
## Trend disk dust size



**Disk dust size scales with dust mass  
and decreases with time**

# Dust mass trends

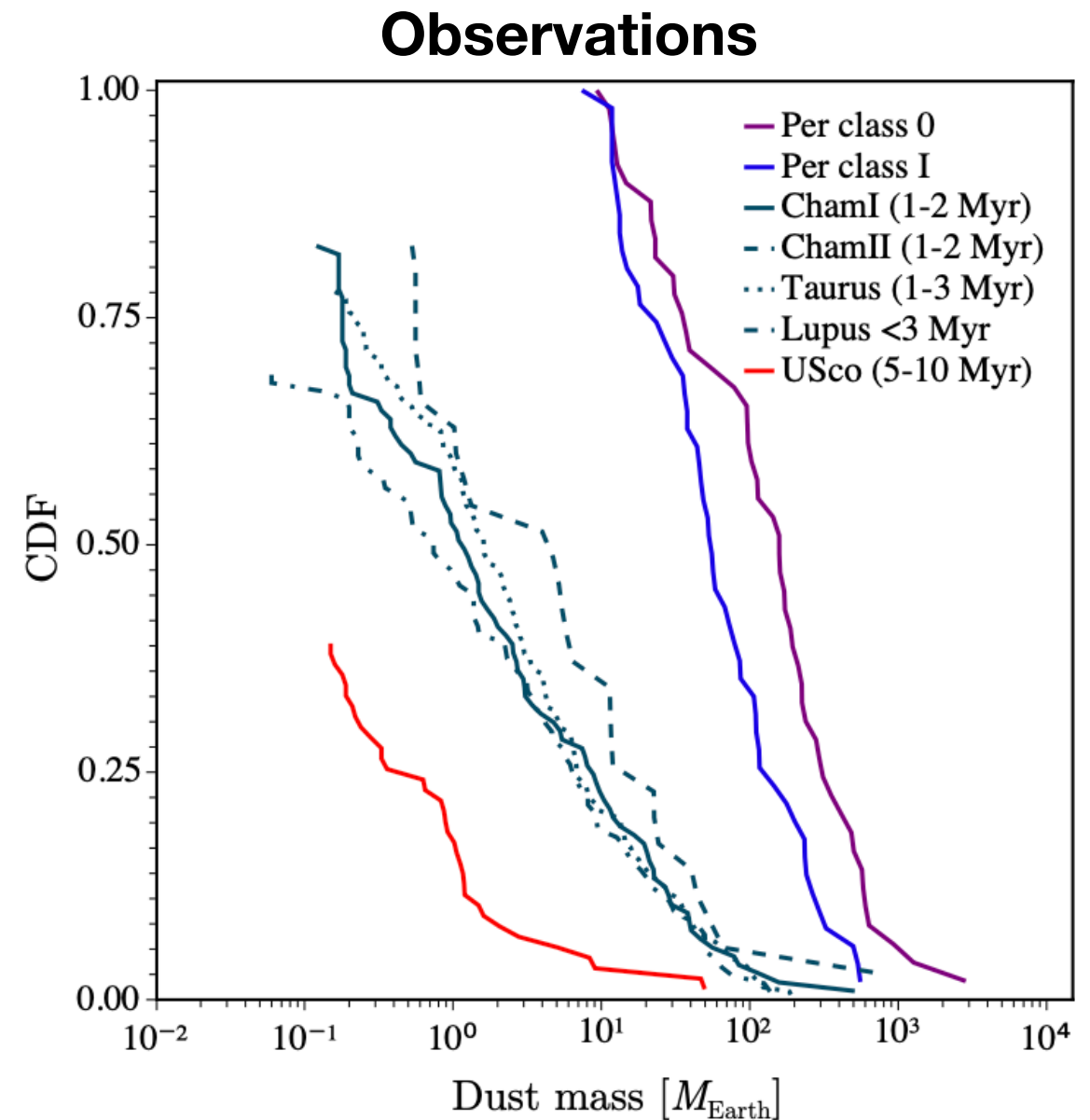
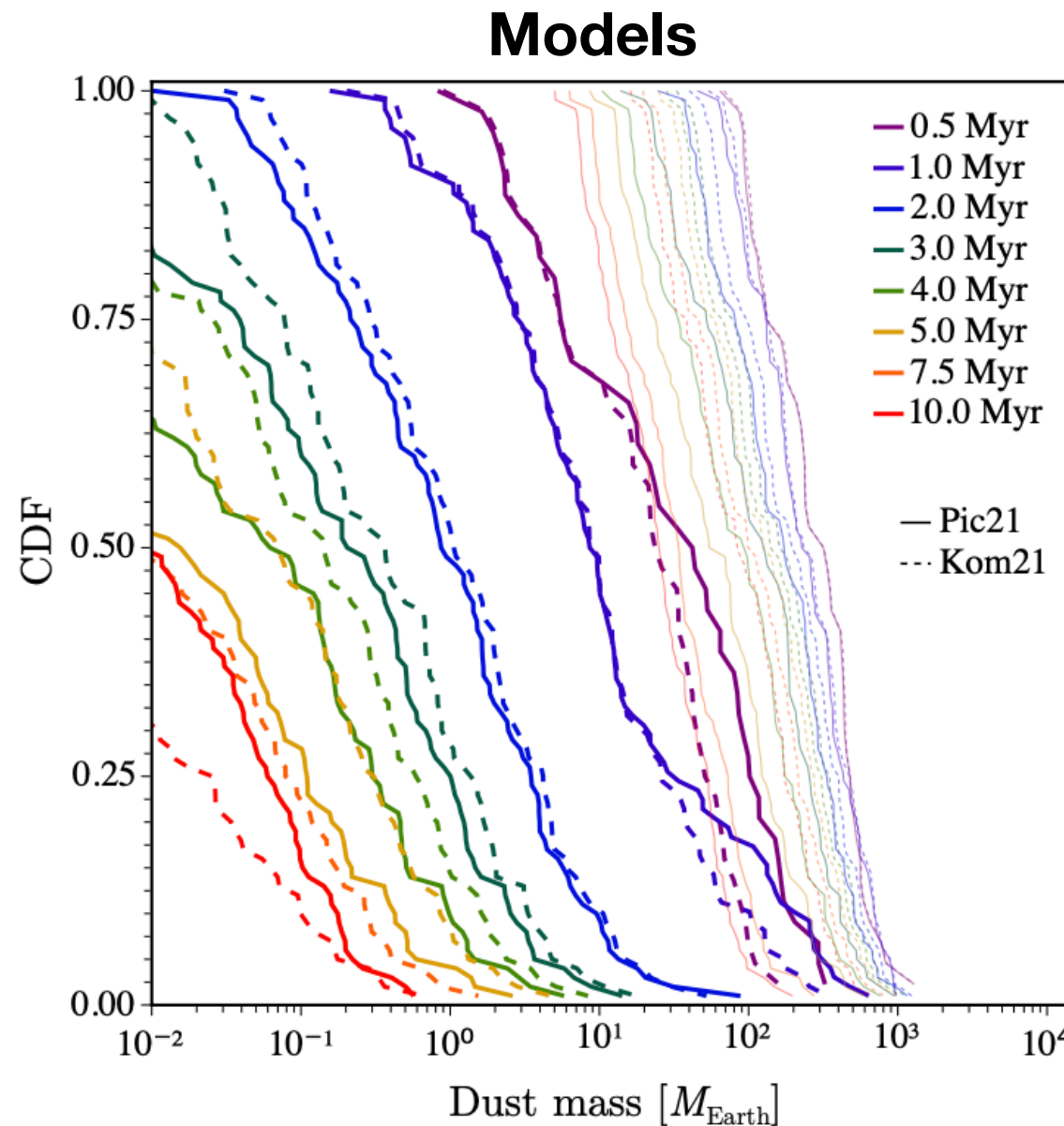
## Dust evolution: radial drift



**Radial drift decreases the dust mass over time, but not fully reproducing trend**

# Dust mass trends

## Disk models



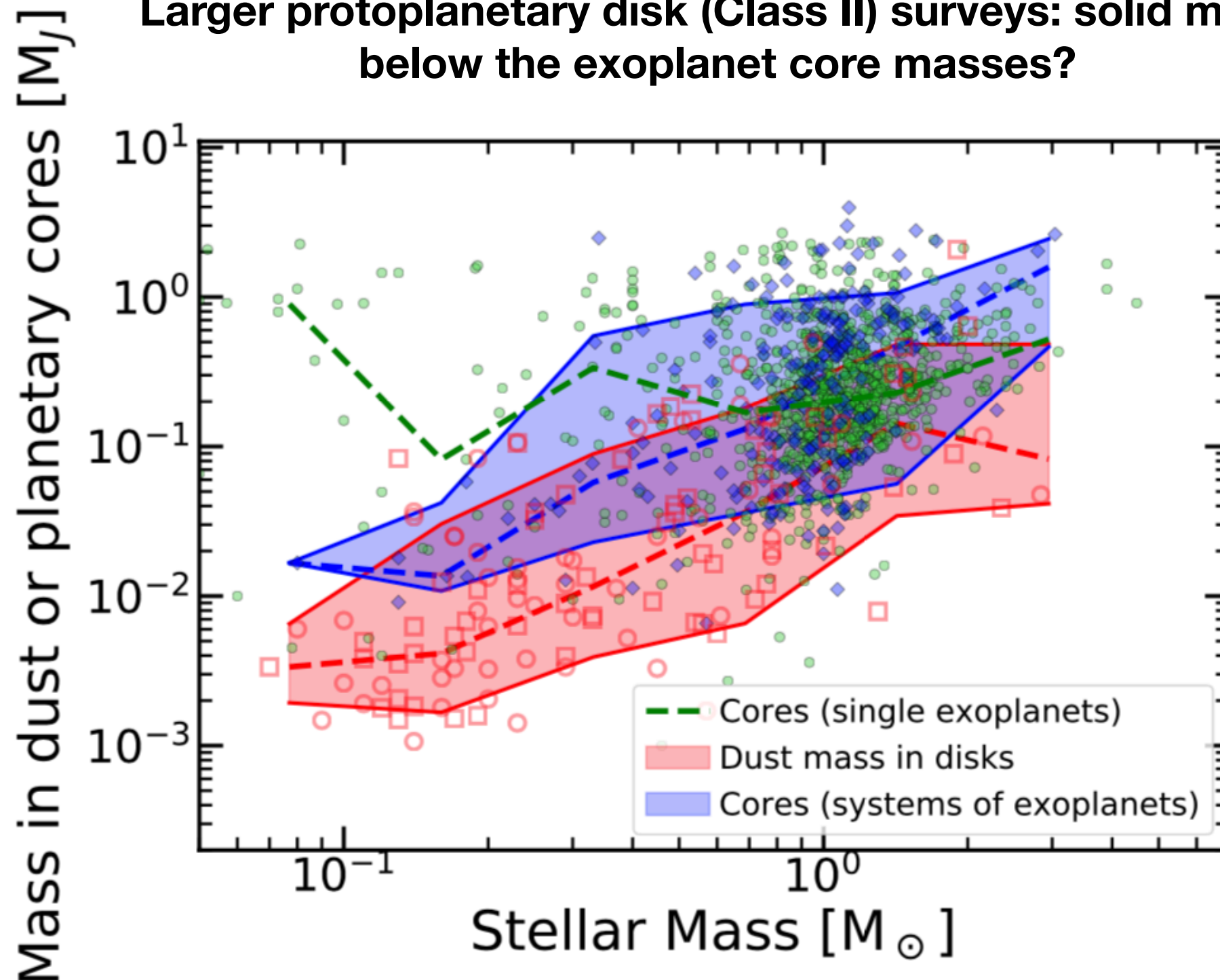
**Disk population synthesis: dust mass evolution is consistent with majority of disks being drift-dominated**



# Dust mass trends

## Solid mass budget

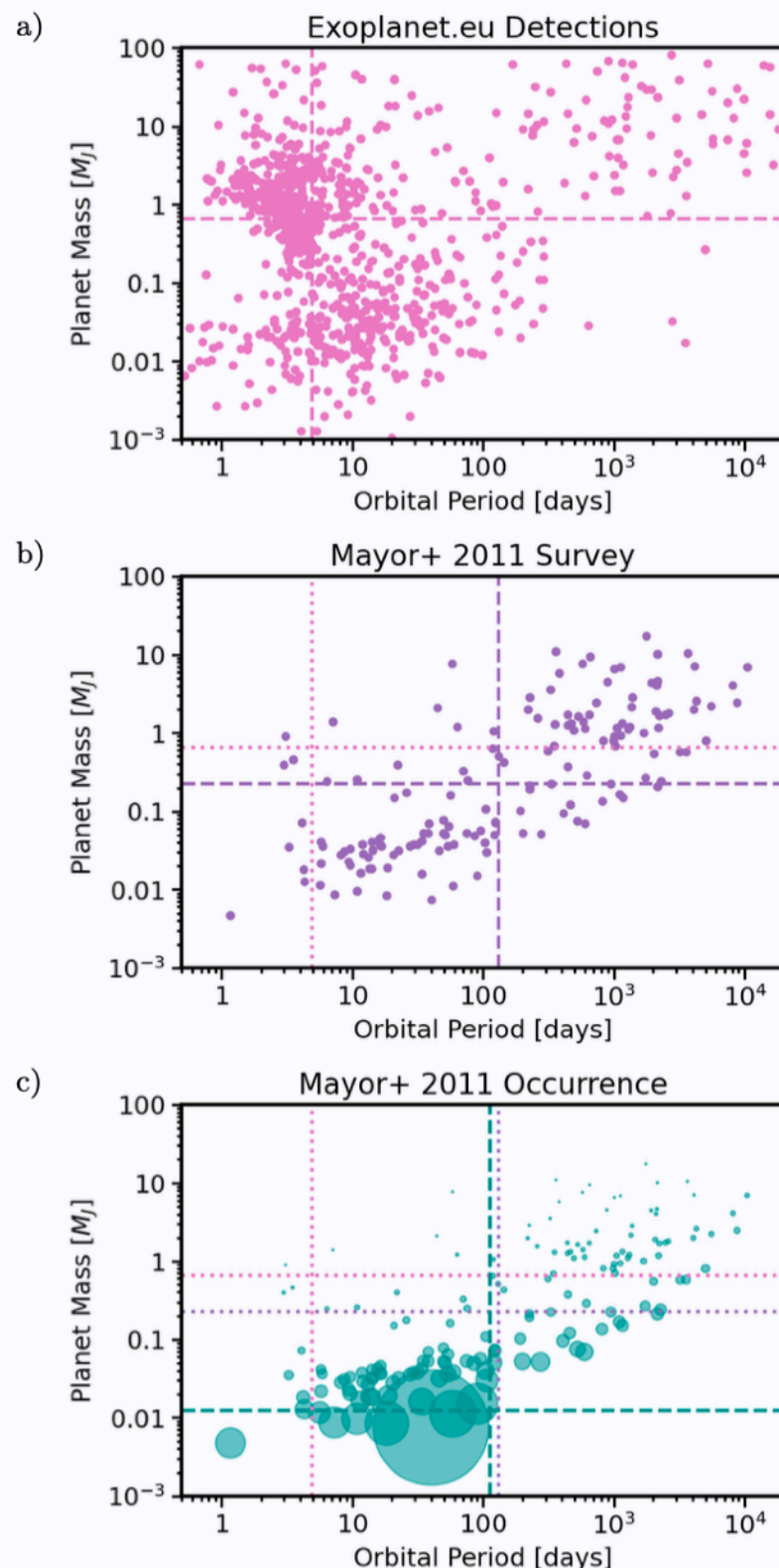
Larger protoplanetary disk (Class II) surveys: solid mass below the exoplanet core masses?



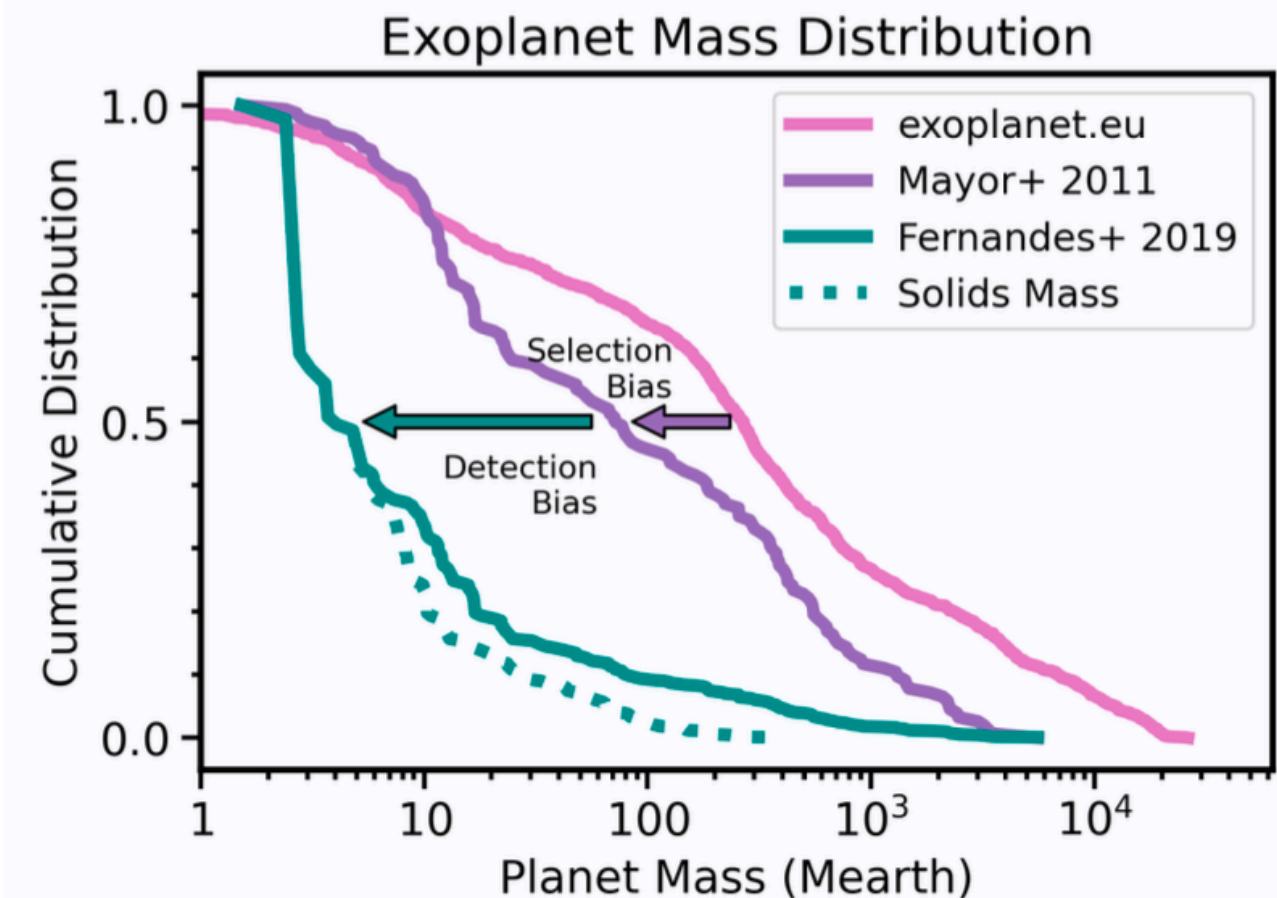
Exoplanets already formed before Class II stage?

# Dust mass trends

## Revised: Solid mass budget



**Careful! Exoplanet detection catalog is not a complete or unbiased survey**

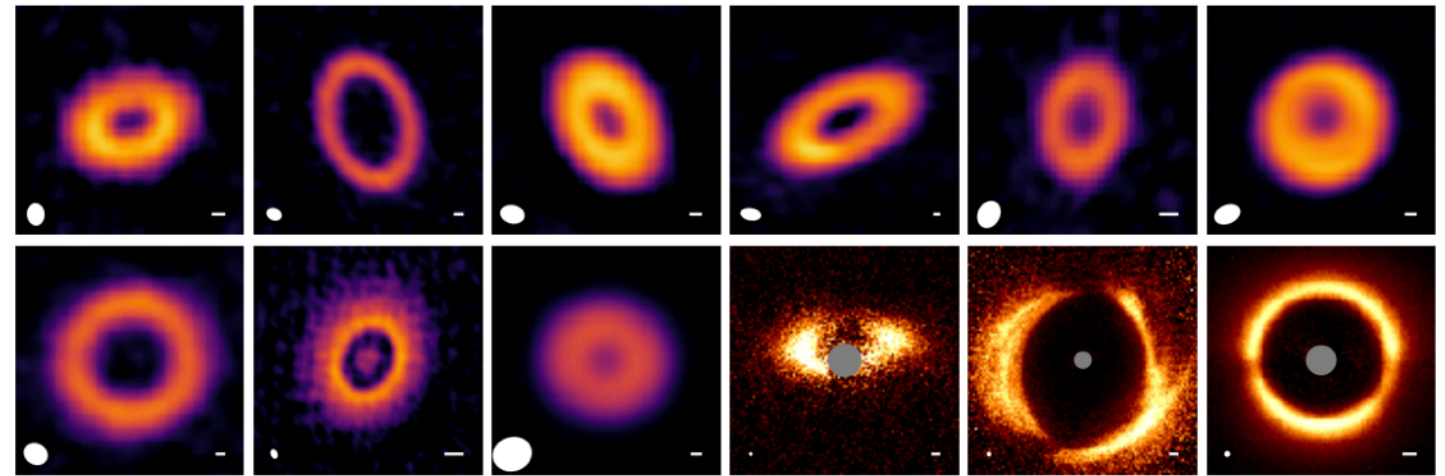


**Need to correct for selection and detection biases to get the 'true' exoplanet mass budget**

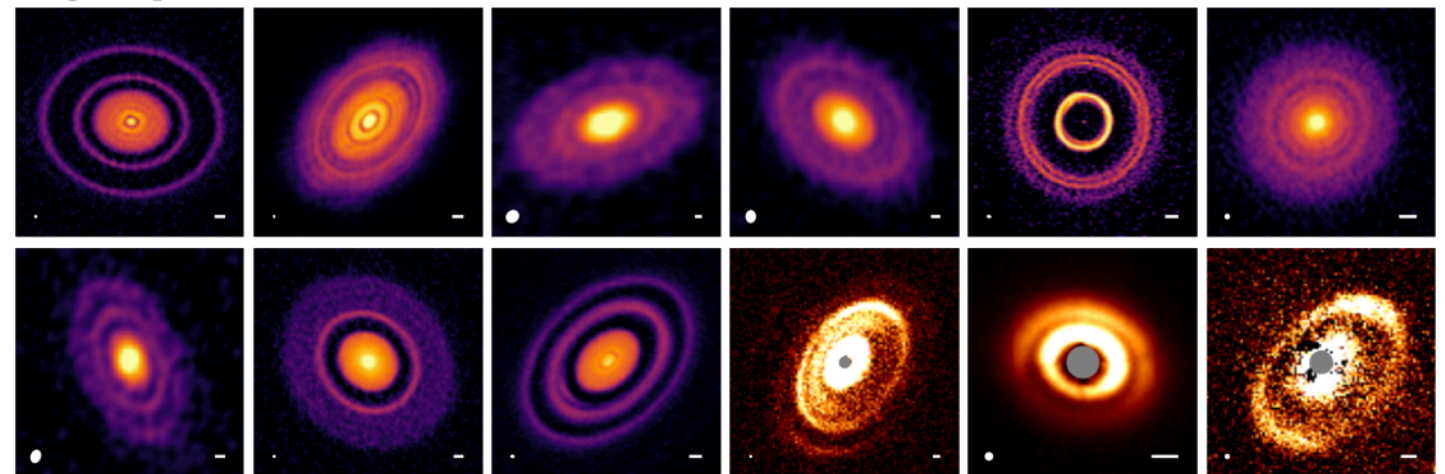
# Dust substructures

- When you go to higher angular resolution: large diversity of substructures
- Distinguish:
  - Inner cavity
  - Rings/gaps
  - Arcs/crescents/asymmetries
  - Spirals

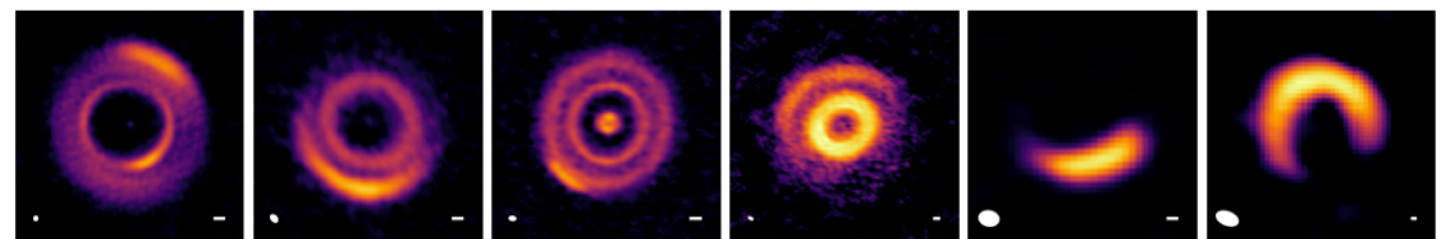
Ring/Cavity



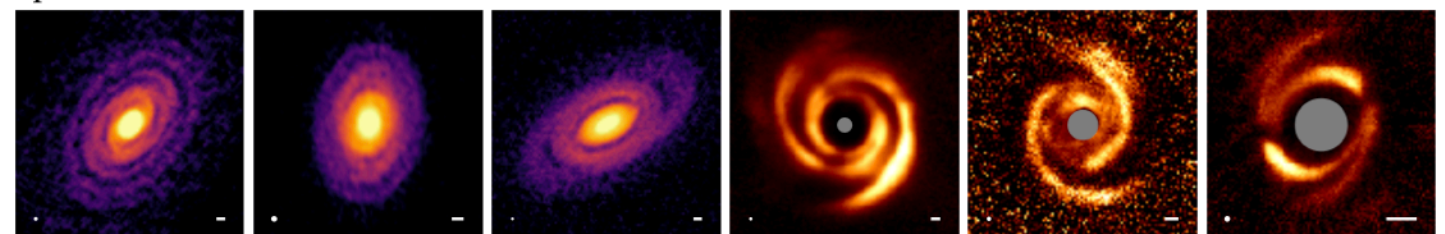
Rings/Gaps



Arcs



Spirals



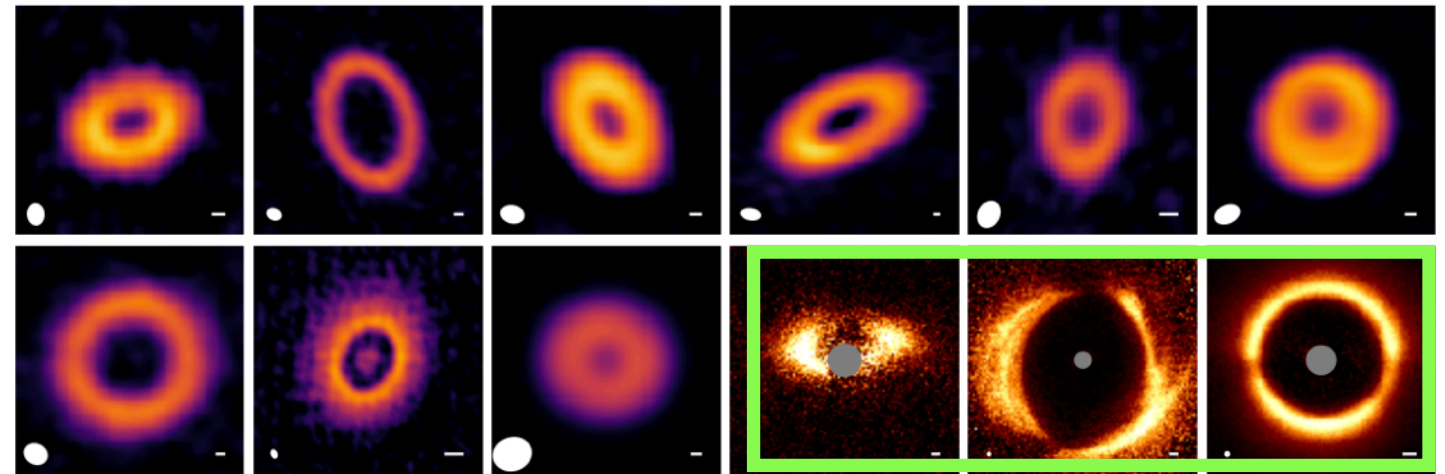


# Dust substructures

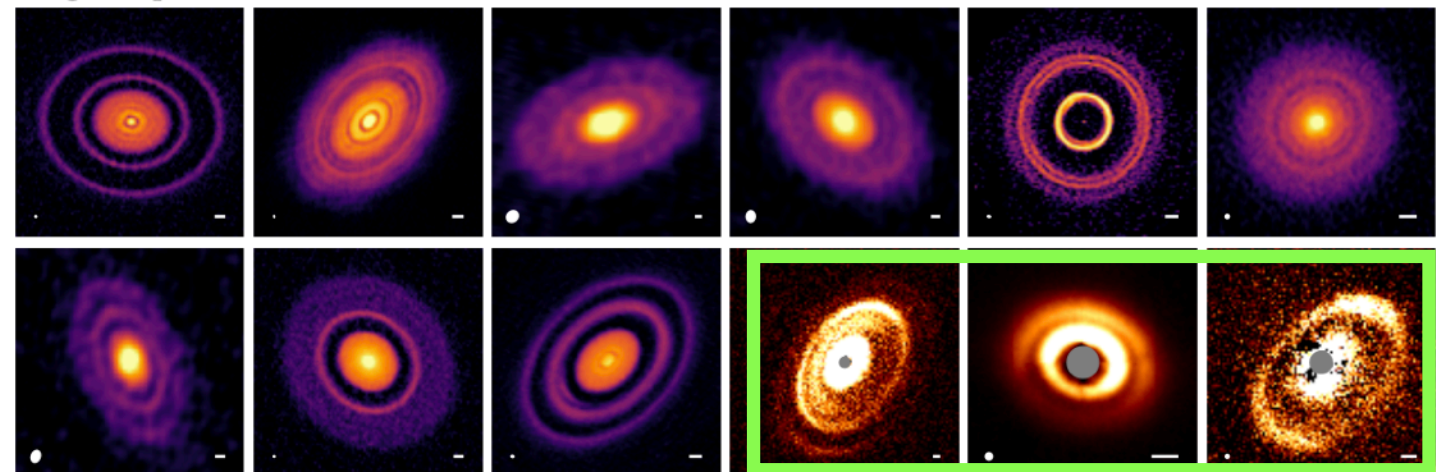
- Scattered light images not discussed now (different from ALMA: surface layers, small grains)

***This afternoon  
(Antonio)***

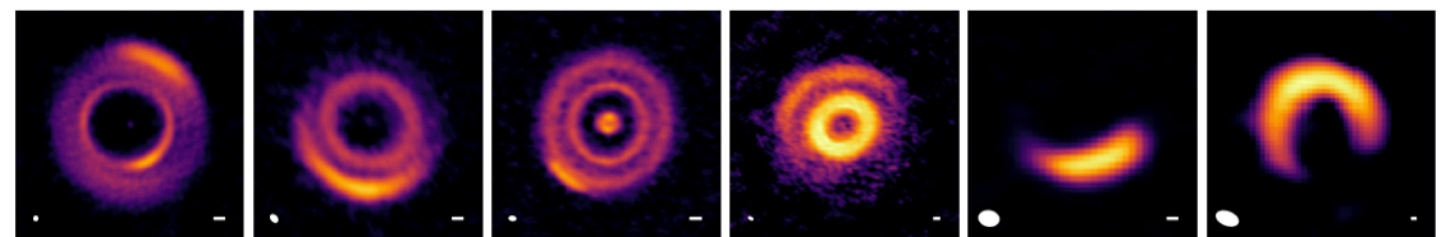
Ring/Cavity



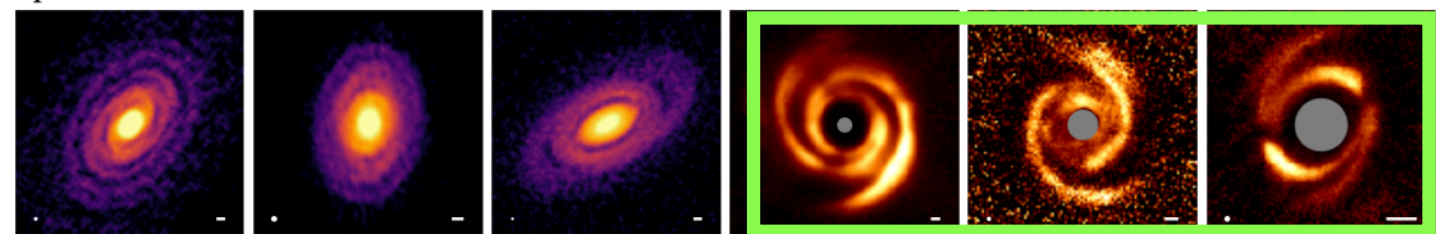
Rings/Gaps



Arcs



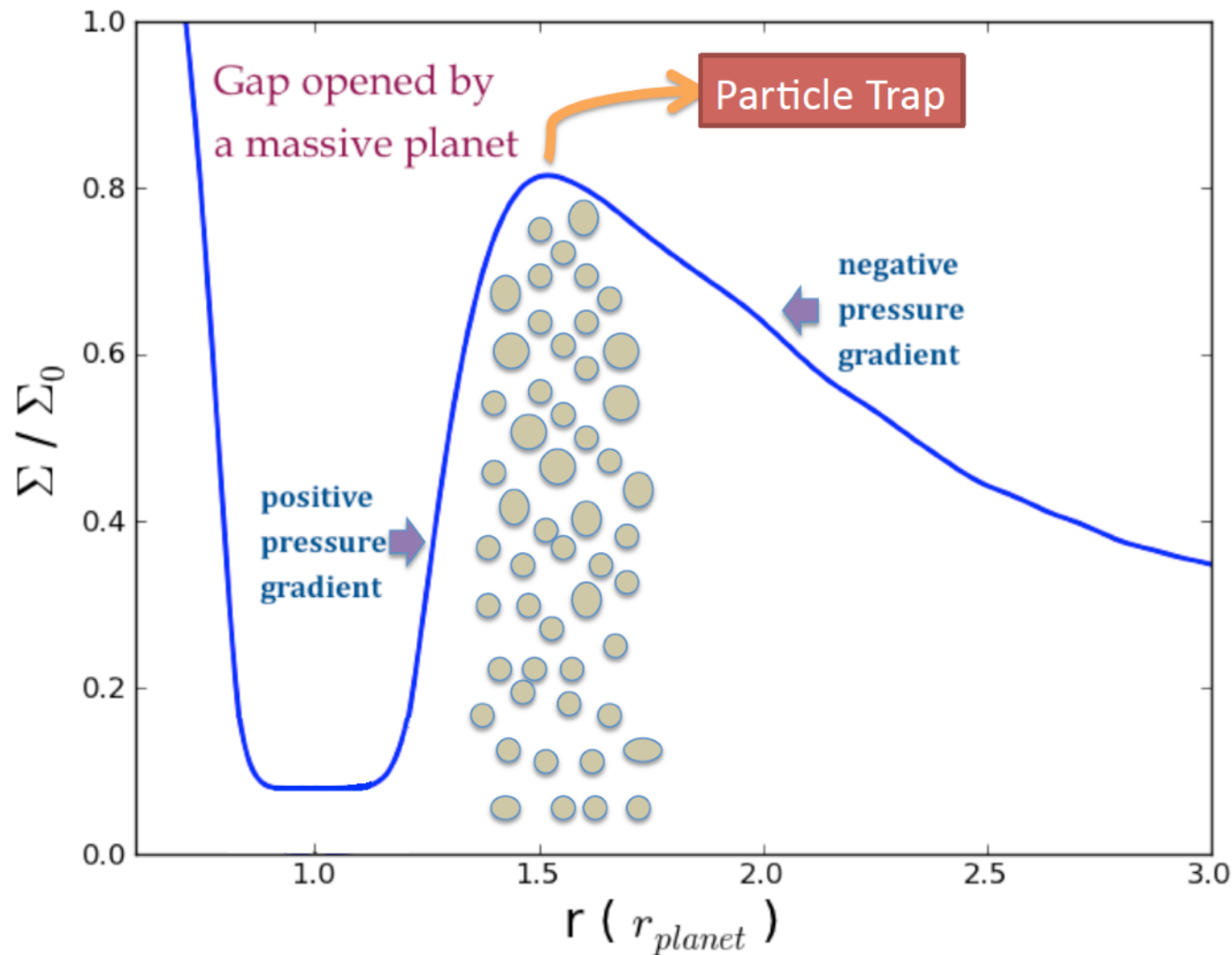
Spirals





# Dust substructures

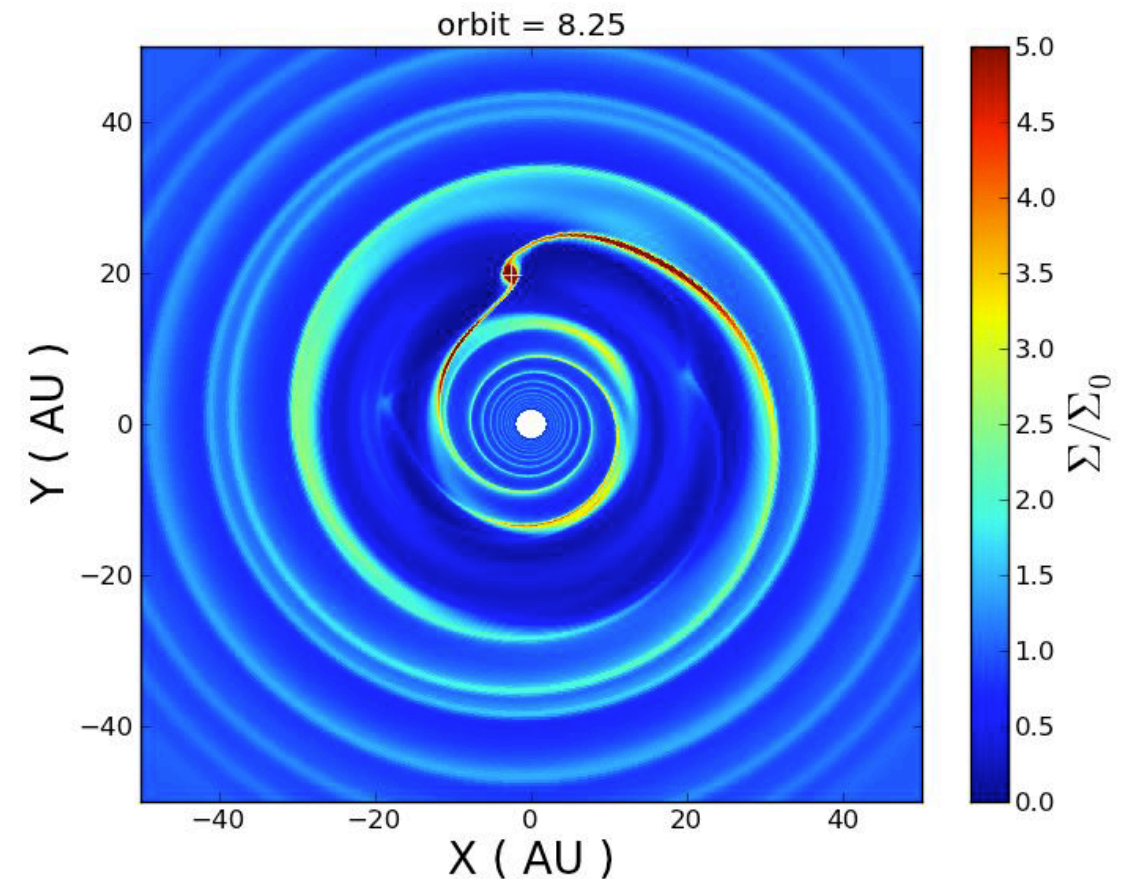
Origin: dust traps in pressure bumps



Pressure bump (e.g. caused by a planet)  
creates a radial dust trap => ring

***Dust dynamics  
(Jean-Francois)***

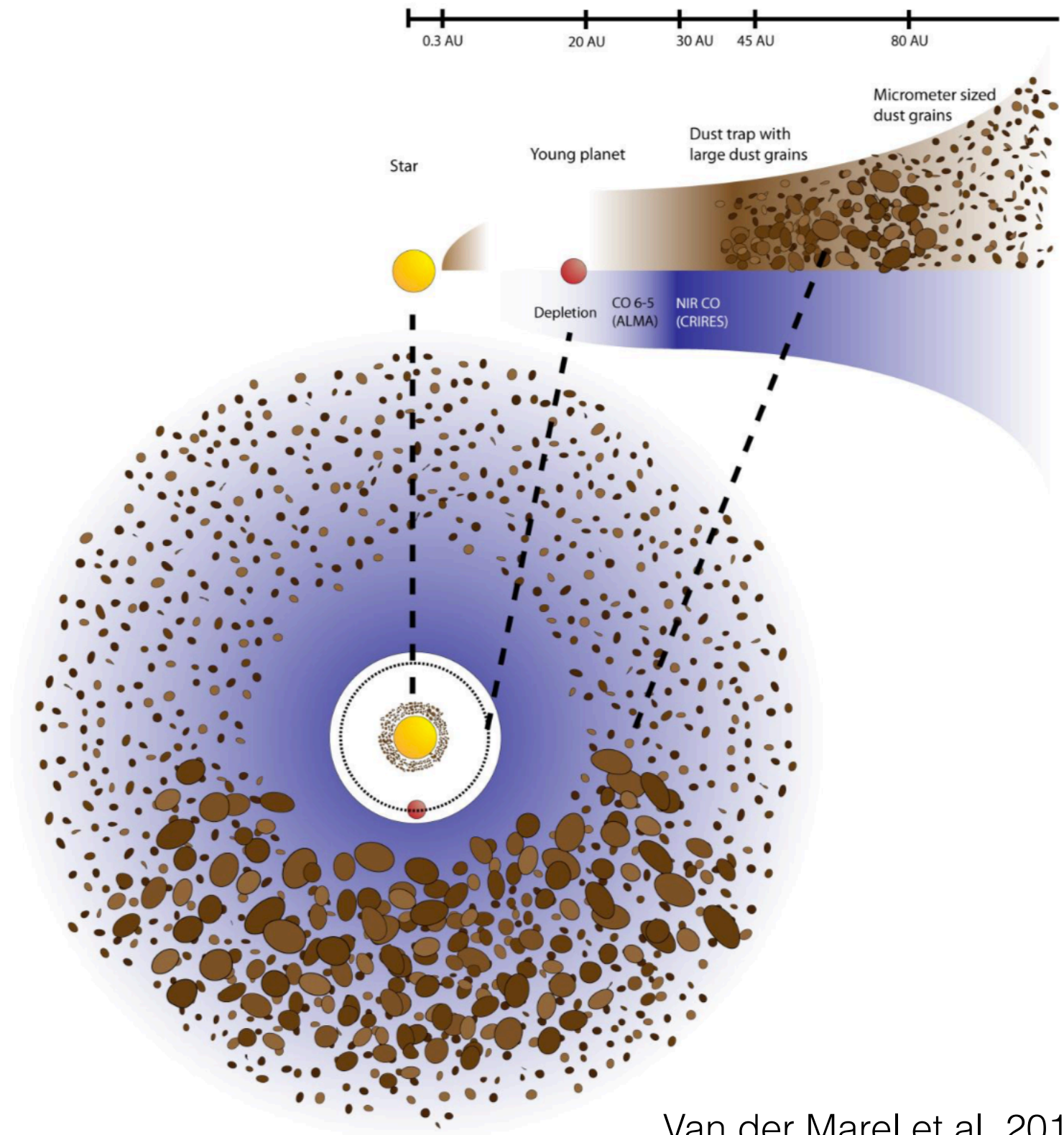
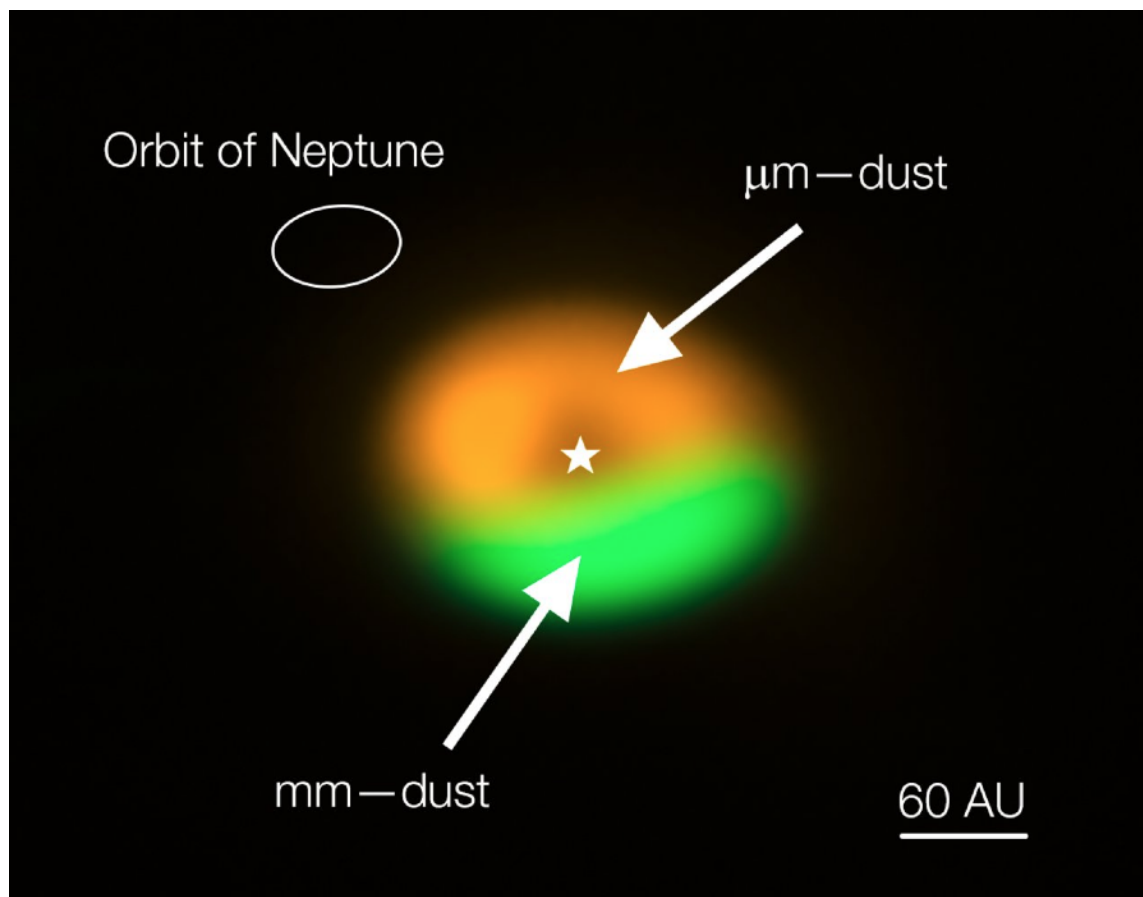
Rossby Wave Instability of  
pressure bump results in  
long-lived vortices: azimuthal  
pressure bump => asymmetry



***Instabilities  
(Sijme-Jan)***

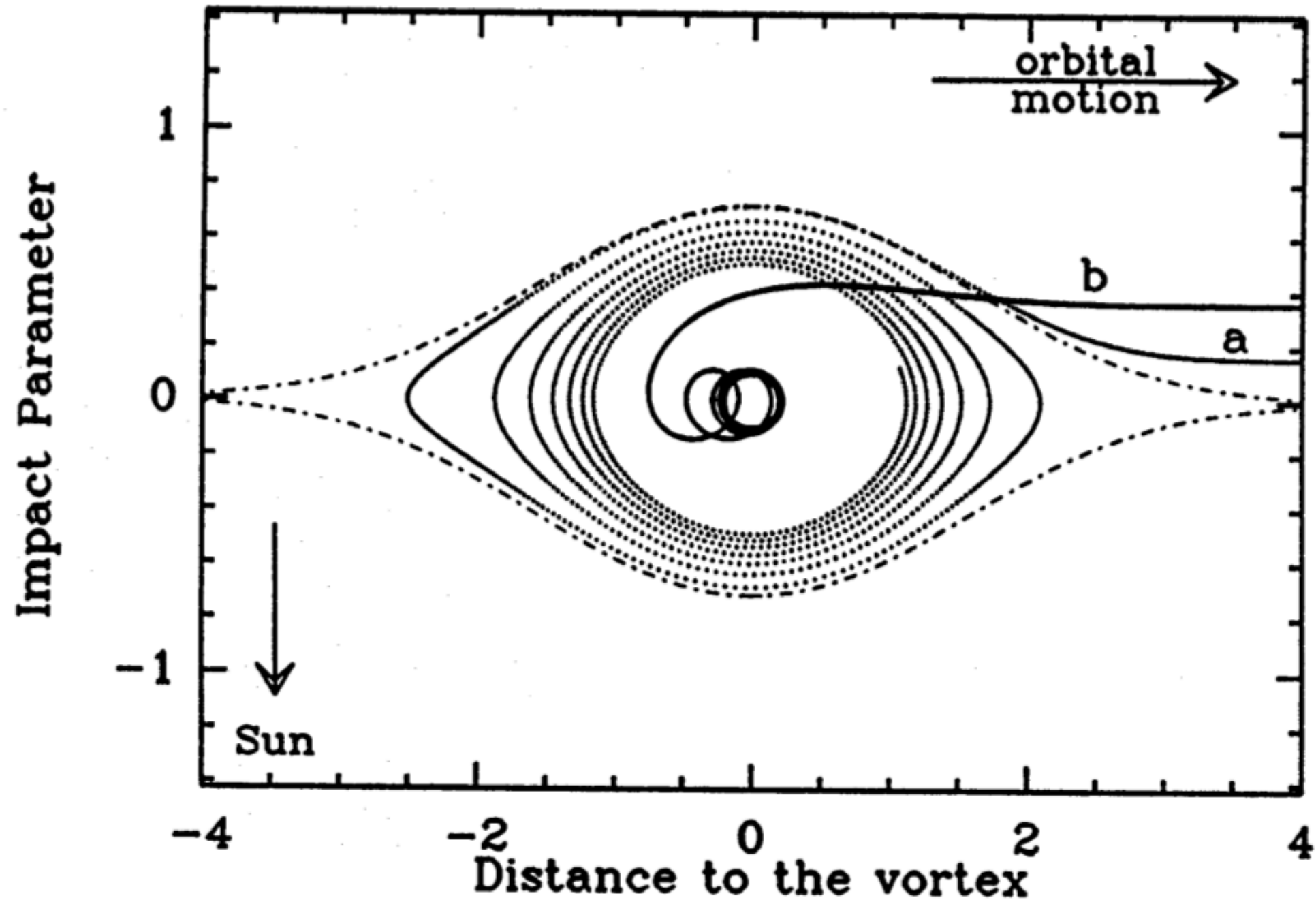
# Dust traps

## How it started



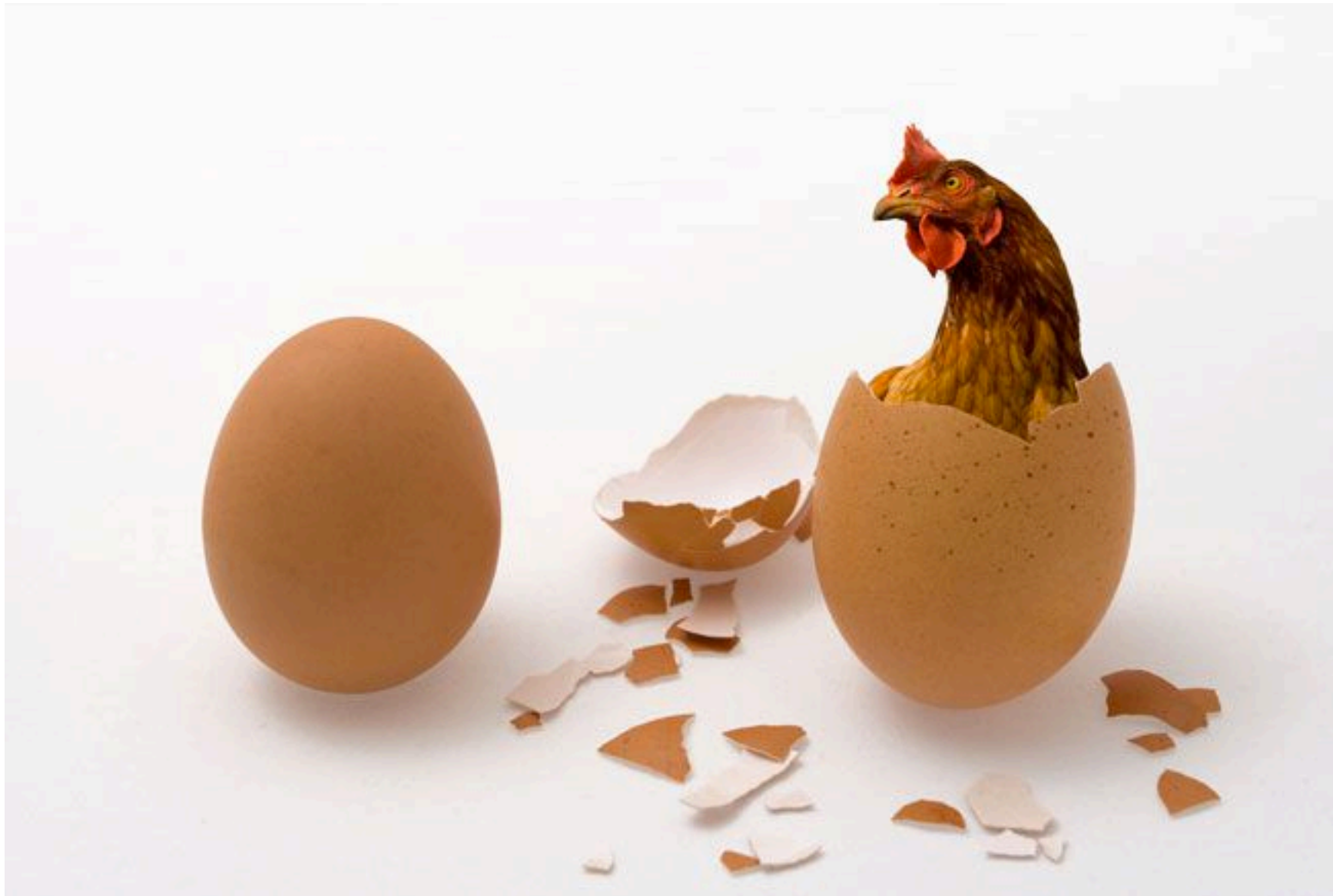
# Dust traps

How it REALLY started



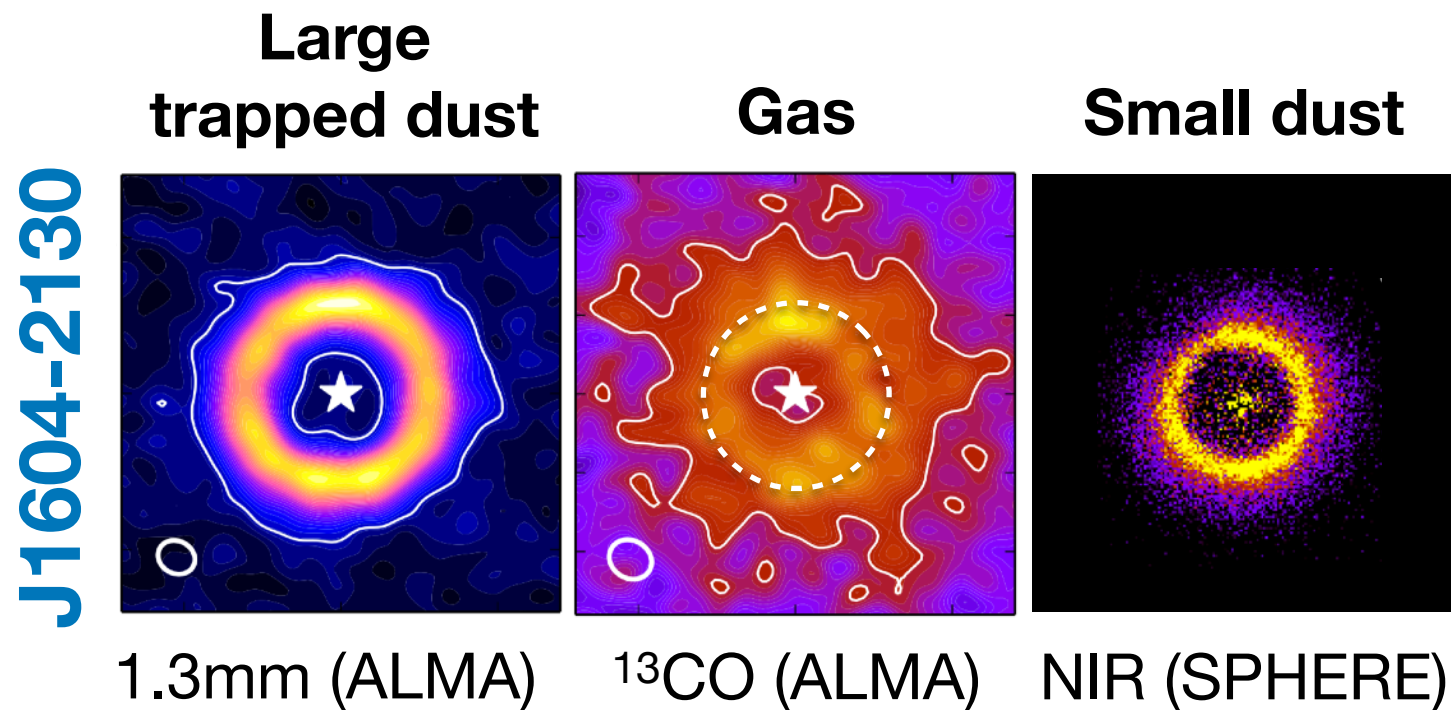


**Problem:**  
dust traps require planets?



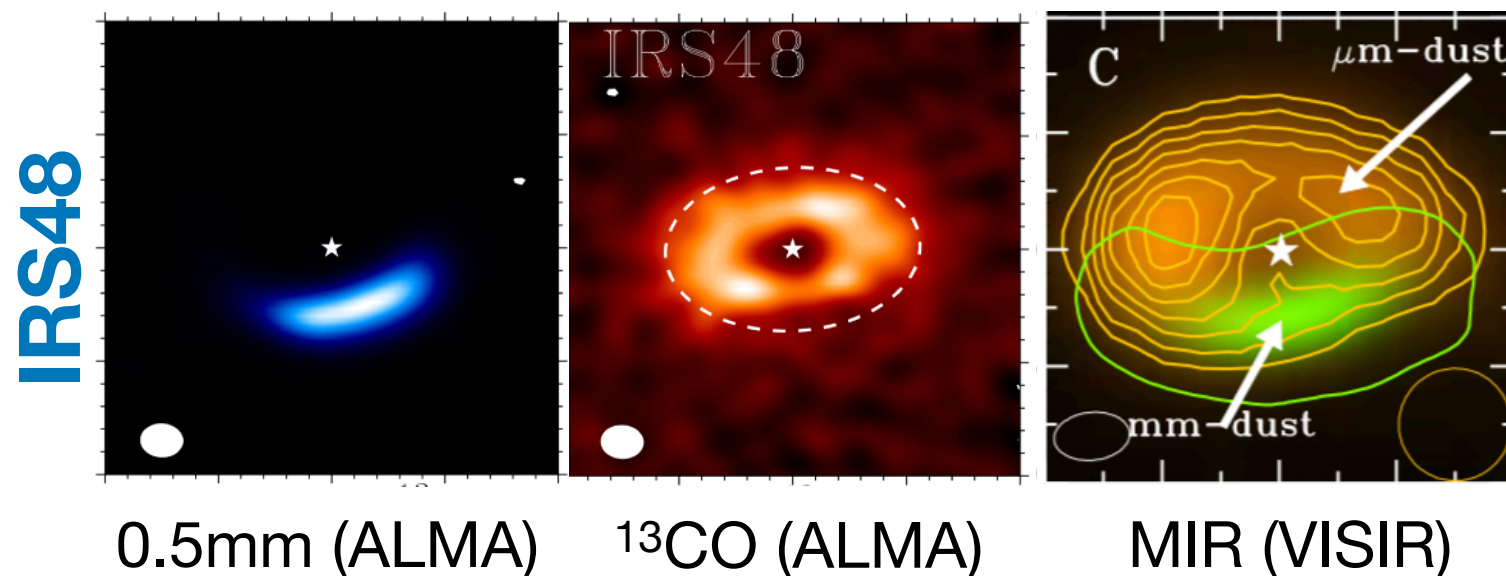
# Dust traps

## Observational evidence



*Radial trapping*

**Segregation of  
mm-dust and  
gas/small grains  
shows trapping!**

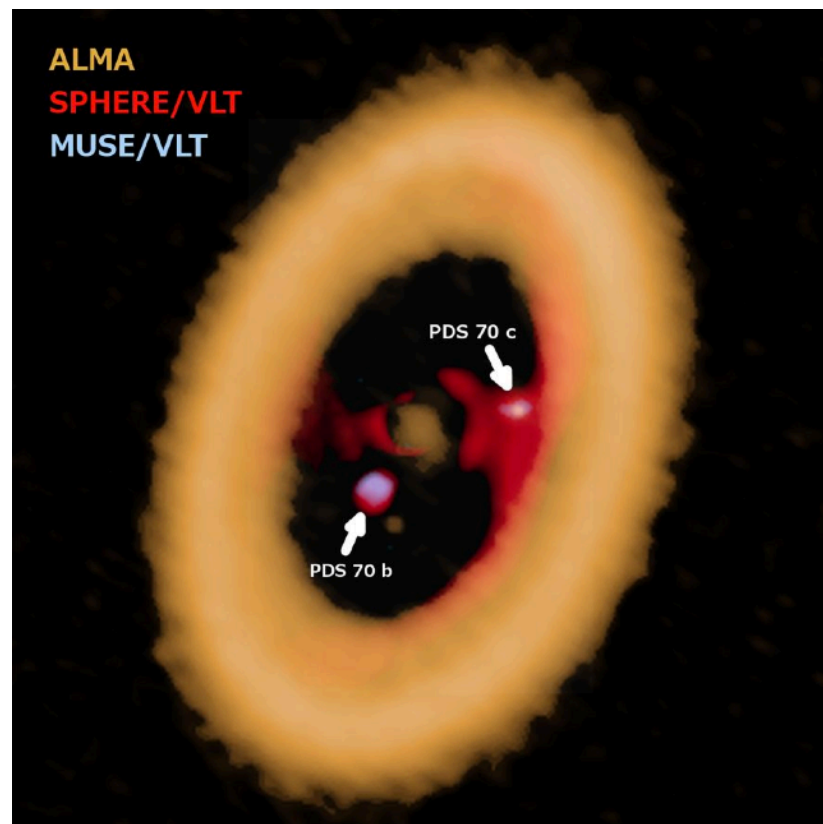


*Azimuthal trapping*

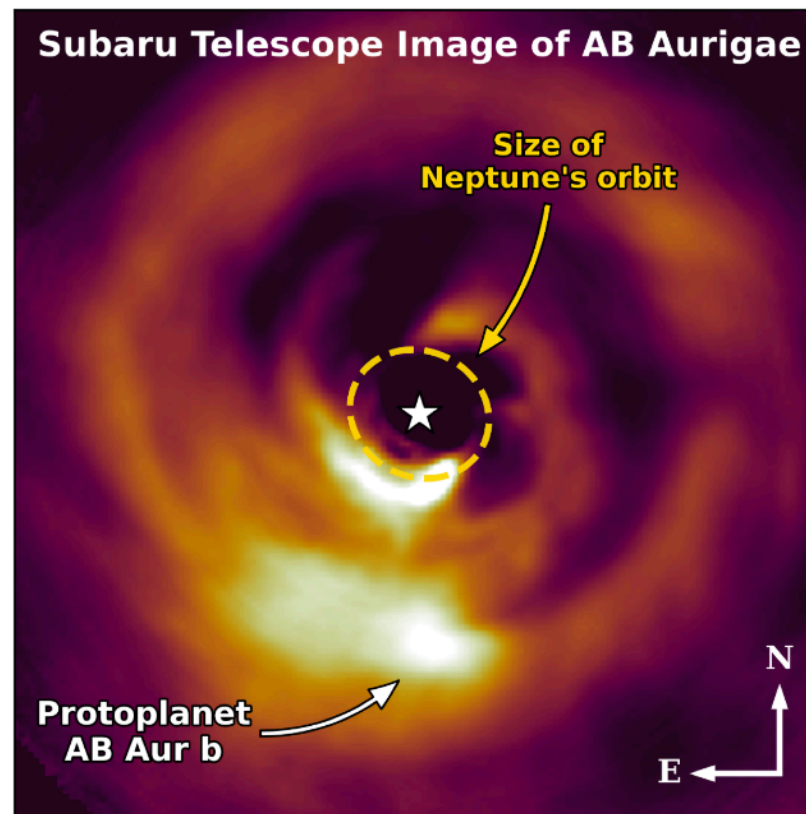
# Dust traps by planets

## Observational evidence

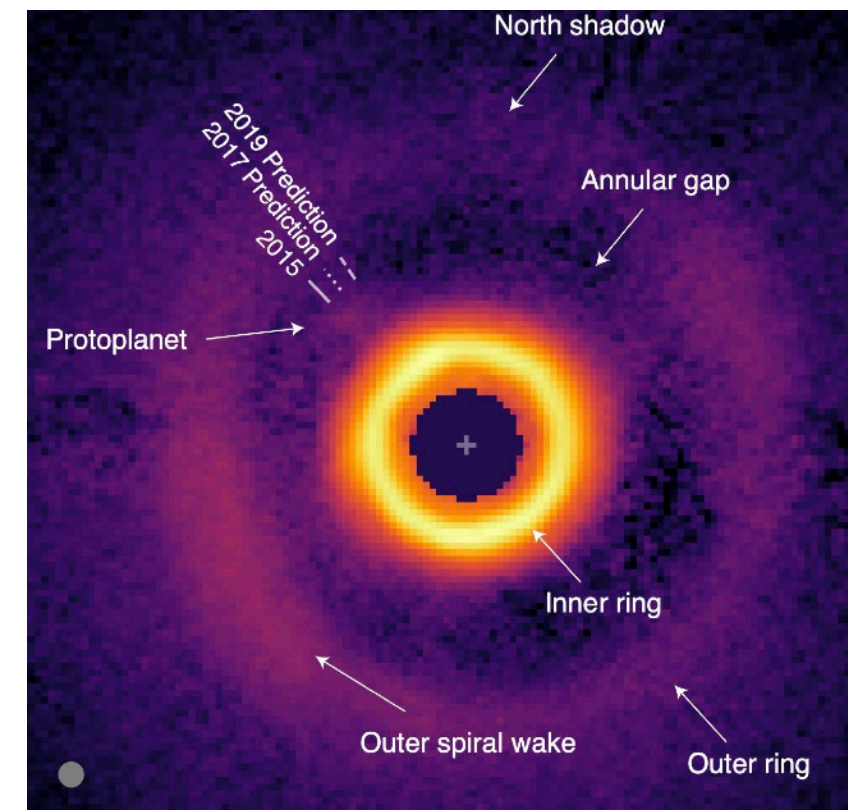
**+ lots of indirect evidence  
in other gaps**



**PDS70 b+c**



**AB Aur b**



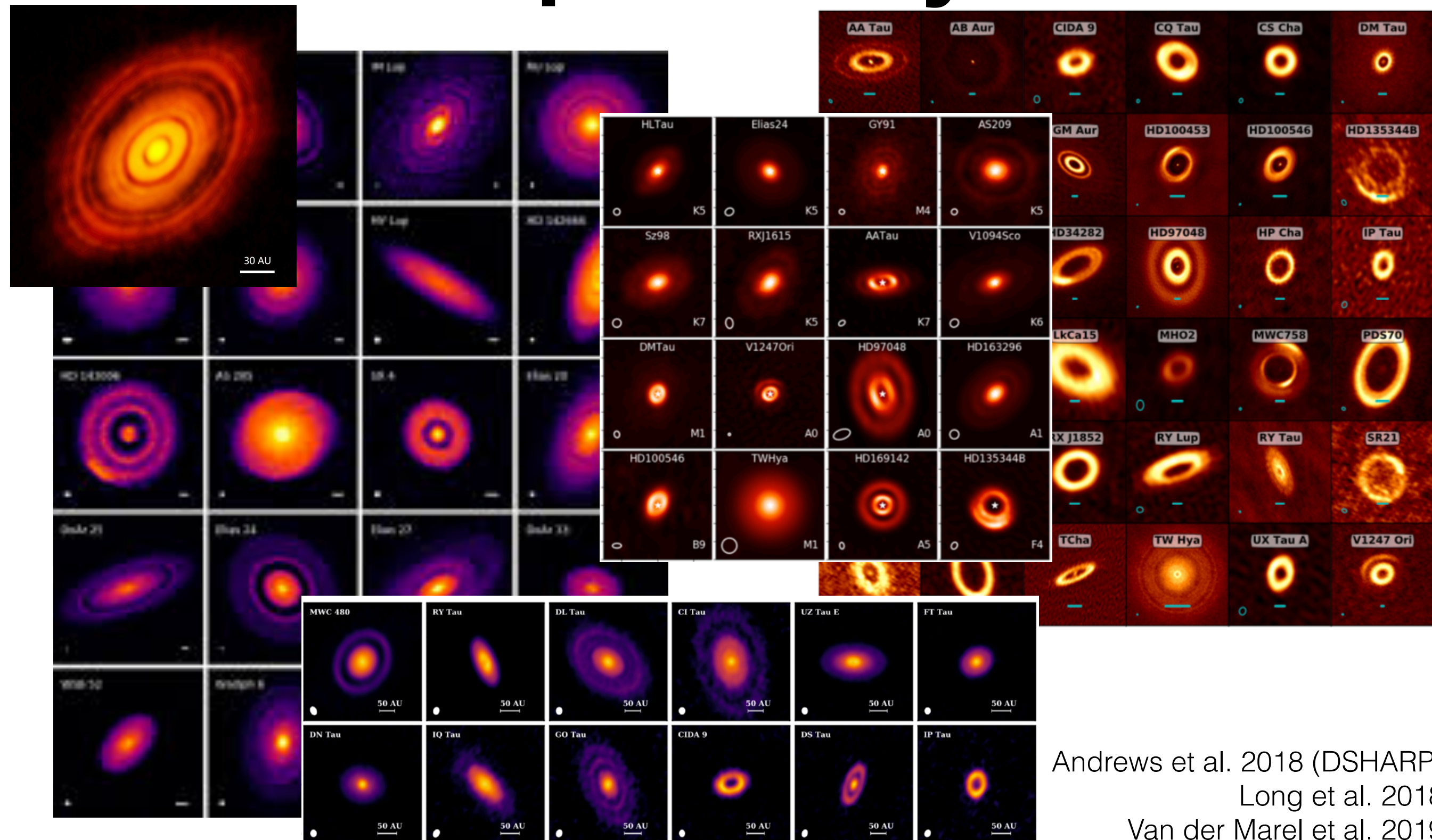
**HD169142 b**

**Super-Jovian protoplanets at wide  
(>20 au) orbits inside dust cavities**

e.g. Keppler et al. 2018, 2021,  
Haffert et al. 2019, Currie et al. 2022,  
Hammond et al. 2023



# Dust traps everywhere!

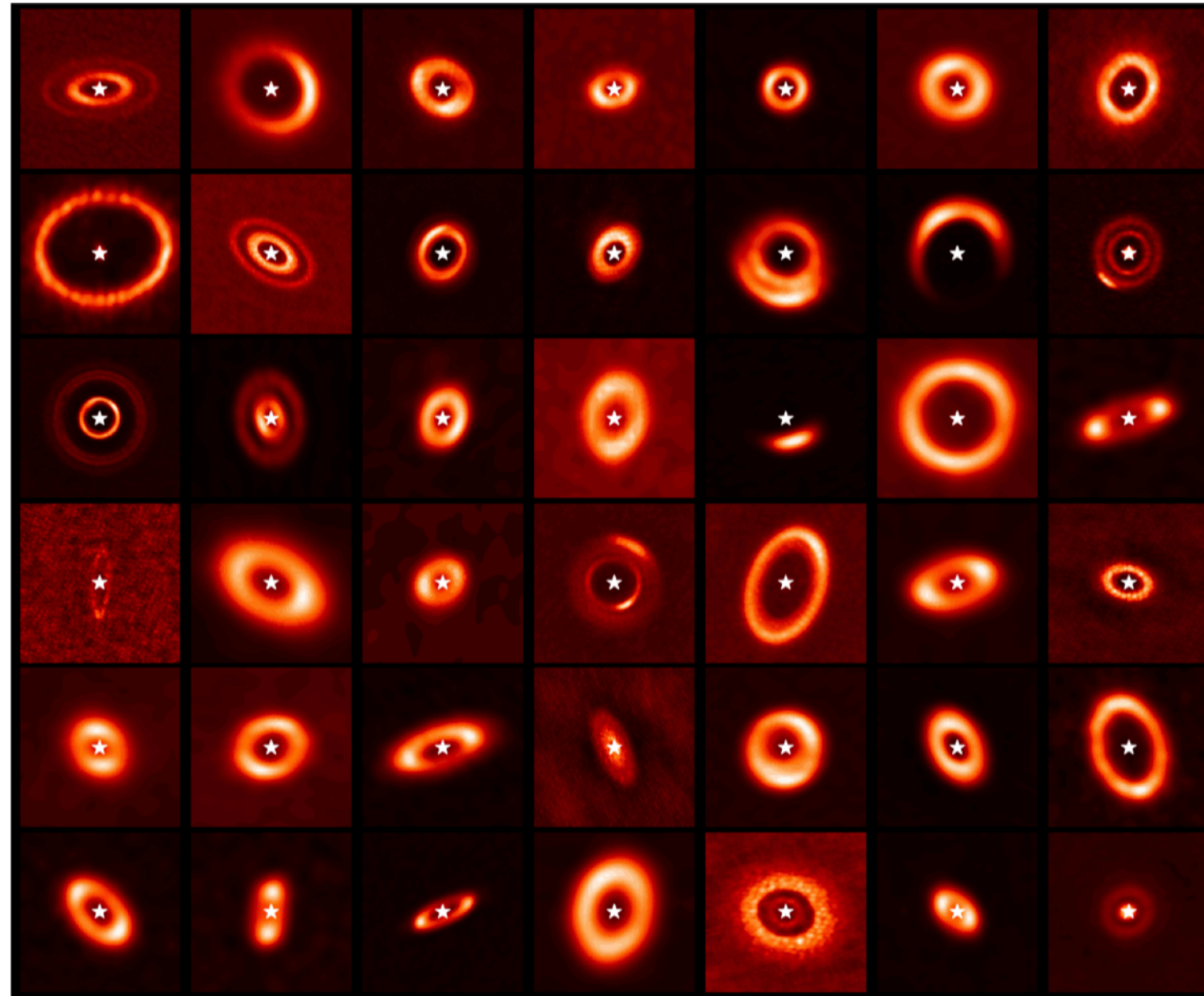


Andrews et al. 2018 (DSHARP)  
Long et al. 2018  
Van der Marel et al. 2019  
Francis & van der Marel 2020

# Inner cavities

## Transition disks

- Inner cleared dust cavity (resolved: 15-150 au!)
- Due to large cavity radius: already resolved pre-ALMA!
- Sometimes asymmetries or multiple rings (outer gaps)
- Sometimes small inner dust disk
- Traditionally called 'transition disk'



*cavity*



*cavity  
+ inner disk*



*cavity  
+ outer ring*



*asymmetry*



*cavity  
+ asymmetry*



*rings  
+ clumps*



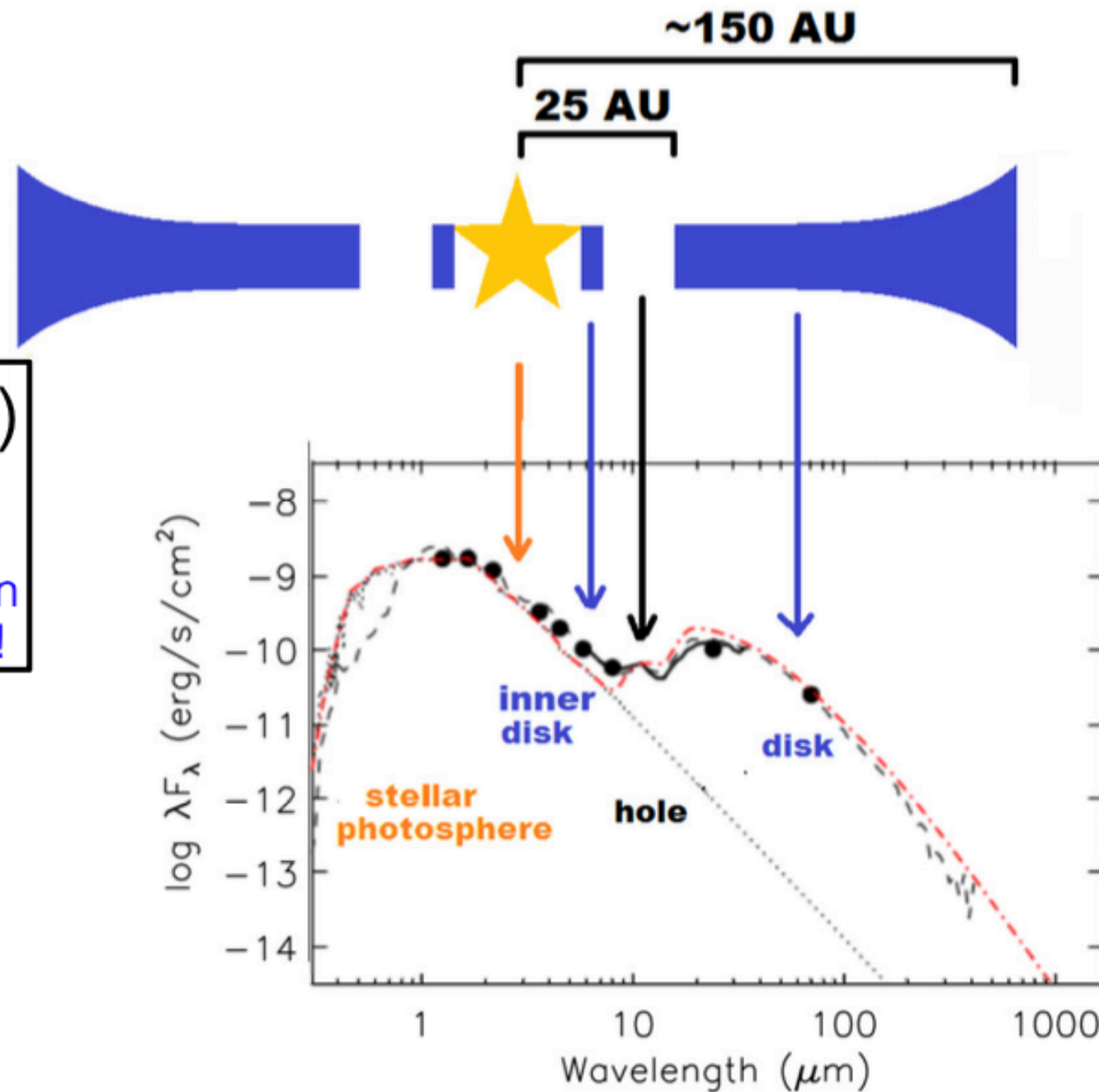
# Inner cavities

## Transition disks

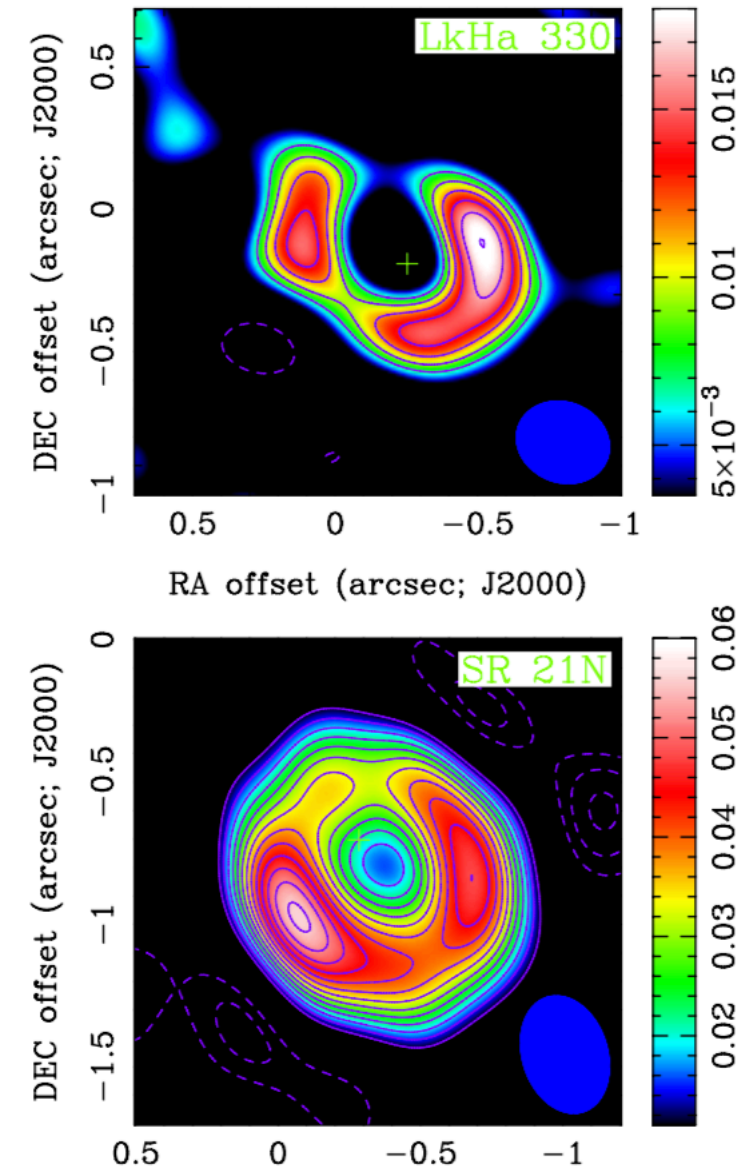
Transition(al)  
disks

Not necessarily an  
evolutionary term!

First discovery:  
Strom et al. 1989



First resolved images with SMA:



Inner cavity already revealed in SED  
deficit: 'lack of warm dust'

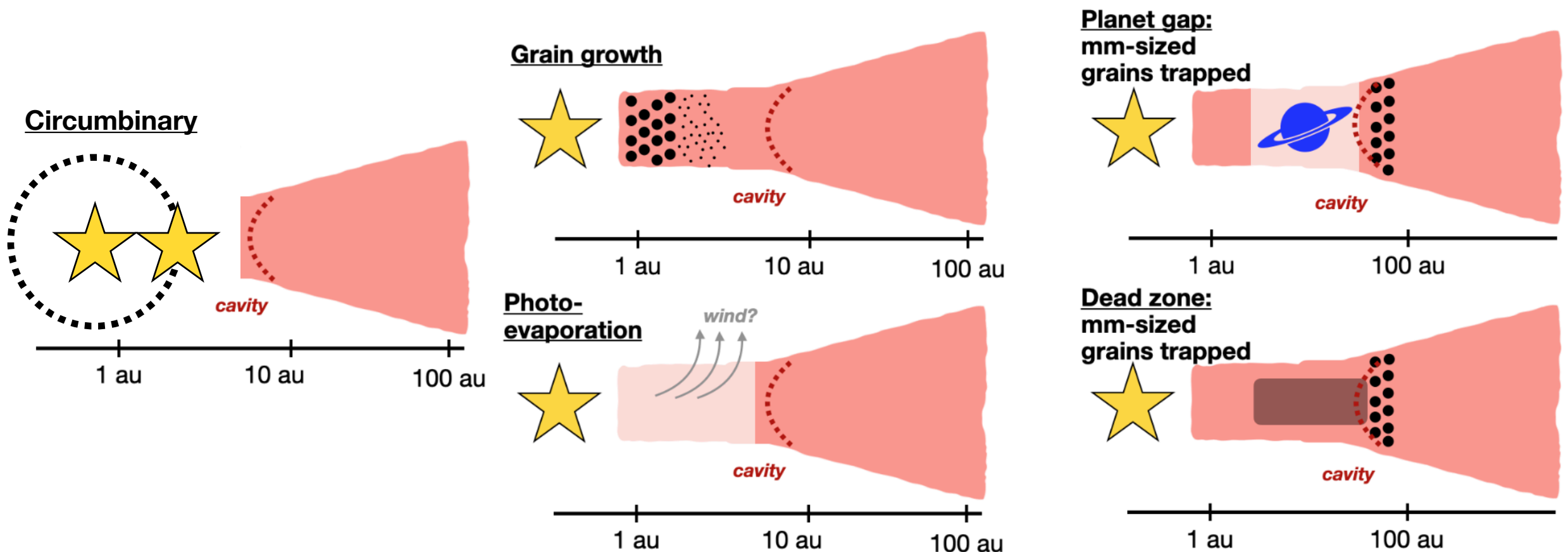
Van der Marel 2015 (PhD)  
Brown et al. 2009, Andrews et al. 2011



# Inner cavities

Origin: many other proposed mechanisms

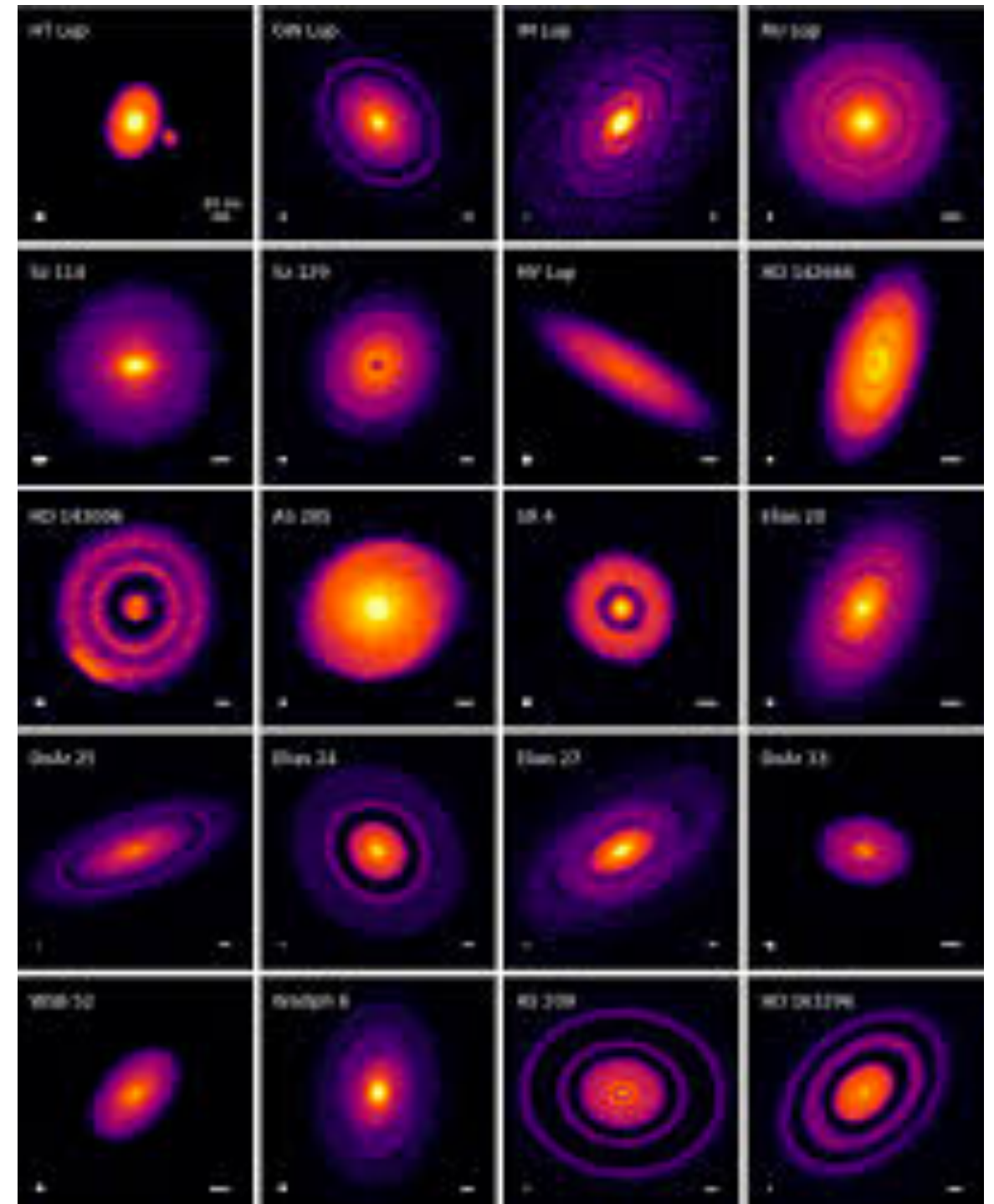
Due to hypothesis that giant planets cannot easily form at large orbital radii (and lack of protoplanet detections until 2018!), many other mechanisms were proposed to explain transition disks pre-ALMA



**With multiple protoplanet detections, perhaps planet formation models to be reconsidered...**

# Rings and gaps

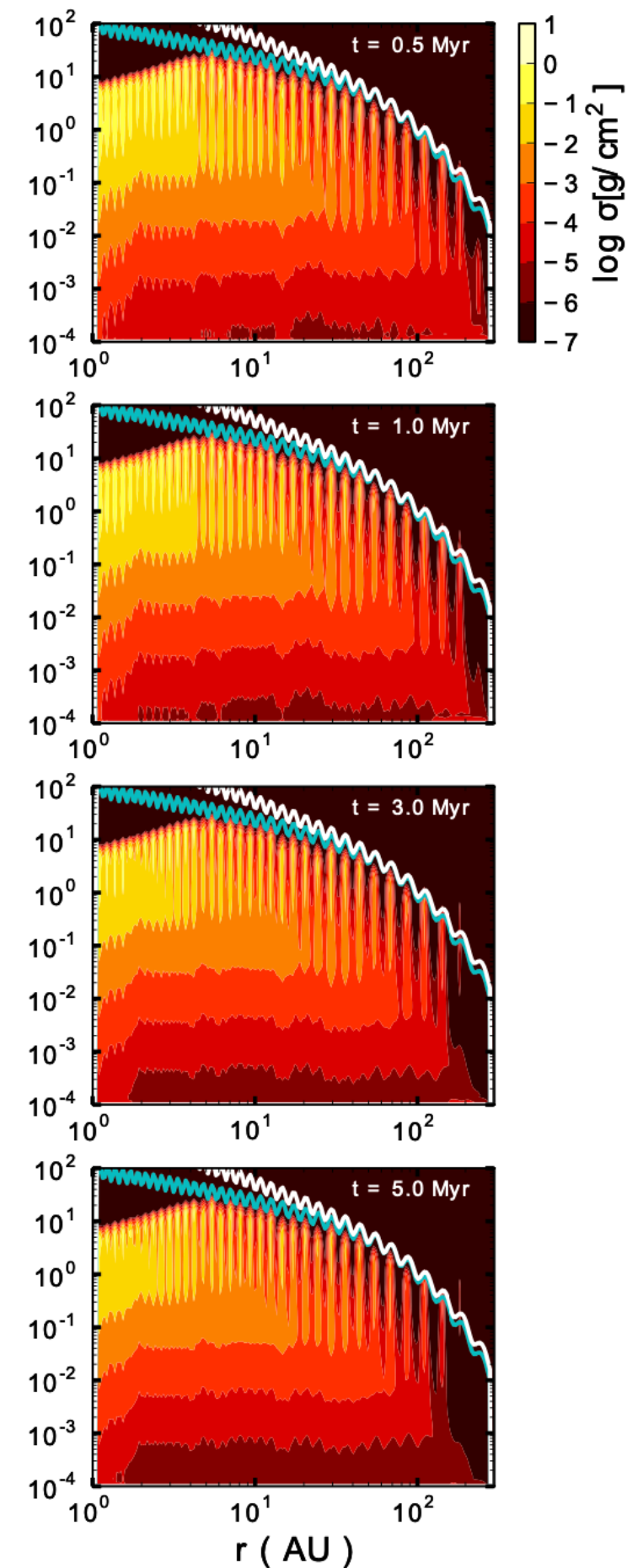
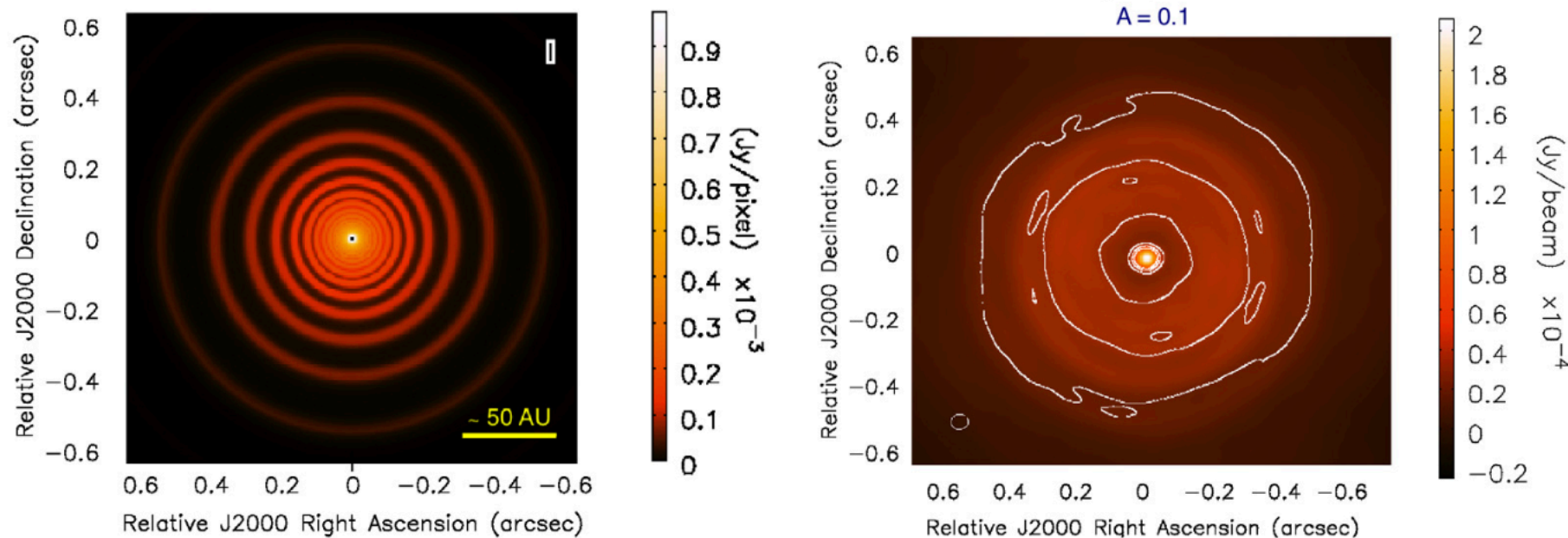
- High-resolution ALMA images (0.05'' and better): many (if not all) large disks show rings and gaps of varying widths at 10s of au radius
- Initial discovery: HL Tau (2015)
- Big step: DSHARP survey (2018, Andrews et al. 2018)
- General solution radial drift problem!



# Rings and gaps

## Solution radial drift problem

- Long-standing issue in 2000s: high mm-dust masses and extended dust disks ( $>50$  au), even known pre-ALMA  
=> how to prevent radial drift?
- Idea: some type of pressure bumps throughout the disk ('zonal flows')



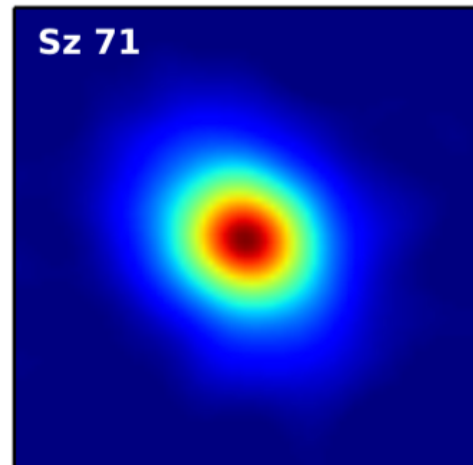
=> rings smoothed out at low resolution



# Rings and gaps

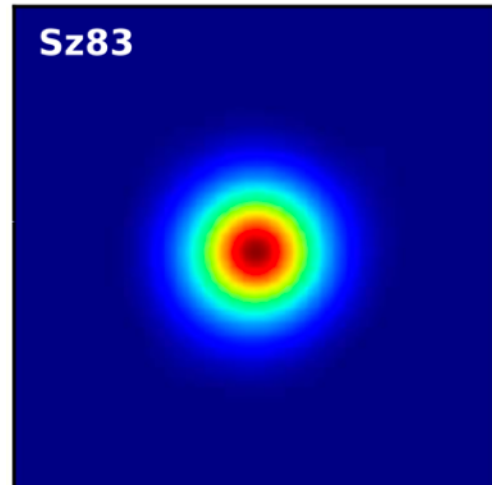
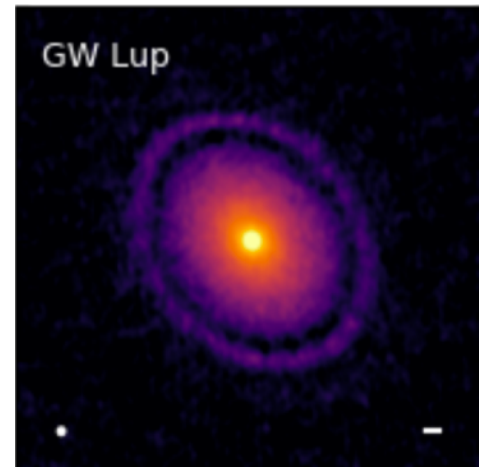
## Importance angular resolution

(0.30")

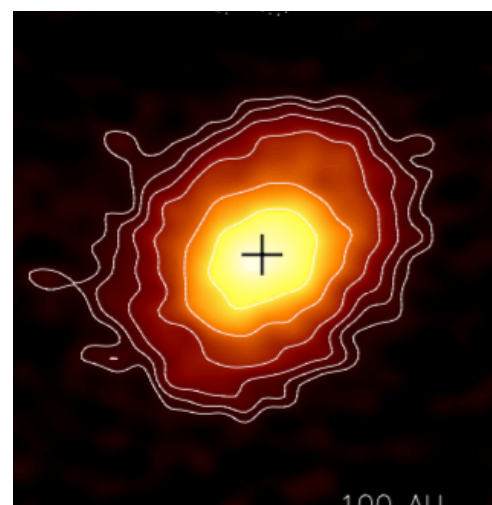
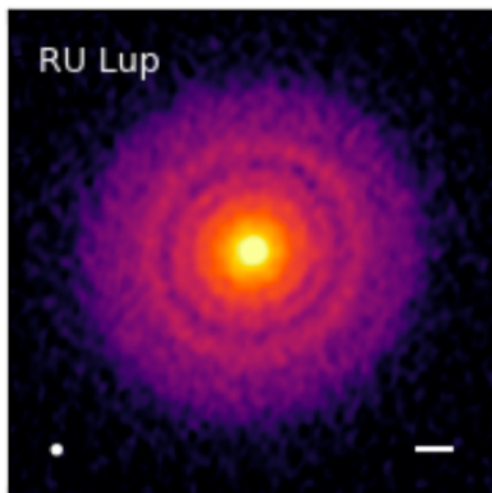


=

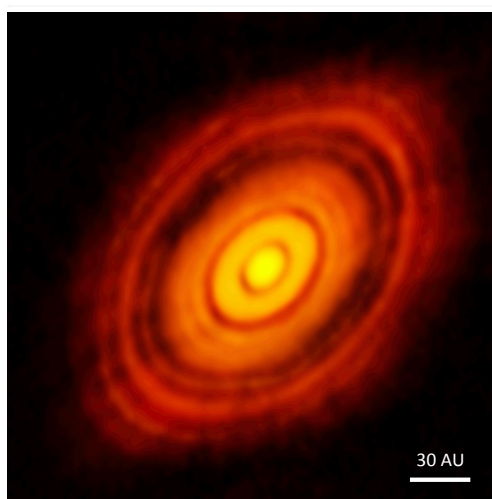
(0.04")



=



=

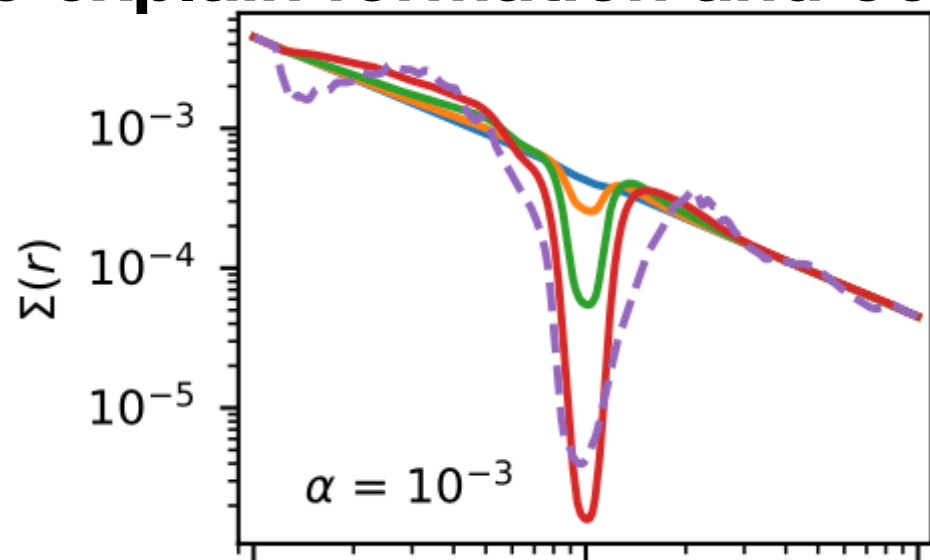


**At low resolution, these gaps and rings were simply not detectable:  
always be careful with  
statements on lack of  
substructure**

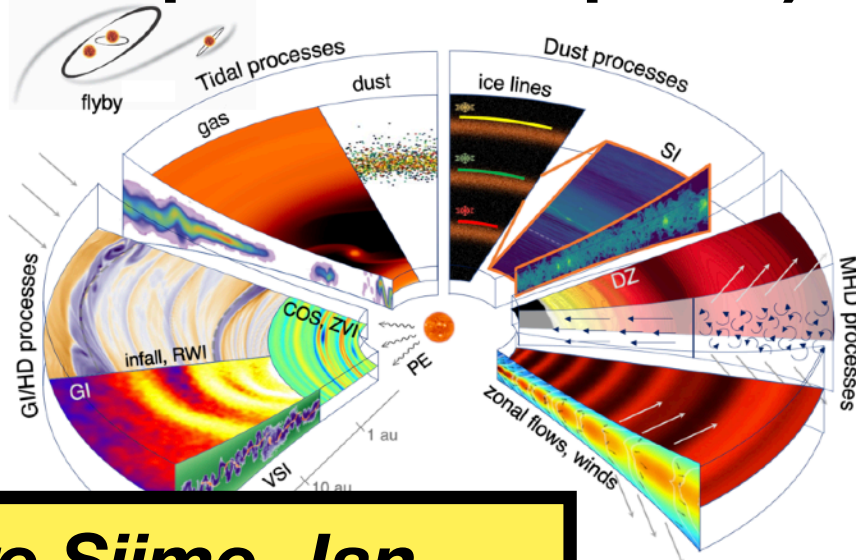
# Rings and gaps

## Origin

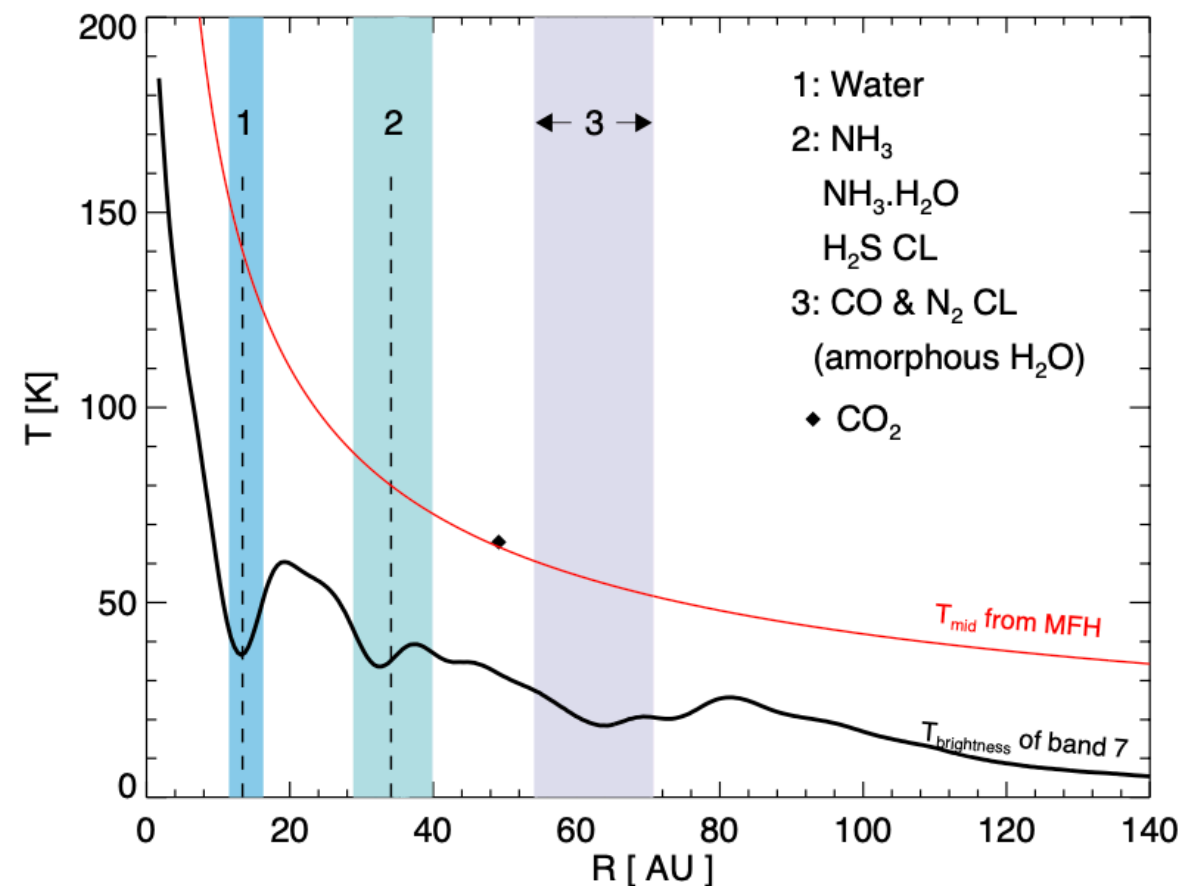
1. Planets clearing their orbits (difficult to explain formation and occurrence)



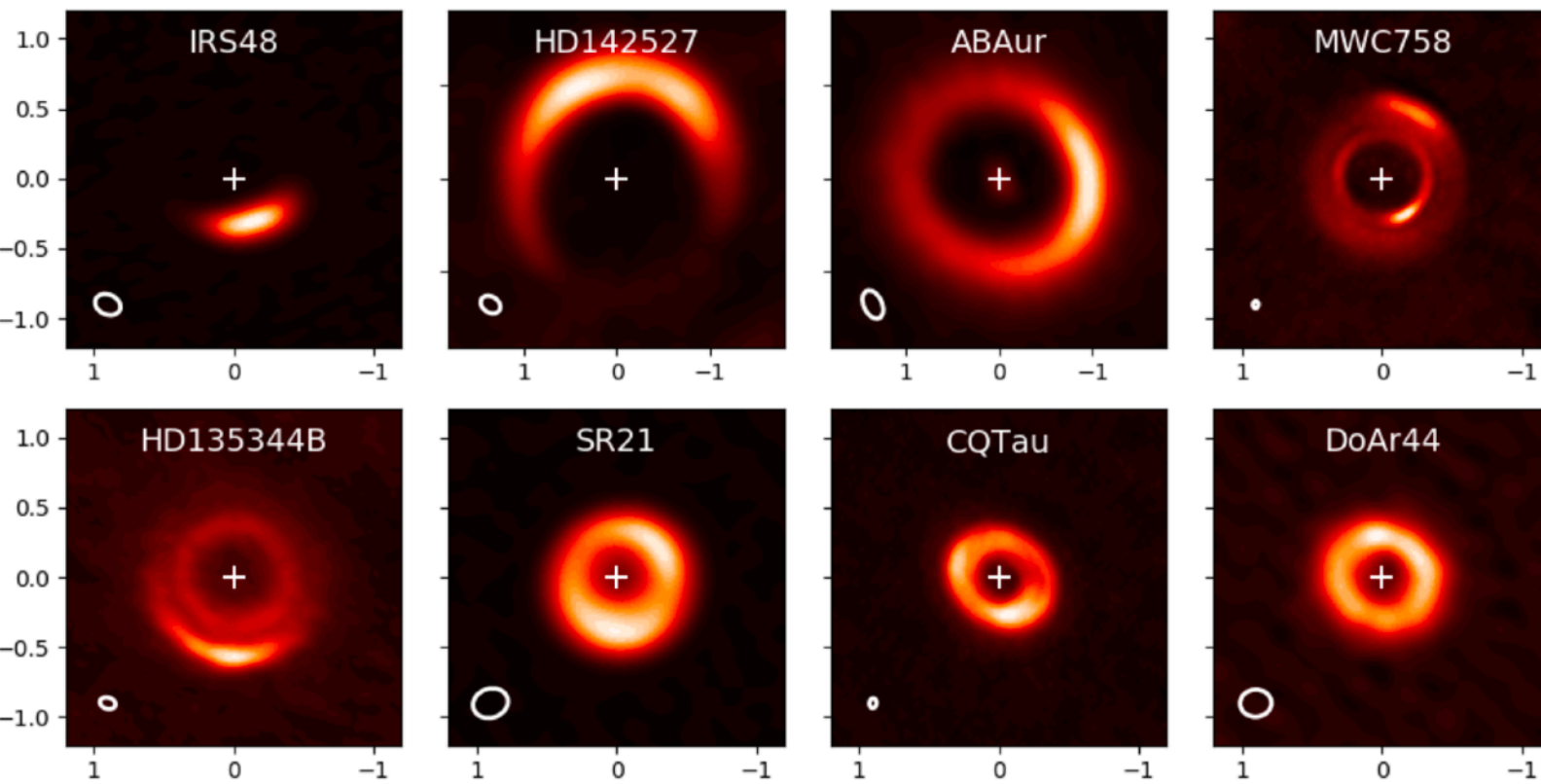
3. Hydrodynamic/MHD instabilities (hard to prove or disprove)



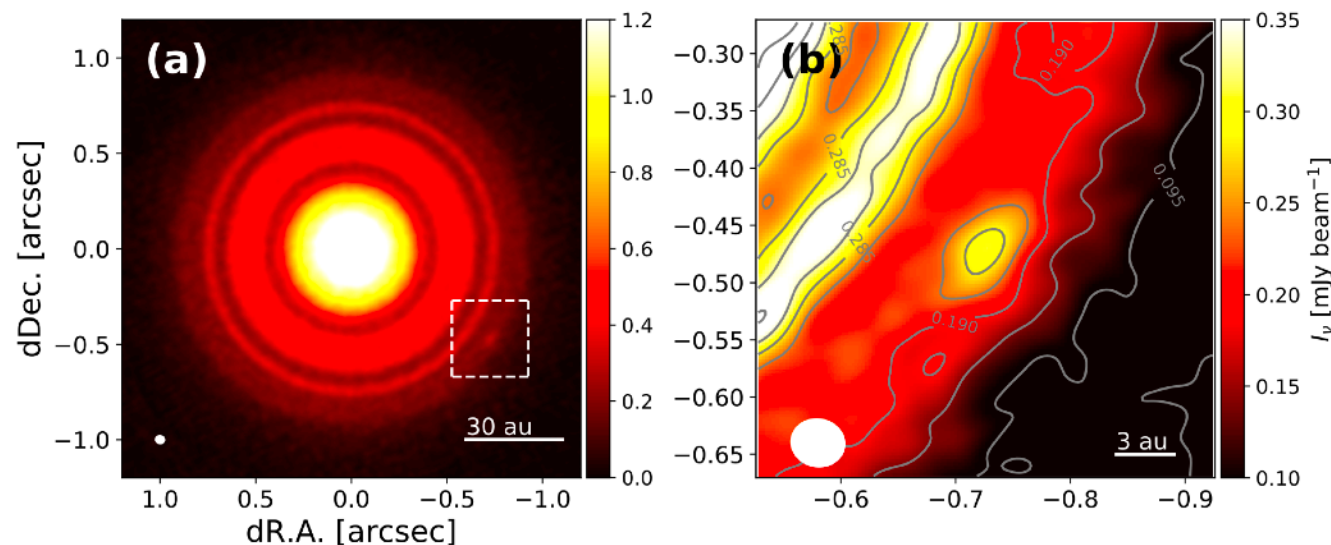
2. Snowlines: enhanced dust growth at snowline radii (later disproven for larger samples)



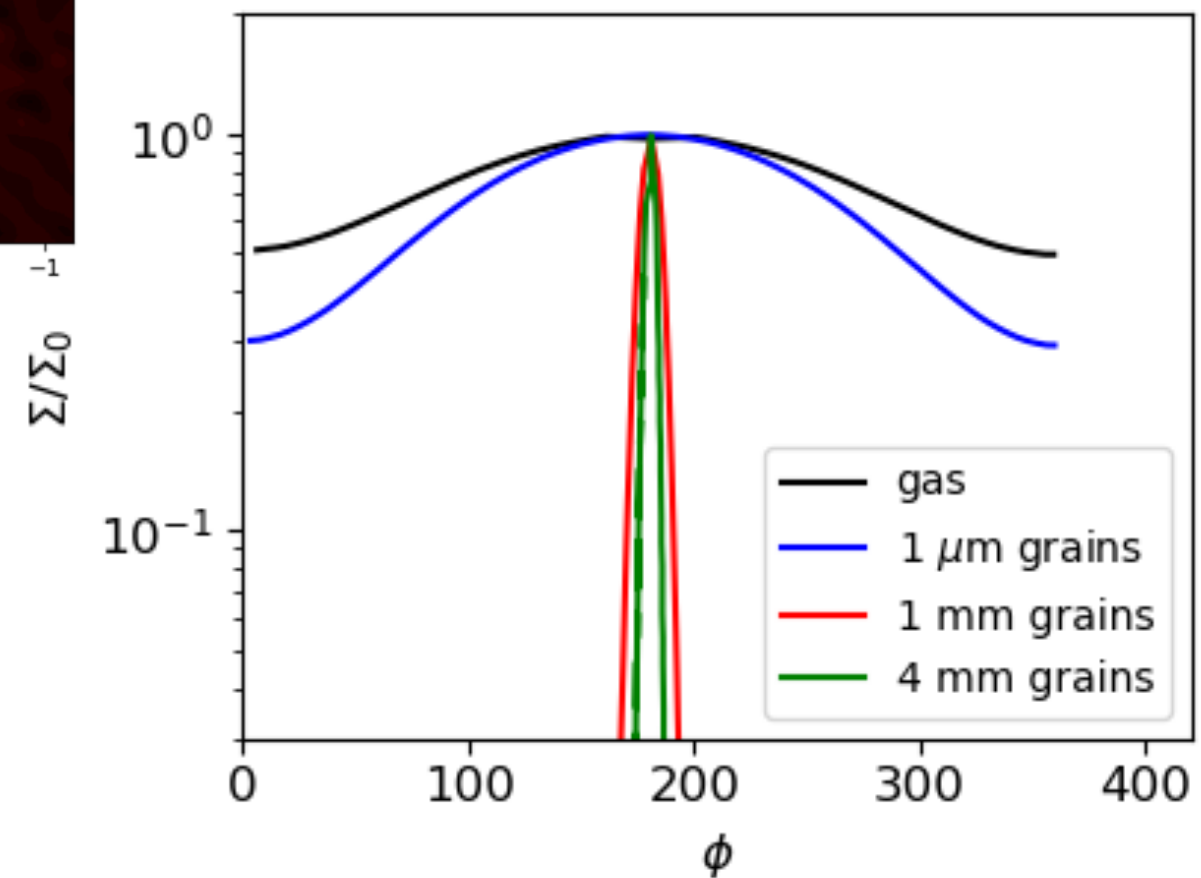
# Asymmetries



Many dust asymmetries, large and small scale...



**Dust evolution:**  
small azimuthal concentration  
of gas leads to strong  
concentration of mm grains

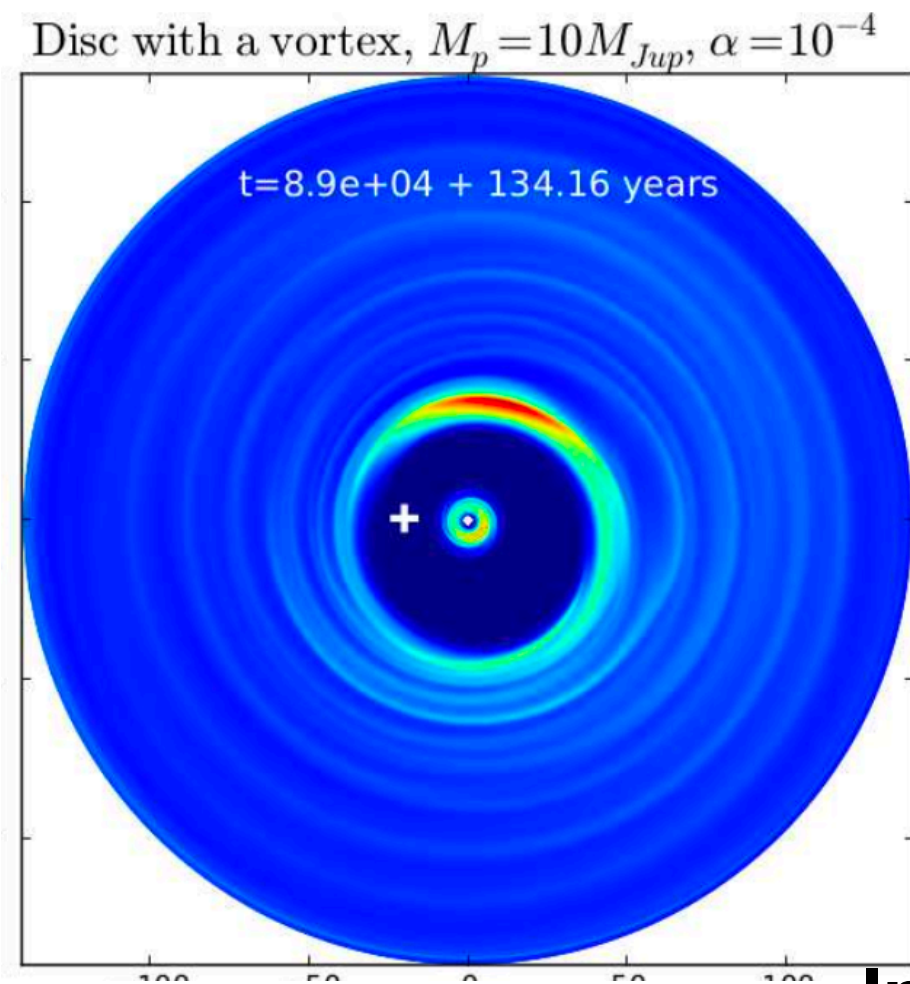




# Asymmetries

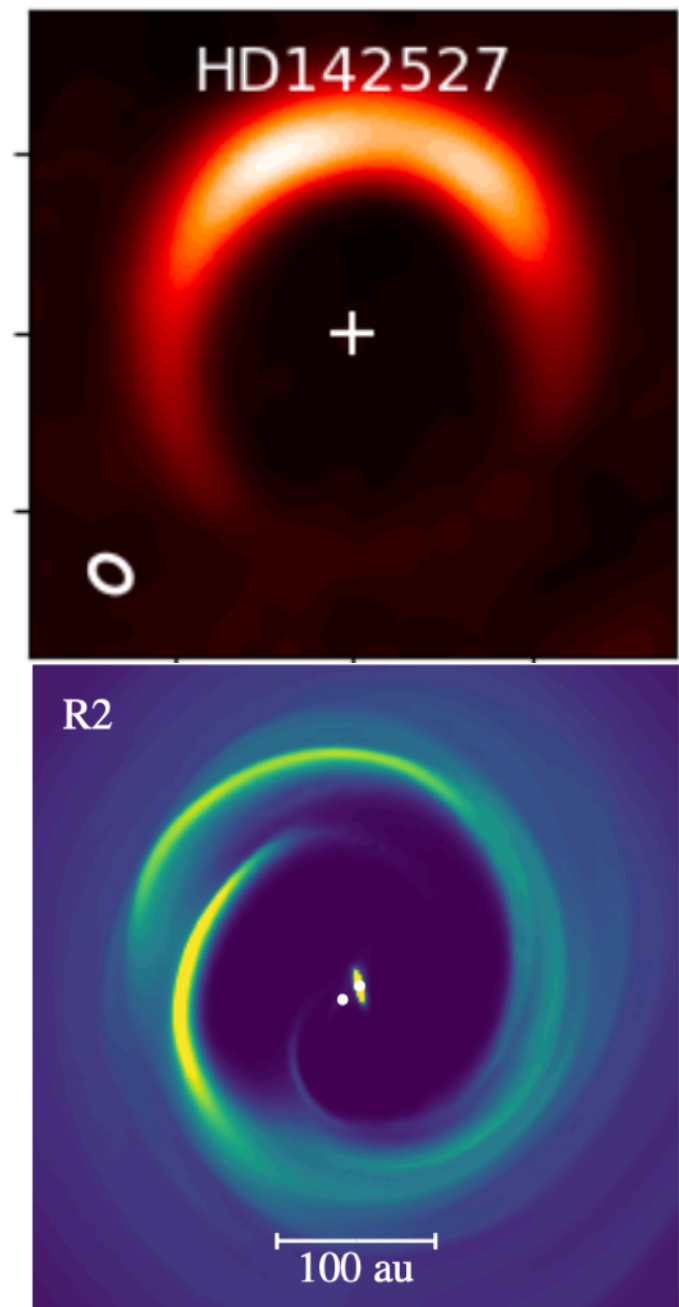
## Origin

1. Rossby wave instability leading to vortices  
 $\Rightarrow$  requires pressure bump (planet?) + low viscosity ( $\alpha \sim 10^{-4}$ )



**Inconclusive without  
companion detections**

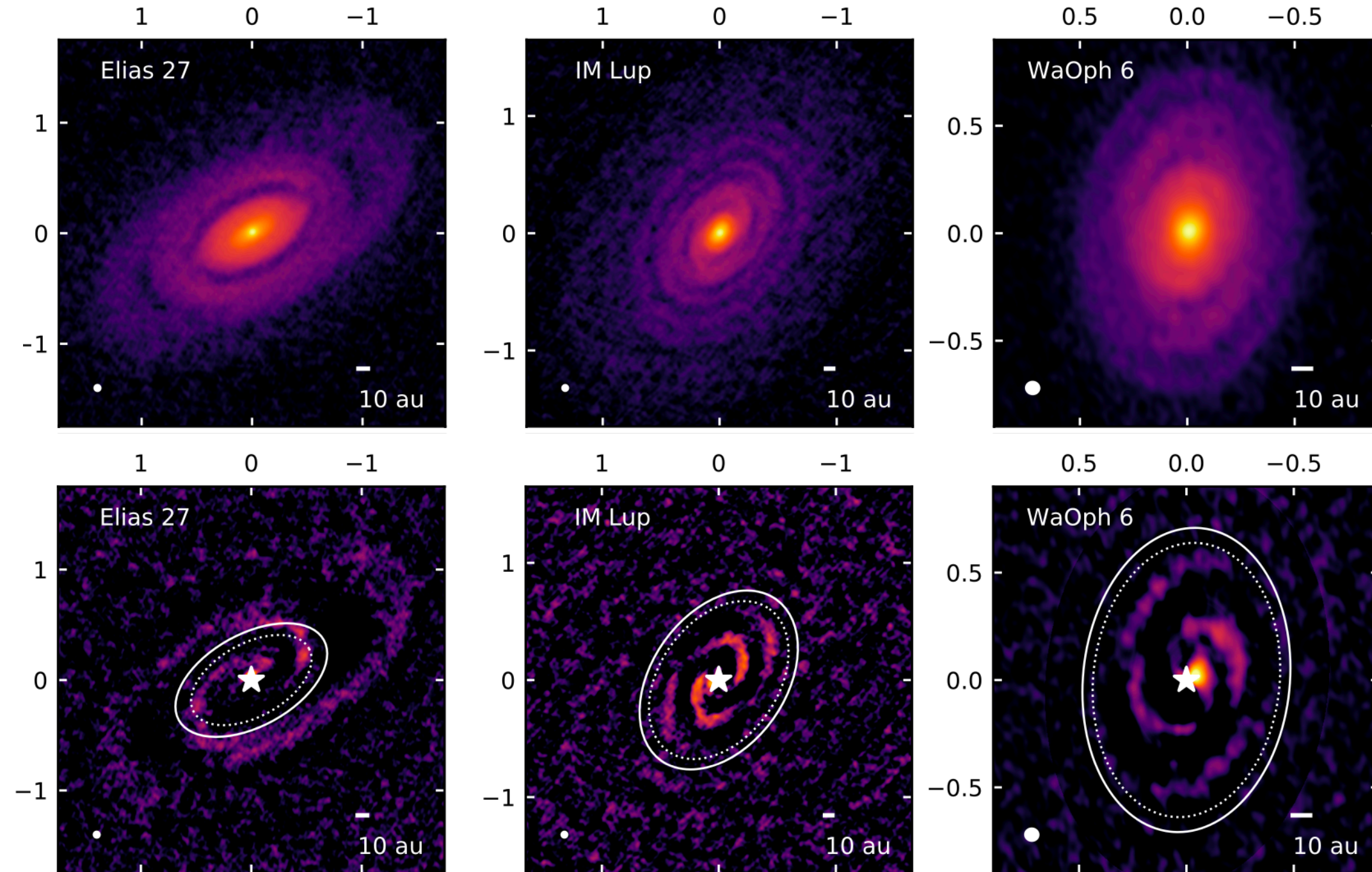
2. Horse shoe by eccentric stellar companion  
 $\Rightarrow$  requires companion + any viscosity



Ragusa et al. 2016, 2020  
Price et al. 2018

# Spirals in mm

## Evidence gravitational instability?

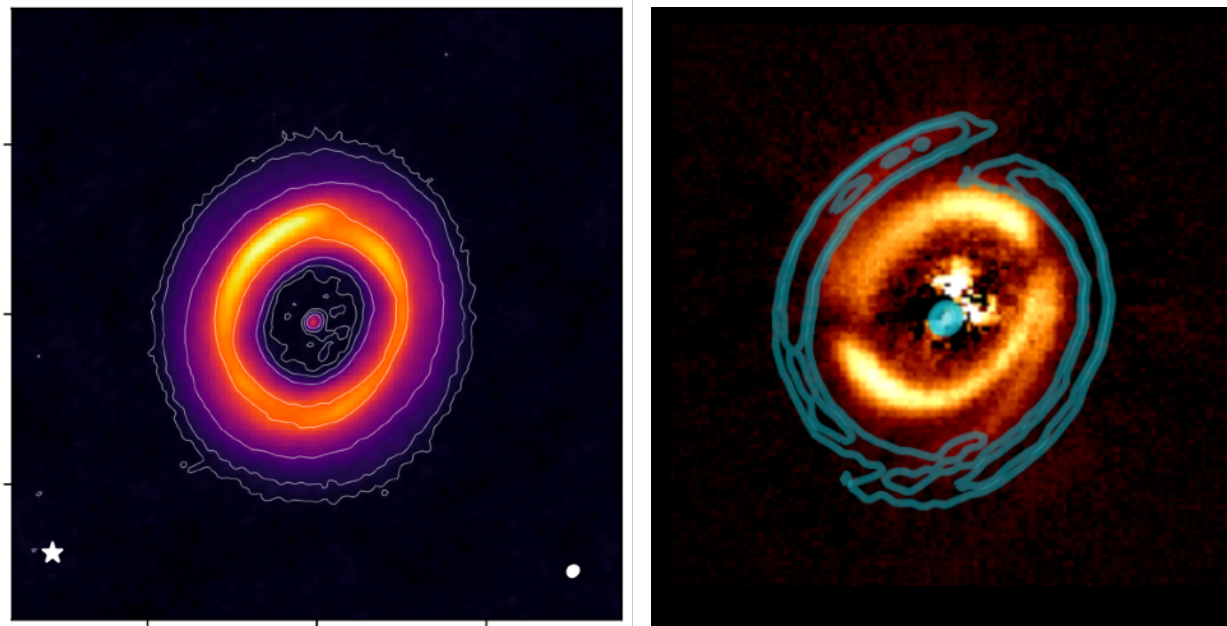


**Spirals more clear after subtracting axisymmetric model**



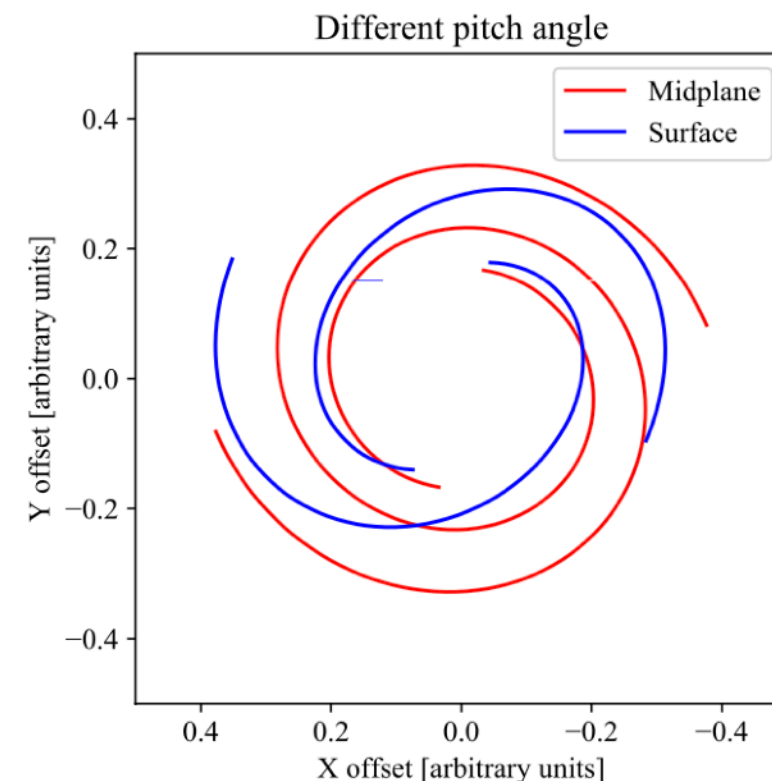
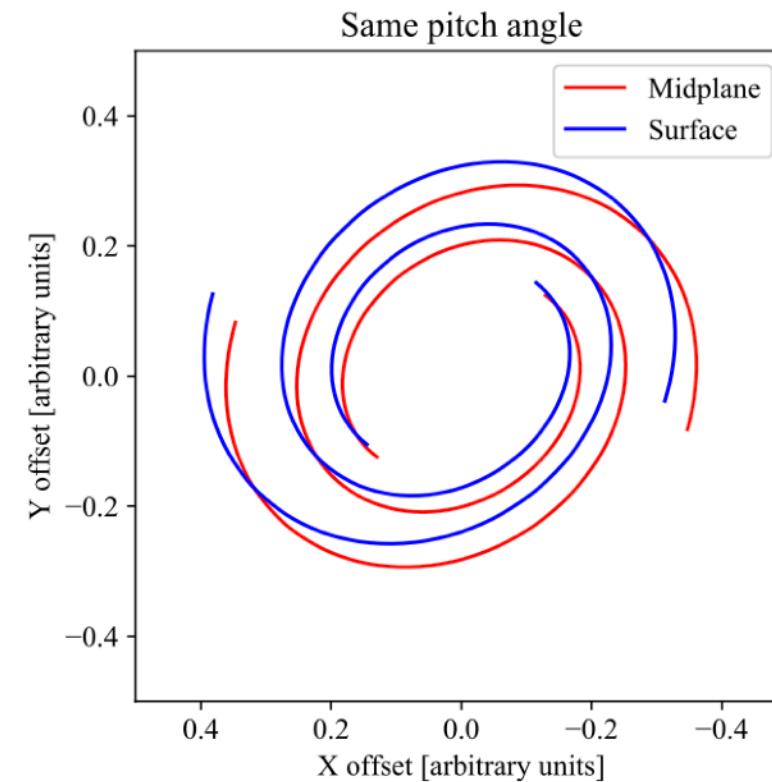
# Spirals in mm

## Link with NIR spirals?



**As the opening angle is different for NIR vs mm, this implies that the temperature is different at each height (vertical temperature structure)**

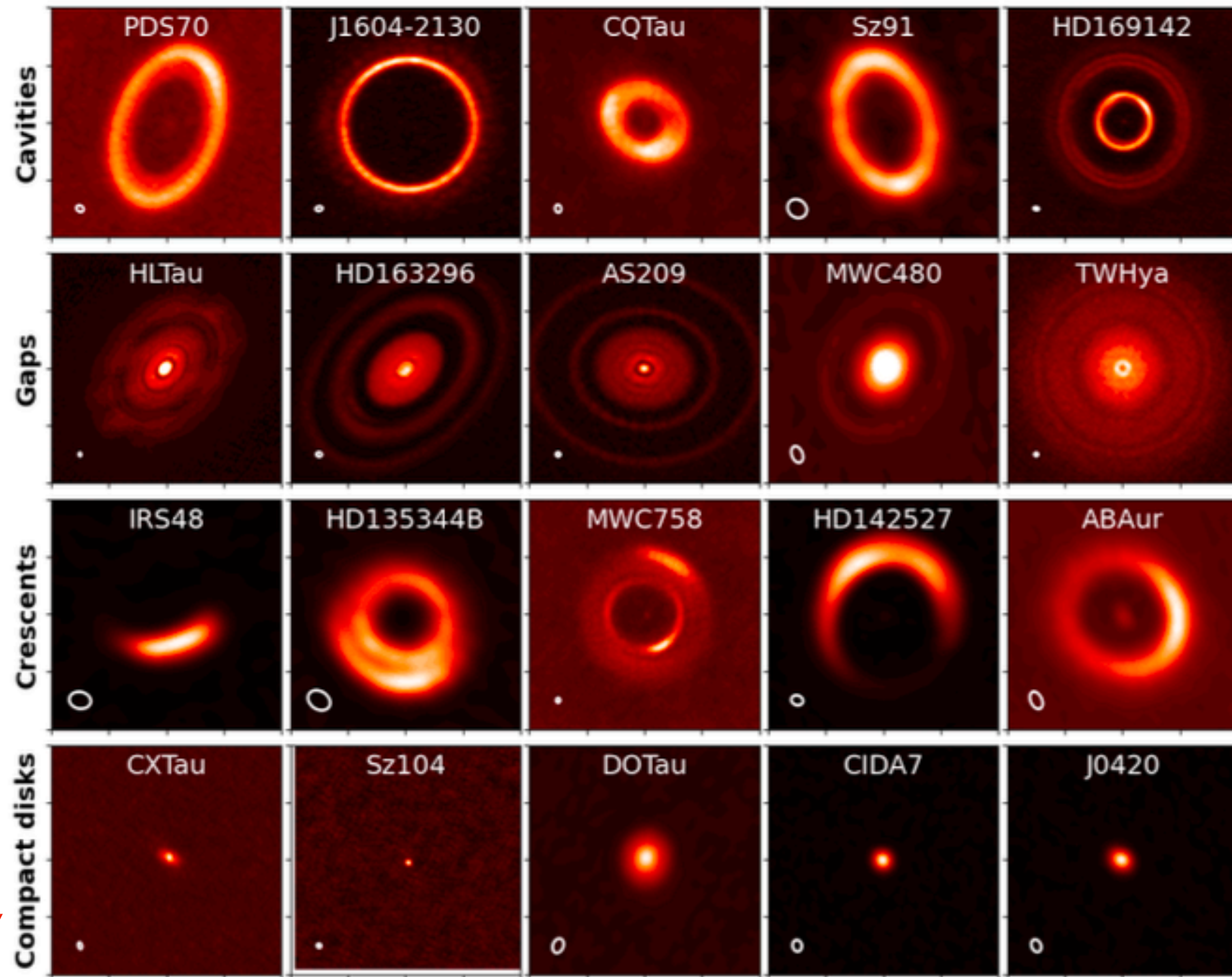
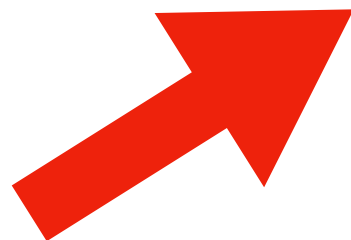
***Lecture Antonio***





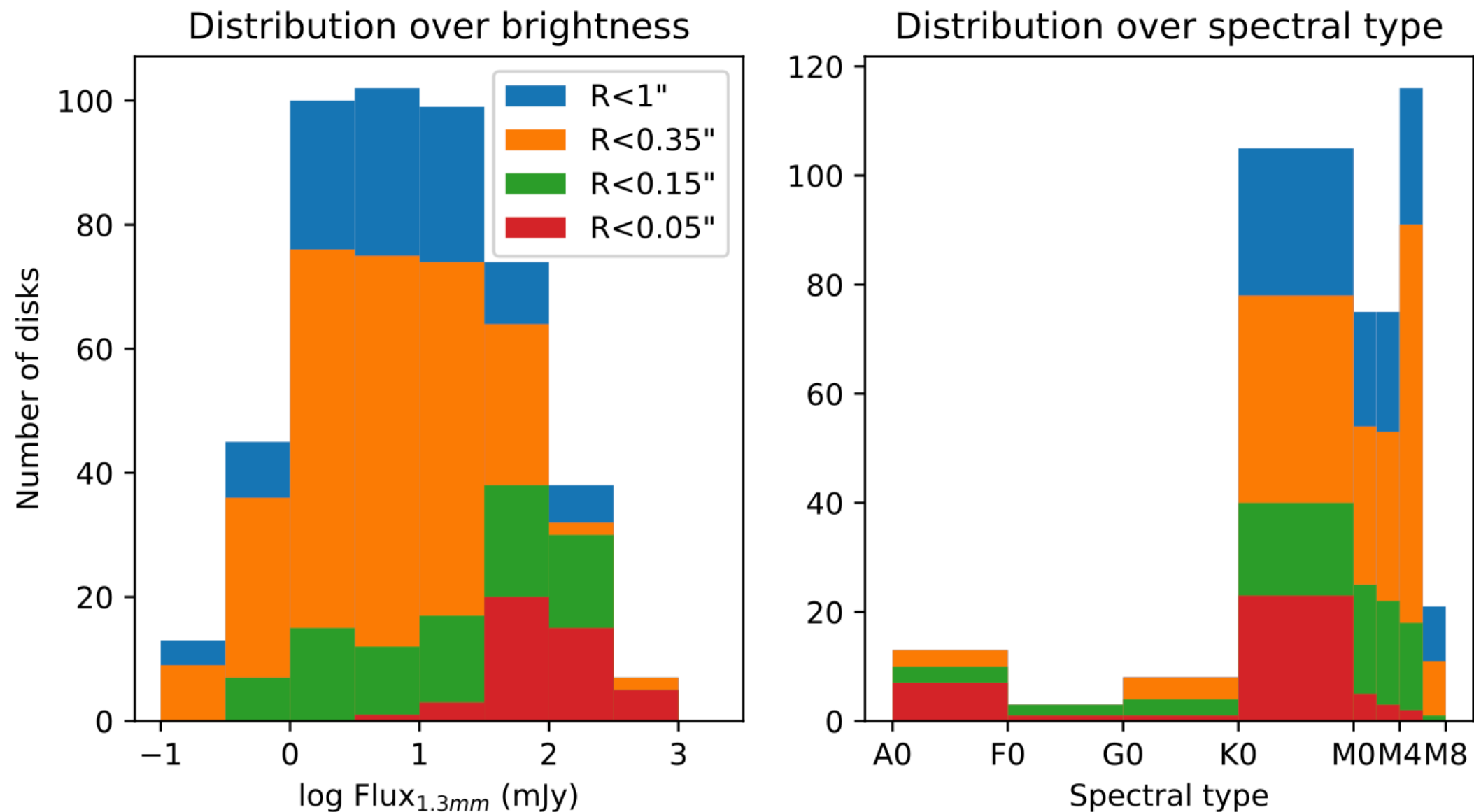
# Compact disks

- Other high-resolution discovery: compact dust disks ( $<5$  au radius)
- Tiny in radius compared to structured disks
- Unclear if substructure scales down
- Mostly unresolved in current ALMA observations (limit  $<15$  au)



# Substructures

## Detection bias



Strong **biases** in selection disks for **high-resolution observations** that can resolve substructure: clear preference for massive disks and early-type stars ( $\Rightarrow$  brighter  $\Rightarrow$  less observing time)

Therefore, **difficult** to make statements on occurrence substructures

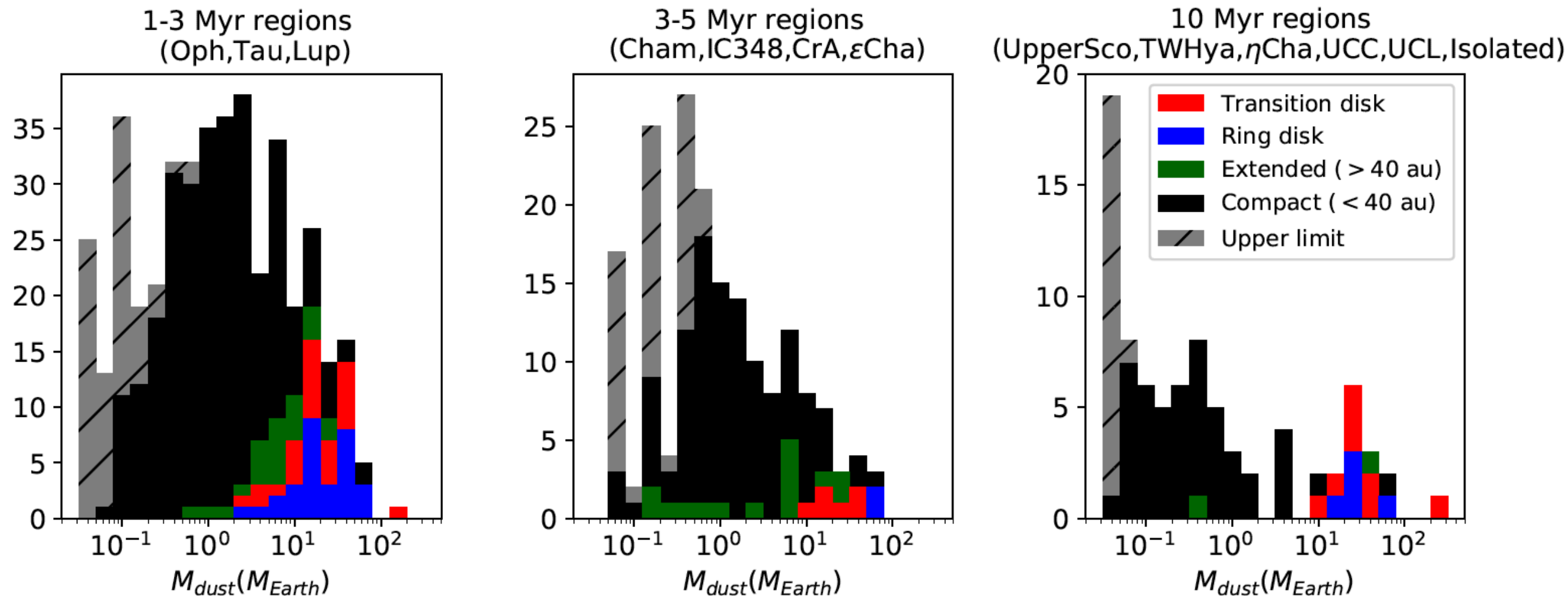
Van der Marel & Pinilla 2023 (arXiv)

See also Bae et al. 2023 (PPVII)

# Substructures

## Occurrence

Distribution dust masses as function of age and disk type



Age



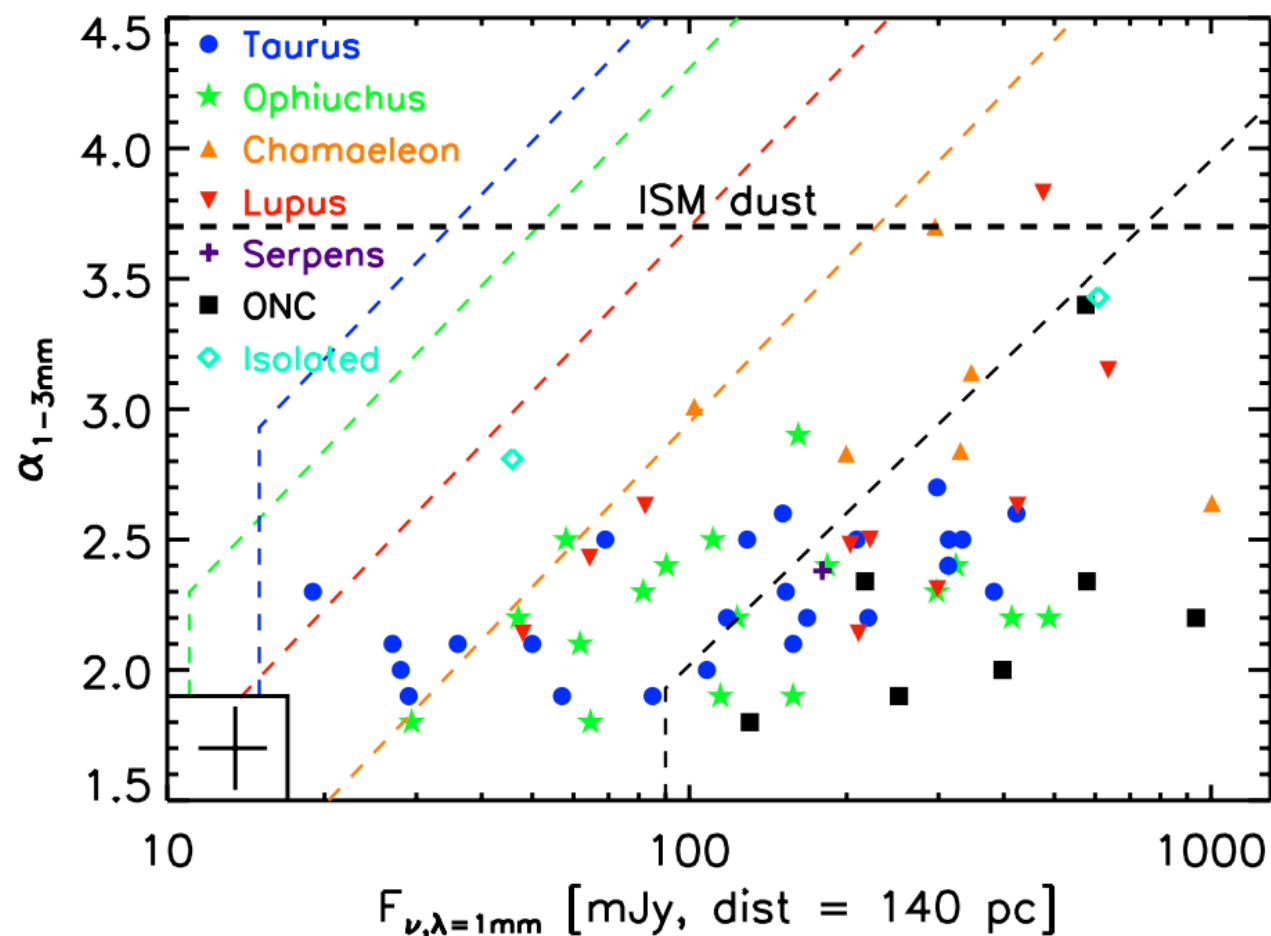
**Two separate evolutionary pathways:**  
**the structured disks (15%) and compact disks (85%)**  
**where compact disks evolve according to radial drift**



# Multi-wavelength analysis

## Classical: derive spectral index

- Multi-wavelength 2 fluxes:  $F_\nu \propto \nu^\alpha$
- Rule of thumb  $\alpha$ 
  - 3.7 (ISM)
  - 2.0-3.0 (mm-grains/opt. thick)
  - ( $<$ )2.0 (optically thick)
  - $-1 < 0 < 1$  (free-free emission, non-thermal)



### Recall equations:

$$F_\nu = \int I_\nu d\Omega$$

$$I_\nu = B_\nu(T)(1 - e^{-\tau_\nu})$$

$$\tau_\nu = \frac{\kappa_\nu \Sigma_{\text{dust}}}{\cos i}$$

$$\kappa_\nu \sim \nu^\beta$$

### Thin + RJ:

$$F_\nu \sim \nu^2 \kappa_\nu \sim \nu^{2+\beta} \sim \nu^\alpha$$

### Thick:

$$\alpha \approx 2 + \beta$$

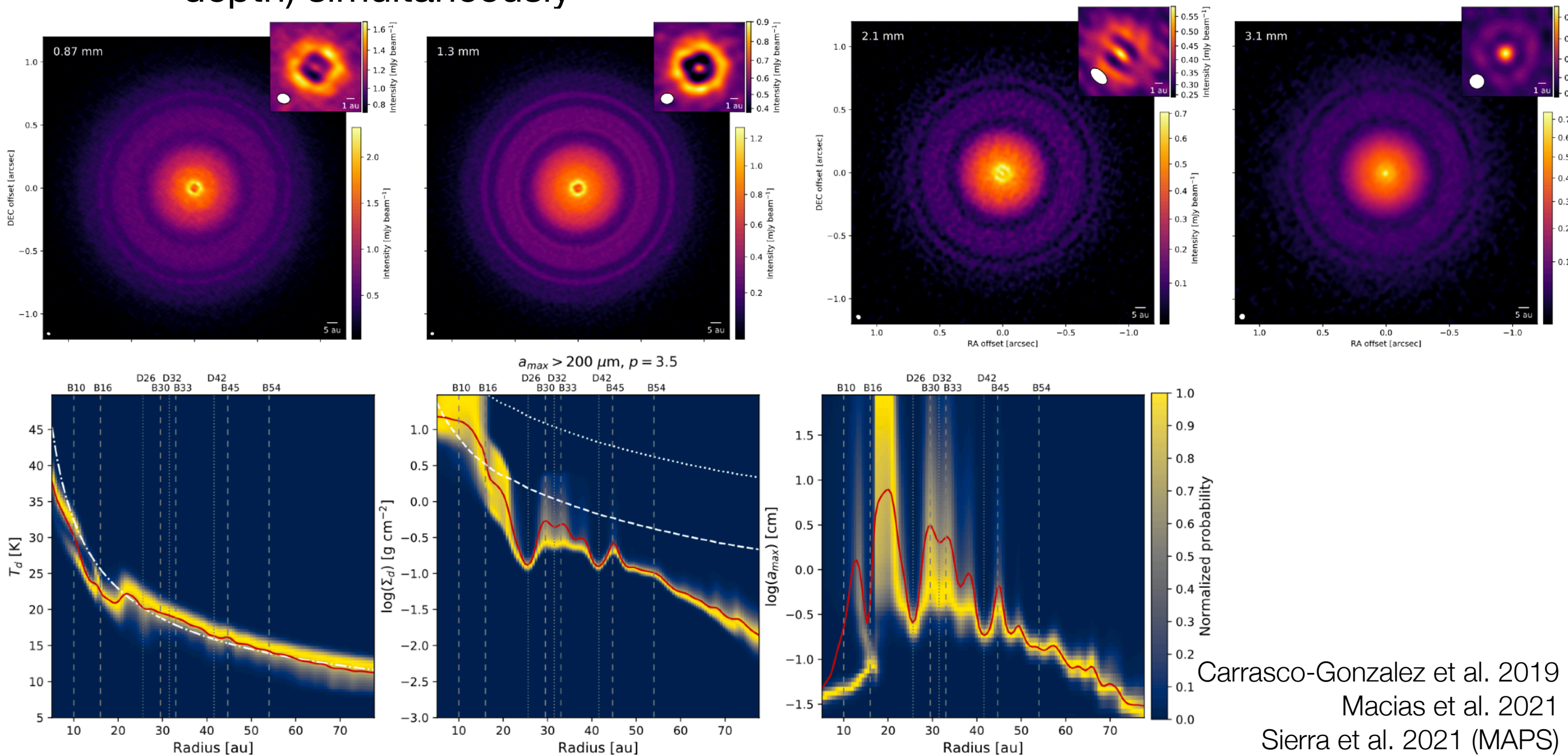
No longer directly  
related to dust opacity

# Multi-wavelength analysis

## Modern approach

If you have 3 wavelengths or more, spatially resolved:

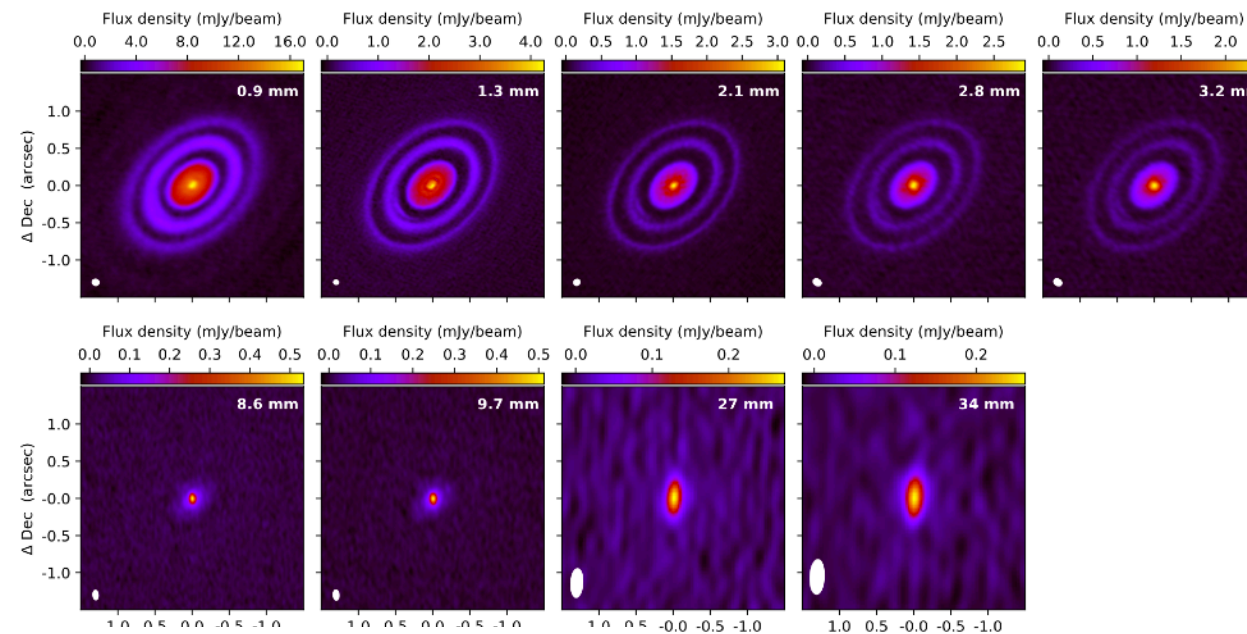
- Fit dust opacity (grain size), temperature and surface density (optical depth) simultaneously



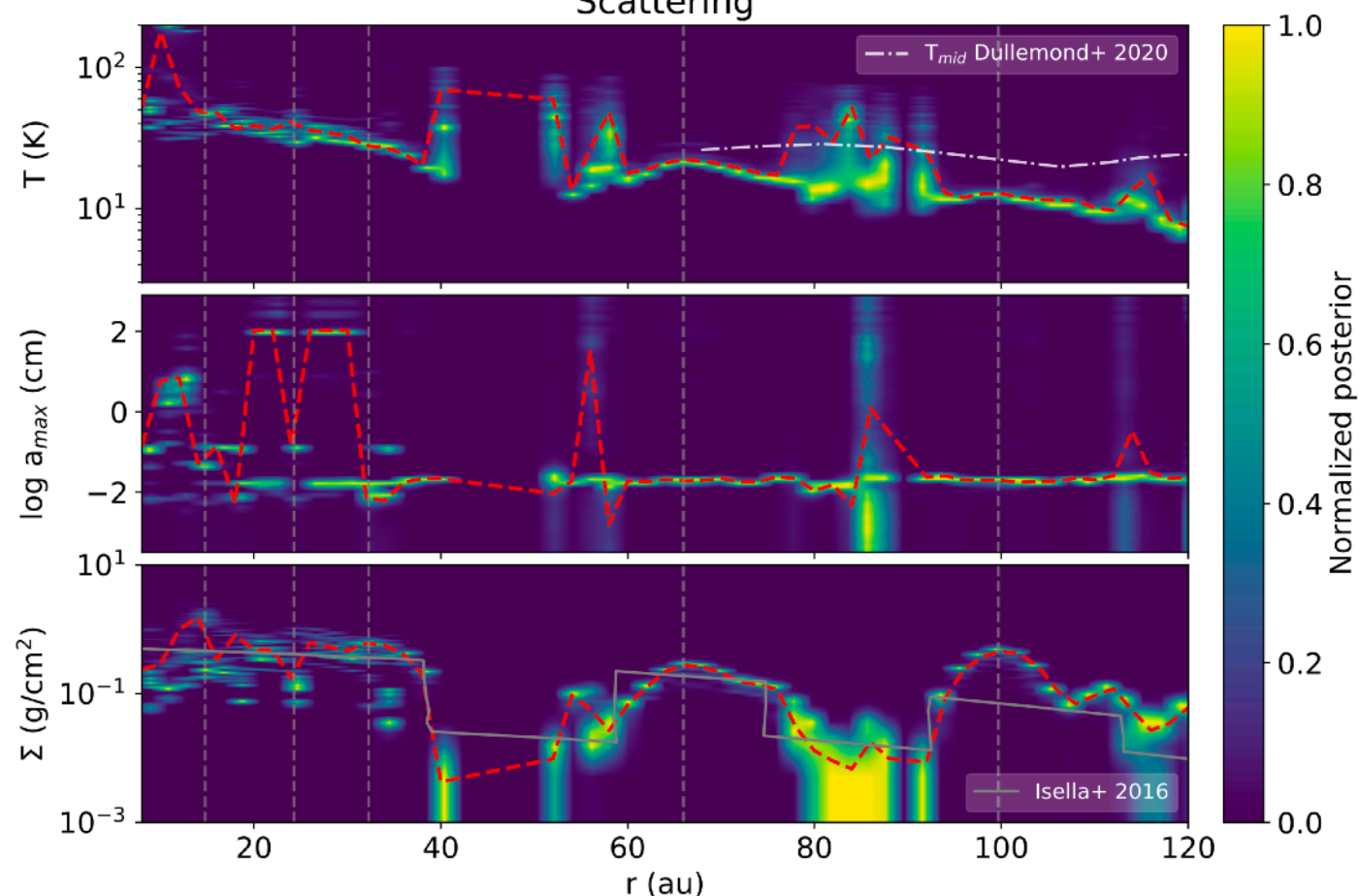
# Multi-wavelength analysis

## Modern approach

HD163296:  
9 wavelengths  
(ALMA + VLA)



Scattering



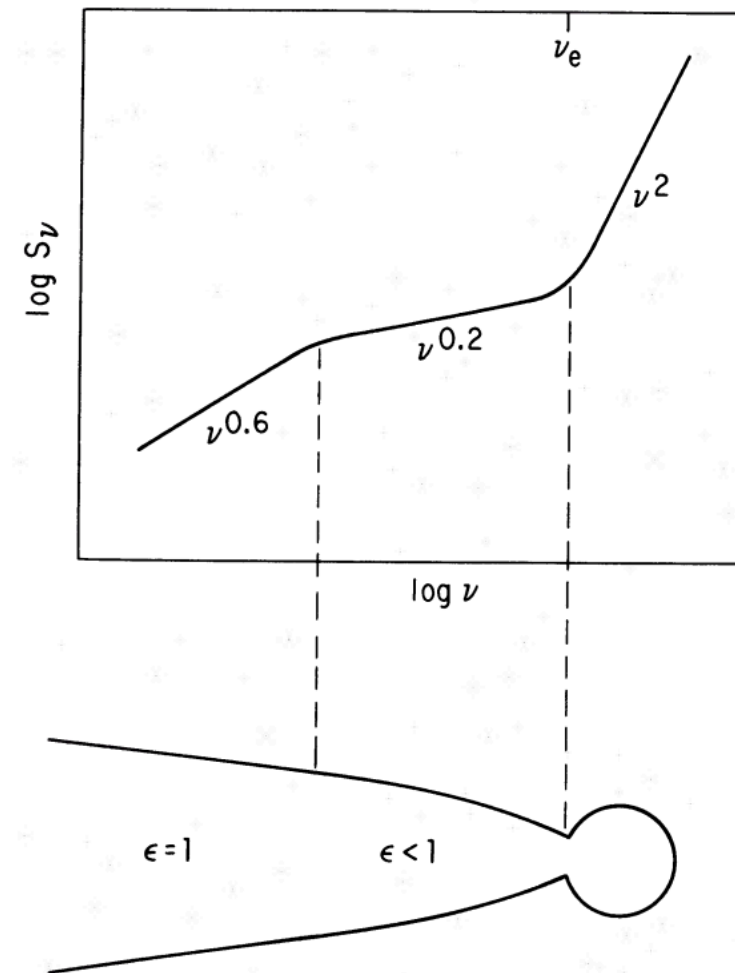
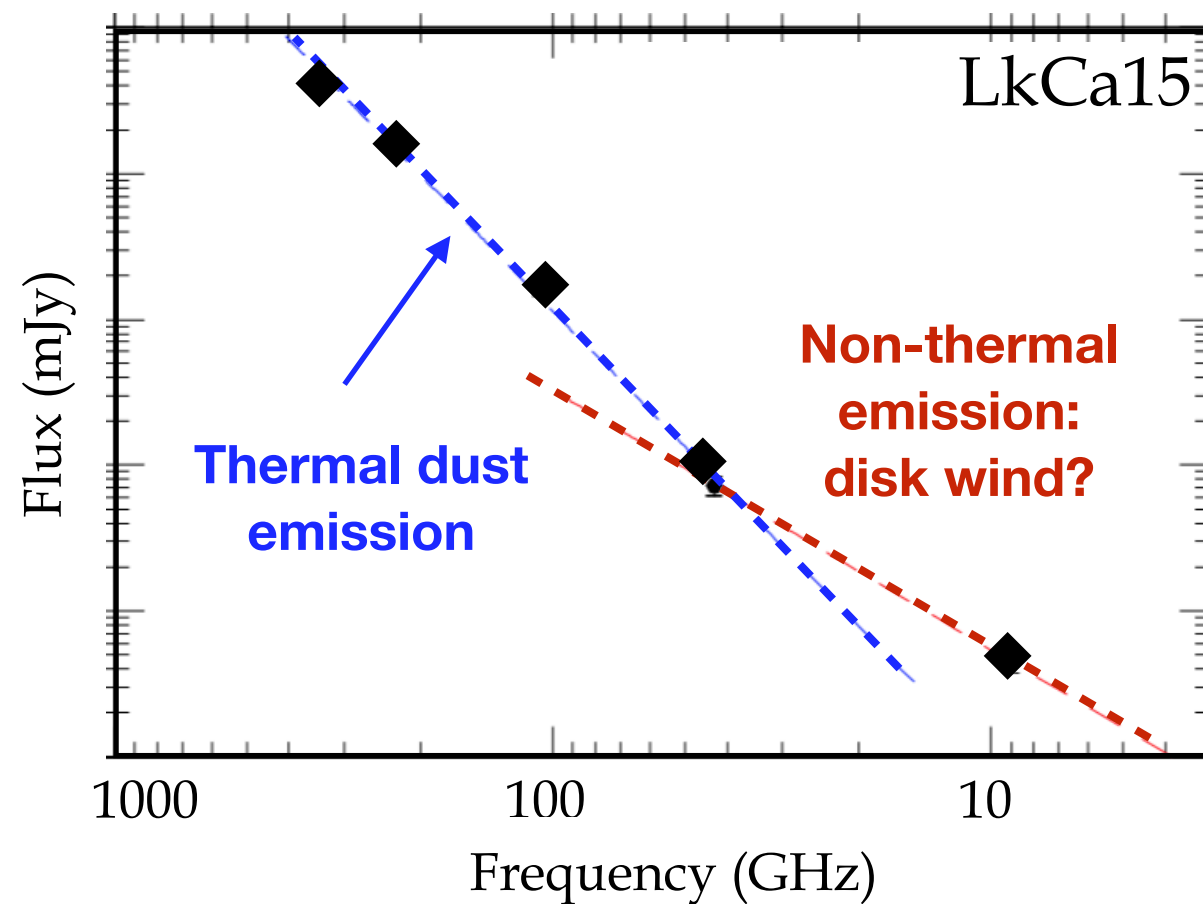
**More stringent  
constraints on dust  
properties and  
optical depth**



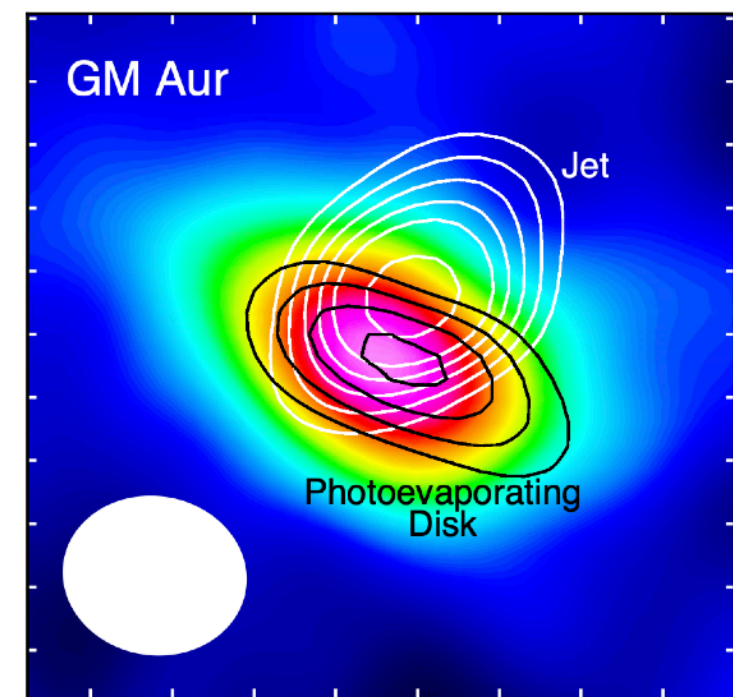
# Multi-wavelength analysis

## Free-free emission

Observed non-thermal emission at  
10 GHz for disk-integrated flux

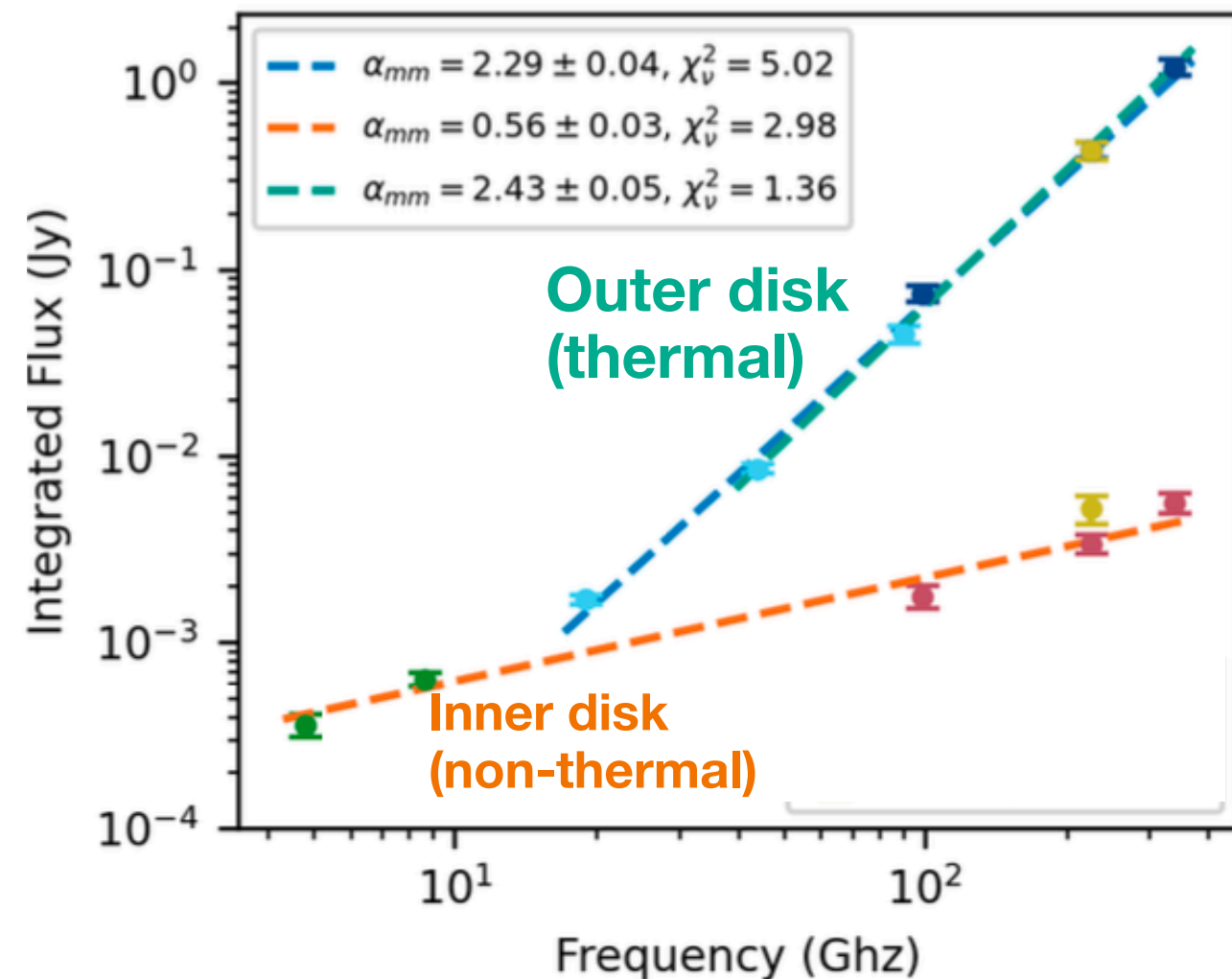
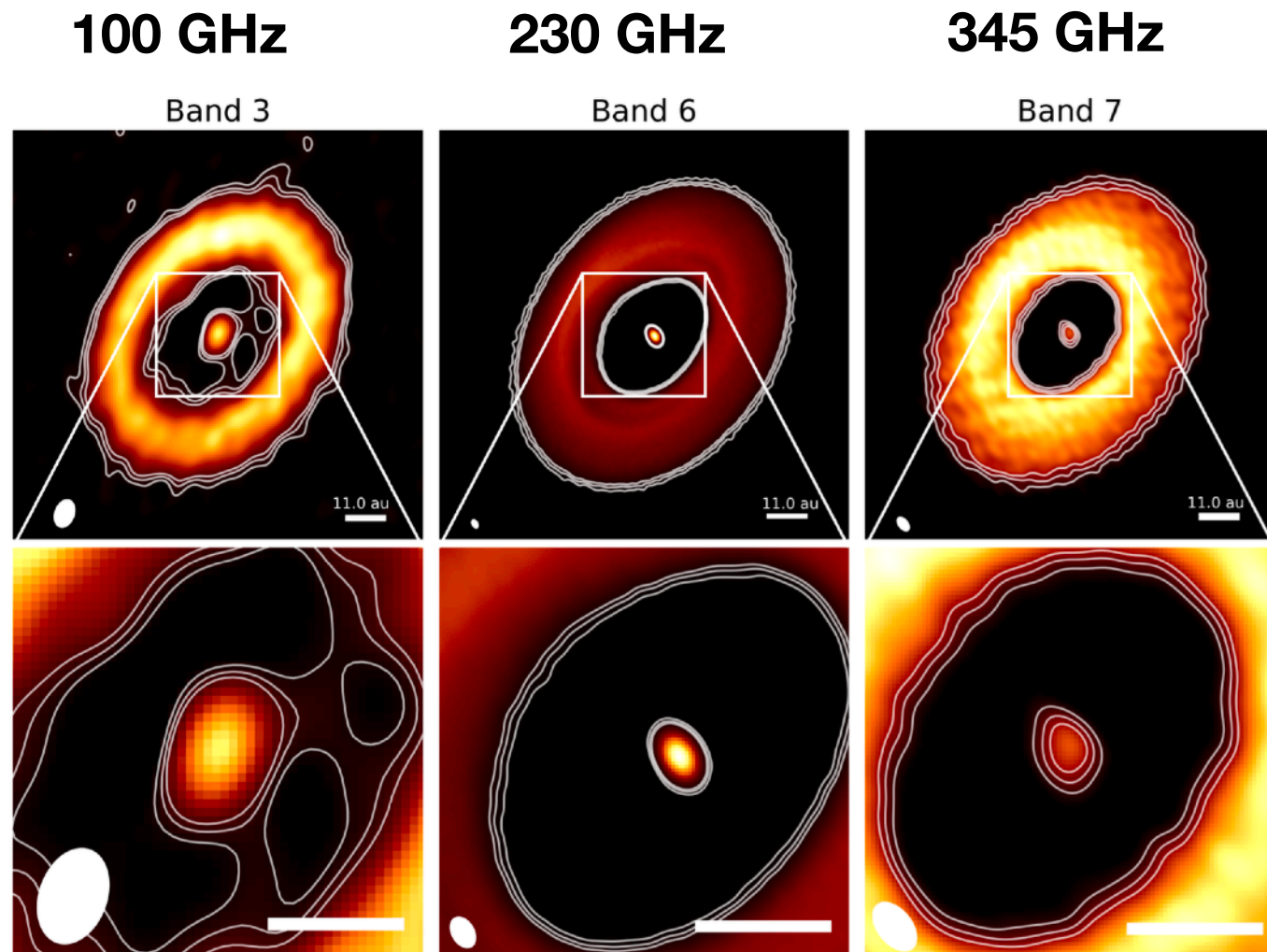


Spatially resolved jet  
at 3 cm (VLA)



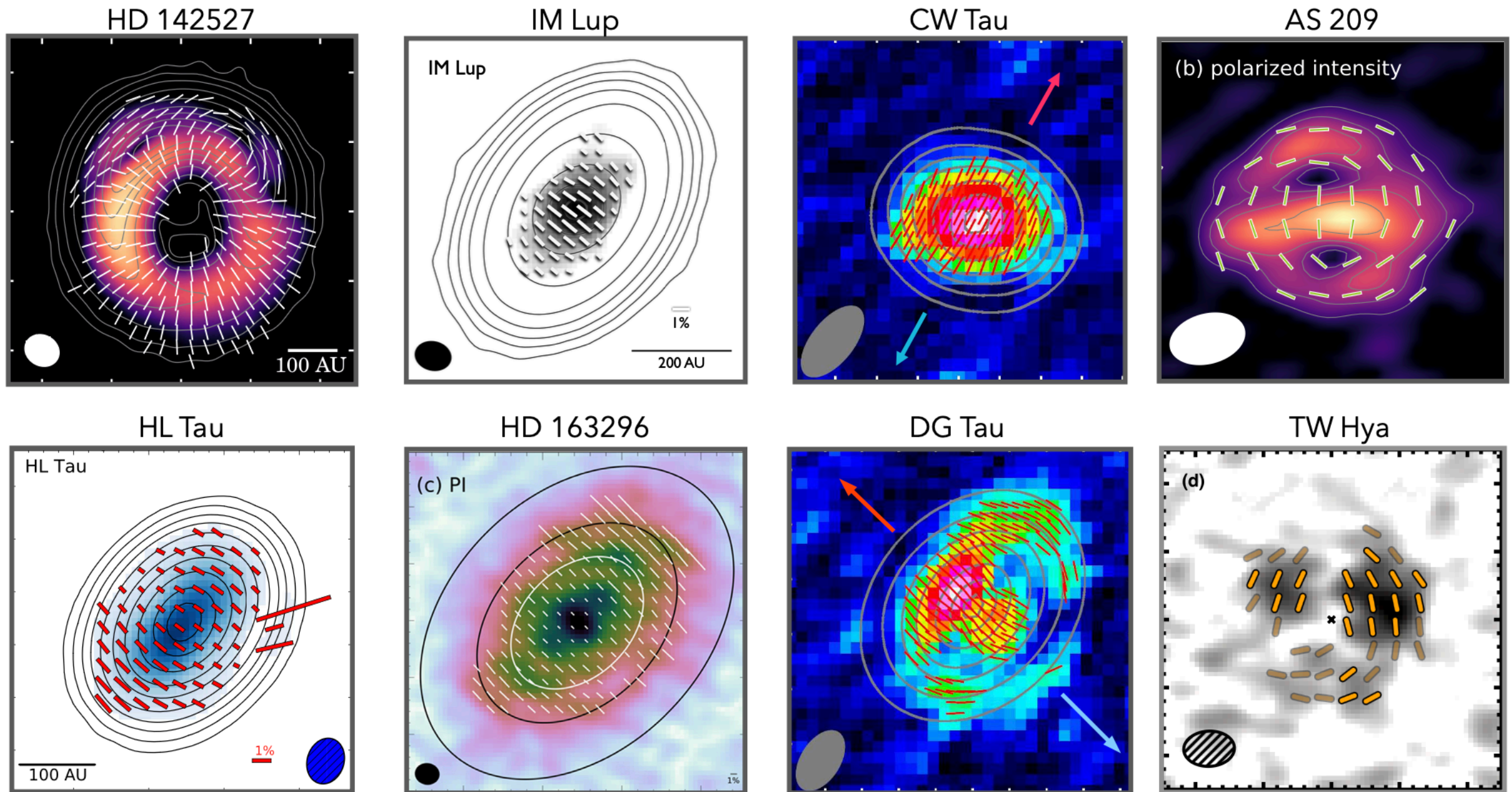
# Multi-wavelength analysis

Free-free emission: “inner disks” in transition disks



# Polarisation

## ALMA polarization of disks



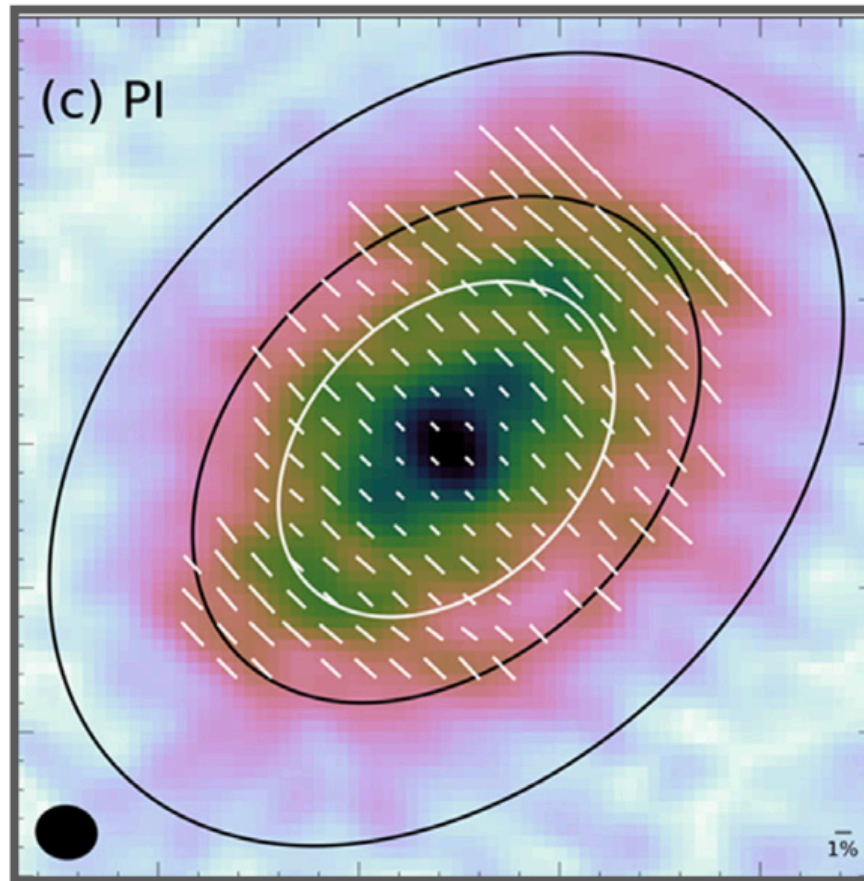
Kataoka et al. 2016, Hull et al. 2018, Bacciotti et al. 2018, Dent et al. 2019, Stephens et al. 2017, Kataoka et al. 2017, Ohashi et al. 2018, Mori et al. 2019, Teague et al. 2021



# Polarisation

## Parallel to the minor axis

HD 163296 (0.9 mm)

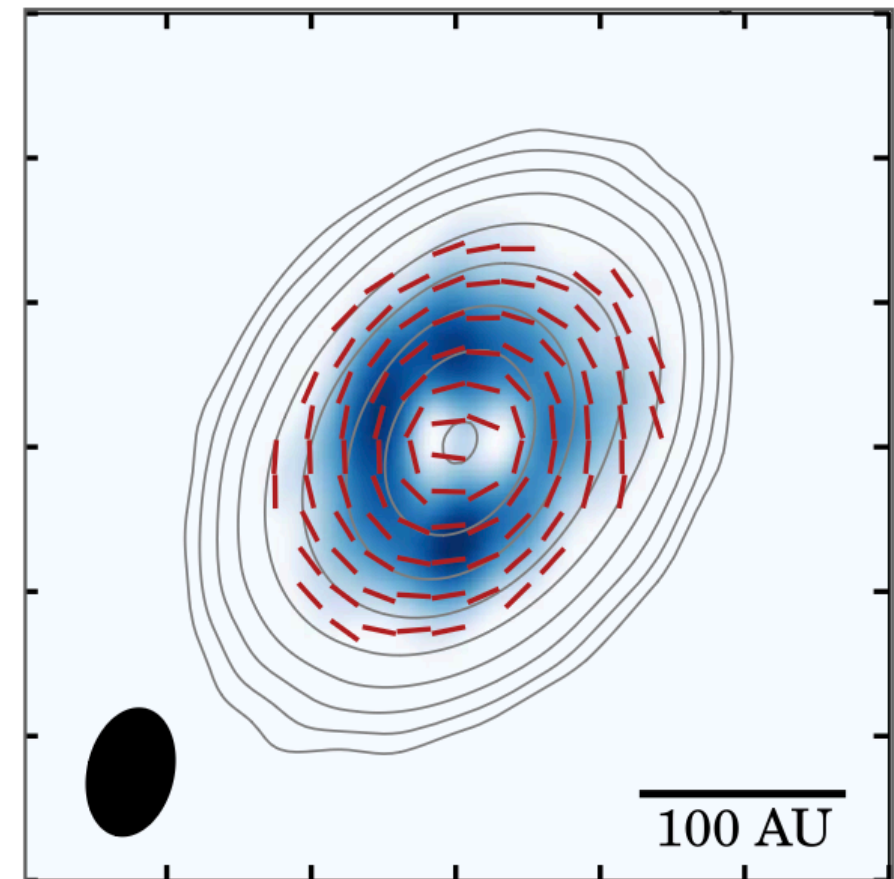


Dent et al. 2019

**Self-scattering**

## Azimuthal

HL Tau (3 mm)

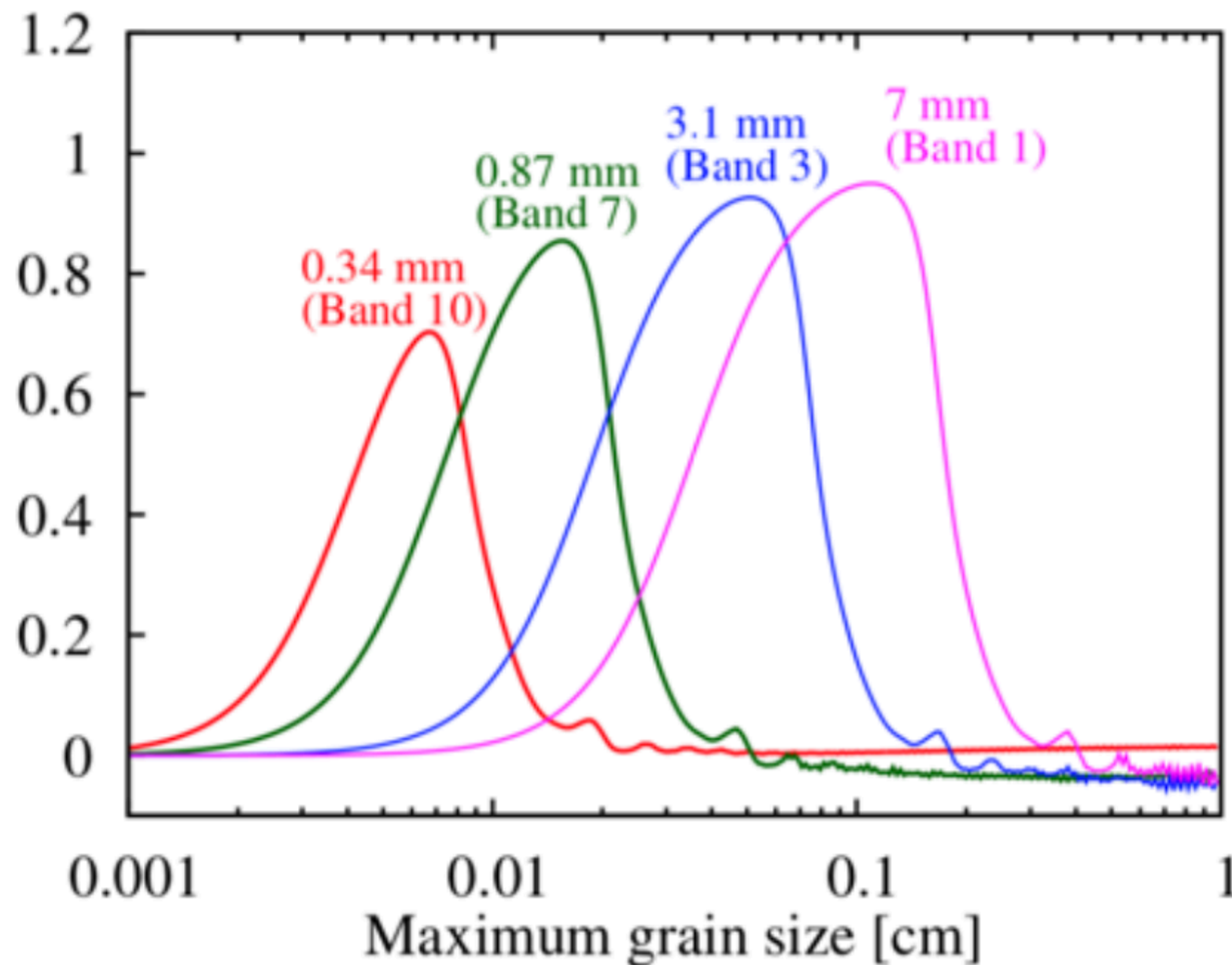


Kataoka et al. 2017

**Intrinsic polarization of  
aligned dust grains**

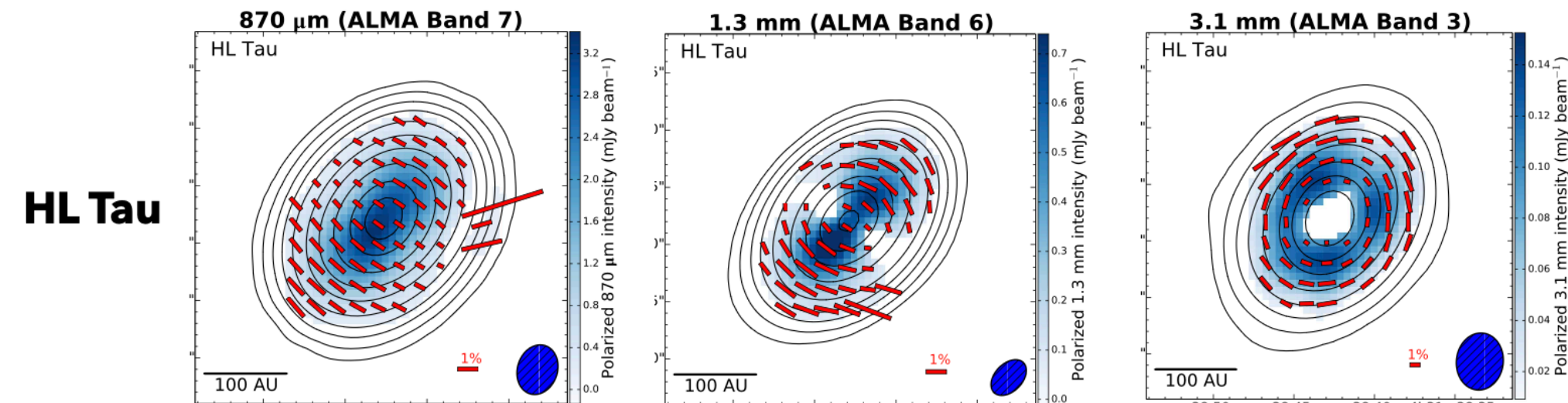
# Polarisation

- Polarization due to scattering:  
only detectable when  $a \sim \lambda/2\pi$

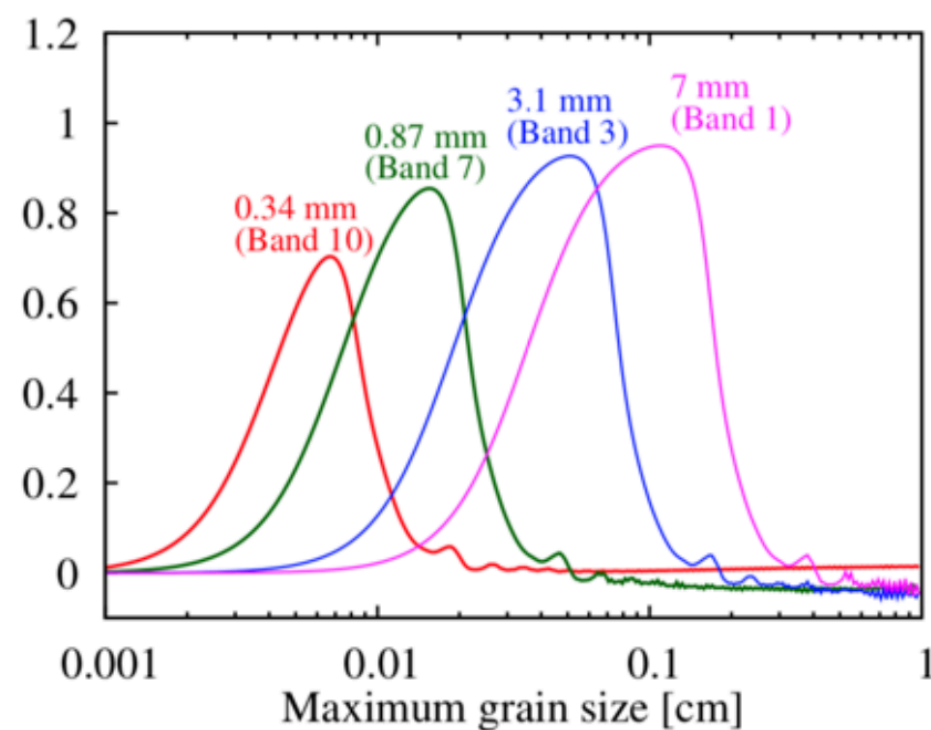


# Polarisation

Measure polarisation in multiple wavelengths:  
transition from self-scattering to intrinsic  
polarisation by dust alignment



**Self-scattering +  
Intrinsic polarization  
of aligned dust  
grains**



**Self-scattering visible in Band 7, not in Band 6/3  
=> maximum grain size must be <100 micron!**



# How large are the dust grains in disks?

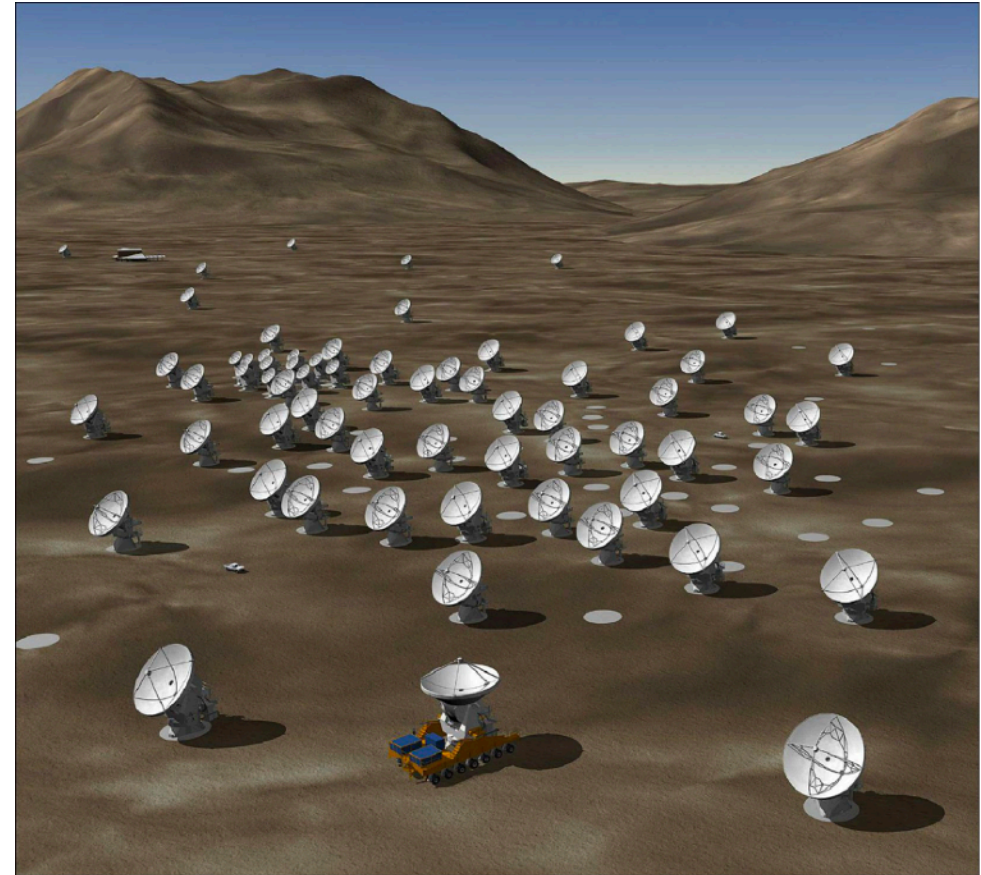
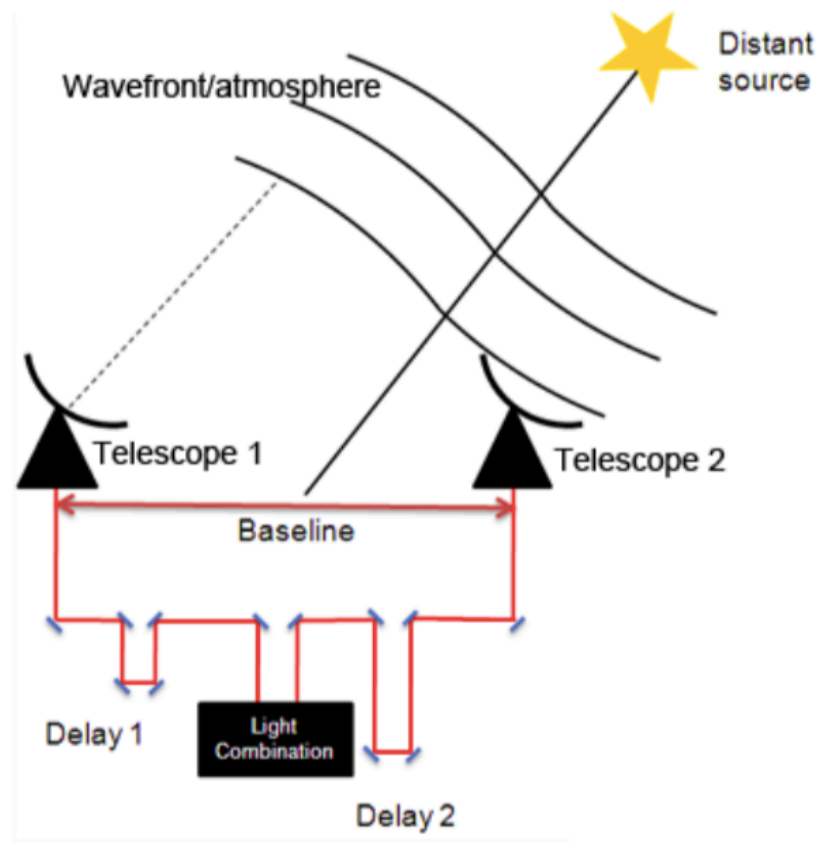


**Debate is still on-going!**

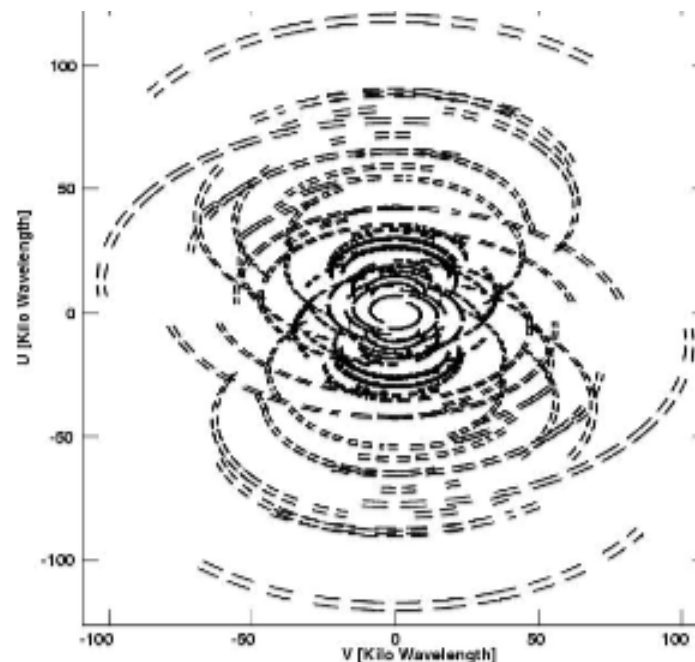


# How to interpret interferometric images

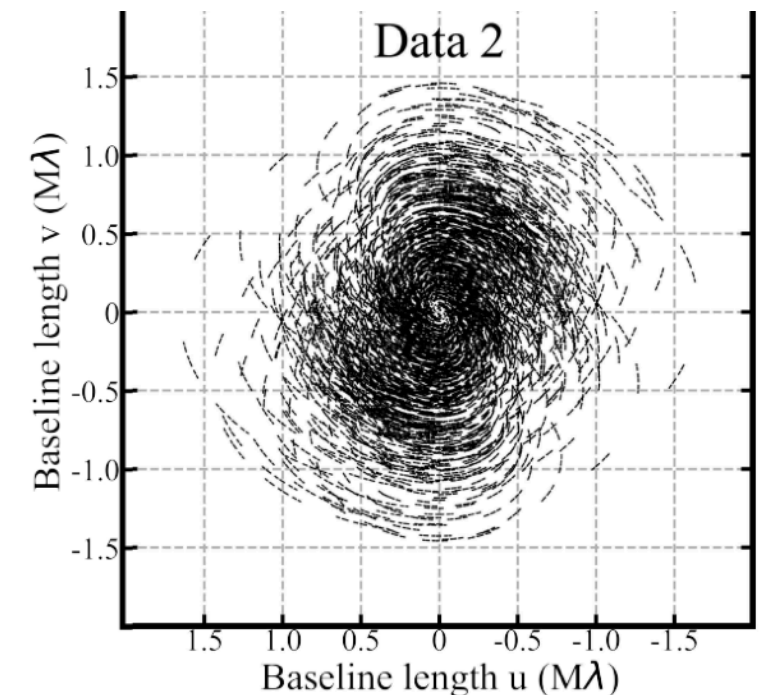
## What is uv-coverage



*Typical uv-coverage pre-ALMA*

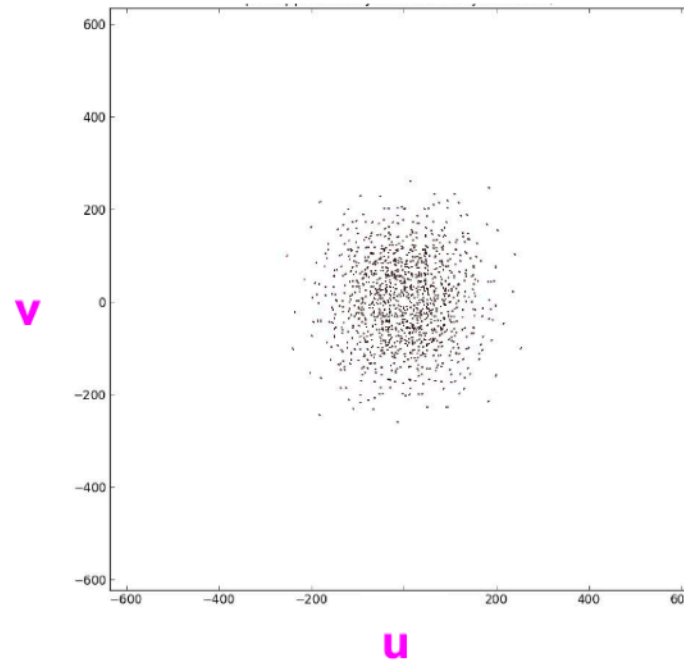


*Typical uv-coverage ALMA*

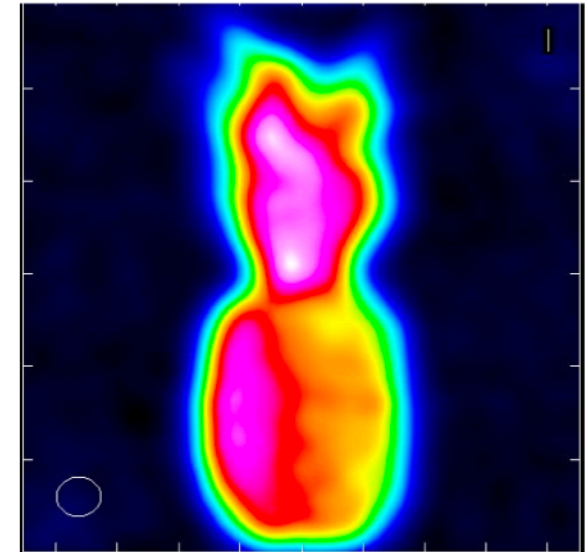


- Each pair of antennas gives measurements for one uv-point
- Earth rotation increases uv-points
- All uv-points: uv-coverage
- Fourier transform uv-coverage  $\Leftrightarrow$  resolution beam
- Large uv-point = long baseline = small resolution element

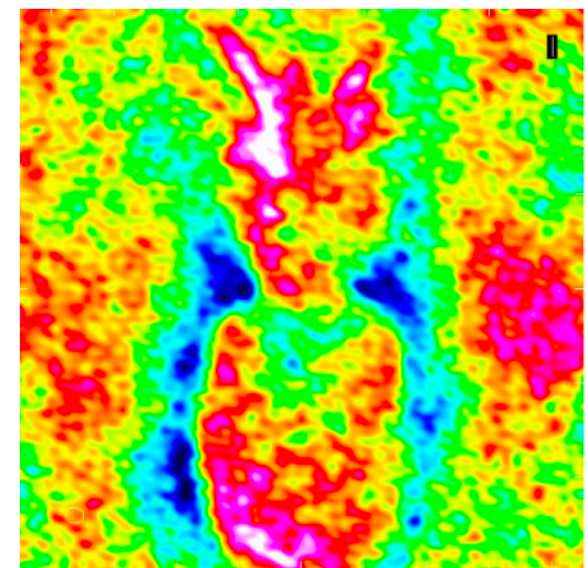
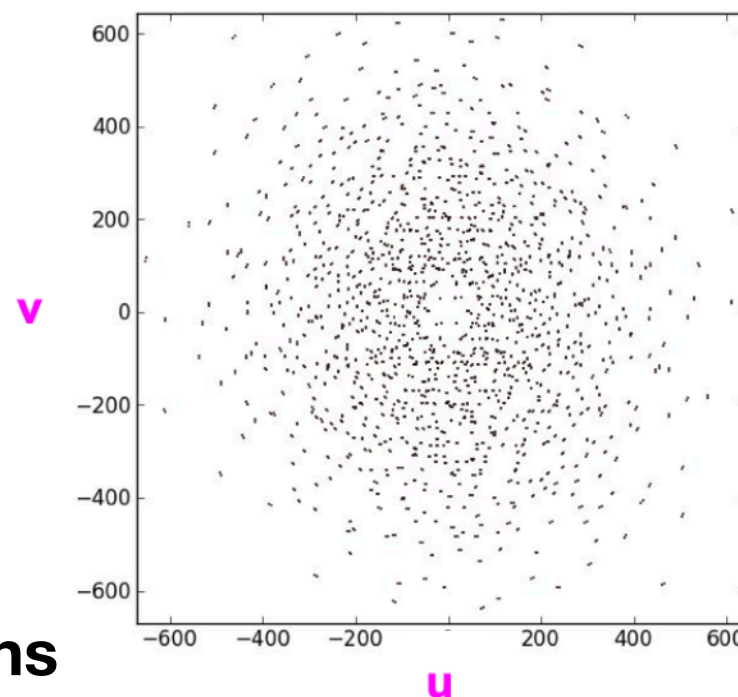
# Demo uv-coverage



Fourier Domain (uv-plane) Sampling



What ALMA sees:



- Different configurations: different uv-coverage (spatial sampling)
- Extended array: sampling small scales
- Compact array: sampling large scales

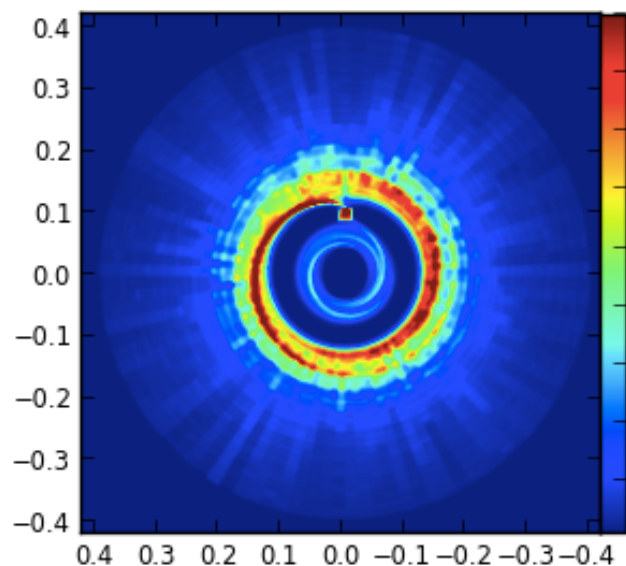
Usually you need a combination of 2 configurations to map all scales

Credits: Logan Francis



# Demo uv-coverage

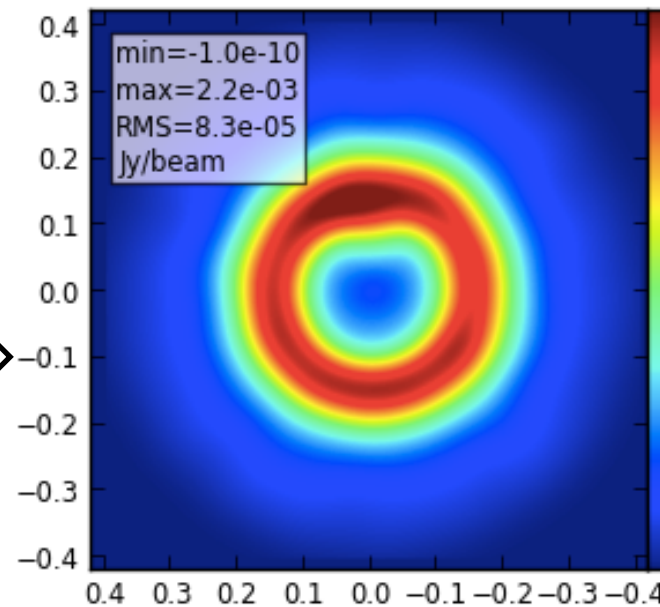
Model image  
(Band9: 672 GHz)



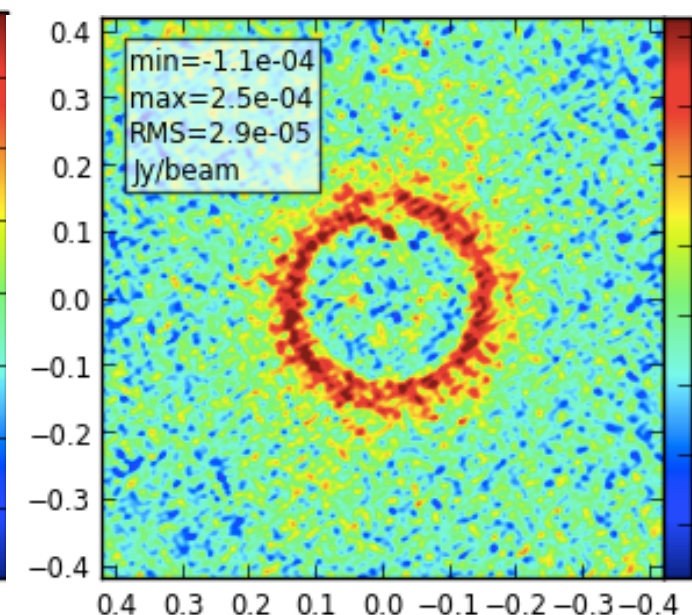
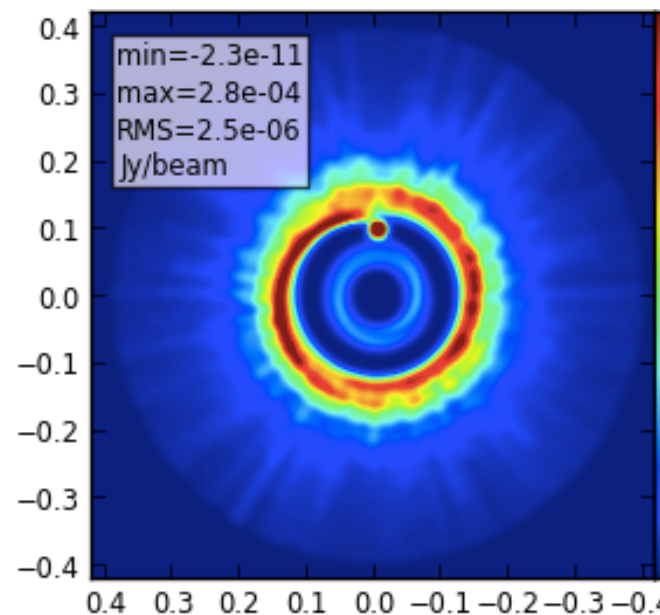
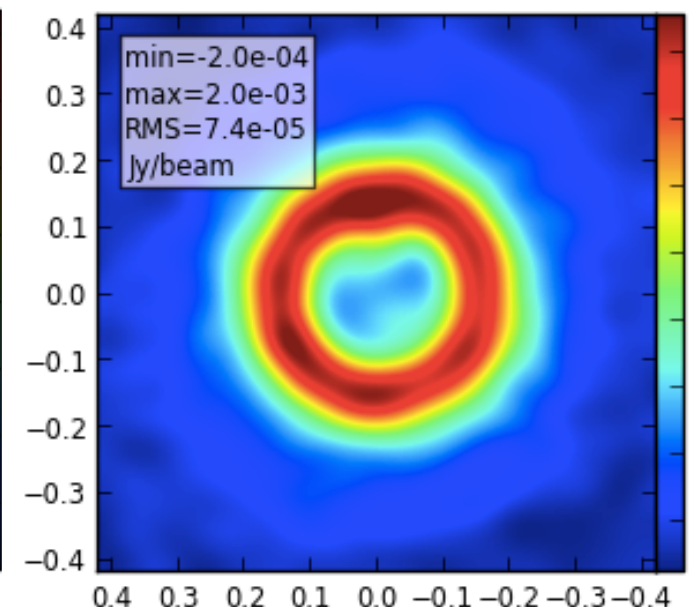
Configuration 5  
AR  $\sim 0.1''$   
MRS  $\sim 1.2''$

Configuration 8  
AR  $\sim 0.02''$   
MRS  $\sim 0.2''$

*Convolved*



*Simulated*



Usually you need a  
combination of 2 configurations  
to map all scales

*Note that only 2/3 of total  
flux is recovered in C8*

# Visibility curves

## Thinking in Fourier space



Observing  
the  
brightness of  
things in space

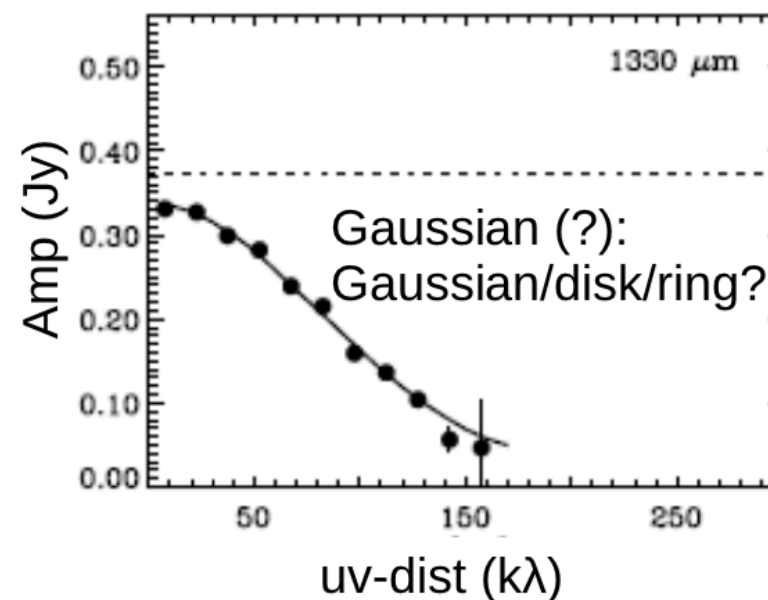
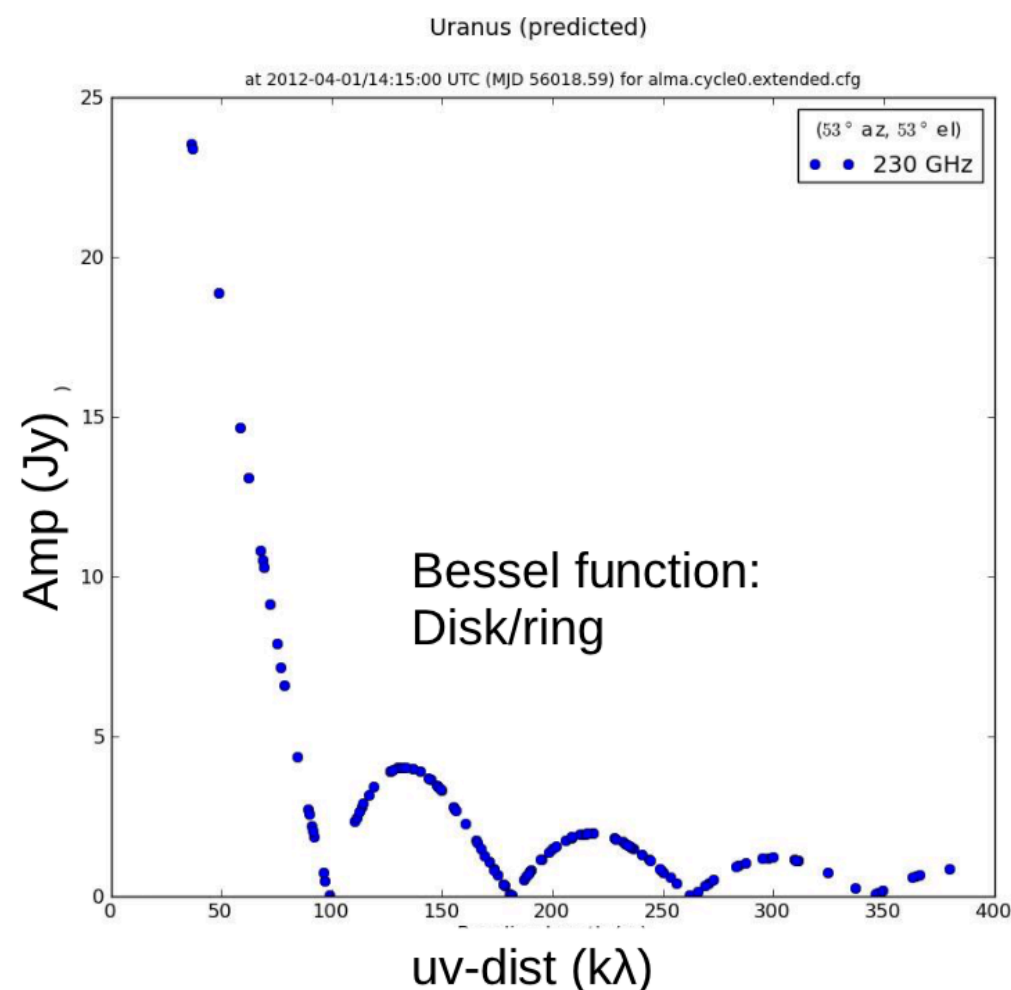


Observing  
the brightness  
of things  
in Fourier space

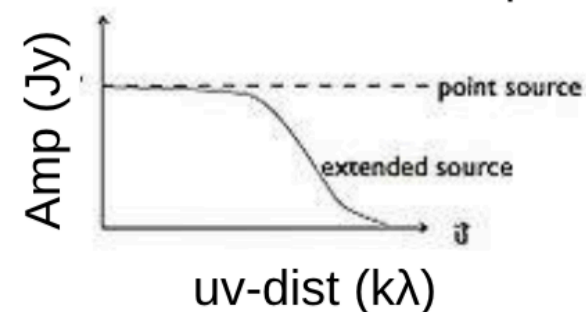
# Visibility curves

## Thinking in Fourier space

- To prevent issues with incomplete sampling, interpretation and model fitting can be done directly in the uv-plane: visibility data
- Remember: visibility is Fourier transform of the image!



Constant: unresolved point source

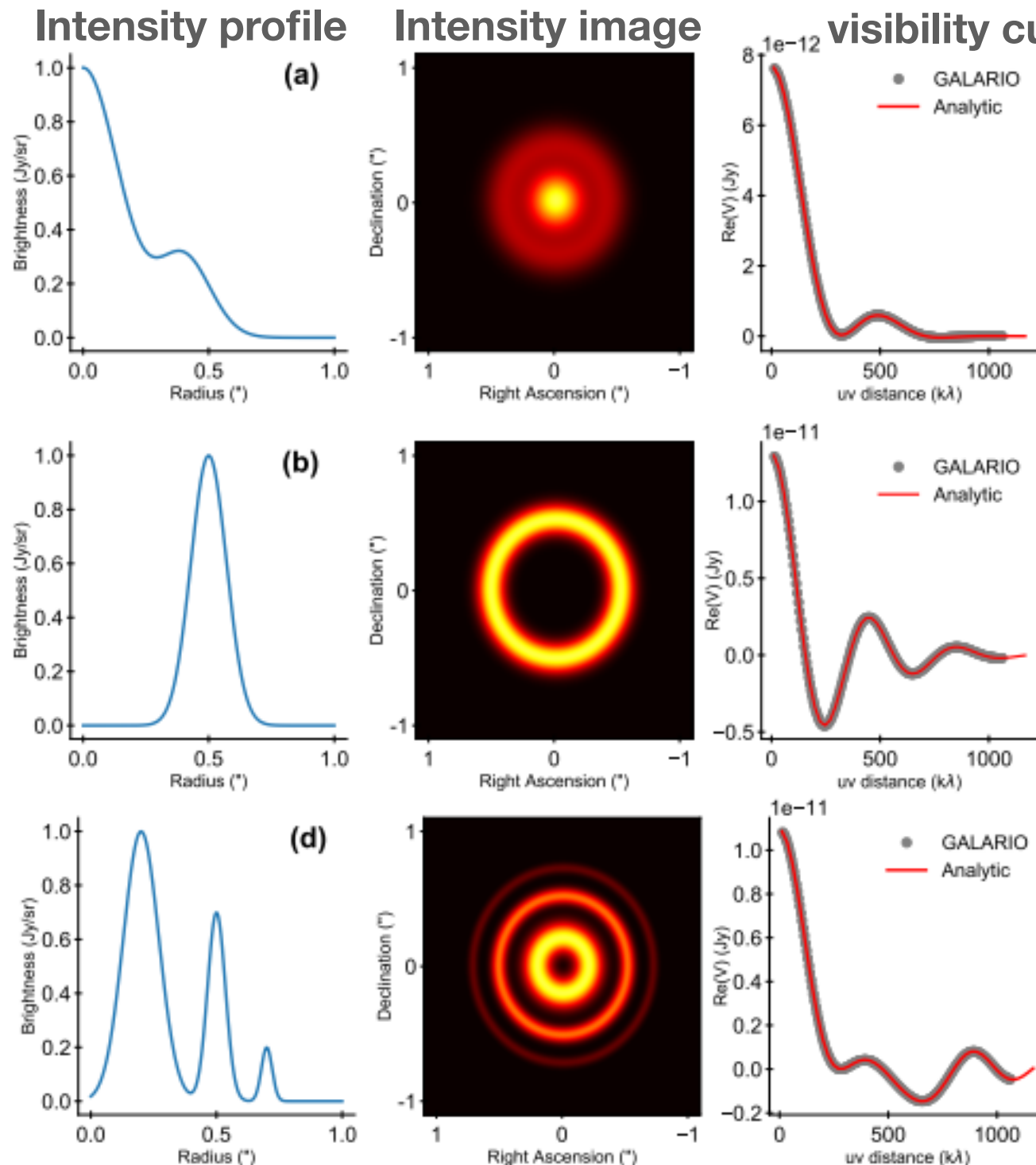




# Visibility curves

## Thinking in Fourier space

Fourier transform:  
visibility curve

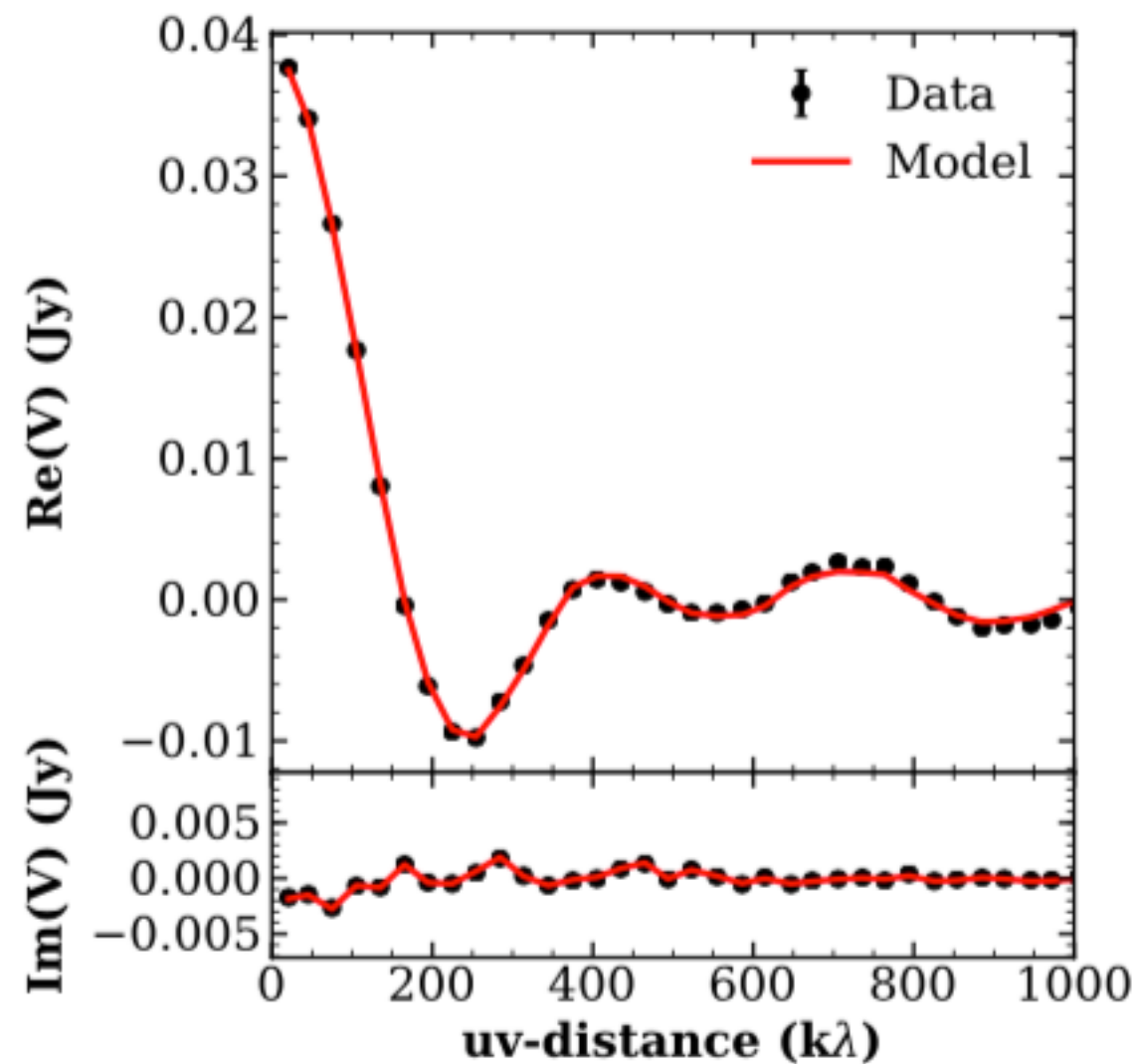
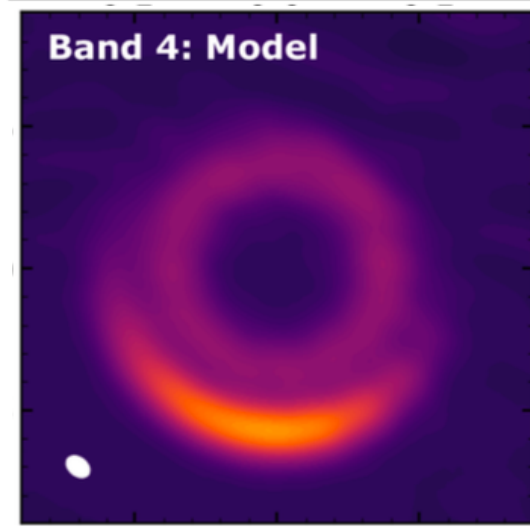
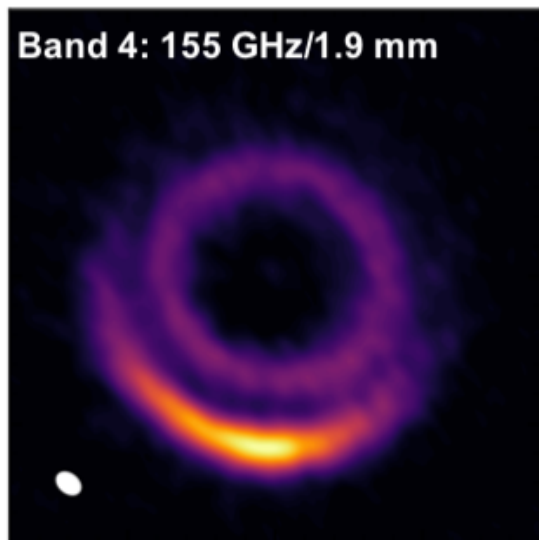


**Galaro software: Fourier transform a given model profile or model image onto the observed uv-points, and find a best fit to the data with MCMC modeling**

**Want to learn more? Check  
Galaro on GitHub  
(Tazzari et al. 2018)**  
<https://github.com/mtazzari/galaro>

# Visibility fitting

## Example: HD135344B



Real and imaginary  
parts of the visibility:  
Amplitude is  
 $\text{sqrt}(\text{Real}^2 + \text{Imag}^2)$

Uv-distance =  
 $\text{sqrt}(u^2 + v^2)$

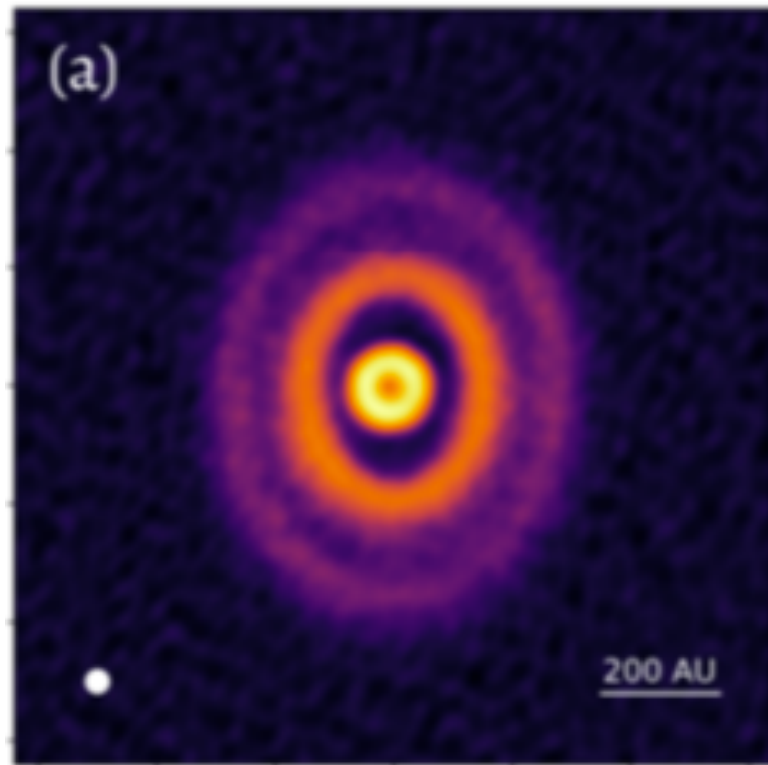
**12 parameters!**

Model:  $F_1(r, \theta) * F_2(r, \theta)$  with  
 $F_1(r, \theta) = F_R e^{-(r-r_R)^2 / 2\sigma_R^2}$

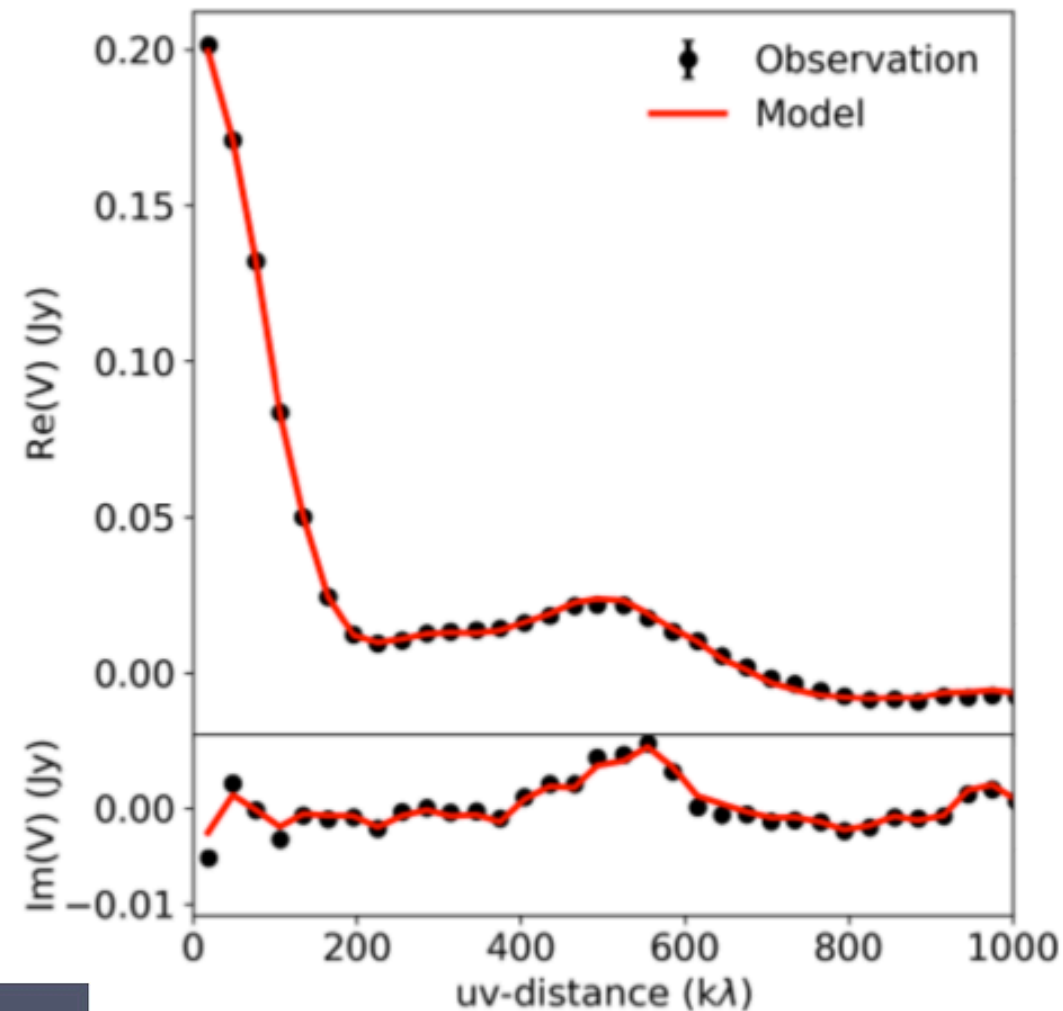
$$F_2(r, \theta) = \begin{cases} F_V e^{-(r-r_V)^2 / 2\sigma_{V,r}^2} e^{-(\theta-\theta_V)^2 / 2\sigma_{V,\theta 1}^2}, & \theta \leq \theta_V \\ F_V e^{-(r-r_V)^2 / 2\sigma_{V,r}^2} e^{-(\theta-\theta_V)^2 / 2\sigma_{V,\theta 2}^2}, & \theta > \theta_V \end{cases}$$

# Visibility fitting

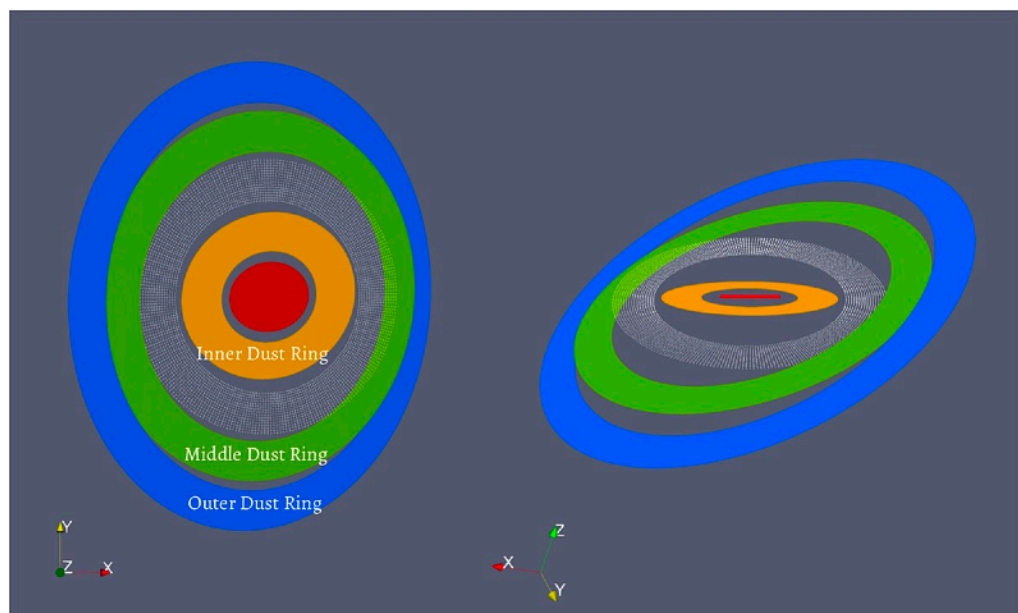
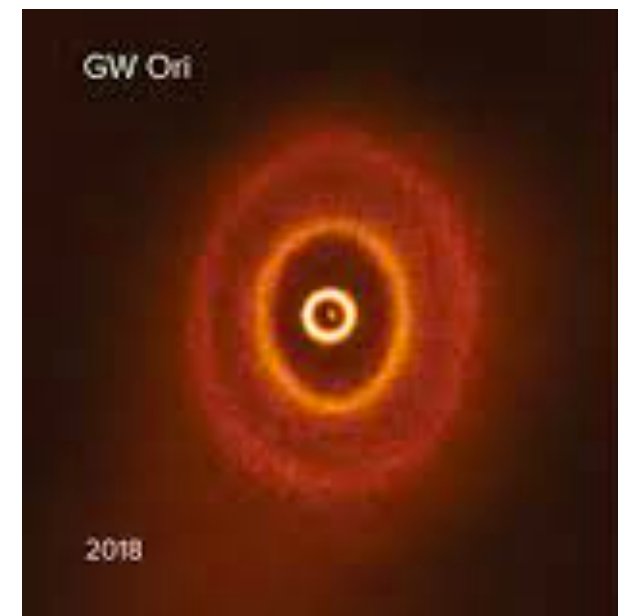
## Example: GW Ori



Three rings with different orientations and centers



Later published  
high-res image:





**Questions?**